## **ReadWrite Lock**

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based on the slides of Tiger Wang

```
account *accounts[10];
pthread_mutex_t mus[10];
void transfer(int x, int y, int amount)
{
    pthread mutex lock(&mus[x]);
    pthread mutex lock(&mus[y]);
    accounts[x]->val -= amount;
    accounts[y]->val += amount;
    pthread mutex unlock(&mus[x]);
    pthread mutex unlock(&mus[y]);
}
int sum(int x, int y)
{
    pthread mutex lock(&mus[x]);
    pthread mutex lock(&mus[y]);
    int xv = accounts[x]->val;
    int yv = accounts[y]->val;
    pthread mutex unlock(&mus[x]);
    pthread mutex unlock(&mus[y]);
    return xv + yv;
}
```

```
typedef struct {
   char *name;
   int val;
} account;
```

No thread is able to observe the middle state of the transfer.

```
Thread 1 2 Thread 2 2 transfer(1, 2, 10) sum(1, 2)
```

```
account *accounts[10];
pthread_mutex_t mus[10];
void transfer(int x, int y, int amount)
{
    pthread mutex lock(&mus[x]);
    pthread mutex lock(&mus[y]);
    accounts[x]->val -= amount;
    accounts[y]->val += amount;
    pthread mutex unlock(&mus[x]);
    pthread mutex unlock(&mus[y]);
}
int sum(int x, int y)
{
    pthread mutex lock(&mus[x]);
    pthread mutex lock(&mus[y]);
    int xv = accounts[x]->val;
    int yv = accounts[y]->val;
    pthread mutex unlock(&mus[x]);
    pthread mutex unlock(&mus[y]);
    return xv + yv;
}
```

```
typedef struct {
   char *name;
   int val;
} account;
```

No thread is able to observe the middle state of the transfer.

```
Thread 1 ?
                                                Thread 2
        sum(1, 2)
                                                sum(1, 2)
pthread mutex lock(&mus[1]);
pthread mutex lock(&mus[2]);
                                       pthread mutex lock(&mus[1]);
int xv = accounts[1]->val;
int yv = accounts[2]->val;
                                     wait for thread 2 to release mus[1]
pthread mutex unlock(&mus[1]);
pthread mutex unlock(&mus[2]);
                                       pthread mutex lock(&mus[2]);
                                        int xv = accounts[1]->val;
                                        int yv = accounts[2]->val;
                                        pthread mutex unlock(&mus[1]);
                                       pthread mutex unlock(&mus[2]);
```

```
Thread 1 ?
                                                Thread 2
         sum(1, 2)
                                                sum(1, 2)
 pthread mutex lock(&mus[1]);
 pthread mutex lock(&mus[2]);
                                       pthread mutex lock(&mus[1]);
 int xv = accounts[1]->val;
 int yv = accounts[2]->val;
                                      wait for thread 2 to release mus[1]
pthread mutex unlock(&mus[1]);
pthread mutex unlock(&mus[2]);
                                        pthread mutex lock(&mus[2]);
                                        int xv = accounts[1]->val;
                                        int yv = accounts[2]->val;
Is it necessary?
                                        pthread mutex unlock(&mus[1]);
```

pthread mutex unlock(&mus[2]);

```
Thread 1 ?
                                                Thread 2
         sum(1, 2)
                                                sum(1, 2)
-pthread mutex lock(&mus[1]);
                                      -pthread mutex lock(&mus[1]);
-pthread mutex lock(&mus[2]);
                                      -pthread mutex lock(&mus[2]);
 int xv = accounts[1]->val;
                                       int xv = accounts[1]->val;
 int yv = accounts[2]->val;
                                       int yv = accounts[2]->val;
-pthread mutex unlock(&mus[1]);
                                      -pthread mutex unlock(&mus[1]);
-pthread mutex unlock(&mus[2]);
                                      -pthread mutex unlock(&mus[2]);
```

### Is it necessary?

NO! If all operations are read-only, there is no need to use lock.

But there's a mixture of write and read-only operations...

### **ReadWrite Lock**

### Conflicting operations

 Two operations are conflicting, if they access the same resource (memory), and at least one is write.

### Only synchronize conflicting operations

ReadWrite Lock

### **ReadWrite Lock**

- RWLock allows >1 readers to hold lock simultaneously
  - Allow concurrent accesses for read-only operations
- RWLock only allows 1 writer to hold the lock
  - Ensure write operations have exclusive access

# pthread API

### Type

– pthread\_rwlock\_t

### Apply a read lock to ask for read permit

– int pthread\_rwlock\_rdlock(pthread\_rwlock\_t \*rwlock)

### Apply a write lock to ask for write permit

– int pthread\_rwlock\_wrlock(pthread\_rwlock\_t \*rwlock)

