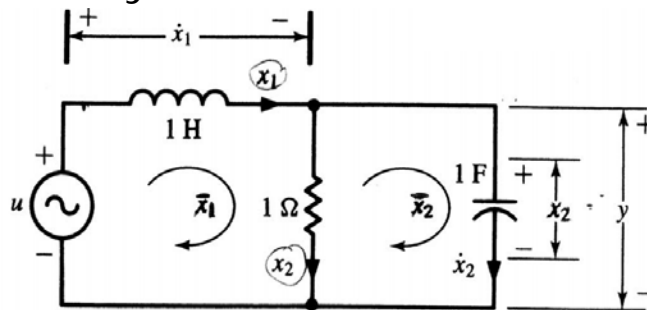


LECTURE NO 2

1

5 Similarity Transformation - Motivation



$$\begin{bmatrix} \dot{x}_1(t) \\ \dot{x}_2(t) \end{bmatrix} = \begin{bmatrix} 0 & -1 \\ 1 & -1 \end{bmatrix} \begin{bmatrix} x_1(t) \\ x_2(t) \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \end{bmatrix} u(t)$$

$$y(t) = \begin{bmatrix} 0 & 1 \end{bmatrix} \begin{bmatrix} x_1(t) \\ x_2(t) \end{bmatrix}$$

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$$\begin{bmatrix} \dot{\bar{x}}_1(t) \\ \dot{\bar{x}}_2(t) \end{bmatrix} = \begin{bmatrix} -1 & 1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} \bar{x}_1(t) \\ \bar{x}_2(t) \end{bmatrix} + \begin{bmatrix} 1 \\ 1 \end{bmatrix} u(t)$$

$$y(t) = \begin{bmatrix} 1 & -1 \end{bmatrix} \begin{bmatrix} \bar{x}_1(t) \\ \bar{x}_2(t) \end{bmatrix}$$

The two state space models described the same network and are related by.

$$\begin{cases} x_1(t) = \bar{x}_1(t) \\ x_2(t) = \bar{x}_1(t) - \bar{x}_2(t) \end{cases} \Rightarrow \begin{bmatrix} \bar{x}_1(t) \\ \bar{x}_2(t) \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 1 & -1 \end{bmatrix} \begin{bmatrix} x_1(t) \\ x_2(t) \end{bmatrix}$$

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Given one state space model of a system, we can derive another state space model through *similarity transformation*. Consider,

$$\mathbf{x}(k+1) = \mathbf{A}\mathbf{x}(k) + \mathbf{B}u(k) \quad \dots (5.1)$$

$$y(k) = \mathbf{C}\mathbf{x}(k) + du(k) \quad \dots (5.2)$$

Apply the following linear transformation where \mathbf{P} is a constant non-singular $n \times n$ matrix and $\mathbf{w}(k)$ is the new state vector.

$$\mathbf{x}(k) = \mathbf{P}\mathbf{w}(k) \Rightarrow \mathbf{w}(k) = \mathbf{P}^{-1}\mathbf{x}(k) \quad \dots (5.3)$$

Substitute (5.3) into (5.1) and (5.2) :

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$$\begin{aligned}\mathbf{w}(k+1) &= (\mathbf{P}^{-1}\mathbf{A}\mathbf{P})\mathbf{w}(k) + (\mathbf{P}^{-1}\mathbf{B})u(k) \\ &= \mathbf{A}_w\mathbf{w}(k) + \mathbf{B}_wu(k) \quad \dots (5.6)\end{aligned}$$

$$\begin{aligned}y(k) &= (\mathbf{C}\mathbf{P})\mathbf{w}(k) + du(k) \\ &= \mathbf{C}_w\mathbf{w}(k) + d_wu(k) \quad \dots(5.7)\end{aligned}$$

$$\Rightarrow \mathbf{A}_w = \mathbf{P}^{-1}\mathbf{A}\mathbf{P}; \quad \mathbf{B}_w = \mathbf{P}^{-1}\mathbf{B} \quad \dots(5.8)$$

