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# Software Processes and Quality

CO3095, CO7095, CO7508

José Miguel Rojas – *material provided by Neil Walkinshaw*



# Roots in Manufacturing

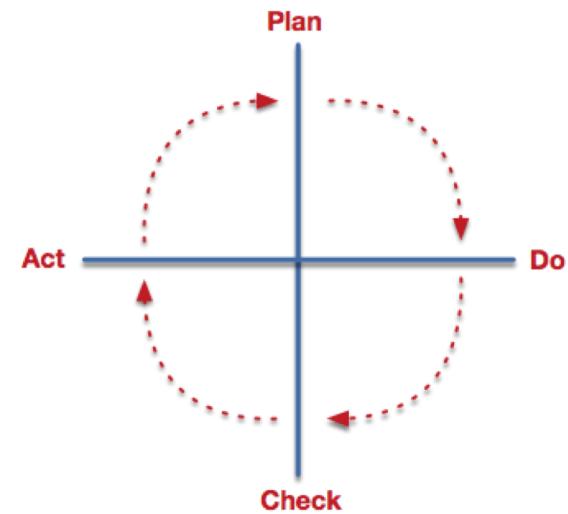
# Assembly Lines

- Late 19th, early 20th Century
- Breaking a process into simple steps
- Easier to train individuals to repeat simple steps
- Enabled production to scale up.
- Easy to create multiple factories with simple rules.
- **Direct link between processes and quality / profitability.**



# Plan - Do - Check - Act (1931)

- Walter Shewhart worked for Bell Telephones, addressing variabilities in their phone line quality
- Devised a technique for “Process Improvement”.
  - Plan: Set goals for project
  - Do: Carry out planned procedures
  - Check: Review problems
  - Act: Identify remedies for problems.
- Key goal - **reduce variability** of quality.



# Post-War Japan as an Economic Power

- After the war, Japan rebuilt itself as an economic power.
- Recruited many experts in quality assurance
  - Including Shewhart and Juran, amongst others.
  - Fed into the Japanese “economic miracle”.
- Characterised by the Toyota Production System (TPS)
  - Revolved around the mantra of “eliminating waste”.
  - Active part of Toyota production to this day.

# Toyota Production System

Plan

**Heijunka** - have the correct number of parts required to build a vehicle

**Nemawashi** - decisions should be arrived at as a team, not dictated by individuals

**Genba** - understand work load on individuals, ensure processes are transparent

Do

**Andon** - enable workers to immediately highlight threats via alert mechanisms

**Just-In-Time** - Products should only be developed if and when they are required

**Jidoka** - Capture faults as close to their source as possible

**Genchi Genbutsu** - the best way to solve a problem is to see it for yourself

**Kanban** - a board to communicate the state of the manufacturing system

Check

**Kaizen** - Staff encouraged to look for areas of improvement.

**Muda, Muri, Mura** - eliminate waste.

Act

**Poke Yoke** - Prevent errors from occurring by embedding prevention mechanisms.

**Hansen** - Learn from mistakes to prevent them from recurring

**Maximising opportunity for feedback.**

# Crisis of Confidence in US & European Manufacturing

- Japanese prominence accompanied by European & US stagnation
- UK became a net importer of goods for the first time
- Significant failures, such as the Challenger disaster (1986)
- Significant, widespread introspection, led to new quality assurance initiatives



Challenger launch was widely publicised, exploded on launch with six astronauts aboard, including a school teacher. Found to be the result of multiple quality-assurance failures

