



Virtual and Augmented Reality

CS-GY 9223/CUSP-GX 6004

2023 Fall

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A VR/AR System



Lecture 1.1 - Basic Optical Concepts

Basic Visual Optics – What is Light?

“... very small bodies emitted from shining substances”

– Isaac Newton

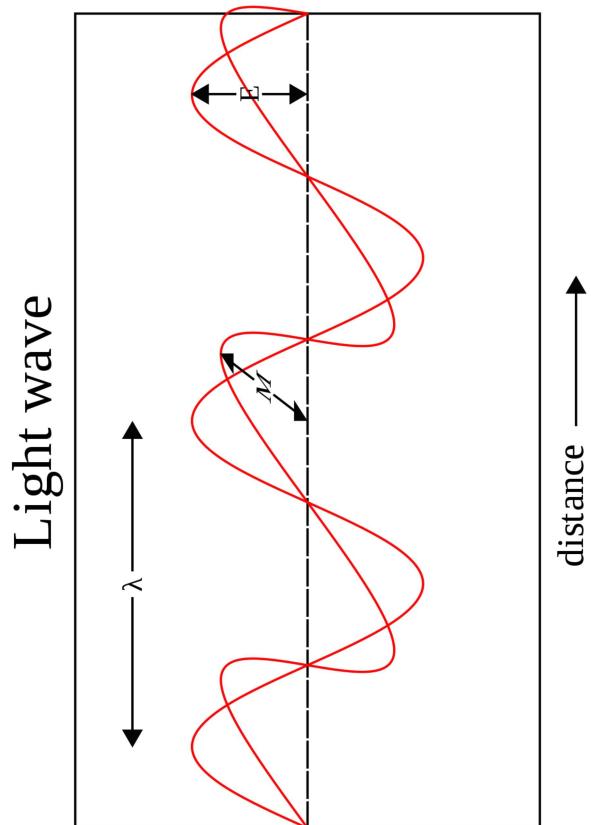
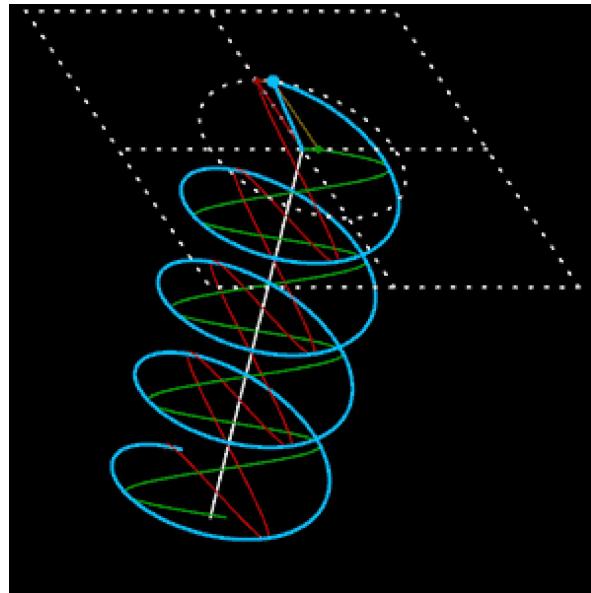
“A wave motion” spreading out from the course of all directions

