

# Infinity

Recall that the cardinality of a **finite** set is the number of elements in that set. Let  $|A| = n$ ; then there is a bijection

$$c_A : \{1, 2, \dots, n\} \rightarrow A.$$

Let  $A$  and  $B$  be two **finite** sets. If  $A$  and  $B$  have the same number of elements, we can define a bijection  $f : A \rightarrow B$  by

$$f(a) = (c_B \circ c_A^{-1})(a).$$

Two **finite** sets have the same number of elements when there exists a bijection between them.

Given two arbitrary sets  $A$  and  $B$ , then  $A$  has the same **cardinality** as  $B$ , written  $|A| = |B|$ , when  $A \approx B$ . Notice that this definition is for all sets, not just the finite ones.

## A Set and its Powerset are never equivalent

Cantor showed that no function  $f : A \rightarrow \wp A$  can be surjective, by showing that every such  $f$  misses a subset of  $A$ .

For any set  $A$ ,  $A \not\approx \wp A$

**Proof:** Assume that there exists a function  $f : A \rightarrow \wp A$ .

Now define  $B \triangleq \{x \in A \mid x \notin f(x)\}$ , then clearly  $B$  is a subset of  $A$ . Assume  $f$  is surjective, then there exists  $b$  such that  $f(b) = B$ . Then either  $b \in B$  or  $b \notin B$ :

$b \in B$ : then  $b \notin f(b)$  by definition of  $B$ ; since  $f(b) = B$ , we have  $b \notin B$ ; Contradiction.

$b \notin B$ : since  $f(b) = B$ , by definition of  $B$  we have  $b \notin f(b)$ , so  $b \in B$ . Contradiction.

So there is no  $b$  such that  $f(b) = B$ , so  $f$  is not surjective, so  $f$  is certainly not bijective. So no bijection between  $A$  and  $\wp A$  can exist.

So  $N \not\approx \wp N \not\approx \wp(\wp N) \not\approx \wp(\wp(\wp N)) \dots$

## Countable

A set  $A$  is **countable** when  **$A$  is finite** or  $A \approx N$

The elements of a countable set  $A$  can be listed as a **finite** or **infinite** sequence of distinct terms:  $A = \{a_0, a_1, a_2, a_3, \dots\}$

## Example

The integers  $Z$  are countable, since they can be listed as:

$0, -1, 1, -2, 2, -3, 3, \dots$

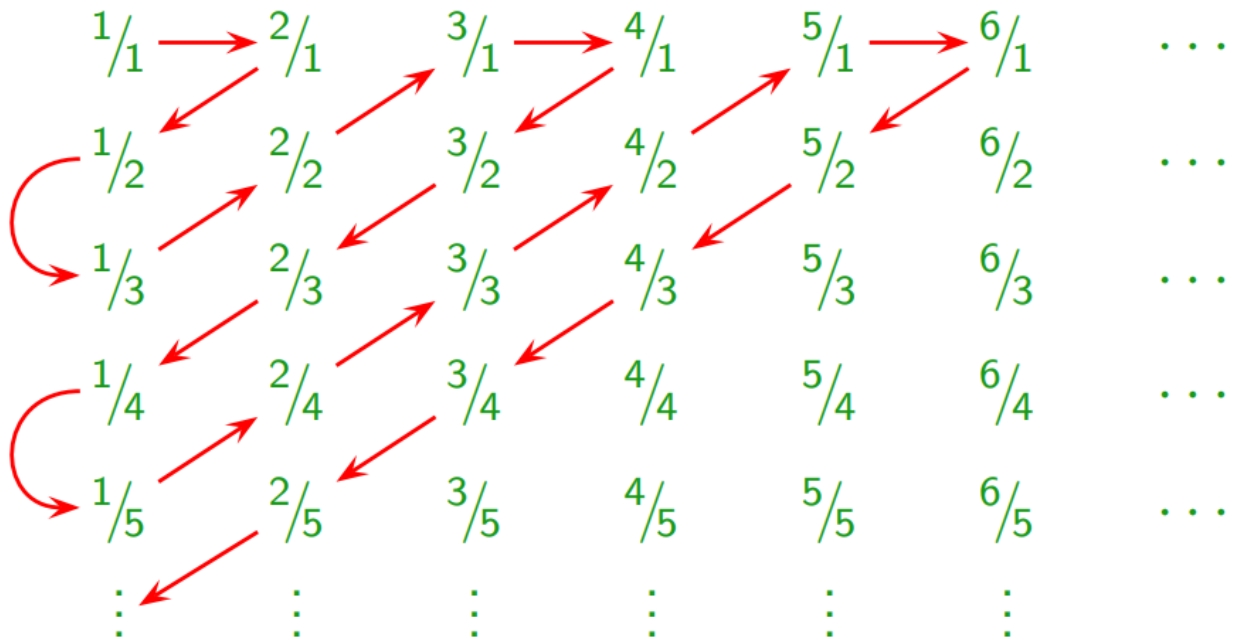
This 'counting' bijection  $g : N \rightarrow Z$  is defined formally by

$$g(x) = \begin{cases} x/2, & x \text{ even} \\ -(x+1)/2, & x \text{ odd} \end{cases}$$

Notice that the bijection does not have to preserve the order!

