6.033 - Operating Systems: Performance
Lecture 7
Katrina LaCurts, lacurts@mit.edu

### 0. Previously

- Enforced modularity on a single machine via virtualizationVirtual memory, bounded buffers, threads
- Saw monolithic vs. microkernels
- Talked about VMs as a means to run multiple instances of an OS on a single machine with enforced modularity (bug in one OS won't crash the others)
  - Big thing to solve was how to implement the VMM. Solution: trap and emulate. How the emulation works depends on the situation.
    - Another key problem: how to trap instructions that don't generate interrupts.

#### 1. What's left? Performance

- Performance requirements significantly influence a system's design
- Today: general techniques for improving performance

# 2. Technique 1: buy new hardware

- Why? Moore's law => processing power doubles every 1.5 years, DRAM density increase over time, disk price (per GB) decreases,
- But:
  - Not all aspects improve at the same pace
  - Moore's Law is plateauing
  - Hardware improvements don't always keep pace with load increases
- Conclusion: need to design for performance, potentially re-design as load increases

## 3. General approach

- Measure the system and find the bottleneck (the portion that limits performance)
- Relax (improve) the bottleneck

#### 4. Measurement

- To measure, need metrics:
  - Throughput: number of requests over a unit of time
  - Latency: amount of time for a single request
  - Relationship between these changes depending on the context
  - As system becomes heavily-loaded:
    - Latency and throughput start low. Throughput increases as users enter, latency stays flat...
    - ..until system is at maximum throughput. Then throughput plateaus, latency increases
  - For heavily-loaded systems: focus on improving throughput
- Need to compare measured throughput to possible throughput: utilization
- Utilization sometimes makes bottleneck obvious (CPU is 100%

- utilized vs. disk is 20% utilized), sometimes not (CPU and disk are 50% utilized, and at alternating times)
- Helpful to have a model in place: what do we expect from each component?
- When bottleneck is not obvious, use measurements to locate candidates for bottlenecks, fix them, see what happens (iterate)

#### 4. How to relax the bottleneck

- Better algorithms, etc. These are application-specific. 6.033 focuses on generally-applicable techniques
- Batching, caching, concurrency, scheduling
- Examples of these techniques follow. The examples related to operating systems (that's what you know), but techniques apply to all systems

## 5. Disk throughput

- How does an HDD (magnetic disk) work?
  - Several platters on a rotating axle
  - Platters have circular tracks on either side, divided into sectors.
    - Cylinder: group of aligned tracks
  - Disk arm has one head for each surface, all move together
  - Each disk head reads/writes sectors as they rotate past. Size of a sector = unit of read/write operation (typically 512B)
  - To read/write:
    - Seek arm to desired track
    - Wait for platter to rotate the desired sector under the head
    - Read/write as the platter rotates
- What about SSDs?
  - Organized into cells, each of which hold one (or 2, or 3) bits
  - Cells organized into pages; pages into blocks
  - Reads happen at page-level. Writes also at page-level, but to new pages (no overwrites of pages)
  - Erases (and thus overwrites) are at block-level
    - Takes a high voltage to erase
- How long does R/W take on HDD?
  - Example disk specs:
    - Capacity: 400GB
    - # platters: 5
    - # heads: 10
    - # sectors per track: 567-1170 (inner to outer)
    - # bytes per sector: 512
    - Rotational speed: 7200 RPM => 8.3ms per revolution
  - Seek time: Avg read seek 8.2ms, avg write seek 9.2ms
    - Given as part of disk specs
  - Rotation time: 0-8.3ms
    - Platters only rotate in one direction
  - R/W as platter rotates: 35-62MB/sec
    - Also given in disk specs
  - So reading random 4KB block: 8.2ms + 4.1ms + ~.1ms = 12.4

- -4096 B / 12.4 ms = 322KB/s
- => 99% of the time is spent moving the disk
- Can we do better?
  - Use flash? For this particular random—access of reads, yes;
     SSDs would help if available
  - Batch individual transfers?
    - .8ms to seek to next track + 8.3ms to read entire track =
      9.1ms
      - .8ms is single-track seek time for our disk (again, from specs)
    - 1 track contains ~1000sectors \* 512B = 512KB
    - throughput: 512KB/9.1ms = 55MB/s
- Lesson: avoid random access. Try to do long sequential reads.
  - But how?
    - If your system reads/writes entire big files, lay them out contiguously on disk. Hard to achieve in practice!
    - If your system reads lots of small pieces of data, group them

### 6. Caching

- Already saw in DNS. Common performance-enhancement for systems
- How do we measure how well it works?
  - Average access time: hit\_time \* hit\_rate + miss\_time \* miss\_rate
- Want high hit rate. How do we know what to put in the cache?
  - Can't keep everything
  - So really: how do we know what to \*evict\* from the cache?
- Popular eviction policy: least-recently used
  - Evict data that was used the least recently
  - Works well for popular data
- Bad for sequential access (think: sequentially accessing a dataset
  - that is larger than the cache)
  - Caching is good when
    - All data fits in the cache
    - There is locality, temporal or spatial
  - Caching is bad for
    - Writes (writes have to go to cache and disk; cache needs to be consistent, but disk is non-volatile)
  - Moral: to build a good cache, need to understand access patterns
  - Like disk performance: to relax disk as bottleneck, needed to understand details of how it works

#### 7. Concurrency/scheduling

- Suppose server alternates between CPU and disk:

Apply concurrency, can get:

 This is a scheduling problem: different orders of execution can lead to different performance

- Example:
  - 5 concurrent threads issue concurrent reads to sectors 71, 10, 92, 45, and 29.
  - Naive algorithm: seek to each sector in turn
  - Better algorithm: sort by track and perform reads in order.
     Gets even higher throughput as load increases
    - Drawback: it's unfair
- No one right answer to scheduling. Tradeoff between performance and fairness.

# 8. Parallelism

- Goal: have multiple disks, want to access them in parallel
- Problem: how do we divide data across the disks?
- Depends on bottleneck
  - Case 1: many requests for many small files. Limited by disk seeks. Put each file on a single disk, and allow multiple disks to seek multiple records in parallel
  - Case 2: few large reads. Limited by sequential throughput.
     Stripe files across disks.
- Another case: parallelism across many computers
  - Problem: how do we deal with machine failures?
  - (One) Solution: go to recitation tomorrow!

## 9. Summary

- We can't magically apply any of the previous techniques. Have to understand what goes on underneath.
  - Batching: how disk access works
  - Caching: what is the access pattern
  - Scheduling/concurrency: how disk access works, how system is being used (the workload)
  - Parallelism: what is the workload
- Techniques apply to multiple types of hardware
- E.g., caching is useful regardless of whether you have HDD or SSD
- 11. Useful numbers for your day-to-day-live (not covered in lecture)
  - Latency:
    - 0.00000001ms: instruction time (1 ns)
    - 0.0001ms: DRAM load (100 ns)
    - 0.1ms: LAN network
    - 10ms: random disk I/O
    - 25-50ms: Internet east -> west coast
  - Throughput:
    - 10,000 MB/s: DRAM
    - 1,000 MB/s: LAN (or100 MB/s)
    - 100 MB/s: sequential disk (or 500 MB/s)
    - 1 MB/s: random disk I/O