$$\mathbf{C}_w = \mathbf{C}\mathbf{P}; \quad d_w = d \quad \dots(5.9)$$

The characteristic polynomial of the system is unchanged under a similarity transformation :

$$\begin{aligned}|\lambda\mathbf{I} - \mathbf{A}_w| &= |\lambda\mathbf{I} - \mathbf{P}^{-1}\mathbf{A}\mathbf{P}| \\ &= |\lambda\mathbf{I}\mathbf{P}^{-1}\mathbf{P} - \mathbf{P}^{-1}\mathbf{A}\mathbf{P}| = |\lambda\mathbf{I} - \mathbf{A}|\end{aligned}$$

The transfer function of the system is invariant under a similarity transformation (Prove the following yourself) :

$$\mathbf{C}[z\mathbf{I} - \mathbf{A}]^{-1}\mathbf{B} + d = \mathbf{C}_w[z\mathbf{I} - \mathbf{A}_w]^{-1}\mathbf{B}_w + d_w$$

6 Canonical Forms (Companion Forms)

6.1 Controllable Canonical Form (CCF)

Consider the following system and whose characteristic polynomial is as in (6.3).

$$\mathbf{x}(k+1) = \mathbf{A}\mathbf{x}(k) + \mathbf{B}u(k) \quad \dots(6.1)$$

$$y(k) = \mathbf{C}\mathbf{x}(k) + du(k) \quad \dots(6.2)$$

$$|\lambda\mathbf{I} - \mathbf{A}| = \lambda^n + a_{n-1}\lambda^{n-1} + \dots + a_1\lambda + a_0 \dots(6.3)$$

Suppose that the controllability matrix \mathbf{W}_C is non-singular.

$$\mathbf{W}_C = [\mathbf{B} \quad \mathbf{A}\mathbf{B} \quad \mathbf{A}^2\mathbf{B} \quad \dots \quad \mathbf{A}^{n-1}\mathbf{B}] \dots(6.4)$$

Then, there exists a non-singular matrix \mathbf{P} that transforms (6.1) into the CCF :

$$\mathbf{w}(k) = \mathbf{P}^{-1}\mathbf{x}(k) \quad \dots(6.5)$$

$$\mathbf{w}(k+1) = \mathbf{A}_C\mathbf{w}(k) + \mathbf{B}_C u(k) \quad \dots(6.6)$$

$$y(k) = \mathbf{C}_C\mathbf{w}(k) + d_C u(k) \quad \dots(6.7)$$

Let $\tilde{\mathbf{W}}_C$ be the controllability matrix for the representation (6.6)

$$\tilde{\mathbf{W}}_C = [\mathbf{B}_C \quad \mathbf{A}_C\mathbf{B}_C \quad \mathbf{A}_C^2\mathbf{B}_C \quad \dots \quad \mathbf{A}_C^{n-1}\mathbf{B}_C] \dots(6.8)$$

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$$\mathbf{A}_C = \begin{bmatrix} 0 & 1 & 0 & 0 & \cdots & 0 \\ 0 & 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & 1 \\ -a_0 & -a_1 & -a_2 & -a_3 & \cdots & -a_{n-1} \end{bmatrix} \quad \dots(6.9)$$

$$\mathbf{B}_C = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \\ 1 \end{bmatrix}$$

The transformation matrix \mathbf{P} in (6.5) to obtain the CCF is

$$\mathbf{P} = \mathbf{W}_C \tilde{\mathbf{W}}_C^{-1} \quad \dots(6.10)$$

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Example 2.1 Find a transformation matrix \mathbf{P} that will transforms the given state space model into the CCF and find this realization.

