

# Conditional Branches

## An Example Program with Conditional Branches

```

1  biggest(x: Int, y: Int, z: Int): Int
2  // PRE: true                                     (P)
3  // POST: r = max{x, y, z}                         (Q)
4  {
5      var res: Int
6      if (x >= y){
7          res = x
8      } else {
9          res = y
10     }
11     // MID: res = max{x, y}                         (M1)
12     if (z >= res){
13         res = z
14     }
15     // MID: res = max{z, max{x, y}}                 (M2)
16     return res
17 }
```

When choosing the mid-condition on line 15, you need to be careful with what you write.

One common mistake is to write:

$$res = \max\{res, z\}$$

The mid-condition should be a logical assertion and not code. It does not describe an assignment to the variable `res`, but rather makes a claim about its value being equal to that of the larger of `res` or `z`. This can only be true if  $res \geq z$  (i.e. we guarantee that  $\max\{res, z\}$  returns `res`) which is not general enough for our proof.

Another common mistake is to write:

$$res = \max\{res_{old}, z\}$$

This describes the current value of `res` in relation to some program variable  $res_{old}$ , but there is no such variable in our code. Remember that we only use the  $_{old}$  annotations in our **proofs** to distinguish between the values stored in a variable

before/after some code has run. Such annotations have no place in our assertions.

## Proof Obligations

The choice of mid-conditions leads to the following proof obligations:

$$P \wedge \text{var } \text{res}:\text{Int}; \text{if}(x \geq y)\{\text{res} = x\}\text{else}\{\text{res} = y\} \longrightarrow M_1$$

$$\text{true} \wedge \text{res} = \max\{x, y\} \longrightarrow \text{res} = \max\{x, y\}$$

$$M_1[\text{res} \mapsto \text{res}_{old}] \wedge \text{if}(z \geq \text{res})\{\text{res} = z\} \longrightarrow M_2$$

$$\text{res}_{old} = \max\{x, y\} \wedge \text{res} = \max\{z, \text{res}_{old}\} \longrightarrow \text{res} = \max\{z, \max\{x, y\}\}$$

$$M_2 \wedge \text{return } \text{res} \longrightarrow Q$$

$$\text{res} = \max\{z, \max\{x, y\}\} \wedge \text{r} = \text{res} \longrightarrow \text{r} = \max\{x, y, z\}$$

## Reasoning about Conditional Branches

How do we prove that branching code satisfies its specification?

```

1  // PRE: P
2  if (cond){
3      code1
4  } else {
5      code2
6  }
7  // POST: Q

```

Using  $P$  and the case of `cond` as assumptions we have to show that  $Q$  holds after executing each branch `code1` and `code2`.

$$\frac{\{ P \wedge \text{cond} \} \text{ code1 } \{ Q \} \quad \{ P \wedge \neg \text{cond} \} \text{ code2 } \{ Q \}}{\{ P \} \text{ if}(\text{cond})\{ \text{code1} \} \text{ else } \{ \text{code2} \} \{ Q \}}$$

Notice above that there is a slightly unfortunate clash between the syntax of our programming language and that of our proof system, both making use of curly-brackets  $\{ \}$ . In the code, these delimit the scope of our conditional and looping statements, whereas in the proof system there separate the assertions from the code. We have to take a little care not to get confused by this symbolic overloading.

## Reasoning about Conditional Branches

We can introduce appropriate mid-conditions to guide our proof:

```

1  // PRE: P
2  if (cond){
3      // MID:  $P \wedge \text{cond}$ 
4      code1
5      // MID:  $R_1$ 
6  } else {
7      // MID:  $P \wedge \neg \text{cond}$ 
8      code2
9      // MID:  $R_2$ 
10 }
11 // POST: Q

```

- `code1` and `code2` may “assume”  $P$  and their respective case for free.
- It is the “responsibility” of both `code1` and `code2` to establish  $Q$  (i.e.  $R_1 \longrightarrow Q$  and  $R_2 \longrightarrow Q$ ).

When reasoning about a conditional branch we get to assume that the pre-condition holds at the start of each branch. We also get to assume that the condition  $\text{cond}$  holds in the then branch and does not hold in the else branch. We then have to show that the post-condition holds after running either branch of the code. We can either do this directly at the end of each branch (i.e. establish  $Q$  as in the rule) or we can show that the mid-condition at the end of each branch implies the post-condition  $Q$  (as we have structured things in the slide above). This latter approach can be helpful to break down the complexity of our proofs. It is possible that  $P \wedge \text{cond} \implies \text{false}$  or  $P \wedge \neg \text{cond} \implies \text{false}$ . Whilst this might seem like a problem, it actually just means that one branch or the other is unreachable. We can carry  $\text{false}$  through our mid-conditions (the triple  $\{\text{false}\} \text{code} \{\text{false}\}$  is always true) and then the proof obligation at the end of the branch becomes  $\text{false} \implies Q$  which holds trivially.

