

# Summary

The homework is to identify handwriting figures with Single-layer Linear Perceptron, Single-layer Perceptron, Multi-layer Perceptron, and Convolutional Neural Network:

1. `mini_batch_x, mini_batch_y = get_mini_batch(im_train, label_train, batch_size)`

Training images are separated into small batches. The whole data samples are divided into (length / batch\_size) parts, and images are taken from these parts (one part one image) to form a mini batch.

2. `y = fc(x, w, b)`

Simply,  $y = X * W + b$ .

3. `dl_dx, dl_dw, dl_db = fc_backward(dl_dy, x, w, b, y)`

With the back-propagation formula,  $dl\_dx = dl\_dy * w$ ,  $dl\_dw = dl\_dy * x$ ,  $dl\_db = dl\_dy$ .

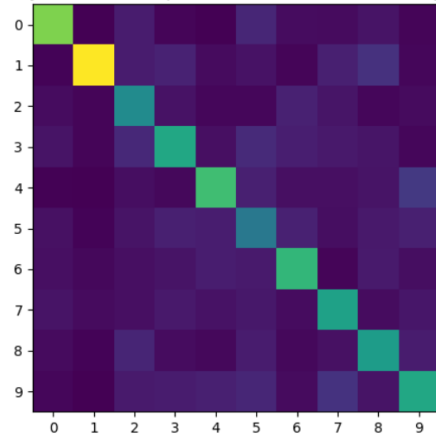
4. `l, dl_dy = loss_euclidean(y_tilde, y)`

The  $l$  is the Euclidean distance of  $y\_tilde$  to  $y$ ,  $dl\_dy$  is the derivative of  $l$ .

5. `w, b = train_slp_linear(mini_batch_x, mini_batch_y)`

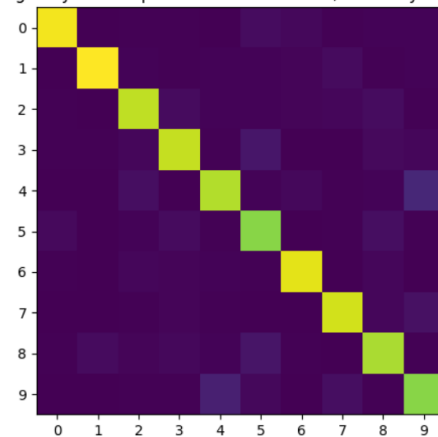
In this Single-layer Linear Perceptron, the learning rate is 0.016 while the decay rate is 0.5 and the iteration time for the training is 5000. The accuracy obtained is 58.2% (**FIG.1**).

Single-layer Linear Perceptron Confusion Matrix, accuracy = 0.582



**FIG.1 Single-layer Linear Perceptron**

Single-layer Perceptron Confusion Matrix, accuracy = 0.882



**FIG.2 Single-layer Perceptron**

6. `l, dl_dy = loss_cross_entropy_softmax(x, y)`

Loss cross entropy with softmax is defined as the  $-1 * \text{the sum of } [y * \ln(y\_prediction)]$ , and  $dl\_dy$  in this cross entropy is  $y\_prediction - y$ .

7. `w, b = train_slp(mini_batch_x, mini_batch_y)`

In this Single-layer Perceptron, the learning rate is 0.32 while the decay rate is 0.5 and the iteration time for the training is 5000. The accuracy obtained is 88.2% (**FIG.2**), higher than Single-layer Linear Perceptron.

8. `y = relu(x)`

In this function,  $e$ , 0.01, is set as the leaky ReLU parameter. Leaky ReLU performs better than ReLU in CNN.

9. `dl_dx = relu_backward(dl_dy, x, y)`

When using leaky ReLU, the derivation is 1 when  $y \geq 0$  and  $e$  when  $y < 0$  ( $e = 0.01$  in the code).

10. `w1, b1, w2, b2 = train_mlp(mini_batch_x, mini_batch_y)`

In this Multi-layer Perceptron, the learning rate is 0.64 while the decay rate is 0.9 and the iteration time for the training is 5000. The accuracy obtained is 91.3% (**FIG.3**).