$$\mathbf{A} = \begin{bmatrix} -0.5 & 0 \\ 0 & -0.8 \end{bmatrix}; \mathbf{B} = \begin{bmatrix} 1 \\ 1 \end{bmatrix}; \mathbf{C} = [1 \quad -2]$$

$$|[z\mathbf{I} - \mathbf{A}]| = \left| \begin{bmatrix} z + 0.5 & 0 \\ 0 & z + 0.8 \end{bmatrix} \right| = z^2 + 1.3z + 0.4$$

$$\Rightarrow \mathbf{A}_C = \begin{bmatrix} 0 & 1 \\ -0.4 & -1.3 \end{bmatrix}; \quad \mathbf{B}_C = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

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$$\tilde{\mathbf{W}}_C = [\mathbf{B}_C \quad \mathbf{A}_C \mathbf{B}_C] \Rightarrow \tilde{\mathbf{W}}_C^{-1} = \begin{bmatrix} 1.3 & 1 \\ 1 & 0 \end{bmatrix}$$

$$\mathbf{W}_C = [\mathbf{B} \quad \mathbf{A}\mathbf{B}] \Rightarrow \mathbf{P} = \mathbf{W}_C \tilde{\mathbf{W}}_C^{-1} = \begin{bmatrix} 0.8 & 1 \\ 0.5 & 1 \end{bmatrix}$$

$$\text{CCF} : \mathbf{A}_C = \begin{bmatrix} 0 & 1 \\ -0.4 & -1.3 \end{bmatrix}; \quad \mathbf{B}_C = \begin{bmatrix} 0 \\ 1 \end{bmatrix};$$

$$\mathbf{C}_C = \mathbf{C}\mathbf{P} = [-0.2 \quad -1]; d_C = 0$$

6.2 Observable Canonical Form (OCF)

Let the characteristic polynomial is as given in (6.3) and the observability matrix \mathbf{W}_O is non-singular.

$$\mathbf{W}_O = \begin{bmatrix} \mathbf{C} \\ \mathbf{C}\mathbf{A} \\ \vdots \\ \mathbf{C}\mathbf{A}^{n-1} \end{bmatrix} \quad \dots(6.11)$$

Then, there exists a non-singular matrix \mathbf{Q} that transforms (6.1) and (6,2) into the OCF.

$$\mathbf{w}(k) = \mathbf{Q}^{-1} \mathbf{x}(k)$$

$$\mathbf{w}(k+1) = \mathbf{A}_o \mathbf{w}(k) + \mathbf{B}_o u(k) \quad \dots(6.12)$$

$$y(k) = \mathbf{C}_o \mathbf{w}(k) + d_o u(k) \quad \dots(6.13)$$

$$\mathbf{A}_o = \begin{bmatrix} 0 & 0 & \dots & 0 & -a_0 \\ 1 & 0 & \dots & 0 & -a_1 \\ 0 & 1 & \dots & 0 & -a_2 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & 1 & -a_{n-1} \end{bmatrix}$$

$$\mathbf{C}_o = [0 \quad 0 \quad \dots \quad 0 \quad 1] \quad \dots(6.14)$$

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The transformation matrix \mathbf{Q} to obtain the OCF is

$$\mathbf{Q} = \mathbf{W}_o^{-1} \tilde{\mathbf{W}}_o \quad \dots(6.15)$$

where $\tilde{\mathbf{W}}_o$ be the observability matrix for the representation (6.12) and (6.13).

$$\tilde{\mathbf{W}}_o = \begin{bmatrix} \mathbf{C}_o \\ \mathbf{C}_o \mathbf{A}_o \\ \vdots \\ \mathbf{C}_o \mathbf{A}_o^{n-1} \end{bmatrix} \quad \dots(6.16)$$

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6.3 Canonical forms from transfer function

A process with a given transfer function can have the state space model in either the OCF or the CCF form written directly by inspection.