# Total Quality Management (TQM)

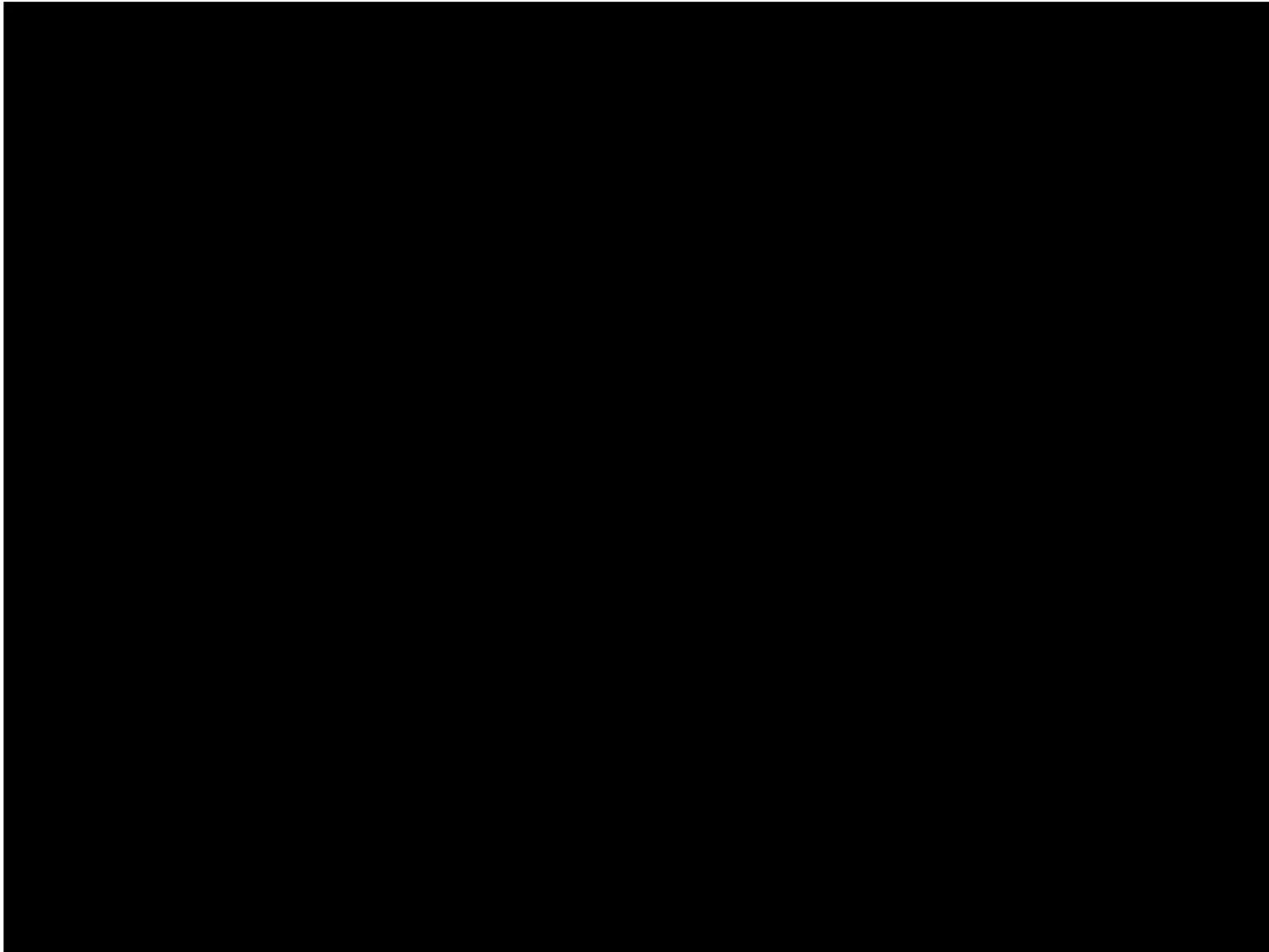
- Broad family of techniques, developed in the UK & US
- Follow the following stated principles:
  - Customer focus: Main objective is to achieve **total customer satisfaction**.
  - Process: Reduce process variations, achieve **continuous improvement**.
  - Human side of quality: Create a **company-wide culture**, from leadership down to employee empowerment.
  - Measurement and analysis: Drive improvement by **continuous measurement**.
- Inspired several derivative process improvement techniques
  - ISO9000, Motorola's Six Sigma, ...

# How is this related Software?

- The roots of [software] process improvement lie in the manufacturing industry
- Initiated by Shewhart's PDCA cycle
- Contributed to Japanese economic boom
  - Toyota Production System
- Spurred modern quality assurance frameworks
  - TQM, ISO9000, Six Sigma
- Formed the basis for typical software process improvement

# The Traditional View of “Programming”

# Digital Computer Techniques: Programming (AT&T, 1962)



# As Simple as “A,B,C”

- Just draw a flow-chart and code it in.
- Programming boils down to thinking about “the logic”.