#### Release lock

– int pthread\_rwlock\_unlock(pthread\_rwlock\_t \*rwlock)

```
account *accounts[10];
pthread rwlock t rwm[10];
void transfer(int x, int y, int amount)
{
    pthread rwlock wrlock(&rwm[x]);
    pthread rwlock wrlock(&rwm[y]);
    accounts[x]->val -= amount;
    accounts[y]->val += amount;
    pthread_rwlock_unlock(&rwm[x]);
    pthread rwlock unlock(&rwm[y]);
}
int sum(int x, int y)
{
    pthread rwlock rdlock(&rwm[x]);
    pthread rwlock rdlock(&rwm[y]);
    int xv = accounts[x]->val;
    int yv = accounts[y]->val;
    pthread rwlock unlock(&rwm[x]);
    pthread rwlock unlock(&rwm[y]);
    return xv + yv;
}
```

```
typedef struct {
   char *name;
   int val;
} account;
```

No thread is able to observe the middle state of the transfer.

```
Thread 3
  Thread 1
                             Thread 2
                                                    transfer(1, 2)
  sum(1, 2)
                             sum(1, 2)
rdlock(&rwm[1]);
rdlock(&rwm[2]);
                           rdlock(&rwm[1]);
                                                     rwlock(&rwm[1]);
xv = accounts[1]->val
                           rdlock(&rwm[2]);
yv = accounts[2]->val
                           xv = counts[1]->val
                                                     wait and block
unlock(&rwm[1]);
                           yv = accounts[2]->val
unlock(&rwm[2]);
```

```
Thread 3
  Thread 1
                              Thread 2
                                                     transfer(1, 2)
  sum(1, 2)
                              sum(1, 2)
rdlock(&rwm[1]);
rdlock(&rwm[2]);
                            rdlock(&rwm[1]);
                                                      rwlock(&rwm[1]);
xv = accounts[1]->val
                            rdlock(&rwm[2]);
yv = accounts[2]->val
                           xv = counts[1]->val
unlock(&rwm[1]);
                           yv = accounts[2]->val
                                                      wait and block
unlock(&rwm[2]);
                            unlock(&rwm[1]);
                            unlock(&rwm[2]);
                                                      rwlock(&rwm[2]);
```

```
Thread 2
                                                          Thread 3
   Thread 1
   sum(1, 2)
                                                       transfer(1, 2)
                               sum(1, 2)
 rdlock(&rwm[1]);
 rdlock(&rwm[2]);
                             rdlock(&rwm[1]);
                                                       rwlock(&rwm[1]);
 xv = accounts[1]->val
                             rdlock(&rwm[2]);
 yv = accounts[2]->val
                             xv = counts[1]->val
 unlock(&rwm[1]);
                             yv = accounts[2]->val
                                                       wait and block
 unlock(&rwm[2]);
                             unlock(&rwm[1]);
                             unlock(&rwm[2]);
                                                       rwlock(&rwm[2]);
                              sum(1, 2)
   sum(1, 2)
                                                      counts[1]->val -= 10
 rdlock(&rwm[1]);
                             rdlock(&rwm[1]);
                                                      accounts[2]->val += 10
wait and block
                           wait and block
```

```
Thread 1
                               Thread 2
                                                          Thread 3
   sum(1, 2)
                                                       transfer(1, 2)
                               sum(1, 2)
 rdlock(&rwm[1]);
 rdlock(&rwm[2]);
                             rdlock(&rwm[1]);
                                                        rwlock(&rwm[1]);
 xv = accounts[1]->val
                             rdlock(&rwm[2]);
 yv = accounts[2]->val
                             xv = counts[1]->val
 unlock(&rwm[1]);
                             yv = accounts[2]->val
                                                       wait and block
 unlock(&rwm[2]);
                             unlock(&rwm[1]);
                             unlock(&rwm[2]);
                                                        rwlock(&rwm[2]);
                               sum(1, 2)
   sum(1, 2)
                                                      counts[1]->val -= 10
 rdlock(&rwm[1]);
                             rdlock(&rwm[1]);
                                                      accounts[2]->val += 10
                                                        unlock(&rwm[1]);
                           wait and block
wait and block
                                                        unlock(&rwm[2]);
 rdlock(&rwm[2]);
                             rdlock(&rwm[2]);
```

```
Thread 1
                                Thread 2
                                                           Thread 3
                                                        transfer(1, 2)
    sum(1, 2)
                               sum(1, 2)
 rdlock(&rwm[1]);
 rdlock(&rwm[2]);
                             rdlock(&rwm[1]);
                                                        rwlock(&rwm[1]);
 xv = accounts[1]->val
                             rdlock(&rwm[2]);
 yv = accounts[2]->val
                             xv = counts[1]->val
 unlock(&rwm[1]);
                             yv = accounts[2]->val
                                                        wait and block
 unlock(&rwm[2]);
                             unlock(&rwm[1]);
                             unlock(&rwm[2]);
                                                        rwlock(&rwm[2]);
   sum(1, 2)
                               sum(1, 2)
                                                       counts[1]->val -= 10
 rdlock(&rwm[1]);
                             rdlock(&rwm[1]);
                                                       accounts[2]->val += 10
                                                        unlock(&rwm[1]);
                            wait and block
wait and block
                                                        unlock(&rwm[2]);
                             rdlock(&rwm[2]);
 rdlock(&rwm[2]);
 xv = accounts[1]->val
                             xv = accounts[1]->val
                             yv = accounts[2]->val
 yv = accounts[2]->val
                              unlock(&rwm[1]);
 unlock(&rwm[1]);
                              unlock(&rwm[2]);
 unlock(&rwm[2]);
```

# Question

Can you implement your own RW lock with mutex and condition variable?