-- Christian Huygens



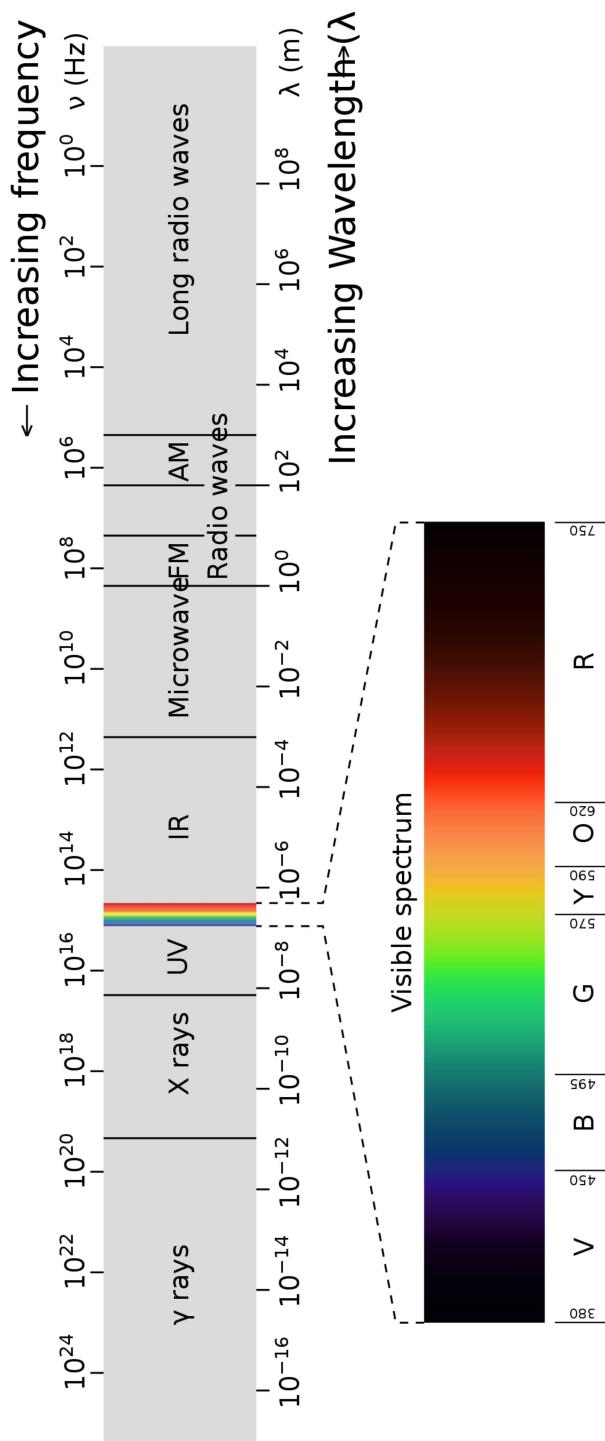
Sir Isaac Newton
1643-1727

Christiaan Huygens
1629-1695

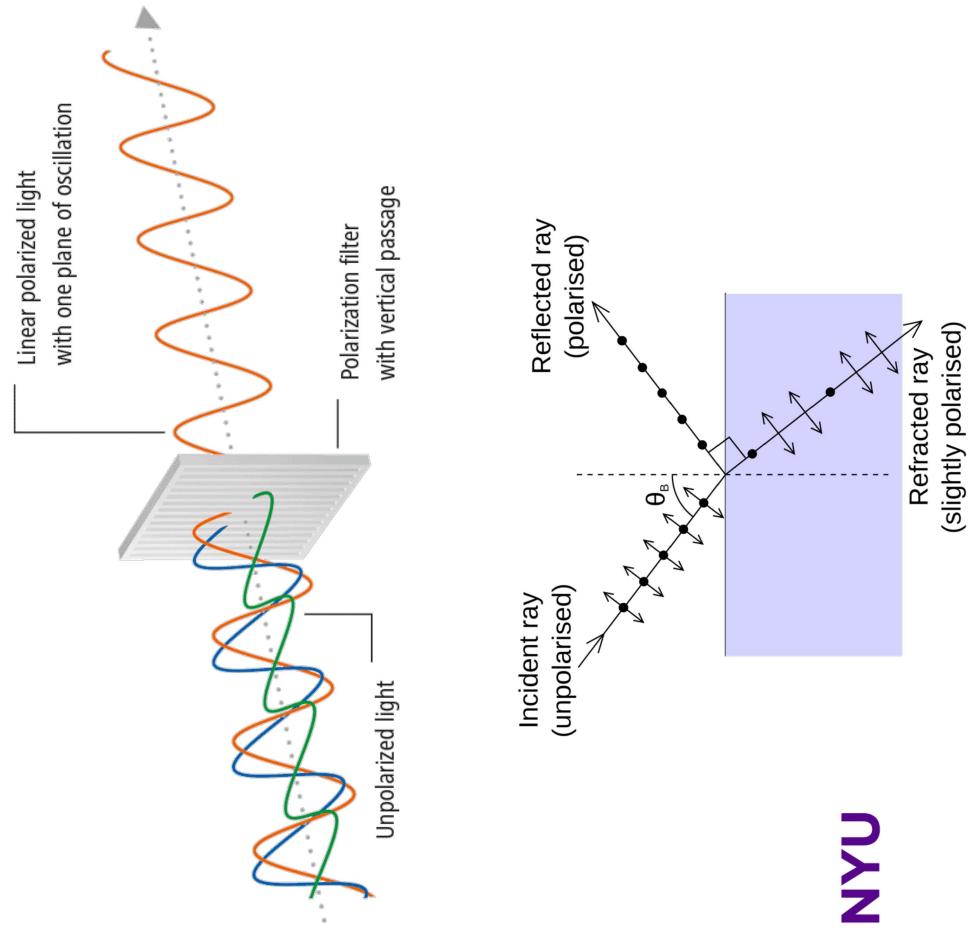
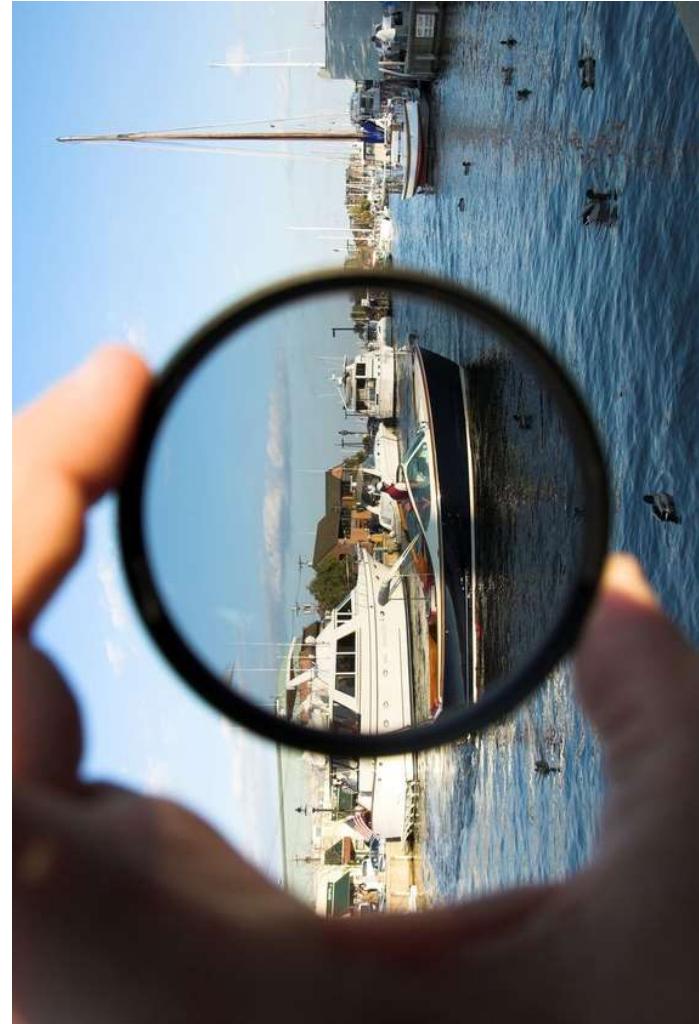


Basic Visual Optics – What is Light?

Visible light is electromagnetic radiation within the portion of the electromagnetic spectrum that is perceived by the human eye.