$$G(z) = \frac{b_{n-1}z^{n-1} + \dots + b_1z + b_0}{z^n + \dots + a_1z + a_0}$$

$$\mathbf{C}_c = [b_0 \quad b_1 \quad \dots \quad b_{n-2} \quad b_{n-1}]$$

$$\mathbf{B}_o = [b_0 \quad b_1 \quad \dots \quad b_{n-2} \quad b_{n-1}]^T$$

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Example 2.2

$$G(z) = \frac{-2z^3 + 2z^2 - z + 2}{z^3 + z^2 - z - \frac{3}{4}} = \left(\frac{4z^2 - 3z + \frac{1}{2}}{z^3 + z^2 - z - \frac{3}{4}} \right) - 2$$

$$\text{CCF : } \mathbf{A}_c = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ \frac{3}{4} & 1 & -1 \end{bmatrix}; \mathbf{B}_c = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix};$$

$$\mathbf{C}_c = \begin{bmatrix} \frac{1}{2} & -3 & 4 \end{bmatrix}; d_c = -2$$

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Example 2.3 Consider the system in OCF

$$\mathbf{x}(k+1) = \begin{bmatrix} 0 & -a_o \\ 1 & -a_1 \end{bmatrix} \mathbf{x}(k) + \begin{bmatrix} b_o \\ b_1 \end{bmatrix} u(k)$$

$$y(k) = \begin{bmatrix} 0 & 1 \end{bmatrix} \mathbf{x}(k)$$

$$\Rightarrow [z\mathbf{I} - \mathbf{A}]^{-1} = \begin{bmatrix} z & a_o \\ -1 & z + a_1 \end{bmatrix}^{-1} = \frac{\begin{bmatrix} z + a_1 & -a_o \\ 1 & z \end{bmatrix}}{z^2 + a_1 z + a_o}$$

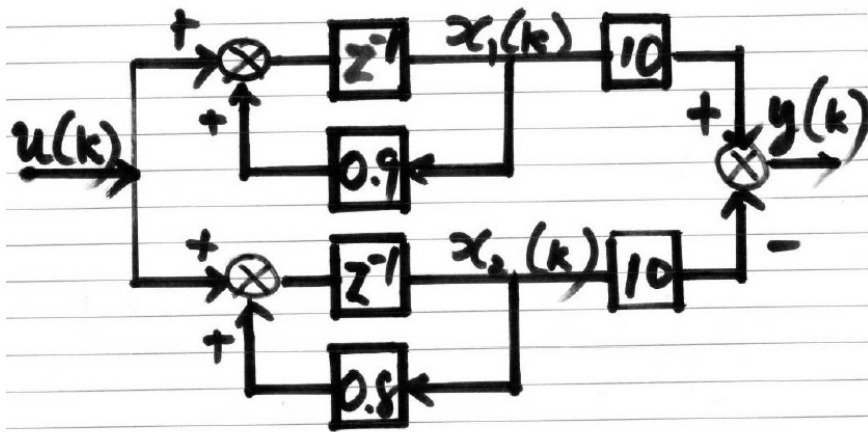
$$\Rightarrow \frac{Y(z)}{U(z)} = \mathbf{C} [z\mathbf{I} - \mathbf{A}]^{-1} \mathbf{B} = \frac{b_1 z + b_o}{z^2 + a_1 z + a_o}$$

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Example 2.4 Consider the given transfer function and its partial fraction expansions,

$$\begin{aligned} \frac{Y(z)}{U(z)} &= \frac{1}{z^2 - 1.7z + 0.72} \\ &= \left(\frac{1}{z - 0.9} \right) \left(\frac{1}{z - 0.8} \right) \\ &= \left(\frac{10}{z - 0.9} \right) + \left(\frac{-10}{z - 0.8} \right) \end{aligned}$$

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From the block diagram, the states and output equations are

$$x_1(k+1) = 0.9x_1(k) + u(k)$$

$$x_2(k+1) = 0.8x_2(k) + u(k)$$

$$y(k) = 10x_1(k) - 10x_2(k)$$

$$\Rightarrow \mathbf{x}(k+1) = \begin{bmatrix} 0.9 & 0 \\ 0 & 0.8 \end{bmatrix} \mathbf{x}(k) + \begin{bmatrix} 1 \\ 1 \end{bmatrix} u(k)$$

$$y(k) = \begin{bmatrix} 10 & -10 \end{bmatrix} \mathbf{x}(k)$$

Note that \mathbf{A} is diagonal.