To make it simple, we have four interfaces:

```
write_lock(rwlock_t *)/ write_unlock(rwlock_t *)
read_lock(rwlock_t *)/ read_unlock(rwlock_t *)
```

# **Implementation I**

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers; // number of readers holding the lock
  int writer; // number of writers holding the lock
} rwlock_t;
```

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
} rwlock_t;

void read_lock(rwlock_t* rwl) {
  pthread_mutex_lock(&rwl->mutex);
  while(rwl->writers != 0) {
```

```
typedef struct {
  pthread_mutex_t mutex;
                                                   1<sup>st</sup> Implementation
  pthread cond t cond;
  int readers;
  int writer;
} rwlock_t;
void read_lock(rwlock_t* rwl) {
  pthread_mutex_lock(&rwl->mutex);
  while(rwl->writers != 0) {
    pthread_cond_wait(&rwl->cond,
                        &rwl->mutex);
  rwl->readers++;
  pthread_mutex_unlock(&rwl->mutex);
```

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
} rwlock_t;
```

```
void write_lock(rwlock_t* rwl) {
  pthread_mutex_lock(&rwl->mutex);
  while(rwl->readers > 0)
```

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
} rwlock_t;
```

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
} rwlock_t;
```

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
} rwlock_t;
```

```
typedef struct {
   pthread_mutex_t mutex;
   pthread_cond_t cond;
   int readers;
   int writer;
} rwlock_t;

void read_lock(rwlock_t*
   pthread mutex lock(&rwlock_t*)
```

```
typedef struct {
   pthread mutex t mutex;
   pthread cond t cond;
   int readers;
   int writer;
 } rwlock t;
 void read lock(rwlock t* rwl) {
   pthread mutex lock(&rwl->mutex);
   while(rwl->writers != 0) {
     pthread cond wait(&rwl->cond,
                         &rwl->mutex);
   rwl->readers++;
   pthread mutex unlock(&rwl->mutex);
void read unlock(rwlock t* rwl) {
  pthread mutex lock(&rwl->mutex);
  rwl->readers--;
  if(reader == 0)
    pthread cond broadcast(&rwl->cond);
  pthread mutex unlock(&rwl->mutex);
```

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
} rwlock_t;
```

```
void read lock(rwlock t* rwl) {
   pthread mutex lock(&rwl->mutex);
   while(rwl->writers != 0) {
     pthread cond wait(&rwl->cond,
                          &rwl->mutex);
   rwl->readers++;
   pthread mutex unlock(&rwl->mutex);
void read unlock(rwlock_t* rwl) {
  pthread mutex lock(&rwl->mutex);
  rwl->readers--;
  if(reader == 0)
    pthread cond broadcast(&rwl->cond);
  pthread_mutex_unlock(&rwl->mutex);
```

```
void write lock(rwlock t* rwl) {
    pthread mutex lock(&rwl->mutex);
   while(rwl->writer != 0
          || rwl->readers > 0) {
     pthread cond wait(&rwl->cond,
                          &rwl->mutex);
   rwl->writer++;
   pthread mutex unlock(&rwl->mutex);
void write unlock(rwlock t* rwl) {
  pthread mutex lock(&rwl->mutex);
  rwl->writer--;
  pthread_cond_broadcast(&rwl->cond);
  pthread mutex unlock(&rwl->mutex);
```

# **Example Read/Write Counter**

```
int global;
rwlock t rwlock;
int get() {
  read lock(&rwlock);
  int v = global;
  read unlock(&rwlock);
  return v;
void update() {
  write_lock(&rwlock);
  global++;
  write_unlock(&rwlock);
```

Multiple threads invoke get and update functions concurrently.

rwlock < readers: 0, writer: 0 >

```
rwlock < readers: 1, writer: 0 >
                        Thread 2
                                             Thread 3
     Thread 1
                                              update()
                         get()
       get()
read_lock(&rwlock);
void read lock(rwlock t* rwl) {
  pthread_mutex_lock(&rwl->mutex);
  while(rwl->writers != 0) {
    pthread_cond_wait(&rwl->cond,
                       &rwl->mutex);
  reader++;
  pthread mutex unlock(&rwl->mutex);
```