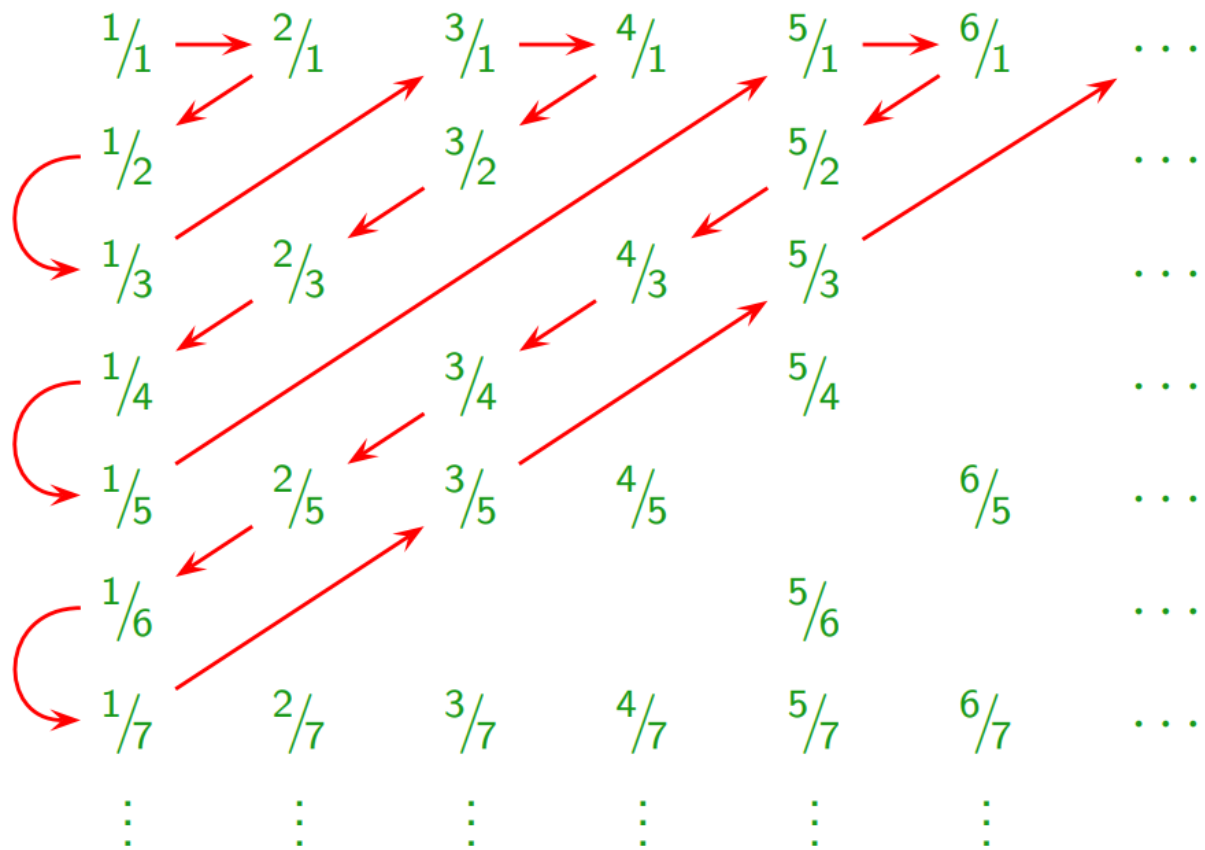
## $Q$ is countable

We can use the same approach to show that  $Q^+$  (the set of positive fractions) is countable:



But this mapping is only a **surjection**. . .

**Better . . .**



So  $Q^+$  is countable

Using the same 'trick' as for  $Z$ , so is  $Q$

## Useful Statements

Let  $A$  be a non-empty set, and  $B$  be **infinite** and **countable**

These statements are equivalent:

- $A$  is countable
- There exists a **surjection** from  $B$  to  $A$
- There exists an **injection** from  $A$  to  $B$

## $\wp N$ is Uncountable

We already know that  $N \not\approx \wp N$ ; so  $\wp N$  is **uncountable**

Proof:

Through its characteristic function, a subset  $V \subseteq N$  can be represented as a list of 0s and 1s as  $v_0, v_1, v_2, v_3, \dots$  where every  $v_i = 1$  if  $i \in V$ , and  $v_i = 0$  if  $i \notin V$ .

We will show that **any** list of subsets of  $N$  is incomplete, so misses a subset of  $N$ .

