

17.4 Dynamic Tables

A dynamic table is a data-structure such as an array, stack, heap, etc. that can expand when full and contract when under-utilised -

We will think of a table as an array -

For a table T , the load factor of T

is $\underline{\alpha(T)} = \frac{\text{# items stored in } T}{\text{capacity of } T} = \frac{T.\text{num}}{T.\text{size}}$

so $0 \leq \alpha(T) \leq 1$

First, let's consider Table - Expansion

If the table is full and we want to insert a new item, then we declare a new table, double the size, of the current table, copy existing items across and insert new item.

Table-Insert(T, x)

{ $T.size = 0$

allocate $T.table$ with 1 slot

$T.size = 1$

} $T.size = T.size$

allocate new-table with $2 \cdot T.size$ slots

insert all items in $T.table$ in new-table

free $T.table$

$T.table = \text{new-table}$

$T.size = 2 \cdot T.size$

insert x into $T.table$

insert x into T .table

$T.\text{num} = T.\text{num} + 1$

Table

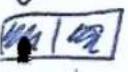
Cost

1st insert



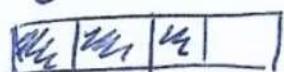
1

2nd insert



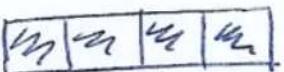
2

3rd insert



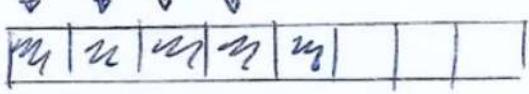
3

4th insert



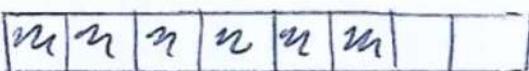
1

5th insert



5

6th insert



1

etc.

what is the cost of n Table-Inserts ?

$$c_i = \begin{cases} i & \text{if } i-1 \text{ is a power of 2} \\ 1 & \text{otherwise} \end{cases}$$

Thus,

$$\sum_{i=1}^n c_i = \sum_{k=0}^{\lfloor \log n \rfloor} (2^k + 1) + n - \lfloor \log n \rfloor - 1.$$

when $i-1 = 2^k$
so $i = 2^k + 1$

when $i-1$ is
not a power of 2

Thus,

$$\sum_{i=1}^n c_i = \sum_{k=0}^{\lfloor \log n \rfloor} (2^k + 1) + \underbrace{n - \lfloor \log n \rfloor - 1}_{\text{when } i-1 \text{ is not a power of 2}}$$

when $i-1 = 2^k$
 so $i = 2^k + 1$

$$\begin{aligned} &= \sum_{k=0}^{\lfloor \log n \rfloor} 2^k + (\lfloor \log n \rfloor + 1) + n - \lfloor \log n \rfloor - 1 \\ &= \sum_{k=0}^{\lfloor \log n \rfloor} 2^k + n \end{aligned}$$

$$\begin{aligned}&= \frac{2^{\lfloor \log n \rfloor + 1} - 1}{2 - 1} + n \\&= 2 \cdot 2^{\lfloor \log n \rfloor} + n - 1 \\&\leq 2 \cdot 2^{\lfloor \log n \rfloor} + n \\&= 2n + n\end{aligned}$$

Thus, the amortized cost of each
Table-Insert is $\leq \frac{3n}{n} = 3$. 

Thinking in terms of the accounting method

← credit

2			
2			
2	m		
2	m	2	
2	m	2	
0	1	2	2
2	2	2	2
m	m	m	m
m	m		
m	m		
0	0	0	1
2	2	2	2
2	2	2	2

0	0	0	1	2	2	2	2
2	3	2	3	3	2	2	2

0	0	0	0	0	0	0	0	1	2
2	3	2	3	2	3	2	2	2	2

etc.

In terms of the potential method :

Set $\Phi(T) = 2 \cdot T.\text{num} - T.\text{size}$

(Note : $\Phi(T) \geq 0$, $\Phi(T_0) = 0$.)

Then the amortized cost of Table-Insert is

$$\hat{c}_i = c_i + \Phi(T_i) - \Phi(T_{i-1})$$

Case 1 : No expansion

$$\begin{aligned}\hat{c}_i &= 1 + \frac{(2\text{num}_i - \text{size}_i)}{(2\text{num}_{i-1} - \text{size}_{i-1})} \\ &= 1 + 2\left(\frac{\text{num}_{i-1} + 1}{\text{size}_{i-1}}\right) - \frac{\text{size}_{i-1} - 2\text{num}_{i-1} + \text{size}_{i-1}}{\text{size}_{i-1}} \\ &= 3\end{aligned}$$

Case 2: Table expansion ($i-1$ is power of 2)

$$\begin{aligned}\hat{c}_i &= i + (2\text{num}_i - \text{size}_i) - (2\text{num}_{i-1} - \text{size}_{i-1}) \\ &= i + 2(\text{num}_{i-1} + 1) - 2\text{size}_{i-1} - 2\text{num}_{i-1} + \text{size}_{i-1} \\ &= i + 2 - \text{size}_{i-1} \\ &= i + 2 - (i-1) \\ &= 3\end{aligned}$$

\equiv

Table expansion and contraction

Suppose we want to support a Table-Delete operation and to contract the table when its load factor goes below a certain value.