```
rwlock < readers: 2, writer: 0 >
                                              Thread 3
      Thread 1
                         Thread 2
       get()
                                               update()
                          get()
read_lock(&rwlock);
                      read lock(&rwlock);
int v = global;
 void read lock(rwlock t* rwl) {
  pthread_mutex_lock(&rwl->mutex);
  while(rwl->writers != 0) {
    pthread_cond_wait(&rwl->cond,
                       &rwl->mutex);
  reader++;
  pthread mutex unlock(&rwl->mutex);
```

```
rwlock < readers: 0, writer: 0 >
                                           Thread 3
     Thread 1
                        Thread 2
                                            update()
       get()
                         get()
read_lock(&rwlock);
                    read lock(&rwlock);
                                          write lock(&rwlock);
int v = global;
                    int v = global;
                                        read unlock(&rwlock);
                                        wait and block
                   read unlock(&rwlock);
```

```
rwlock < readers: 0, writer: 1 >
                                           Thread 3
     Thread 1
                       Thread 2
                                            update()
       get()
                         get()
read_lock(&rwlock);
                    read lock(&rwlock);
                                          write lock(&rwlock);
int v = global;
                    int v = global;
                                        read unlock(&rwlock);
                                        wait and block
                   read unlock(&rwlock);
```

```
rwlock < readers: 0, writer: 1 >
                                               Thread 3
      Thread 1
                          Thread 2
                                                 update()
        get()
                           get()
read_lock(&rwlock);
                      read lock(&rwlock);
                                              write lock(&rwlock);
int v = global;
                      int v = global;
                                            read unlock(&rwlock);
                                            wait and block
                      read unlock(&rwlock);
                                              global++;
                                             void write lock(rwlock t* rwl) {
void read unlock(rwlock t* rwl) {
                                               pthread_mutex_lock(&rwl->mutex);
 pthread mutex lock(&rwl->mutex);
                                              while(rwl->writer != 0
 reader--;
                                                    || rwl->readers > 0) {
 if(reader == 0)
                                                pthread cond wait(&rwl->cond,
    pthread cond broadcast(&rwl->cond);
                                                                    &rwl->mutex);
 pthread_mutex_unlock(&rwl->mutex);
                                              writer++;
                                              pthread mutex unlock(&rwl->mutex);
```

```
rwlock < readers: 0, writer: 1 >
                                               Thread 3
      Thread 1 ?
                          Thread 2
                                                                     Thread 4
                                                                       get()
                                                update()
       get()
                           get()
read lock(&rwlock);
                      read lock(&rwlock);
                                              write lock(&rwlock);
int v = global;
                      int v = global;
                                            read unlock(&rwlock);
                                            wait and block
                     read unlock(&rwlock);
                                              global++;
                                                                   read lock(&rwlock);
                                                                  wait and block
                                            void write lock(rwlock t* rwl) {
 void read lock(rwlock t* rwl) {
                                              pthread mutex lock(&rwl->mutex);
   pthread mutex lock(&rwl->mutex);
                                              while(rwl->writer != 0
   while(rwl->writers != 0) {
                                                    || rwl->readers > 0) {
     pthread cond wait(&rwl->cond,
                                                pthread cond wait(&rwl->cond,
                        &rwl->mutex);
                                                                   &rwl->mutex);
   reader++;
                                              writer++;
   pthread mutex unlock(&rwl->mutex);
```

pthread mutex unlock(&rwl->mutex);

```
rwlock < readers: 0, writer: 0 >
                                               Thread 3
      Thread 1 ?
                          Thread 2
                                                                     Thread 4
                                                                       get()
                                                update()
       get()
                           get()
read lock(&rwlock);
                      read lock(&rwlock);
                                              write lock(&rwlock);
int v = global;
                      int v = global;
                                            read unlock(&rwlock);
                                            wait and block
                     read unlock(&rwlock);
                                              global++;
                                                                   read lock(&rwlock);
                                             write_unlock(&rwlock); wait and block
 void read lock(rwlock t* rwl) {
                                            void write unlock(rwlock t* rwl) {
   pthread mutex lock(&rwl->mutex);
                                              pthread mutex lock(&rwl->mutex);
   while(rwl->writers != 0) {
                                              writer--;
     pthread cond_wait(&rwl->cond,
                                              pthread cond broadcast(&rwl->cond);
                        &rwl->mutex);
                                              pthread mutex unlock(&rwl->mutex);
   reader++;
   pthread mutex unlock(&rwl->mutex);
```

