# **Graph Transformers**

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## Contents

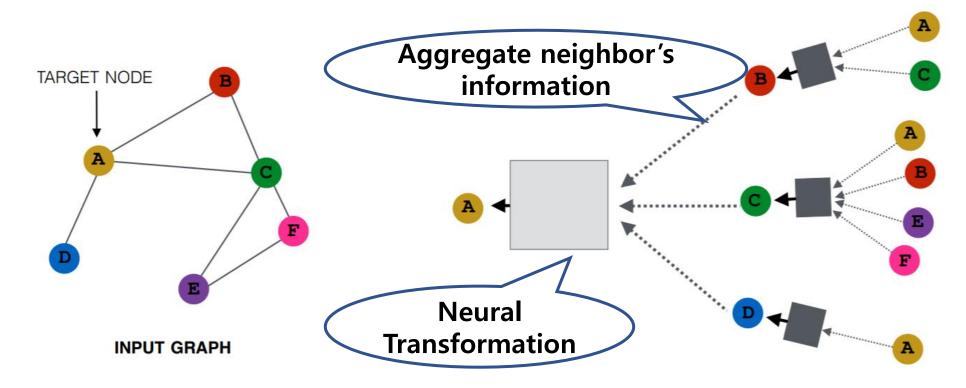


- From Message Passing to Self-attention
- Positional Encoding in Graphs
- Transformers are Graph Neural Networks
- Representative Transformer models



# Graph Neural Networks (GNNs)

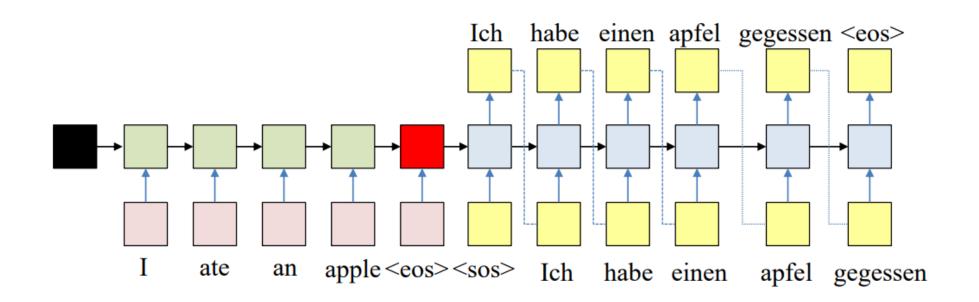
- Key Idea: Each node aggregates messages from its neighborhood to get contextualized node embedding.
- Limitation: Most GNNs focus on homogeneous graph.





# Recap: Seq2Seq models

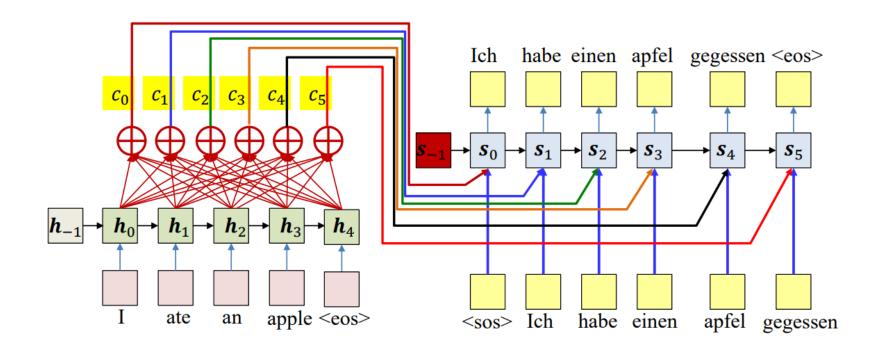
- > The input sequence feeds into a recurrent structure
- The input sequence is terminated by an explicit <eos> symbol
  - > The hidden activation at the <eos> "stores" all information about the sentence
- Subsequently a second RNN uses the hidden activation as initial state to produce a sequence of outputs







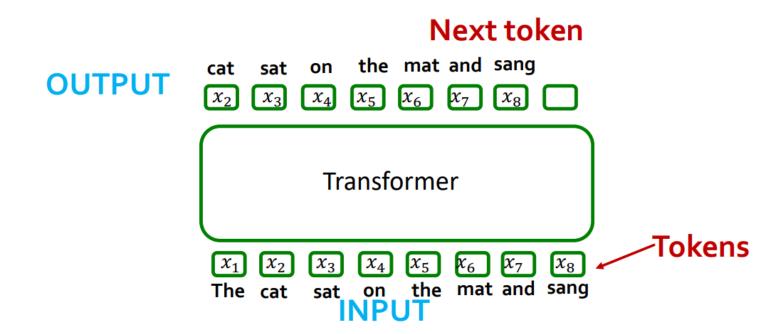
- Encoder recurrently produces hidden representations of input word sequence
- Decoder recurrently generates output word sequence
  - For each output word the decoder uses a weighted average of the hidden input representations as input "context", along with the recurrent hidden state and the previous output word





# Recap: Transformers

- > Transformer ingest **TOKENS**
- > Transformers map 1D sequences of vectors to 1D sequences of vectors known as tokens
  - Tokens describe a "piece" of data e.g., a word
- What output sequence?
  - Option 1: next token => GPT