# Conditional Branches - Example

Looking at the first part of our `biggest` function in more detail:

```

1  // PRE: true                                     (P)
2  var res: Int
3  if (x >= y){
4      // MID:  x ≥ y                               (P ∧ cond)
5      res = x
6      // MID:  res = x ∧ x ≥ y                     (R1)
7  } else {
8      // MID:  y > x                               (P ∧ ¬cond)
9      res = y
10     // MID:  res = y ∧ y > x                     (R2)
11 }
12 // MID:  res = max{x, y}                         (M1)

```

We are assuming that this code snippet exists in a program where the variables `x` and `y` have been declared, otherwise the program would not even compile.

## Proof Obligations

The choice of mid-conditions leads to the following proof obligations:

$$\begin{aligned}
 P \wedge \text{cond} \wedge \text{res} = x &\longrightarrow R_1 \\
 x \geq y \wedge \text{res} = x &\longrightarrow \text{res} = x \wedge x \geq y
 \end{aligned}$$

$$\begin{aligned}
 R_1 &\longrightarrow M_1 \\
 \text{res} = x \wedge x \geq y &\longrightarrow \text{res} = \max\{x, y\}
 \end{aligned}$$

$$\begin{aligned}
 P \wedge \neg\text{cond} \wedge \text{res} = y &\longrightarrow R_2 \\
 y > x \wedge \text{res} = y &\longrightarrow \text{res} = y \wedge y > x
 \end{aligned}$$

$$\begin{aligned}
 R_2 &\longrightarrow M_1 \\
 \text{res} = y \wedge y > x &\longrightarrow \text{res} = \max\{x, y\}
 \end{aligned}$$

Note that if we had used  $M_1$  for both  $R_1$  and  $R_2$ , then we would only have two proof obligations:

$$\begin{aligned} P \wedge \text{cond} \wedge \text{res} = x &\longrightarrow M_1 \\ x \geq y \wedge \text{res} = x &\longrightarrow \text{res} = \max\{x, y\} \end{aligned}$$

and

$$\begin{aligned} P \wedge \neg\text{cond} \wedge \text{res} = y &\longrightarrow M_1 \\ y > x \wedge \text{res} = y &\longrightarrow \text{res} = \max\{x, y\} \end{aligned}$$

Whilst this would clearly be simpler in this case, in general our conditional branches might not be so similar. In fact, it is quite common for the mid-condition after the conditional branch to be a disjunction of the effects of each branch.

## Conditional Branches - Example

Looking at the second part of our `biggest` method in more detail:

```

1  // MID:  res = max{x, y}                                (M1)
2  if (z >= res){
3      // MID:  res = max{x, y}  ∧  z ≥ res                (M1 ∧ cond)
4      res = z
5      // MID:  res = z  ∧  z ≥ max{x, y}                  (R3)
6  }
7  // MID:  res = max{z, max{x, y}}                        (M2)

```

We are assuming that this code snippet exists in a program where the variables  $x$ ,  $y$  and  $z$  have been declared, otherwise the program would not even compile. Notice that we do not need a mid-condition for the (non-existent) else branch, although **we will still have a proof obligation that covers this case**.

## Proof Obligations

The choice of mid-conditions leads to the following proof obligations:

$$(M_1 \wedge \text{cond})[\text{res} \mapsto \text{res}_{old}] \wedge \text{res} = z \longrightarrow R_3$$

$$\text{res}_{old} = \max\{x, y\} \wedge z \geq \text{res}_{old} \wedge \text{res} = z \longrightarrow \text{res} = z \wedge z \geq \max\{x, y\}$$

$$R_3 \longrightarrow M_2$$

$$\text{res} = z \wedge z \geq \max\{x, y\} \longrightarrow \text{res} = \max\{z, \max\{x, y\}\}$$

$$M_1 \wedge \neg \text{cond} \longrightarrow M_2$$

$$\text{res} = \max\{x, y\} \wedge z < \text{res} \longrightarrow \text{res} = \max\{z, \max\{x, y\}\}$$

The last proof obligation above corresponds to the execution path that does not enter the conditional branch.