Basic Visual Optics – Polarization

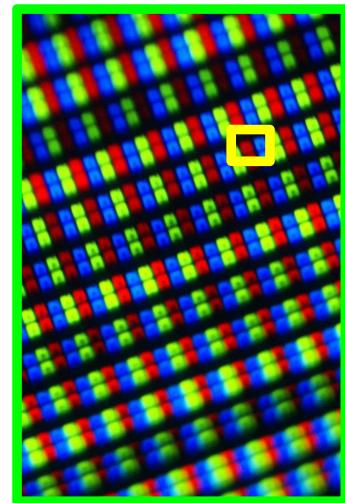
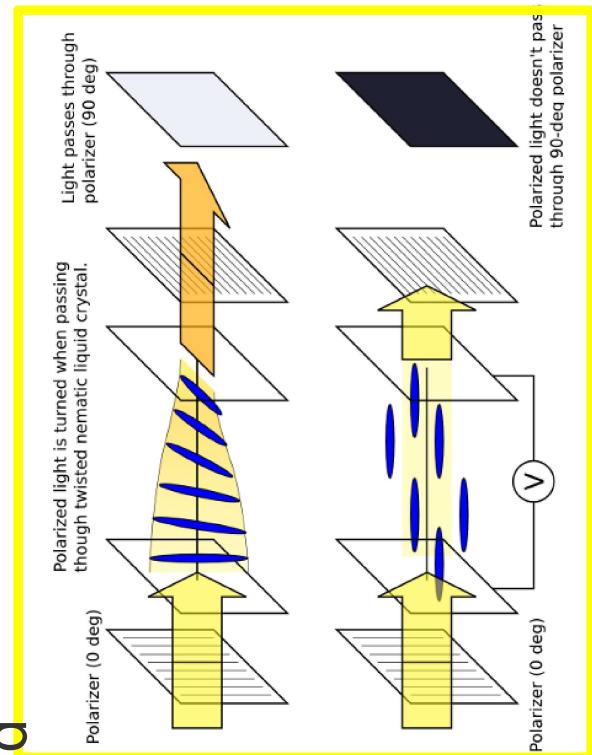


Basic Visual Optics – LCD (Liquid Crystal Display)

- Brighter
- Lower power consumption
- Cheaper



Untethered

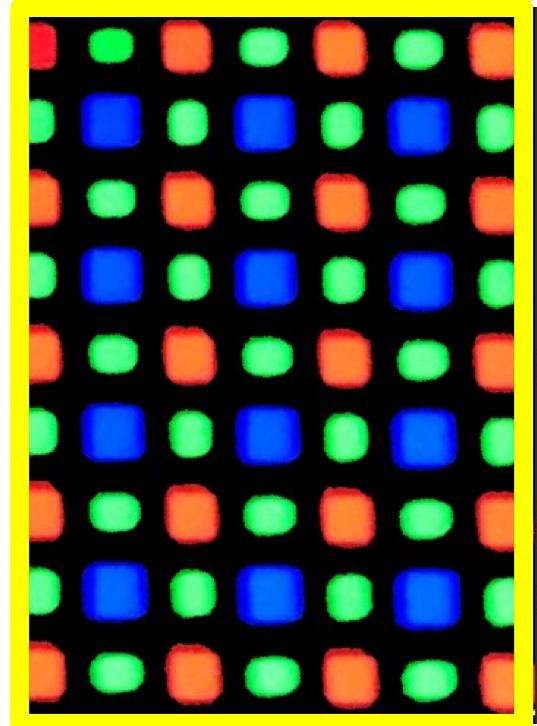


Basic Visual Optics - OLED (Organic Light-Emitting Diode)