```
rwlock < readers: 1, writer: 0 >
                                               Thread 3
                          Thread 2
                                                                     Thread 4
      Thread 1 ?
                                                                       get()
                                                 update()
       get()
                           get()
read_lock(&rwlock);
                      read lock(&rwlock);
                                              write lock(&rwlock);
int v = global;
                      int v = global;
                                            read unlock(&rwlock);
                                            wait and block
                     read unlock(&rwlock);
                                              global++;
                                                                   read lock(&rwlock);
                                              write_unlock(&rwlock); wait and block
                                                                    int v = global;
 void read lock(rwlock t* rwl) {
                                            void write unlock(rwlock t* rwl) {
   pthread mutex lock(&rwl->mutex);
                                              pthread mutex lock(&rwl->mutex);
   while(rwl->writers != 0) {
                                              writer--;
     pthread cond_wait(&rwl->cond,
                                              pthread cond broadcast(&rwl->cond);
                        &rwl->mutex);
                                              pthread mutex unlock(&rwl->mutex);
   reader++;
   pthread mutex unlock(&rwl->mutex);
```

```
Thread 3
                          Thread 2
                                                                      Thread 4
      Thread 1 ?
                                                 update()
                                                                        get()
        get()
                            get()
read lock(&rwlock);
                      read lock(&rwlock);
                                               write lock(&rwlock);
int v = global;
                      int v = global;
                                             read unlock(&rwlock);
                                             wait and block
                      read unlock(&rwlock);
                                               global++;
                                                                    read lock(&rwlock);
                                              write_unlock(&rwlock); wait and block
                                                                     int v = global;
                                                                     read unlock(&rwlock);
 void read lock(rwlock t* rwl) {
                                             void write unlock(rwlock t* rwl) {
   pthread mutex lock(&rwl->mutex);
                                               pthread mutex lock(&rwl->mutex);
   while(rwl->writers != 0) {
                                               writer--;
     pthread cond_wait(&rwl->cond,
                                               pthread cond broadcast(&rwl->cond);
                        &rwl->mutex);
                                               pthread mutex unlock(&rwl->mutex);
   reader++;
   pthread mutex unlock(&rwl->mutex);
```

rwlock < readers: 0, writer: 0 >

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
} rwlock_t;
```

#### Can readers starve writers?

```
void read lock(rwlock t* rwl) {
   pthread mutex lock(&rwl->mutex);
   while(rwl->writers != 0) {
     pthread cond wait(&rwl->cond,
                          &rwl->mutex);
   rwl->reader++;
   pthread mutex unlock(&rwl->mutex);
void read unlock(rwlock t* rwl) {
  pthread mutex lock(&rwl->mutex);
  reader--;
  if(reader == 0)
    pthread cond broadcast(&rwl->cond);
  pthread_mutex_unlock(&rwl->mutex);
```

```
void write lock(rwlock t* rwl) {
   pthread mutex lock(&rwl->mutex);
   while(rwl->writer != 0
          || rwl->readers > 0) {
     pthread cond wait(&rwl->cond,
                          &rwl->mutex);
   writer++;
   pthread_mutex_unlock(&rwl->mutex);
void write unlock(rwlock t* rwl) {
  pthread mutex lock(&rwl->mutex);
 writer--;
  pthread cond broadcast(&rwl->cond);
  pthread mutex unlock(&rwl->mutex);
```

rwlock < readers: 0, writer: 0 >

```
rwlock < readers: 1, writer: 0 >
                        Thread 2
                                             Thread 3
     Thread 1
                                              update()
                         get()
       get()
read_lock(&rwlock);
void read lock(rwlock t* rwl) {
  pthread_mutex_lock(&rwl->mutex);
  while(rwl->writers != 0) {
    pthread_cond_wait(&rwl->cond,
                       &rwl->mutex);
  reader++;
  pthread mutex unlock(&rwl->mutex);
```

```
rwlock < readers: 2, writer: 0 >
                                              Thread 3
      Thread 1
                         Thread 2
       get()
                                               update()
                          get()
read_lock(&rwlock);
                      read lock(&rwlock);
int v = global;
 void read lock(rwlock t* rwl) {
  pthread_mutex_lock(&rwl->mutex);
  while(rwl->writers != 0) {
    pthread_cond_wait(&rwl->cond,
                       &rwl->mutex);
  reader++;
  pthread mutex unlock(&rwl->mutex);
```

#### rwlock < readers: 2, writer: 0 >

```
Thread 4 ?
                                            Thread 3
      Thread 1 ?
                        Thread 2
                                                                   get()
                                              update()
       get()
                          get()
read_lock(&rwlock);
                     read lock(&rwlock);
                                           write lock(&rwlock);
int v = global;
                     int v = global;
                                         read unlock(&rwlock);
                                                               read lock(&rwlock);
                                         wait and block
```

```
void read lock(rwlock t* rwl) {
                                             void write lock(rwlock t* rwl) {
  pthread_mutex_lock(&rwl->mutex);
                                                pthread mutex lock(&rwl->mutex);
                                               while(rwl->writer != 0
  while(rwl->writers != 0) {
                                                      || rwl->readers > 0) {
    pthread cond wait(&rwl->cond,
                                                  pthread cond wait(&rwl->cond,
                        &rwl->mutex);
                                                                      &rwl->mutex);
  reader++;
  pthread mutex unlock(&rwl->mutex);
                                               writer++;
                                               pthread mutex unlock(&rwl->mutex);
}
```