7 Controller Design

Consider the following plant.

$$\mathbf{x}(k+1) = \mathbf{A}\mathbf{x}(k) + \mathbf{B}u(k) \quad \dots(7.1)$$

$$y(k) = \mathbf{C}\mathbf{x}(k) \quad \dots(7.2)$$

Step 1 : Design a state feedback controller (assuming the system states are available for feedback). See Figure 5.

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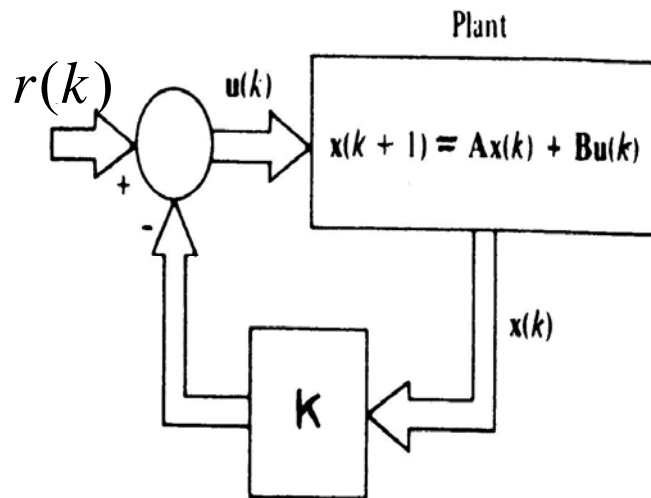


Figure 5. Closed-loop system with a linear state feedback law

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Step 2 : Design an observer to estimate the system states from available measurements (if the system states are not available). See Figure 6. *Plant*

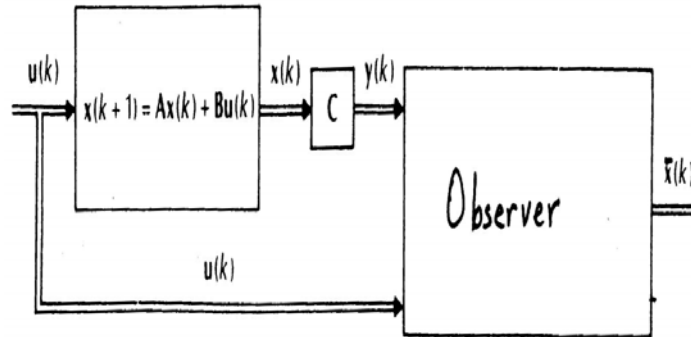


Figure 6. Simplified presentation of the system and the observer

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The two steps are independent – Separation Principle. Combine the two steps with the system states replaced by their estimates. See Figure 7.

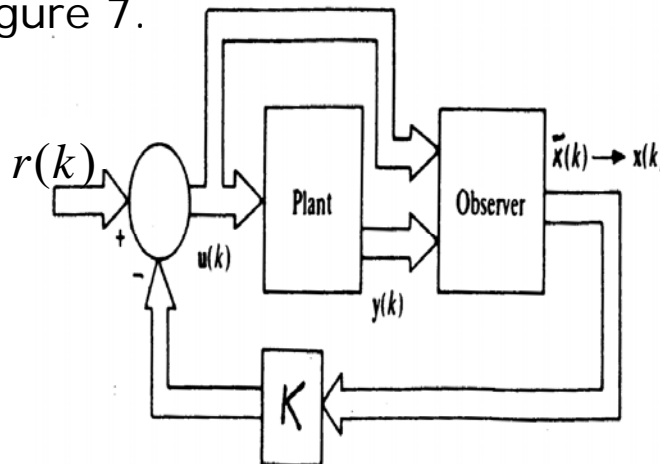


Figure 7. A feedback control system using measurement

8 Controllability

Consider the following plant.