**How does this relate to the quality models we discussed last time?**

# Notable Successes

- Despite this reductive view of software development.
- Apollo 11 control software
  - First manned moon landing (1969)
- Developed by an MIT team led by Margaret Hamilton
- ~450,000 LOC
- Included advanced concurrent algorithms



# Software Crisis

- Coined at the 1968 NATO Conference on Software Engineering

*“The major cause of the Software Crisis is that machines have become several orders of magnitude more powerful!*

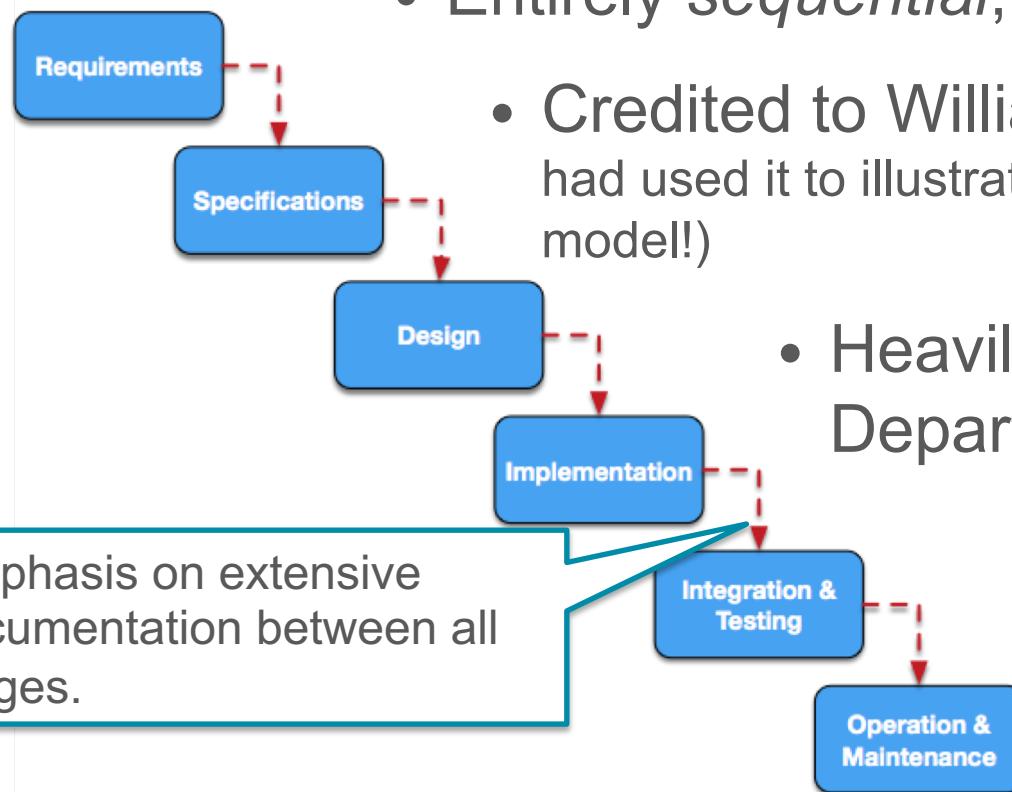
*To put it bluntly: as long as there were no machines, programming was no problem at all; when we had a few weak computers, programming was a mild problem and now we have gigantic computers, programming has become an equally gigantic problem.”*

*Edsger Dijkstra, 1972 Turing Award lecture*

# Development Processes

# The Waterfall Model (1970)

- First popular effort to structure software development
  - Entirely *sequential, staged* process
    - Credited to William Royce (even though he had used it to illustrate an unsuitable development model!)
    - Heavily promoted by the US Department of defence



# Problems with Waterfall

- What if requirements changed?
  - Could only be rectified at huge cost
- Stakeholders were only involved at the start
  - Could not affect change later on
- Inflexible partitioning of project in rigid, sequential phases

# Iterative & Incremental Software Development (IID)

## Iterative

- Software development takes place in iterations.
  - Each iteration is a refinement of the previous version.
- Each iteration is time-boxed.
- **Rapid feedback to / from stakeholders.**
- **Easy to incorporate change.**

## Incremental

- Do not try to implement all of the features in the product at once.
  - One feature at a time.
- **Focus on prioritising key functionalities.**
- **Ensure a functioning product throughout.**
- **Succeed by a process of “divide and conquer”.**

**How does this relate to Joseph Juran and Phil Crosby's views of quality?**

# The Roots of IID

- Developed at NASA in the 1950s.
- Major success with work on their experimental X-15 jet.
- Became widely adopted within IBM and US Department of Defence.
- Time boxing was valuable.
  - Development of C&C systems on Trident Nuclear submarines.
  - Fine of \$100,000 per day overdue.



Still holds the record for the highest speed recorded by an aircraft with a pilot. (Mach 6.72; 7,200 km/h).