#### rwlock < readers: 1, writer: 0 >

```
Thread 3
     Thread 1 ?
                       Thread 2
                                                              Thread 4
                                                               get()
                                           update()
       get()
                        get()
read lock(&rwlock);
                    read lock(&rwlock);
                                         write lock(&rwlock);
int v = global;
                    int v = global;
                                       read unlock(&rwlock);
                                                            read lock(&rwlock);
                                       read unlock(&rwlock);
                                       wait and block
```

```
rwlock < readers: 1, writer: 0 >
      Thread 1 ?
                                              Thread 3
                         Thread 2
                                                                    Thread 4
                                                                      get()
                                                update()
       get()
                           get()
read lock(&rwlock);
                      read lock(&rwlock);
                                             write lock(&rwlock);
int v = global;
                      int v = global;
                                           read unlock(&rwlock);
                                                                  read lock(&rwlock);
                                           read unlock(&rwlock);
                                           wait and block
                                                                   int v = global;
                                            wait and block
                                           void write lock(rwlock t* rwl) {
void read unlock(rwlock t* rwl) {
                                             pthread mutex lock(&rwl->mutex);
 pthread mutex lock(&rwl->mutex);
                                             while(rwl->writer != 0
 reader--;
                                                   || rwl->readers > 0) {
 if(reader == 0)
                                               pthread cond wait(&rwl->cond,
   pthread cond broadcast(&rwl->cond);
                                                                  &rwl->mutex);
 pthread_mutex_unlock(&rwl->mutex);
                                             writer++;
```

pthread mutex unlock(&rwl->mutex);

```
rwlock < readers: 0, writer: 1 >
                                               Thread 3
      Thread 1 ?
                          Thread 2
                                                                    Thread 4
                                                                      get()
                                                update()
        get()
                           get()
read lock(&rwlock);
                      read lock(&rwlock);
                                             write lock(&rwlock);
int v = global;
                      int v = global;
                                           read unlock(&rwlock);
                                                                  read lock(&rwlock);
                                            read unlock(&rwlock);
                                            wait and block
                                                                   int v = global;
                                            wait and block
                                                                  read unlock(&rwlock);
                                            void write lock(rwlock t* rwl) {
void read unlock(rwlock t* rwl) {
                                              pthread mutex lock(&rwl->mutex);
 pthread mutex lock(&rwl->mutex);
                                              while(rwl->writer != 0
 reader--;
                                                   || rwl->readers > 0) {
 if(reader == 0)
                                               pthread cond wait(&rwl->cond,
   pthread cond broadcast(&rwl->cond);
                                                                  &rwl->mutex);
 pthread_mutex_unlock(&rwl->mutex);
                                              writer++;
                                              pthread mutex unlock(&rwl->mutex);
```

```
rwlock < readers: 0, writer: 1 >
                                                Thread 3
                                                                      Thread 4
      Thread 1 ?
                          Thread 2
                                                                        get()
                                                 update()
        get()
                            get()
read lock(&rwlock);
                      read lock(&rwlock);
                                              write lock(&rwlock);
int v = global;
                      int v = global;
                                            wait and block
read unlock(&rwlock);
                                                                    read lock(&rwlock);
                                             read unlock(&rwlock);
                                             wait and block
                                                                     int v = global;
                                             wait and block
                                                                    read unlock(&rwlock);
                                               global++;
                                               write unlock(&rwlock);
                                             void write lock(rwlock t* rwl) {
void read unlock(rwlock t* rwl) {
                                               pthread mutex lock(&rwl->mutex);
 pthread mutex lock(&rwl->mutex);
                                               while(rwl->writer != 0
 reader--;
                                                     || rwl->readers > 0) {
 if(reader == 0)
                                                 pthread cond wait(&rwl->cond,
    pthread cond broadcast(&rwl->cond);
                                                                    &rwl->mutex);
 pthread_mutex_unlock(&rwl->mutex);
                                               writer++;
                                               pthread mutex unlock(&rwl->mutex);
```

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
} rwlock_t;
```

Yes, readers cam starve writers

```
void read lock(rwlock t* rwl) {
   pthread mutex lock(&rwl->mutex);
   while(rwl->writers != 0) {
     pthread cond wait(&rwl->cond,
                          &rwl->mutex);
   reader++;
   pthread mutex unlock(&rwl->mutex);
void read unlock(rwlock_t* rwl) {
  pthread mutex lock(&rwl->mutex);
  reader--;
  if(reader == 0)
    pthread cond broadcast(&rwl->cond);
  pthread_mutex_unlock(&rwl->mutex);
```