$$\mathbf{x}(k+1) = \mathbf{A}\mathbf{x}(k) + \mathbf{B}u(k) \quad \dots(8.1)$$

$$y(k) = \mathbf{C}\mathbf{x}(k) \quad \dots(8.2)$$

Assume the initial state $\mathbf{x}(0)$ is given and from (4.3)

$$\begin{aligned} \mathbf{x}(N) &= \mathbf{A}^N \mathbf{x}(0) + \sum_{i=0}^{N-1} \left(\mathbf{A}^{(N-i-1)} \mathbf{B}u(i) \right) \quad \dots(8.3) \\ &= \mathbf{A}^N \mathbf{x}(0) + \mathbf{A}^{(N-1)} \mathbf{B}u(0) + \dots + \mathbf{B}u(N-1) \\ &= \mathbf{A}^N \mathbf{x}(0) + \mathbf{W}_C \mathbf{U} \end{aligned}$$

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$$\mathbf{W}_C = \begin{bmatrix} \mathbf{B} & \mathbf{A}\mathbf{B} & \mathbf{A}^2\mathbf{B} & \dots & \mathbf{A}^{N-1}\mathbf{B} \end{bmatrix}$$

$$\mathbf{U} = \begin{bmatrix} u(N-1) & \dots & u(0) \end{bmatrix}^T$$

$$\Rightarrow \mathbf{W}_C \mathbf{U} = \mathbf{x}(N) - \mathbf{A}^N \mathbf{x}(0)$$

\mathbf{W}_C is the controllability matrix.

If \mathbf{W}_C has rank n , then it is possible to find n equations from which the control signals can be found such that the initial state is transferred to the desired final state $\mathbf{x}(N)$.

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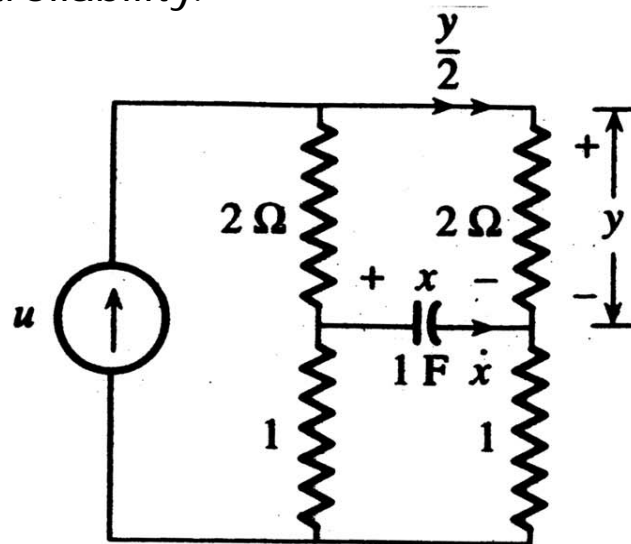
Definition : The pair (\mathbf{A}, \mathbf{B}) is controllable if it is possible to find a control sequence $\{u(0), u(1), u(2), \dots, u(N-1)\}$ which allows the system to reach an arbitrary final state $\mathbf{x}(N)$ from any initial state $\mathbf{x}(0)$.

Theorem : The pair (\mathbf{A}, \mathbf{B}) is controllable if and only if

$$\text{rank } \mathbf{W}_C = n$$

where n is the order of the system.

Example 2.5 A practical example on controllability.



The voltage across the capacitor is the only state variable $x(t)$.

If $x(0) = 0$, the voltage across the capacitor is always zero for all $u(t)$.
Cannot transfer $x(0) = 0$ to any non-zero $x(t)$.

Thus, the state equation describing the network is uncontrollable.