- High contrast
- Broader color range
- Better black



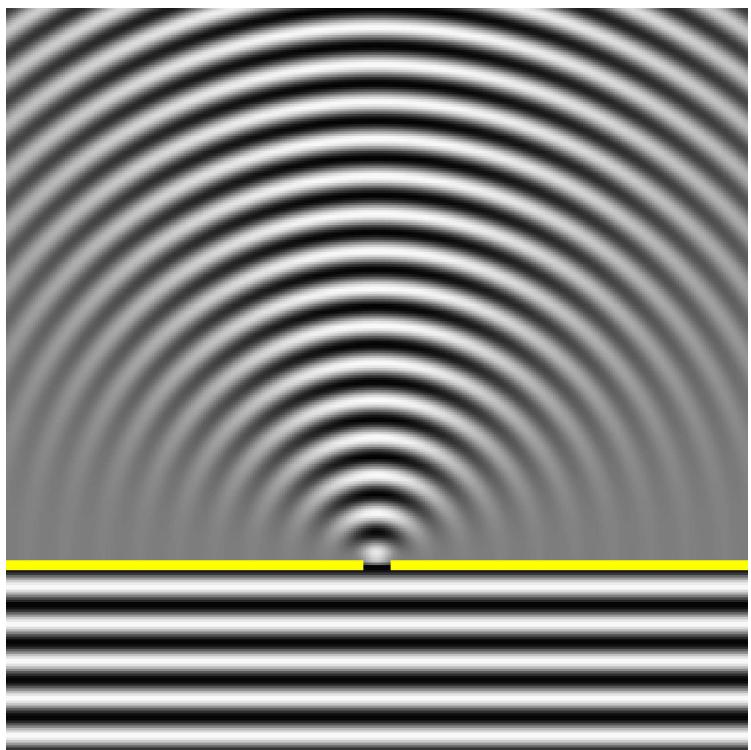
tethered



Basic Visual Optics - Wave Propagation

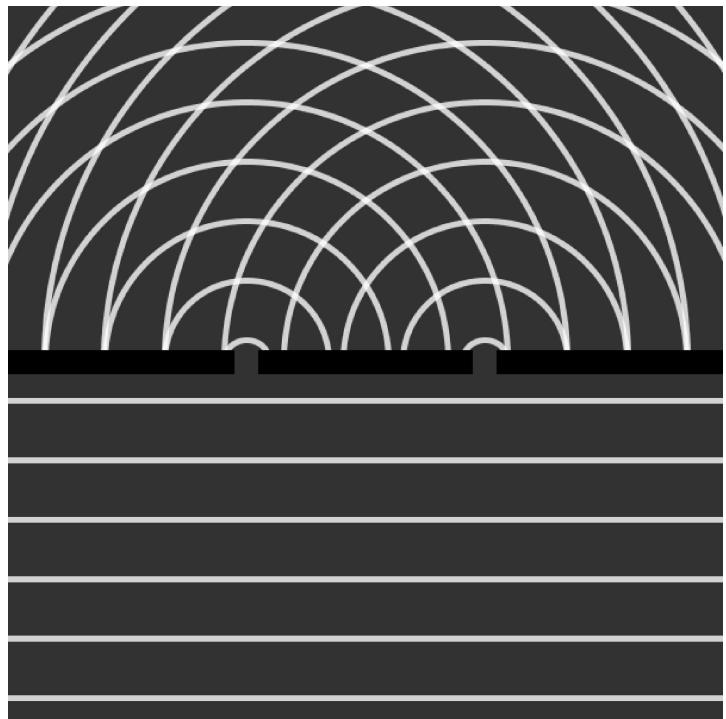
Diffraction

- superposition of the second wavelet from the same wave



Basic Visual Optics - Wave Propagation

Interference
– superposition of two waves



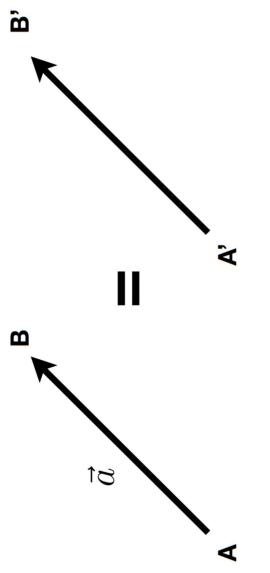
Lecture 1.2

Mathematical Foundations

- Revisiting Basic Algebra

Vectors

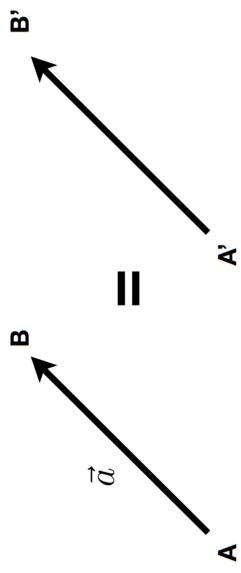
- A vector $\overrightarrow{(x, y, z, \dots)}$ describes a **direction** and a **length** **without a starting point**



$$\vec{a} = \overrightarrow{AB} = B - A$$

Vector Operation

unit & normalization

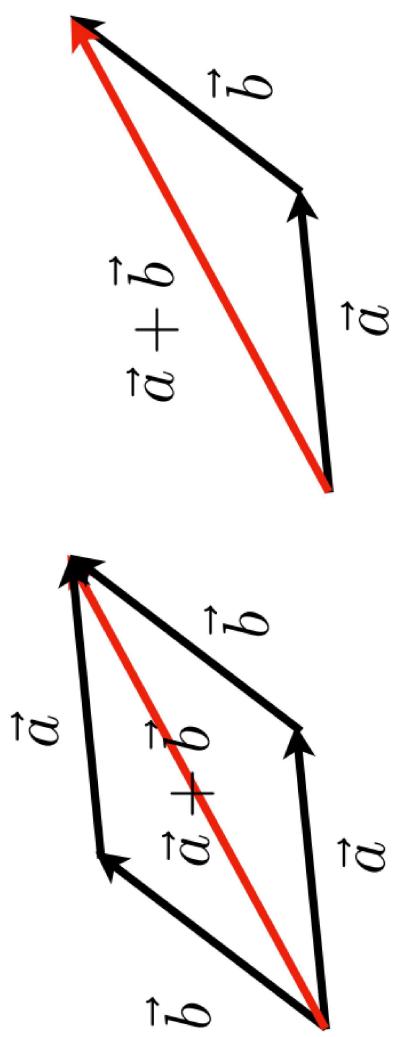


- A unit vector is a vector with length = 1 (direction-only)
- Normalization - $\hat{a} = \vec{a}/\|\vec{a}\|$

Vector Operation

(positive/negative) add

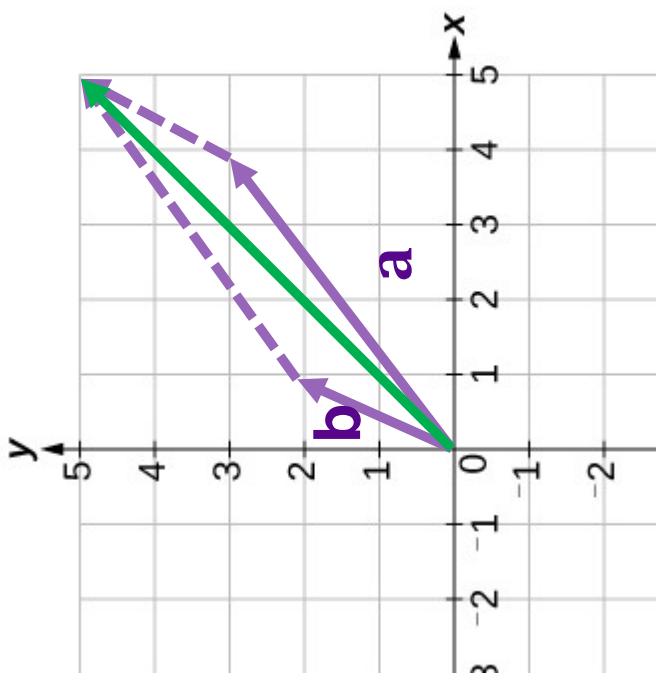
$$\vec{a} + \vec{b} = \vec{b} + \vec{a}$$



Vector Operation

Cartesian Coordinate

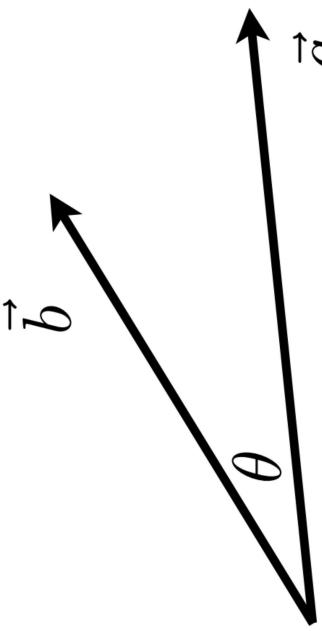
$$\begin{aligned}\mathbf{a} &= \begin{pmatrix} 4 \\ 3 \end{pmatrix} = 4\mathbf{x} + 3\mathbf{y} \\ \mathbf{a} + \mathbf{b} &= (4+1, 3+2) \\ |\mathbf{a}| &= \sqrt{4^2 + 3^2}\end{aligned}$$