```
void write lock(rwlock t* rwl) {
    pthread mutex lock(&rwl->mutex);
   while(rwl->writer != 0
          || rwl->readers > 0) {
     pthread cond wait(&rwl->cond,
                          &rwl->mutex);
   writer++;
   pthread_mutex_unlock(&rwl->mutex);
void write unlock(rwlock t* rwl) {
  pthread mutex lock(&rwl->mutex);
 writer--;
  pthread cond broadcast(&rwl->cond);
  pthread mutex unlock(&rwl->mutex);
```

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
  int w_waiters;
} rwlock t;
```

void read\_lock(rwlock\_t\* rwl) {

while(rwl->writer != 0

pthread\_mutex\_lock(&rwl->mutex);

|| rwl->w\_waiters != 0 ) {

# **2<sup>nd</sup> Implementation**

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
  int w_waiters;
} rwlock_t;

void read_lock(rwlock_t* repthread mutex_lock(&rwl-
```

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
  int w_waiters;
} rwlock_t;
```

```
void write_lock(rwlock_t* rwl) {
  pthread_mutex_lock(&rwl->mutex);
  rwl->w_waiters++;
```

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
  int w_waiters;
} rwlock_t;
```

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
  int w_waiters;
} rwlock_t;
```

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
  int w_waiters;
} rwlock_t;
```

```
void read lock(rwlock t* rwl) {
   pthread mutex lock(&rwl->mutex);
   while(rwl->writer != 0
          || rwl->w waiters != 0 ) {
     pthread cond wait(&rwl->cond,
                          &rwl->mutex);
   rwl->readers++;
   pthread mutex unlock(&rwl->mutex);
void read unlock(rwlock t* rwl) {
  pthread mutex lock(&rwl->mutex);
  rwl->readers--;
  if(reader == 0)
    pthread cond broadcast(&rwl->cond);
  pthread mutex unlock(&rwl->mutex);
```

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
  int w_waiters;
} rwlock_t;
```

```
void read lock(rwlock t* rwl) {
   pthread mutex lock(&rwl->mutex);
   while(rwl->writer != 0
          || rwl->w waiters != 0 ) {
     pthread cond wait(&rwl->cond,
                          &rwl->mutex);
   rwl->readers++;
   pthread mutex unlock(&rwl->mutex);
void read unlock(rwlock t* rwl) {
  pthread mutex lock(&rwl->mutex);
  rwl->readers--;
  if(reader == 0)
    pthread cond broadcast(&rwl->cond);
  pthread mutex unlock(&rwl->mutex);
```

```
void write lock(rwlock t* rwl) {
  pthread_mutex_lock(&rwl->mutex);
  rwl->w waiters++;
  while(rwl->writer != 0
        | | rwl->readers > 0) {
    pthread cond wait(&rwl->cond,
                        &rwl->mutex);
  rwl->writer++;
  rwl->w waiters--;
  pthread mutex unlock(&rwl->mutex);
void write_unlock(rwlock_t* rwl) {
  pthread mutex lock(&rwl->mutex);
  rwl->writer--;
  pthread cond broadcast(&rwl->cond);
  pthread mutex unlock(&rwl->mutex);
```

rwlock < readers: 0, writer: 0, w\_waiters: 0 >

```
pthread mutex lock(&rwl->mutex);
                                                  rwl->w waiters++;
void read lock(rwlock_t* rwl) {
                                                  while(rwl->writer != 0
  pthread_mutex_lock(&rwl->mutex);
                                                        || rwl->readers > 0) {
  while(rwl->writer != 0
                                                    pthread cond_wait(&rwl->cond,
         || rwl->w waiters != 0 ) {
                                                                        &rwl->mutex);
    pthread cond wait(&rwl->cond,
                        &rwl->mutex);
                                                  rwl->writer++;
                                                  rwl->w waiters--;
  rwl->readers++;
                                                  pthread mutex unlock(&rwl->mutex);
  pthread mutex unlock(&rwl->mutex);
}
```

void write lock(rwlock t\* rwl) {

void write lock(rwlock t\* rwl) {

#### rwlock < readers: 1, writer: 0, w\_waiters: 1 >

```
Thread 2
                                            Thread 3
                                                                Thread 4
      Thread 1 ?
                                                                  get()
                                             update()
       get()
                         get()
read lock(&rwlock);
                    read lock(&rwlock);
                                           write lock(&rwlock);
int v = global;
                    int v = global;
                                         read unlock(&rwlock);
                                                              read lock(&rwlock);
                                         wait and block
```

```
void write lock(rwlock t* rwl) {
                                                  pthread mutex lock(&rwl->mutex);
                                                  rwl->w waiters++;
void read lock(rwlock t* rwl) {
                                                  while(rwl->writer != 0
  pthread_mutex_lock(&rwl->mutex);
                                                        || rwl->readers > 0) {
  while(rwl->writer != 0
                                                    pthread cond_wait(&rwl->cond,
         | | rwl->w waiters != 0 ) {
                                                                         &rwl->mutex);
    pthread cond wait(&rwl->cond,
                        &rwl->mutex);
                                                  rwl->writer++;
                                                  rwl->w waiters--;
  rwl->readers++;
                                                  pthread mutex unlock(&rwl->mutex);
  pthread mutex unlock(&rwl->mutex);
}
```