11. `im_col = im2col(im, f_h, f_w, stride=1, pad=1)`

I defined a function `im2col`. For the input, `im` is the image, `f_h` and `f_w` is the size of the filter, `stride` is the stride for the convolution, `pad` is the size of the zeros around the original images. `Im2col` function can help increase the speed of the CNN training.

12. `y = conv(x, w_conv, b_conv)`

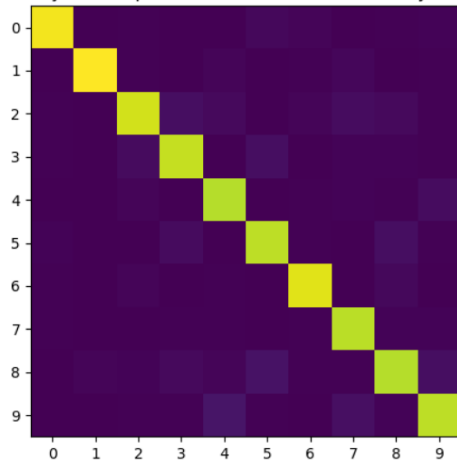
With the input `x`, `x_new` is obtained with `im2col(x...)`, `w_conv` is reshaped to fit the size of `x_new` and `y` is obtained by the equation  $y = w\_conv * x\_new + b$ .

13. `dl_dw, dl_db = conv_backward(dl_dy, x, w_conv, b_conv, y)`  
With the reshaping and `im2col` methods, `dl_dw = dl_dy * x`, `dl_db = dl_dy`.
14. `y = pool2x2(x)`  
With a 2x2 window and stride 2, the largest pixel is kept in the window.
15. `dl_dx = pool2x2_backward(dl_dy, x, y)`  
This function is to move pixels in `dl_dy` into corresponding `dl_dx` coordinates, it's the reverse method of max pooling.
16. `y = flattening(x)`  
Flatten `x` into a long single line vector.
17. `dl_dx = flattening_backward(dl_dy, x, y)`  
Reverse what we did in function `flattening`.
18. `w_conv, b_conv, w_fc, b_fc = train_cnn(mini_batch_x, mini_batch_y)`  
In CNN, the learning rate is 3.2 while the decay rate is 0.8 and the iteration time for the training is 12500. The accuracy obtained is 92.2% (**FIG.4**). In my case, the training speed of CNN is slow, so once I got good `W` and `B`, I saved them and put them into the model again with other learning rates or decay rates to expect a higher accuracy. The processes are shown in TABLE.1 below.

TABLE.1 Processes for increasing the accuracy of CNN prediction

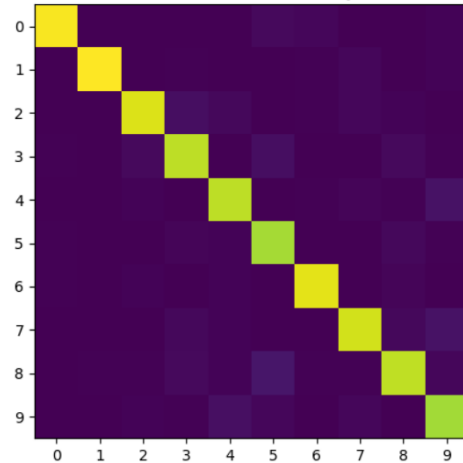
	Learning rate	Decay rate	iterations	Iterations to decay	Accuracy obtained
<b>1</b>	3.2	0.8	10000	1000	0.916
<b>2</b>	3.2	0.8	1000	100	0.917
<b>3</b>	3.2	0.5	500	100	0.919
<b>4</b>	3.2	0.1	500	100	0.920
<b>5</b>	3.2	0.1	500	100	0.922

Multi-layer Perceptron Confusion Matrix, accuracy = 0.913



**FIG.3** Multi-layer Perceptron

CNN Confusion Matrix, accuracy = 0.922



**FIG.4** Convolutional Neural Network