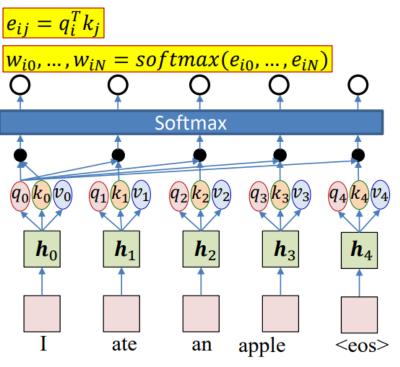
- > First, for every word in the input sequence we compute an initial representation
  - > E.g. using a single MLP layer
- > Then, from each of the hidden representations, we compute a query, a key, and a value.
  - Using separate linear transforms
  - $\triangleright$  The weight matrices Wq, Wk and Wv are learnable parameters
- ➤ The updated representation for the word is the attention-weighted sum of the values for all words (Including itself)

$$q_i = \mathbf{W}_q h_i$$

$$\mathbf{k}_i = \mathbf{W}_k h_i$$

$$\mathbf{v}_i = \mathbf{W}_v h_i$$

$$\mathbf{w}_{ij} = attn(q_i, k_{0:N})$$

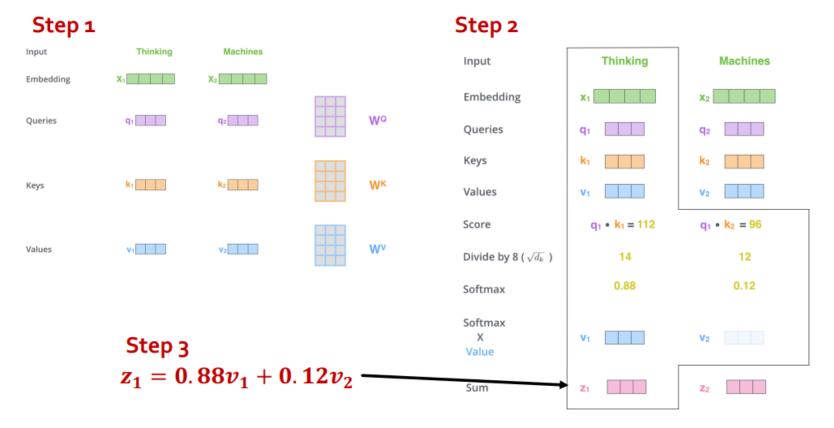






# Recap: Self attention

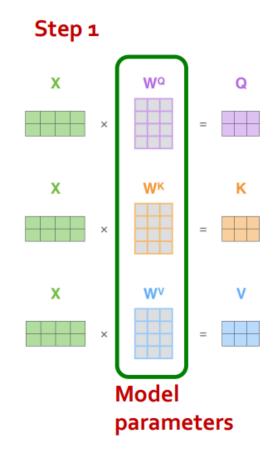
- > Step 1: compute "key, value, query" for each input
- $\triangleright$  Step 2 (just for  $x_1$ ): compute scores between pairs, turn into probabilities (same for  $x_2$ )
- $\triangleright$  Step 3: get new embedding  $z_1$  by weighted sum of  $v_1$ ,  $v_2$

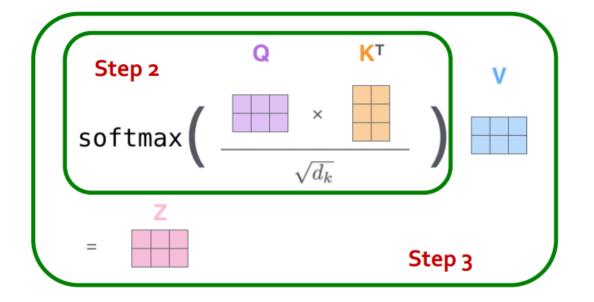






Same calculation in matrix form



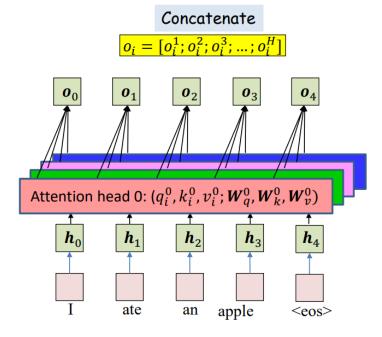


# Recap: Multi-head Self attention

- We can have multiple such attention "heads"
  - > Each will have an independent set of queries, keys and values
  - Each will obtain an independent set of attention weights
    - > Potentially focusing on a different aspect of the input than other heads
  - > Each computes an independent output
- ➤ The final output is the concatenation of the outputs of these attention heads
- "MULTI-HEAD ATTENTION"(actually Multi-head self attention)

 $\begin{aligned} q_i^a &= \boldsymbol{W}_q^a h_i \\ \boldsymbol{k}_i^a &= \boldsymbol{W}_k^a h_i \\ \boldsymbol{v}_i^a &= \boldsymbol{W}_v^a h_i \\ \end{aligned}$  $\boldsymbol{w}_{ij}^a &= attn(q_i^a, k_{0:N}^a)$ 

$$o_i^a = \sum_j w_{ij}^a v_j^a$$

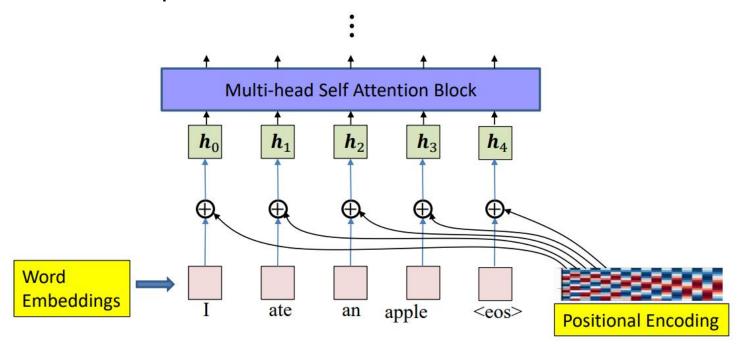




- $\triangleright$  Positional Encoding: A sequence of vectors  $P_0$ ,  $P_1$ , ...,  $P_N$  to encode position
  - Every vector is unique (and uniquely represents time)
  - $\triangleright$  Relationship between  $P_t$  and  $P_{t+k}$  only depends on the distance between them:

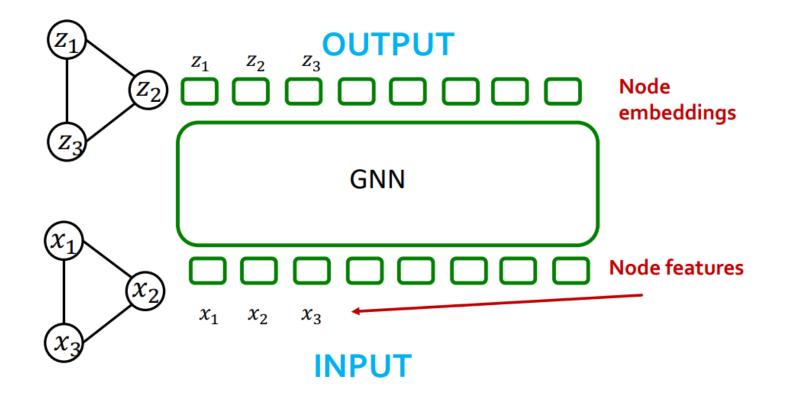
$$P_{t+k} = M_k P_t$$

 $\triangleright$  The linear relationship between  $P_t$  and  $P_{t+k}$  enables the net to learn shiftinvariant "gap" dependent relationships



# Comparing Transformer and GNNs

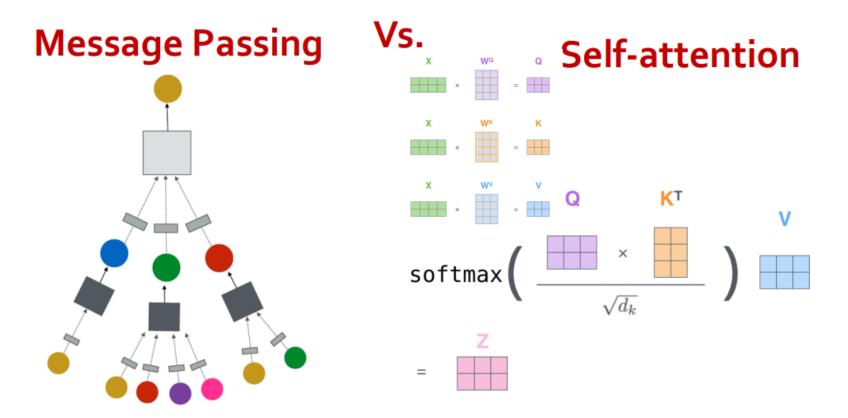
- Similarity: GNNs also take in a sequence of vectors (in no particular order) and output a sequence of embeddings
- > **Difference**: GNNs use message passing, Transformer uses self-attention





# Comparing Transformer and GNNs

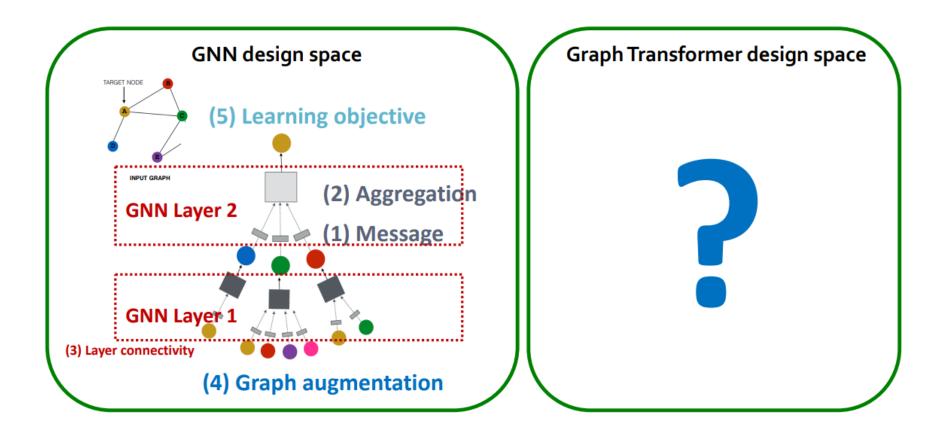
- > **Difference**: GNNs use message passing, Transformer uses self-attention
- Are self-attention and message passing really different?





# Comparing Transformer and GNNs

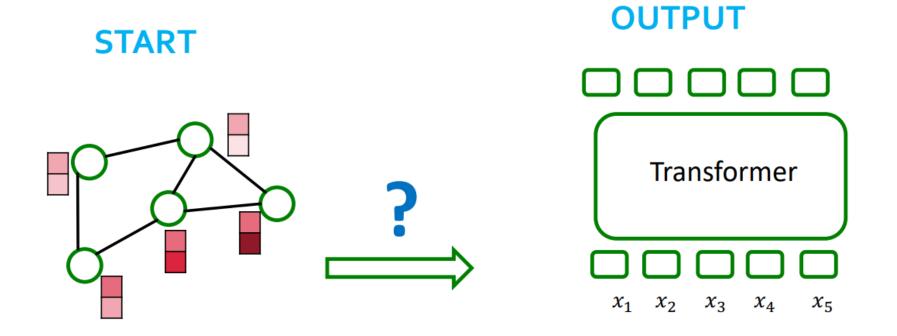
- > We know a lot about the design space of GNNs
- What does the corresponding design space for Graph Transformers look like?