#### rwlock < readers: 0, writer: 0, w\_waiters: 1 >

```
Thread 2
                                          Thread 3
                                                             Thread 4
     Thread 1
                                                               get()
                                           update()
      get()
                        get()
read lock(&rwlock);
                   read lock(&rwlock);
                                        write lock(&rwlock);
int v = global;
                   int v = global;
                                       read unlock(&rwlock);
                                                           read lock(&rwlock);
                                       read unlock(&rwlock);
                                       wait and block
                                                          wait and block
```

void write lock(rwlock t\* rwl) {

#### rwlock < readers: 0, writer: 0, w\_waiters: 0 >

```
Thread 2
                                          Thread 3
                                                             Thread 4
     Thread 1 ?
                                                               get()
                                           update()
      get()
                        get()
read lock(&rwlock);
                   read lock(&rwlock);
                                         write lock(&rwlock);
int v = global;
                   int v = global;
                                       wait and block
read unlock(&rwlock);
                                       wait and block
                                                             read lock(&rwlock);
                   read unlock(&rwlock);
                                       wait and block
                                                             wait and block
                                          global++;
                                                             wait and block
```

```
void read_unlock(rwlock_t* rwl) {
  pthread_mutex_lock(&rwl->mutex);
  rwl->readers--;
  if(reader == 0)
    pthread_cond_broadcast(&rwl->cond);
  pthread_mutex_unlock(&rwl->mutex);
}

void write_unlock(rwlock_t* rwl) {
  pthread_mutex_lock(&rwl->mutex);
  pthread_cond_broadcast(&rwl->cond);
  pthread_cond_broadcast(&rwl->cond);
  pthread_mutex_unlock(&rwl->mutex);
}
```

```
rwlock < readers: 1, writer: 0, w_waiters: 0 >
```

```
Thread 3
                                                                    Thread 4
      Thread 1 ?
                         Thread 2
                                                                      get()
                                                update()
       get()
                           get()
read lock(&rwlock);
                      read lock(&rwlock);
                                             write lock(&rwlock);
int v = global;
                      int v = global;
                                           wait and block
read unlock(&rwlock);
                                           wait and block
                                                                   read lock(&rwlock);
                     read unlock(&rwlock);
                                           wait and block
                                                                    wait and block
                                              global++;
                                                                    wait and block
                                              int v = global;
void read lock(rwlock t* rwl) {
  pthread_mutex_lock(&rwl->mutex);
  while(rwl->writer != 0
        || rwl->w waiters != 0 ) {
                                             void write unlock(rwlock t* rwl) {
    pthread cond wait(&rwl->cond,
                                               pthread mutex lock(&rwl->mutex);
                      &rwl->mutex);
                                               rwl->writer--;
                                               pthread cond broadcast(&rwl->cond);
  rwl->readers++;
                                               pthread mutex unlock(&rwl->mutex);
  pthread mutex unlock(&rwl->mutex);
                                             }
}
```

```
typedef struct {
  pthread_mutex_t mutex;
  pthread_cond_t cond;
  int readers;
  int writer;
  int w_waiters;
} rwlock t;
```

Favor writer over reader.

Blocked writers always preempt blocked readers

```
void read lock(rwlock t* rwl) {
   pthread mutex lock(&rwl->mutex);
   while(rwl->writer != 0
          || rwl->w waiters != 0 ) {
     pthread cond wait(&rwl->cond,
                          &rwl->mutex);
   rwl->readers++;
   pthread mutex unlock(&rwl->mutex);
void read unlock(rwlock t* rwl) {
  pthread mutex lock(&rwl->mutex);
  rwl->readers--;
  if(reader == 0)
    pthread cond broadcast(&rwl->cond);
  pthread mutex unlock(&rwl->mutex);
```

```
void write lock(rwlock t* rwl) {
  pthread_mutex_lock(&rwl->mutex);
  rwl->w waiters++;
  while(rwl->writer != 0
        | | rwl->readers > 0) {
    pthread cond wait(&rwl->cond,
                        &rwl->mutex);
  rwl->writer++;
  rwl->w waiters--;
  pthread mutex unlock(&rwl->mutex);
void write_unlock(rwlock t* rwl) {
  pthread mutex lock(&rwl->mutex);
  rwl->writer--;
  pthread cond broadcast(&rwl->cond);
  pthread mutex unlock(&rwl->mutex);
```

# **Advanced Topics**

Randomly wakeup either all readers or one writer

Use separate condition variable

Locks are dealt out in the order they are requested

 Create one conditional variable for each waiter, and signal all readers or a single writer, both at the head of the queue