Contracting when the table is half-full,

i.e. $\underline{d(T) = \frac{1}{2}}$ - see the textbook - is a bad idea

We will contract the table by half when the load factor goes below $\frac{1}{4}$, ie, when $\underline{\alpha(T)} = \frac{T.\text{num}}{T.\text{size}} < \underline{\frac{1}{4}}$.

e.g.

12		12		
----	--	----	--	--

$$\alpha(T) = \frac{T.\text{num}}{T.\text{size}} = \frac{2}{8} = \frac{1}{4}$$

Delete

12			
----	--	--	--

$$\alpha(T) = \frac{T.\text{num}}{T.\text{size}} = \frac{1}{4}$$

→ If $\alpha(\tau) = 0$ we discard Table —

Consider a sequence of n Insert and/or Delete operations.

In order to calculate the amortized cost per operations, we use the potential function and amortized cost analysis of earlier sections.

We use the following potential function:

$$\Phi(\tau) = \begin{cases} 2 \cdot T.\text{num} - T.\text{size} & \text{if } \alpha(\tau) \geq \frac{1}{2} \\ \frac{T.\text{size}}{2} - T.\text{num} & \text{if } \frac{1}{4} \leq \alpha(\tau) < \frac{1}{2} \end{cases}$$

check that $\Phi(\tau) \geq 0$ and $\Phi(\tau_0) = 0$.

Recall:

$$\hat{c}_i = c_i + \Phi(\tau_i) - \Phi(\tau_{i-1})$$

We show that $\hat{c}_i \leq 3$ regardless
of whether c_i is Insert or Delete.

For Insert, if $\alpha(T) \geq \frac{1}{2}$ then we have as before that $\hat{c}_i = 3$.

Consider the case that c_i is Insert and that $\frac{1}{4} \leq \alpha(T) < \frac{1}{2}$

After the Insert it may be that $\alpha(T) \geq \frac{1}{2}$ or that $\alpha(T) < \frac{1}{2}$. There are 2 cases:

$$\alpha(T_{i-1}) < \frac{1}{2}$$

(i) c_i is insert $\alpha(T_i) \geq \frac{1}{2}$. Then

$$\begin{aligned}
 \hat{C}_i &= C_i + \underline{\Phi(\tau_i)} - \underline{\Phi(\tau_{i-1})} \\
 &= 1 + (2\text{num}_i - \text{size}_i) - \left(\frac{\text{size}_{i-1}}{2} - \text{num}_{i-1} \right) \\
 &= 1 + (2(\text{num}_{i-1} + 1) - \text{size}_{i-1}) - \left(\frac{\text{size}_{i-1}}{2} - \text{num}_{i-1} \right) \\
 &= 3 + 3\text{num}_{i-1} - \frac{3}{2}\text{size}_{i-1}.
 \end{aligned}$$

$$= 3 + 3 \left(\underbrace{\text{num}_{i-1} - \frac{1}{2} \text{size}_{i-1}}_{\text{since } \alpha(T_{i-1}) = \frac{\text{num}_{i-1}}{\text{size}_{i-1}} < \frac{1}{2}} \right)$$

$$\leq 3$$

$$\text{since } \alpha(T_{i-1}) = \frac{\text{num}_{i-1}}{\text{size}_{i-1}} < \frac{1}{2}$$

$$\therefore \text{num}_{i-1} < \frac{1}{2} \text{size}_{i-1}$$

$$\therefore \text{num}_{i-1} - \frac{1}{2} \text{size}_{i-1} < 0$$

For Delete, there are 4 cases to consider:

(i) $\alpha(T_{i-1}) \geq \frac{1}{2}$ and $\alpha(T_i) \geq \frac{1}{2}$

(ii) $\alpha(T_{i-1}) \geq \frac{1}{2}$ and $\alpha(T_i) < \frac{1}{2}$

(iii) $\frac{1}{4} < \alpha(T_{i-1}) < \frac{1}{2}$ and $\frac{1}{4} \leq \alpha(T_i) < \frac{1}{2}$

- & no contraction occurred.

(iv) $\frac{1}{4} = \alpha(T_{i-1}) < \frac{1}{2}$ and contraction occurred

& then $\frac{1}{4} \leq \alpha(T_i) < \frac{1}{2}$

$$(iv) \quad \hat{c}_i = \underline{c}_i + \bar{\Phi}(\tau_i) - \bar{\Phi}(\tau_{i-1})$$

$$= \underline{\text{num}_{i-1}} + \left(\frac{\text{size}_i}{2} - \underline{\text{num}_i} \right) - \left(\frac{\text{size}_{i-1}}{2} - \underline{\text{num}_{i-1}} \right)$$

$$= \underline{\text{num}_{i-1}} + \frac{\text{size}_{i-1}}{4} - \frac{\text{size}_{i-1}}{2} + 1$$

$$= \underline{\text{num}_{i-1}} - \frac{1}{4} \text{size}_{i-1} + 1$$

$$= 0 + 1 \quad \text{since} \quad \frac{\underline{\text{num}_{i-1}}}{\text{size}_{i-1}} = \underline{\alpha(\tau_{i-1})} = \frac{1}{4}$$