Example 2.6 Investigate the controllability of the following system.

$$\mathbf{A} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}; \mathbf{B} = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$$

$$\mathbf{W}_C = [\mathbf{B} \quad \mathbf{AB}] = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$$

$$\Rightarrow |\mathbf{W}_C| = 0$$

The system is uncontrollable.

Example 2.7 Investigate the controllability of the following system. Find a control sequence, if it exists, to drive the system to $\mathbf{x}(2) = \begin{bmatrix} 1 \\ 1.2 \end{bmatrix}$ from the origin.

$$\mathbf{A} = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}; \mathbf{B} = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

$$\mathbf{W}_c = \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix} \Rightarrow |\mathbf{W}_c| \neq 0$$

The system is controllable.

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$$\begin{aligned} \begin{bmatrix} 1 \\ 1.2 \end{bmatrix} &= \mathbf{x}(2) = \mathbf{B}u(1) + \mathbf{A}\mathbf{B}u(0) \\ &= \begin{bmatrix} 0 \\ 1 \end{bmatrix}u(1) + \begin{bmatrix} 1 \\ 1 \end{bmatrix}u(0) \\ \Rightarrow \begin{bmatrix} 1 \\ 1.2 \end{bmatrix} &= \begin{bmatrix} u(0) \\ u(1) + u(0) \end{bmatrix} \\ \Rightarrow u(0) &= 1; \quad u(1) = 0.2 \end{aligned}$$

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Example 2.8 Consider the following system. Find a control sequence, if it exists, to drive the system from $\mathbf{x}(0) = [0 \quad -1 \quad 3]^T$ to $\mathbf{x}(3) = [6 \quad -8 \quad 2]^T$.

$$\mathbf{x}(k+1) = \begin{bmatrix} 1 & 2 & 0 \\ 4 & -1 & 0 \\ 0 & 1 & 3 \end{bmatrix} \mathbf{x}(k) + \begin{bmatrix} -1 \\ 1 \\ 0 \end{bmatrix} u(k)$$

$$\mathbf{x}(k) = \mathbf{A}^k \mathbf{x}(0) + \sum_{i=0}^{k-1} (\mathbf{A}^{(k-i-1)} \mathbf{B} u(i))$$

$$\Rightarrow \mathbf{x}(3) = \mathbf{A}^3 \mathbf{x}(0) + \mathbf{A}^2 \mathbf{B} u(0) + \mathbf{A} \mathbf{B} u(1) + \mathbf{B} u(2)$$

$$\Rightarrow \begin{bmatrix} 24 \\ -17 \\ -64 \end{bmatrix} = \begin{bmatrix} -9 \\ 9 \\ -2 \end{bmatrix} u(0) + \begin{bmatrix} 1 \\ -5 \\ 1 \end{bmatrix} u(1) + \begin{bmatrix} -1 \\ 1 \\ 0 \end{bmatrix} u(2)$$

$$\Rightarrow \begin{bmatrix} -1 & 1 & -9 \\ 1 & -5 & 9 \\ 0 & 1 & -2 \end{bmatrix} \begin{bmatrix} u(2) \\ u(1) \\ u(0) \end{bmatrix} = \begin{bmatrix} 24 \\ -17 \\ -64 \end{bmatrix}$$

$$\Rightarrow u(0) = \frac{249}{8}; u(1) = -\frac{7}{4}; u(2) = -\frac{2447}{8}$$