Vector Operation

Dot Product

$$\vec{a} \cdot \vec{b} = \|\vec{a}\| \|\vec{b}\| \cos \theta$$



$$\cos \theta = \frac{\vec{a} \cdot \vec{b}}{\|\vec{a}\| \|\vec{b}\|}$$

$$\vec{a} \cdot \vec{b} = \vec{b} \cdot \vec{a}$$

$$\vec{a} \cdot (\vec{b} + \vec{c}) = \vec{a} \cdot \vec{b} + \vec{a} \cdot \vec{c}$$

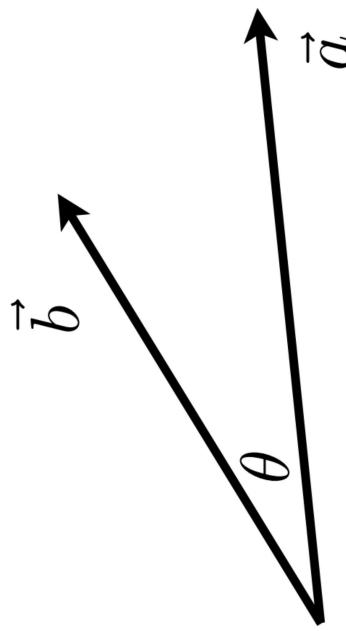
$$(k\vec{a}) \cdot \vec{b} = \vec{a} \cdot (k\vec{b}) = k(\vec{a} \cdot \vec{b})$$

Unit Vector:

$$\cos \theta = \hat{a} \cdot \hat{b}$$

Vector Operation

Dot Product in Cartesian Coordinate



$$\vec{a} \cdot \vec{b} = \begin{pmatrix} x_a \\ y_a \end{pmatrix} \cdot \begin{pmatrix} x_b \\ y_b \end{pmatrix} = x_a x_b + y_a y_b$$

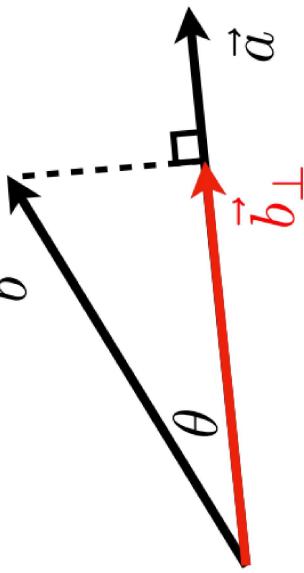
$$\vec{a} \cdot \vec{b} = \begin{pmatrix} x_a \\ y_a \\ z_a \end{pmatrix} \cdot \begin{pmatrix} x_b \\ y_b \\ z_b \end{pmatrix} = x_a x_b + y_a y_b + z_a z_b$$

Vector Operation

Dot Product in Graphics

Find projected length

Find angles between vectors



\vec{b}_\perp : projection of \vec{b} onto \vec{a}

- \vec{b}_\perp must be along \vec{a} (or along \hat{a})

$$\vec{b}_\perp = k\hat{a}$$

- What's its magnitude k ?

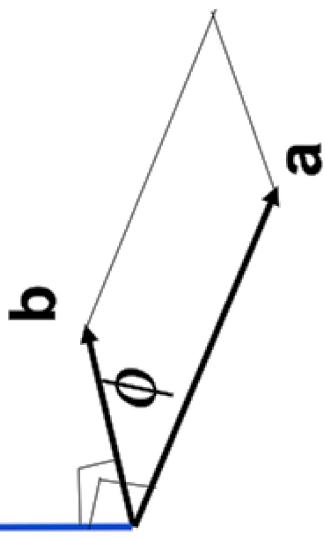
$$k = \|\vec{b}_\perp\| = \|\vec{b}\| \cos \theta$$

Vector Operation

Cross Product

$$\begin{aligned} \mathbf{a} \times \mathbf{b} &= -\mathbf{b} \times \mathbf{a} \\ \|\mathbf{a} \times \mathbf{b}\| &= \|\mathbf{a}\| \|\mathbf{b}\| \sin \phi \end{aligned}$$

- Orthogonal to two initial vectors
- Direction determined by right-hand rule
- Useful in constructing coordinate systems
(later)



Cross product: Properties

$$\vec{x} \times \vec{y} = +\vec{z}$$

$$\vec{y} \times \vec{x} = -\vec{z}$$

$$\vec{y} \times \vec{z} = +\vec{x}$$

$$\vec{z} \times \vec{y} = -\vec{x}$$

$$\vec{z} \times \vec{x} = +\vec{y}$$

$$\vec{x} \times \vec{z} = -\vec{y}$$

$$\vec{a} \times \vec{b} = -\vec{b} \times \vec{a}$$

$$\vec{a} \times \vec{a} = \vec{0}$$

$$\vec{a} \times (\vec{b} + \vec{c}) = \vec{a} \times \vec{b} + \vec{a} \times \vec{c}$$

$$\vec{a} \times (k\vec{b}) = k(\vec{a} \times \vec{b})$$

Cross Product in Cartesian Coordinate

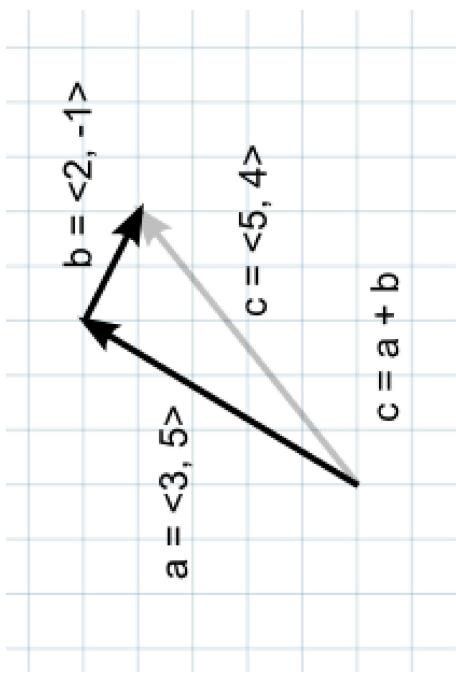
$$\vec{a} \times \vec{b} = \begin{vmatrix} \vec{x} & \vec{y} & \vec{z} \\ x_a & y_a & z_a \\ x_b & y_b & z_b \end{vmatrix} = \frac{\begin{pmatrix} y_a z_b - y_b z_a \\ z_a x_b - x_a z_b \\ x_a y_b - y_a x_b \end{pmatrix}}{\text{determinant}}$$