Let  $V_0, V_1, V_2, V_3, V_4, \dots$  be any infinite list of sets. We define the set  $W$  through its characteristic function  $w_0, w_1, w_2, w_3, \dots$  so that:  $w_i = 1 - v_i^i$ ; then  $W \subseteq N$  and  $i \in W \iff i \notin V_i$ , so  $W \neq V_i$ , for all  $i \in N$ .

So  $W$  is **not** in the list, which is therefore **incomplete**

## The Diagonalisation Argument

We can represent this through the diagram:

$$\begin{array}{rcccccccc}
 V_0 = & v_0^0 & v_1^0 & v_2^0 & v_3^0 & v_4^0 & v_5^0 & v_6^0 & v_7^0 & \dots \\
 V_1 = & v_0^1 & v_1^1 & v_2^1 & v_3^1 & v_4^1 & v_5^1 & v_6^1 & v_7^1 & \dots \\
 V_2 = & v_0^2 & v_1^2 & v_2^2 & v_3^2 & v_4^2 & v_5^2 & v_6^2 & v_7^2 & \dots \\
 V_3 = & v_0^3 & v_1^3 & v_2^3 & v_3^3 & v_4^3 & v_5^3 & v_6^3 & v_7^3 & \dots \\
 V_4 = & v_0^4 & v_1^4 & v_2^4 & v_3^4 & v_4^4 & v_5^4 & v_6^4 & v_7^4 & \dots \\
 V_5 = & v_0^5 & v_1^5 & v_2^5 & v_3^5 & v_4^5 & v_5^5 & v_6^5 & v_7^5 & \dots \\
 \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \\
 W = & 1-v_0^0 & 1-v_1^1 & 1-v_2^2 & 1-v_3^3 & 1-v_4^4 & 1-v_5^5 & 1-v_6^6 & 1-v_7^7 & \dots
 \end{array}$$

$W$  differs from each  $V_i$  on the diagonal.

**$R$  is not countable**

Represent  $a \in [0, 2] \subseteq \mathbb{R}$  via  $a_0 a_1 a_2 a_3 \dots$ :

$$a = a_0 \times 2^0 + a_1 \times 2^{-1} + a_2 \times 2^{-2} + \dots = \sum_{i=0}^{\infty} a_i 2^{-i}.$$

Note that  $1.5 = 11000\dots = 101111\dots$ ; *dyadic rationals* are of the form  $n/2^k$ , and end with a 0-tail (or 1-tail).

Assume  $[0, 2]$  is countable, and  $[0, 2] = a^0, a^1, a^2, a^3, \dots$ .

$$\begin{array}{rcccccccc} a^0 & = & a_0^0 & a_1^0 & a_2^0 & a_3^0 & a_4^0 & a_5^0 & \dots \\ a^1 & = & a_0^1 & a_1^1 & a_2^1 & a_3^1 & a_4^1 & a_5^1 & \dots \\ a^2 & = & a_0^2 & a_1^2 & a_2^2 & a_3^2 & a_4^2 & a_5^2 & \dots \\ \vdots & & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \\ b & = & 1-a_0^0 & a_0^0 & 1-a_2^1 & a_2^1 & 1-a_4^2 & a_4^2 & \dots \end{array}$$

Note that  $b \in [0, 2]$  is not in the list; also, if  $b_{2i} = 0$ , then  $b_{2i+1} = 1$ , and if  $b_{2i} = 1$ , then  $b_{2i+1} = 0$ ; so  $b$  is not dyadic.

We can also show that  $\emptyset \mathbb{N} \approx \mathbb{R}$  (see notes).

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## Comparing Infinities

We will show that  $Q$  is insignificant, a **zero set**, in  $R$ .

We can count the rationals; let  $Q = q_0, q_1, q_2, \dots$ . Cast an interval in  $R$  around each element of  $Q$ , by defining:

$$\begin{aligned} V_\delta^i &\triangleq (q_i - \delta \times 2^{-i}, q_i + \delta \times 2^{-i}) \\ V_\delta &\triangleq \bigcup_{i=0}^{\infty} V_\delta^i \end{aligned}$$

Notice that  $Q \subseteq V_\delta$ . Remark that each  $V_\delta^i$  is an interval of length  $2 \times \delta \times 2^{-i} = 2^{-i+1}\delta$ ; we write  $\|V_\delta^i\|$  for this length.

$$\text{Now } 0 < \sum_{i=0}^{\infty} \|V_\delta^i\| = \sum_{i=0}^{\infty} 2^{-i+1}\delta = 4\delta$$

So we can cover  $Q$  with a set of size  $4\delta$ , for any  $\delta$ . Since we can make  $\delta$  as small as we like, this essentially shows that  $V = \lim_{\delta \rightarrow 0} V_\delta$  is negligible (also called a **null set**) in  $R$ . But since  $Q \subseteq V$ , so is  $Q$ .

So  $|R| = |\varphi N|$  is vastly greater than  $|Q| = |N|$