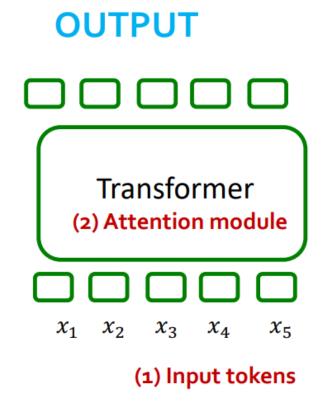


- We start with graph(s)
- ➤ How to input a graph into a Transformer?



# Components of a Transformer

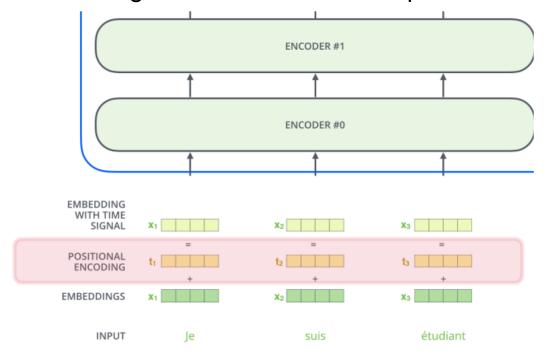
- > To understand how to process graphs with Transformers, we must:
  - Understand the key components of the Transformer. Seen already:
    - > 1) tokenizing,
    - > 2) self-attention
  - Decide how to make suitable graph versions of each





# Components of a Transformer: Positional Encoding

- > Transformer doesn't know order of inputs
- > Extra positional features needed so it knows that
  - $\rightarrow$  Je = word 1
  - $\triangleright$  suis = word 2
  - > etc.
- For NLP, positional encoding vectors are learnable parameters





# Components of a Transformer

- > Key components of Transformer:
  - > (1) tokenizing
  - > (2) positional encoding
  - > (3) self-attention
- Key question: What should these be for a graph input?

Transformer
(3) self-attention

(2) Positional encoding
(1) Tokens



How to chose these for graph data?



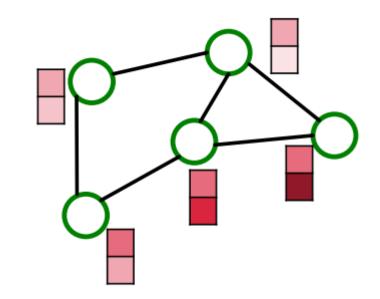
# Processing Graphs with Transformers

- > A graph Transformer must take the following inputs:
  - > (1) Node features?
  - > (2) Adjacency information?
  - > (3) Edge features (if any)

- > Key components of Transformer:
  - > (a) tokenizing
  - > (b) positional encoding
  - > (c) self-attention

#### **SOLUTIONS:**

- There are many ways to do this:
- ➤ Different approaches correspond to different "matchings" between graph inputs (1), (2), (3) transformer components (a), (b), (c)



# Processing Graphs with Transformers

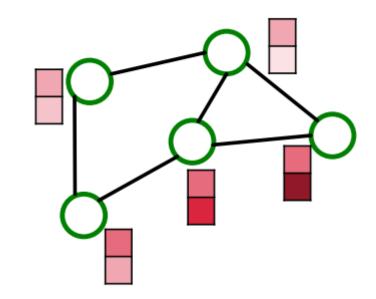
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- Key components of Transformer:
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  - > (b) positional encoding
  - > (c) self-attention

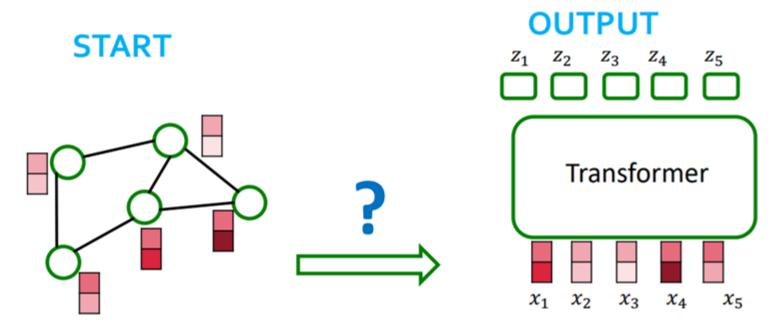
#### **SOLUTIONS:**

- There are many ways to do this:
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- > Q1: what should our tokens be?
- > Sensible Idea: node features = input tokens
- ➤ This matches the setting for the "attention is message passing on the fully connected graph" observation

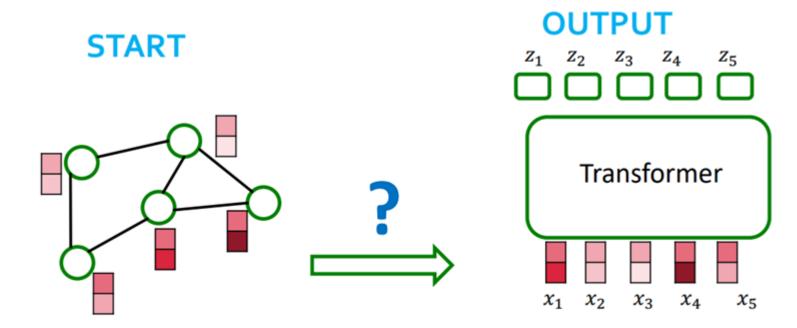


(1) Input tokens = Node features





- Q1: what should our tokens be?
- > Sensible Idea: node features = input tokens
- ➤ This matches the setting for the "attention is message passing on the fully connected graph" observation
- > **Problem?** We completely lose adjacency info!
- > How to also inject adjacency information?