$$\vec{a} \times \vec{b} = A^* b = \begin{pmatrix} 0 & -z_a & y_a \\ z_a & 0 & -x_a \\ -y_a & x_a & 0 \end{pmatrix} \begin{pmatrix} x_b \\ y_b \\ z_b \end{pmatrix}$$

dual matrix of vector a

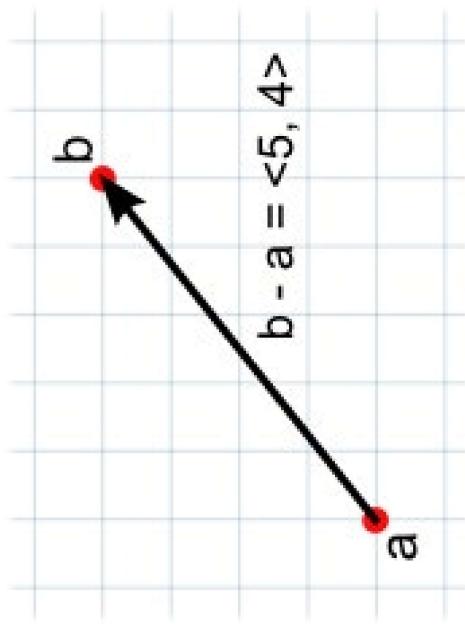
Vectors in Unity

```
var pointInAir = pointOnGround + new Vector3(0, 5, 0);
```

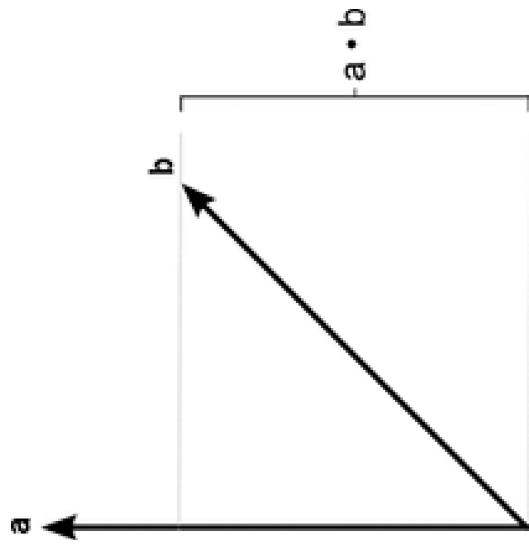


Vectors in Unity

```
// The vector d has the same magnitude as c but points in the opposite direction.  
var c = b - a;  
var d = a - b;
```

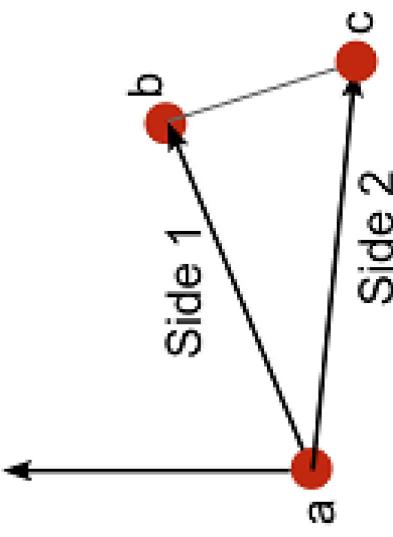


```
Vector3 forward = transform.TransformDirection(vector3.forward);  
Vector3 toOther = other.position - transform.position;  
  
if (Vector3.Dot(forward, toOther) < 0)
```



Vectors in Unity

Normal = Side1 x Side2

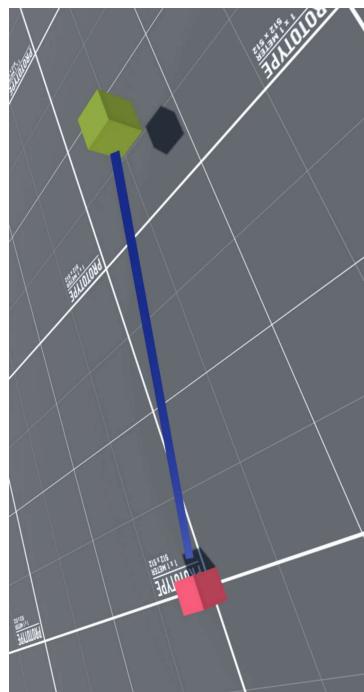


```
var a: Vector3;  
var b: Vector3;  
var c: Vector3;  
  
var side1: Vector3 = b - a;  
var side2: Vector3 = c - a;
```

Vectors in Unity

```
// Gets a vector that points from the player's position to the target's.  
var heading = target.position - player.position;
```

```
var distance = heading.magnitude;  
var direction = heading / distance; // This is now the normalized direction.
```



Coordinates

Orthonormal Bases / Coordinate Frames

- Important for representing points, positions, locations
- Often, many sets of coordinate systems
 - Global, local, world, model, parts of model (head, hands, ...)
- Critical issue is transforming between these systems/bases

Orthonormal Coordinate Frames

Any set of 3 vectors (in 3D) that:

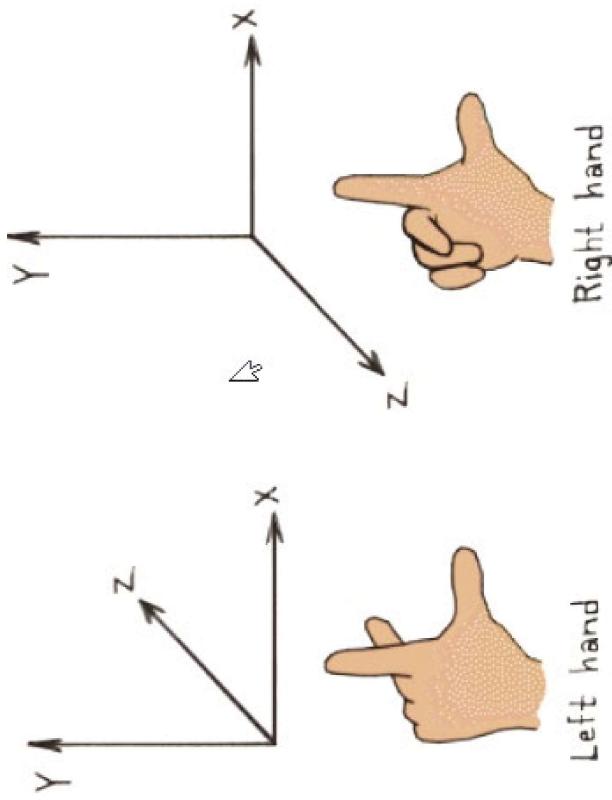
$$\|\vec{u}\| = \|\vec{v}\| = \|\vec{w}\| = 1$$

$$\vec{u} \cdot \vec{v} = \vec{v} \cdot \vec{w} = \vec{u} \cdot \vec{w} = 0$$

$$\vec{w} = \vec{u} \times \vec{v} \quad (\text{right-handed})$$

$$\vec{p} = (\vec{p} \cdot \vec{u})\vec{u} + (\vec{p} \cdot \vec{v})\vec{v} + (\vec{p} \cdot \vec{w})\vec{w}$$

(projection)



Matrix

Matrices (array of numbers)
are essential for data representation and transformation

$$\begin{pmatrix} 1 & 3 \\ 5 & 0 \end{pmatrix} \quad \begin{pmatrix} 2 & 4 \end{pmatrix}$$

Matrix Operations

multiplication

- # (number of) columns in A must = # rows in B $(M \times N) (N \times P) = (M \times P)$
- Element (i, j) in the product is the dot product of row i from A and column j from B

$$\begin{pmatrix} 1 & 3 \\ 5 & 2 \\ 0 & 4 \end{pmatrix} \begin{pmatrix} 3 & 6 & 9 & 4 \\ 2 & 7 & 8 & 3 \end{pmatrix} = \begin{pmatrix} 9 & ? & 33 & 13 \\ 19 & 44 & 61 & 26 \\ 8 & 28 & 32 & ? \end{pmatrix}$$

Matrix Operations

Multiplication properties

Non-commutative

(AB and BA are different in general)

- Associative and distributive
 - $A(B+C) = AB + AC$
 - $(A+B)C = AC + BC$

Matrix-Vector Multiplication

- Treat vector as a column matrix ($m \times 1$)
- Key for transforming points (next lecture)
- Official spoiler: 2D reflection about y-axis

$$\begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} -x \\ y \end{pmatrix}$$

Transpose of a Matrix

- Switch rows and columns (ij -> ji)

$$\begin{pmatrix} 1 & 2 \\ 3 & 4 \\ 5 & 6 \end{pmatrix}^T = \begin{pmatrix} 1 & 3 & 5 \\ 2 & 4 & 6 \end{pmatrix}$$

- Property

$$(AB)^T = B^T A^T$$

Identity Matrix and Inverses

$$I_{3 \times 3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$AA^{-1} = A^{-1}A = I$$

$$(AB)^{-1} = B^{-1}A^{-1}$$

Vector Multiplication in Matrix Form

- Dot product?

$$\vec{a} \cdot \vec{b} = \vec{a}^T \vec{b}$$

$$= \begin{pmatrix} x_a & y_a & z_a \end{pmatrix} \begin{pmatrix} x_b \\ y_b \\ z_b \end{pmatrix} = (x_a x_b + y_a y_b + z_a z_b)$$

- Cross product?

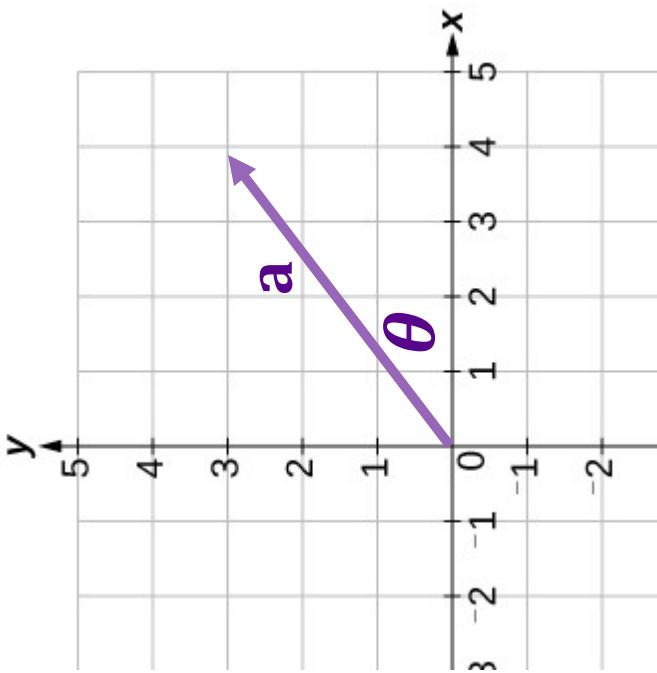
$$\vec{a} \times \vec{b} = A^* b = \begin{pmatrix} 0 & -z_a & y_a \\ z_a & 0 & -x_a \\ -y_a & x_a & 0 \end{pmatrix} \begin{pmatrix} x_b \\ y_b \\ z_b \end{pmatrix}$$

Complex Numbers

$$a = \begin{pmatrix} 4 \\ 3 \end{pmatrix} = 4x + 3y = 4 + 3i$$

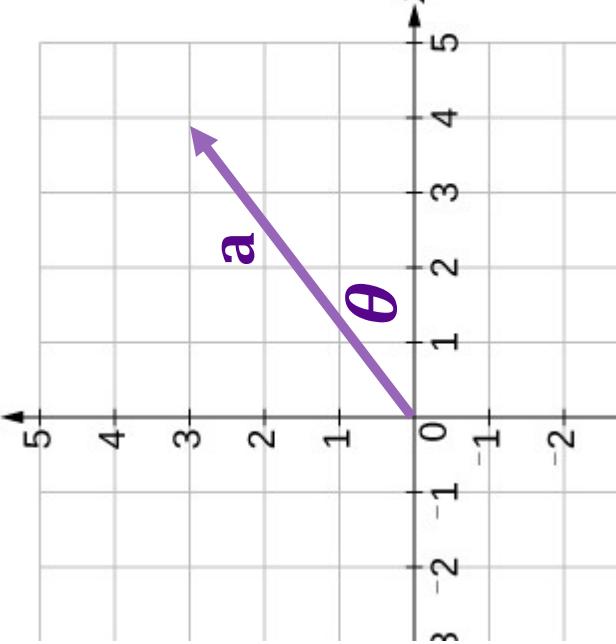
$$\frac{a}{|a|} = \cos(\theta) + i \sin(\theta)$$
$$= e^{i\theta}$$