$$\mathbf{x}(k+1) = \mathbf{A}\mathbf{x}(k) + \mathbf{B}u(k)$$

$$\mathbf{x}(1) = \mathbf{A}\mathbf{x}(0) + \mathbf{B}u(0)$$

$$\mathbf{x}(2) = \mathbf{A}\mathbf{x}(1) + \mathbf{B}u(1)$$

$$\mathbf{x}(3) = \mathbf{A}\mathbf{x}(2) + \mathbf{B}u(2)$$

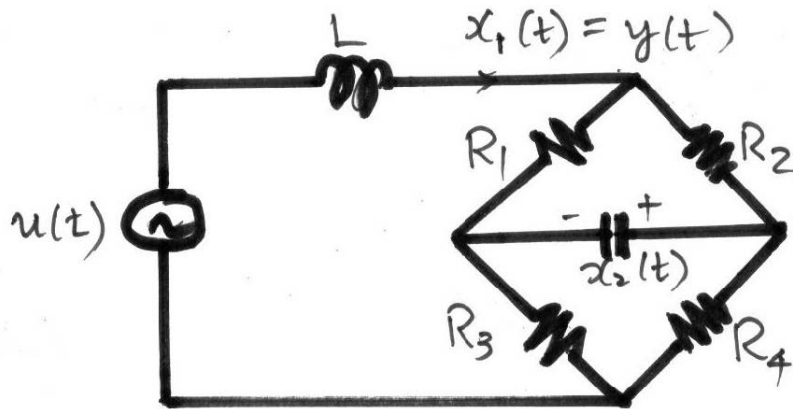
$$\mathbf{x}(1) = \begin{bmatrix} -265/8 \\ 257/8 \\ 8 \end{bmatrix}; \mathbf{x}(2) = \begin{bmatrix} 263/8 \\ -1331/8 \\ 448/8 \end{bmatrix}; \mathbf{x}(3) = \begin{bmatrix} 6 \\ -8 \\ 2 \end{bmatrix}$$

If it is desired to move from $\mathbf{x}(5) = [0 \quad -1 \quad 3]^T$ to $\mathbf{x}(8) = [6 \quad -8 \quad 2]^T$,

$$\mathbf{x}(8) = \mathbf{A}^3\mathbf{x}(5) + \mathbf{A}^2\mathbf{B}u(5) + \mathbf{A}\mathbf{B}u(6) + \mathbf{B}u(7)$$

$$\Rightarrow u(5) = \frac{249}{8}; u(6) = -\frac{7}{4}; u(7) = -\frac{2447}{8}$$

Example 2.9 Another practical example on controllability – Bridge Circuit



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$$\begin{bmatrix} \dot{x}_1(t) \\ \dot{x}_2(t) \end{bmatrix} = \mathbf{A} \begin{bmatrix} x_1(t) \\ x_2(t) \end{bmatrix} + \mathbf{B}u(t)$$

$$\mathbf{A} = \begin{bmatrix} -\frac{1}{L} \left(\frac{R_1 R_2}{R_1 + R_2} + \frac{R_3 R_4}{R_3 + R_4} \right) & -\frac{1}{L} \left(\frac{R_1}{R_1 + R_2} - \frac{R_3}{R_3 + R_4} \right) \\ -\frac{1}{C} \left(\frac{R_2}{R_1 + R_2} - \frac{R_4}{R_3 + R_4} \right) & -\frac{1}{C} \left(\frac{1}{R_1 + R_2} + \frac{1}{R_3 + R_4} \right) \end{bmatrix}$$

$$\mathbf{B} = \begin{bmatrix} \frac{1}{L} \\ 0 \end{bmatrix}$$

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$$\mathbf{W}_C = [\mathbf{B} \quad \mathbf{AB}] = \begin{bmatrix} \frac{1}{L} & -\frac{1}{L^2} \left(\frac{R_1 R_2}{R_1 + R_2} + \frac{R_3 R_4}{R_3 + R_4} \right) \\ 0 & -\frac{1}{LC} \left(\frac{R_2}{R_1 + R_2} - \frac{R_4}{R_3 + R_4} \right) \end{bmatrix}$$

If $\frac{R_4}{R_3 + R_4} = \frac{R_2}{R_1 + R_2} \Rightarrow |\mathbf{W}_C| = 0$

\Rightarrow uncontrollable. This is the condition to balance the resistance bridge.

In this case, the voltage across the capacitor $v_C(t) (= x_2(t))$ cannot be varied by any external input $u(t)$.