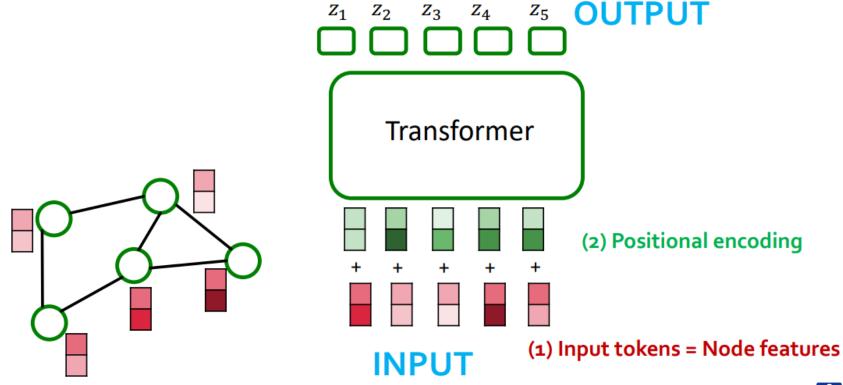






# Nodes as Tokens: How to add back Adjacnecy information

- > Problem? We completely lose adjacency info!
- > How to also inject adjacency information?
- > Idea: Encode adjacency info in the positional encoding for each node
- Positional encoding describes where a node is in the graph

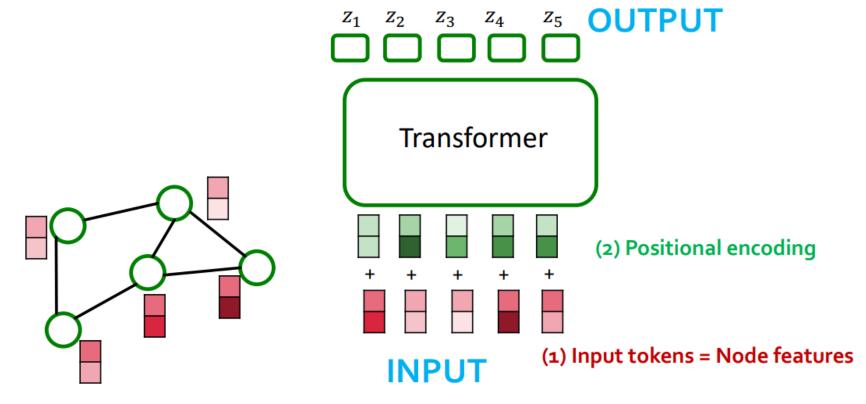






# Nodes as Tokens: How to add back Adjacnecy information

- Q2: How to design a good positional encoding?
  - Option 1: relative distance
  - Option 2: Laplacian Eigenvector PE

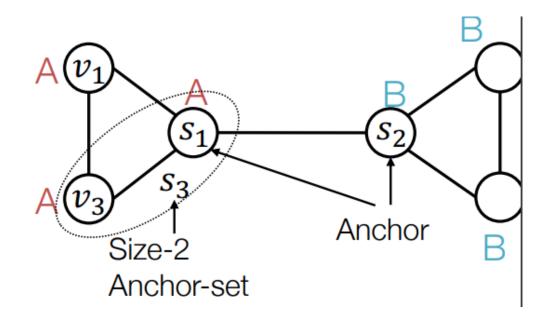






## Nodes as Tokens: Relative distance PE

- Similar methods based on random walks
- > This is a good idea. It works well in many cases
- Especially strong for tasks that require counting cycles



Positional encoding for = node  $v_1$ 

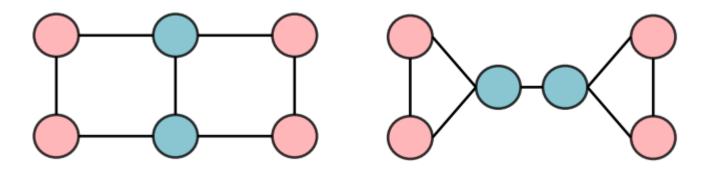
## **Relative Distances**

	$s_1$	$s_2$	<i>s</i> <sub>3</sub>
$v_1$	1	2	1
$v_3$	1	2	0

Anchor  $s_1$ ,  $s_2$  cannot differentiate node  $v_1$ ,  $v_3$ , but anchor-set  $s_3$  can



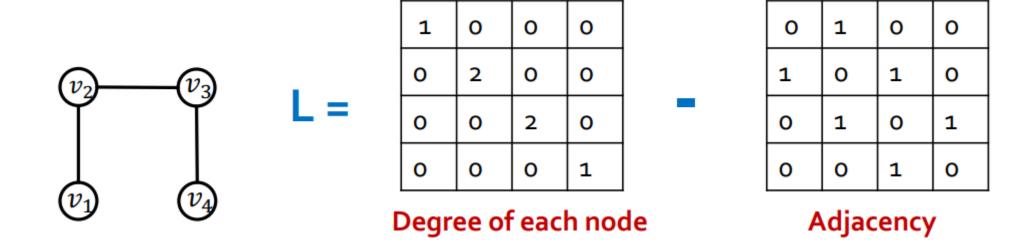
- > Relative distances useful for position-aware task
- SPD can be used to improve WL-Test:
  - > These two graphs cannot be distinguished by 1-WL-test.
  - > But the SPD sets, i.e., the SPD from each node to others, are different:
  - The two types of nodes in the left graph have SPD sets {0, 1, 1, 2, 2, 3}, {0, 1, 1, 1, 2, 2} while the nodes in the right graph have SPD sets {0, 1, 1, 2, 3, 3}, {0, 1, 1, 1, 2, 2}.