Euler's Formula



Complex Numbers

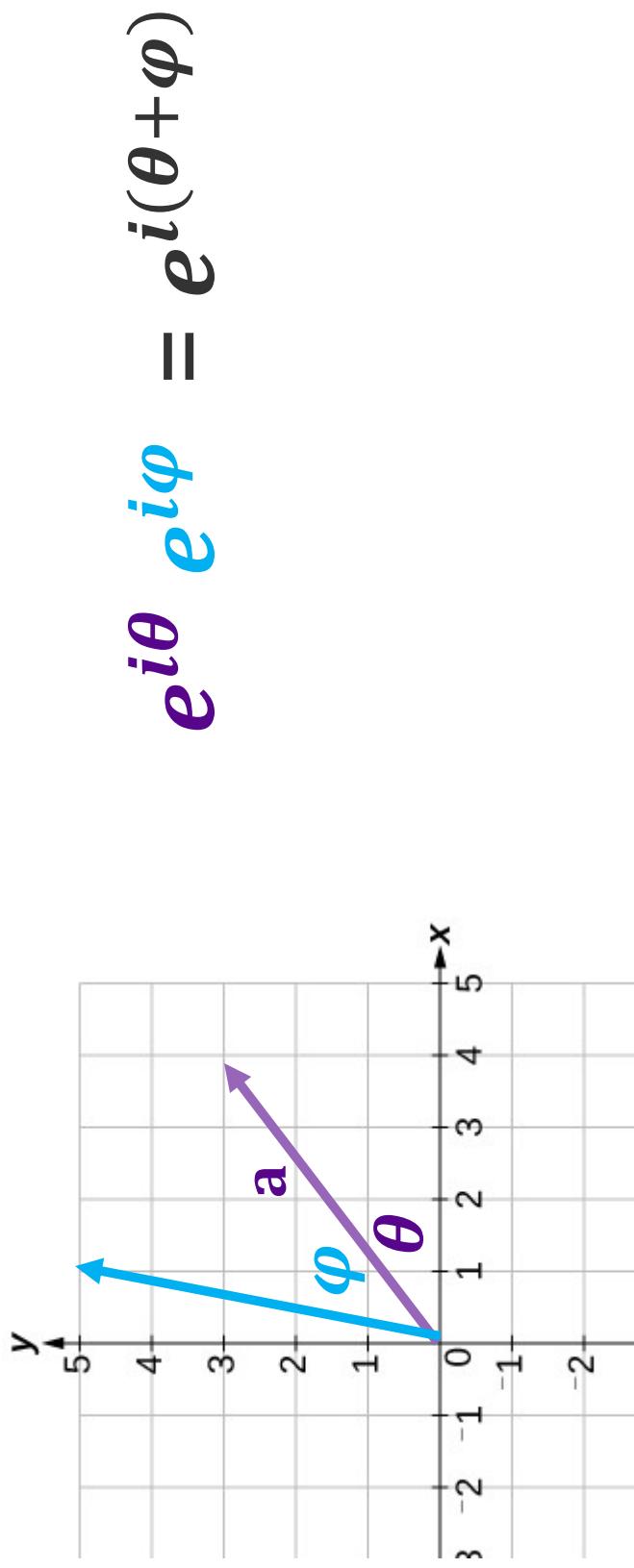
$$(x+yi) + (u+vi) = (x+u) + (y+v)i$$
$$(x+yi)(u+vi) = (xu-yv) + (xv+yu)i.$$



$$\frac{1}{z} = \frac{\bar{z}}{z\bar{z}} = \frac{\bar{z}}{|z|^2} = \frac{\bar{z}}{x^2 + y^2} = \frac{x}{x^2 + y^2} - \frac{y}{x^2 + y^2}i$$

Complex Numbers

Representing rotations



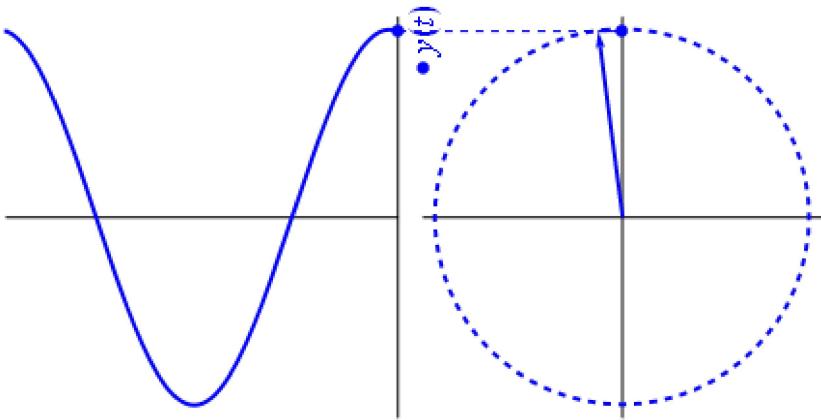
Complex Numbers

Representing light waves

$$A \cdot \cos(\omega t + \theta) = \frac{A \cdot e^{j(\omega t + \theta)}}{2} + \frac{A \cdot e^{-j(\omega t + \theta)}}{2}$$

$$\begin{aligned} A \cdot \cos(\omega t + \theta) &= \operatorname{Re}\left\{A \cdot e^{j(\omega t + \theta)}\right\} \\ &= \operatorname{Re}\left\{A e^{j\theta} \cdot e^{j\omega t}\right\} \end{aligned}$$

$$A e^{j\theta}$$



Questions?