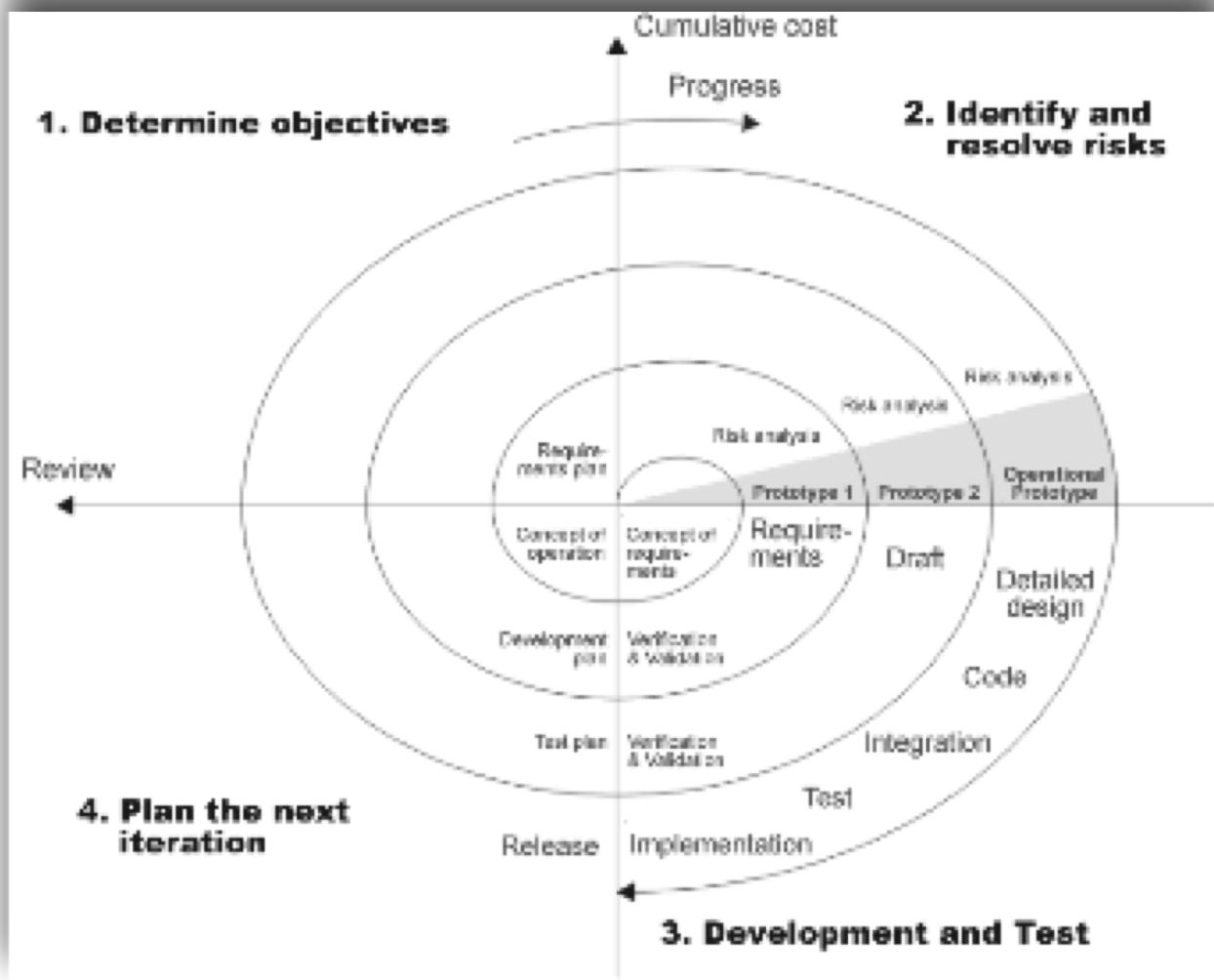


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# Gradual Dominance of IID

- Waterfall model remained dominant throughout the 80s.
- Gradually supplanted by IID-inspired development models
  - Boehm's Spiral Model
  - Rational Unified Process (developed within IBM)
  - Agile software development (more on this later).

# Spiral Model



# Processes are merely “frameworks”

# No “One Size Fits All” Solution

Requirement  
changeability

Safety /  
security

Team ability

Process needs to be tailored to the organisation, the type of project, and the team.

Flexibility

Technical  
limitations

Documentation  
requirements



# Summary

- Until the 70s, software development was perceived as merely “programming” (simple as A,B,C)
- Waterfall model was widely adopted
  - Shown to have many weaknesses
- Gradually replaced by IID
  - More versatile, easier to control
  - Formed basis for today’s popular development methodologies
- These can only form broad guidelines
  - Exact process needs to be tailored to suit development context.