# Nodes as Tokens: Laplacian Eigenvectors PE

- Draw on knowledge of Graph Theory (many useful and powerful tools)
- Key object: Laplacian Matrix L = Degrees Adjacency
  - ➤ Each graph has its own Laplacian matrix
  - > Laplacian encodes the graph structure
  - Several Laplacian variants that add degree information differently



# Nodes as Tokens: Laplacian Eigenvectors PE

- Laplacian matrix captures graph structure
- ➤ Its eigenvectors inherit this structure
- > This is important because eigenvectors are vectors and so can be fed into a Transformer
- > Eigenvectors with small eigenvalue = local structure, large eigenvalue = global symmetries

#### Refresher

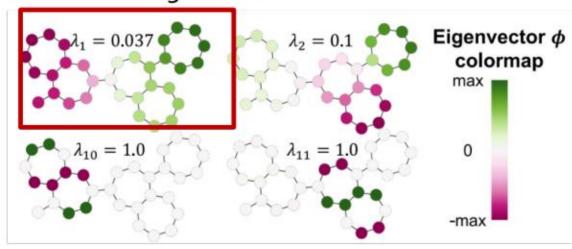
Eigenvector: v such that  $Lv = \lambda v$ 

 $L: n \times n$  matrix

v: n dimensional vector

 $\lambda$ : Scalar eigenvalue

#### Visualize one eigenvector



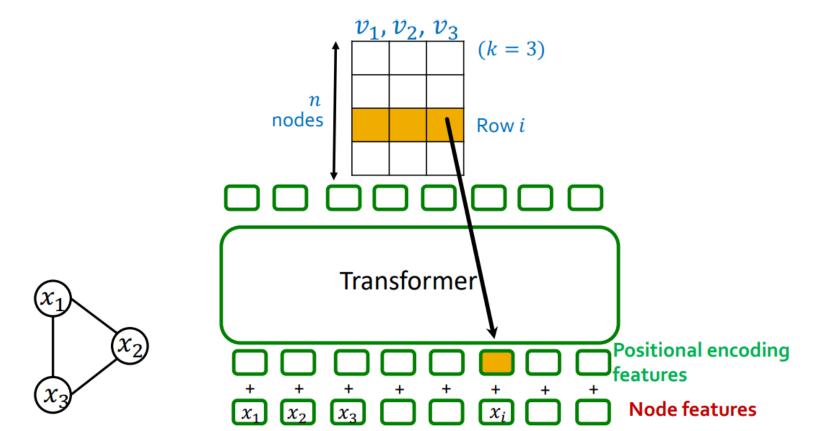
(Figure from Kreuzer\* and Beaini\* et al. 2021)





# Laplacian Eigenvectors PEs

- Positional encoding steps:
  - ➤ 1. compute *k* eigenvectors
  - > 2. Stack into matrix:
  - > i-th row is positional encoding for node i

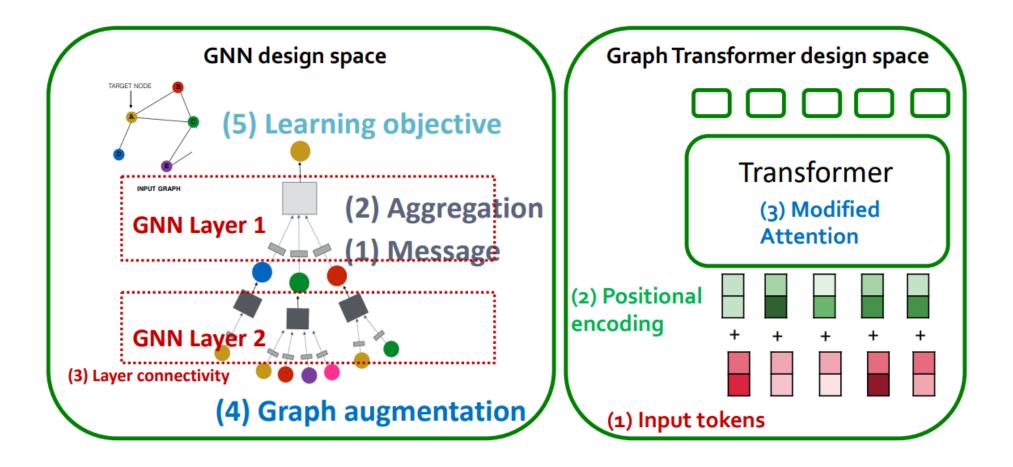






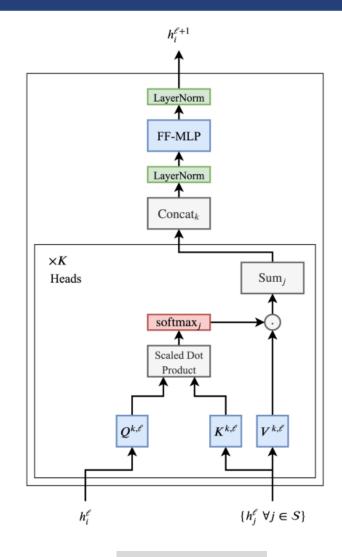
# Summary: Comparing Transformer and GNNs

> Transformer are GNNs



# Why Graph Transformers are GNNs?

- ➤ Breaking down the Transformer: Update each node's features through Multi-head Attention mechanism as a weighted sum of features of other words in the sentence.
  - Scaling dot product attention
  - Normalization layers
  - Residual links



Transformer

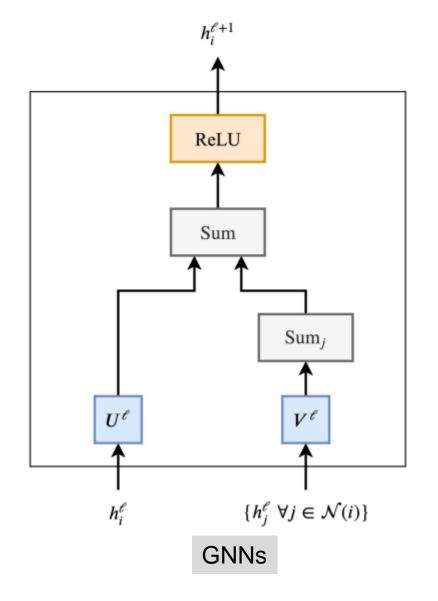




**▶ Breaking down the GNNs**: GNNs update the hidden features h of node i at layer l via a non-linear transformation of the node's own features added to the aggregation of features from each neighbouring node  $j \in N(i)$ :

$$h_i^{\ell+1} = \sigma \Big( U^\ell h_i^\ell + \sum_{j \in \mathcal{N}(i)} (V^\ell h_j^\ell) \Big),$$

 $\triangleright$  where U, V are learnable weight matrices of the GNN layer and  $\sigma$  is a non-linearity.



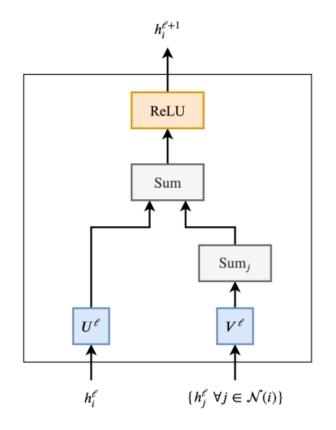
- Breaking down the Transformer and GNNs:
- > GNNs:

$$h_i^{\ell+1} = \sigma \Big( U^\ell h_i^\ell + \sum_{j \in \mathcal{N}(i)} \left( V^\ell h_j^\ell \right) \Big),$$

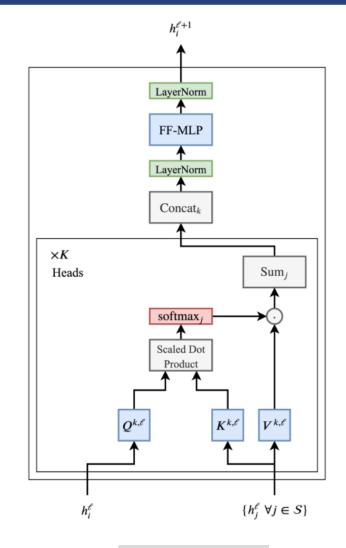
> Transformers:

$$\emph{i. e.} \,, \ h_i^{\ell+1} = \sum_{j \in \mathcal{S}} w_{ij} ig( V^\ell h_j^\ell ig),$$

where  $w_{ij} = \operatorname{softmax}_j \left( Q^\ell h_i^\ell \cdot K^\ell h_j^\ell \right),$ 



**GNNs** 



**Transformer** 

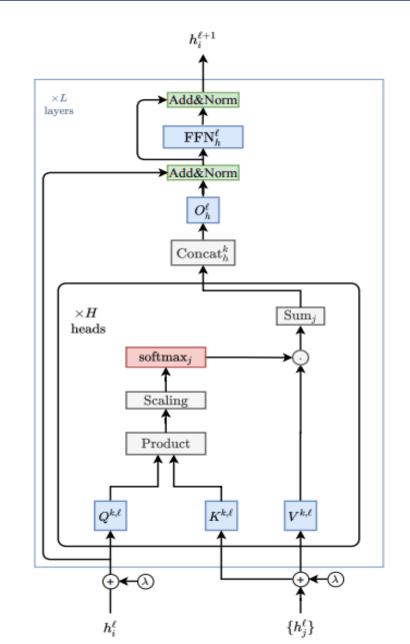


# Representative Transformer models: GT

## **GT** (Graph Transformers \*)

- Using Laplacian Eigvectors (λ) used as positional encoding (LapPE).
- Graph Transformer Layer:

$$\begin{split} \hat{h}_i^{\ell+1} &= O_h^{\ell} \ \prod_{k=1}^{H} \bigg( \sum_{j \in \mathcal{N}_i} w_{ij}^{k,\ell} V^{k,\ell} h_j^{\ell} \bigg), \\ \text{where, } w_{ij}^{k,\ell} &= \text{softmax}_j \bigg( \frac{Q^{k,\ell} h_i^{\ell} \, \cdot \, K^{k,\ell} h_j^{\ell}}{\sqrt{d_k}} \bigg) \end{split}$$



# Representative Transformer models: Graphormer

## **Graphormer (\*)**

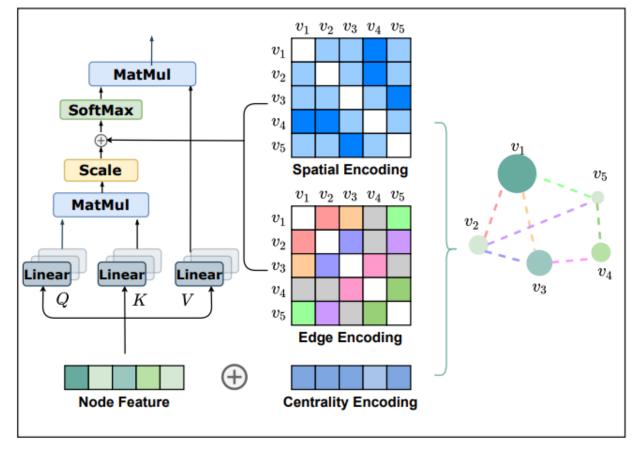
Centrality Encoding:

$$h_i^{(0)} = x_i + z_{\deg^-(v_i)}^- + z_{\deg^+(v_i)}^+,$$
 (learnable indegree  $z^-$ , and outdegree  $z^+$ )

Self-attention bias:

$$A_{ij} = \frac{(h_i W_Q)(h_j W_K)^T}{\sqrt{d}} + b_{\phi(v_i, v_j)} + c_{ij}$$

where 
$$c_{ij} = \frac{1}{N} \sum_{n=1}^{N} x_{e_n} (w_n^E)^T$$
 presents the path between two nodes  $i$  and  $j$  via edge feature path:  $SP_{ij} = (e_1, e_2, ..., e_N)$ 



via edge feature path:  $SP_{ij} = (e_1, e_2, ..., e_N)$ 

 $b_{\phi(v_i,v_i)}$ : the distance of the shortest path (SPD) between two nodes i and j



# Representative Transformer models : GPS

- GPS uses:
  - Randomwalk PE
  - GPS layers:
    - ➤ An MPNN+
    - Transformer hybrid

 $\begin{array}{rcl} \mathbf{X}^{\ell+1}, \mathbf{E}^{\ell+1} &=& \mathtt{GPS}^{\ell}\left(\mathbf{X}^{\ell}, \mathbf{E}^{\ell}, \mathbf{A}\right) \\ \mathtt{computed as} & \mathbf{X}_{M}^{\ell+1}, \ \mathbf{E}^{\ell+1} &=& \mathtt{MPNN}_{e}^{\ell}\left(\mathbf{X}^{\ell}, \mathbf{E}^{\ell}, \mathbf{A}\right), \\ \mathbf{X}_{T}^{\ell+1} &=& \mathtt{GlobalAttn}^{\ell}\left(\mathbf{X}^{\ell}\right), \\ \mathbf{X}^{\ell+1} &=& \mathtt{MLP}^{\ell}\left(\mathbf{X}_{M}^{\ell+1} + \mathbf{X}_{T}^{\ell+1}\right), \end{array}$ 

#### Positional encodings (PE) Structural encodings (SE) **Graph features GPS** layers Nodes features $X^0$ are Local PE as node features. Sum over the rows Local SE as node features. Diagonal of the MPNN layer can be any model acting on a given node's of non-diagonal elements of the random walk *m*-steps random walk matrix concatenated to the neighbourhood with edge features. $\overline{\overline{w}}_m = \operatorname{diag}((D^{-1}A)^m).$ Transformer layer can be any fully-connected layer that matrix. $\mathbf{w}_m = \sum_i (\mathbf{D}^{-1} \mathbf{A})^m - \overline{\mathbf{w}}_m$ . positional features. works with a variable number of input nodes without Global PE as node features. Eigenvectors of Global SE as node features. k-lowest Global features $q^0$ are concatenated to the node the Laplacian $\phi_k$ associated to the k-lowest eigenvalues of the Laplacian $\lambda_k$ . L-layers are repeated, with l being the current layer's non-zero eigenvalues. features. Edge features $E^0$ are Relative PE as edge features. Pair-wise Relative SE as edge features. Boolean Residual connections for the MPNN and Transformer difference of local/global PE. Shown below is indicating if two nodes belong to the same concatenated to the relative layers are omitted for clarity. the gradient of the eigenvectors $\nabla \boldsymbol{\phi}_{\nu}$ . PE/SE. sub-structure. MLPs mix the node/edge features with the PE and SE. $\times L$ Any MPNN layer MLP **GINE** 2-layer **GatedGCN PNA** Any global MLP batch-norm Attention Transformer [0.28, 0.71, ...] Any variable input Performer size network batch-norm batch-norm DeepSet

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GPS: a General, Powerful, Scalable graph Transformer

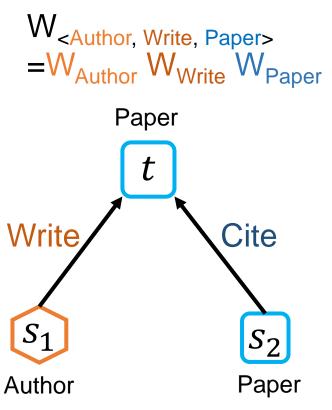
Concatenation

MLP

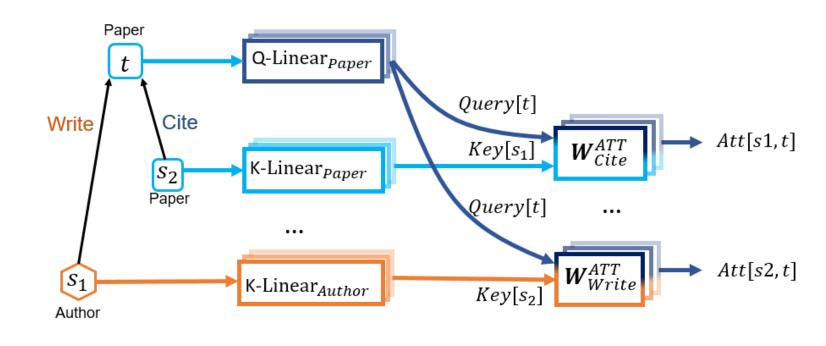


# Representative: Heterogeneous Graph Transformer

> Heterogeneous Mutual Attention in heterogeneous Graphs









# Representative: Heterogeneous Graph Transformer

