

Conflict of Interest Statement and Disclosure Form for Employees, Directors, Officers and Staff of IQVIA

The purpose of this form is to help employees, directors, officers and staff of IQVIA to understand what is or may be a conflict of interest, assist them in identifying and disclosing actual and potential conflicts of interest, and help ensure appropriate avoidance and management of conflicts of interest.

As stated in the IQVIA worldwide Code of Conduct, ***Doing the Right Thing***, IQVIA respects your right to be involved in personal activities, business interests and transactions as long as the personal activities, business interests and transactions do not interfere with or restrict your ability to meet your responsibilities to IQVIA, negatively affect your job performance, or conflict with IQVIA' interests.

You must avoid any activity, relationship, business interest or transaction that might conflict — or appear to conflict — with IQVIA' interests.

A conflict of interest may arise if you, your relative, or a close personal relation have a financial interest in, a job with, or serve on the Board of Directors of a customer, potential customer, competitor, supplier or vendor of IQVIA. A conflict of interest may exist if you or a relative directly or indirectly have financial interest in any company that competes, does business or seeks to do business with IQVIA, or if you or a relative have a personal financial interest in a transaction involving a IQVIA customer, competitor, or vendor.

A conflict of interest may also arise if you, your relative, or a close personal relation hold a position within a government or public entity.

You must disclose any interests in or positions you hold with customers, potential customers, competitors, suppliers, vendors, or government or public entities. This does not apply to a personal investment in publicly traded mutual funds that may include the stock of a customer, vendor or competitor of IQVIA.

You must disclose potential conflicts of interest when they arise. You must also take any actions deemed by IQVIA as being necessary to address your situation. Most conflicts of interest can be resolved in a mutually acceptable way.

Please complete and sign the certification which follows on the next page.

PRIVACY NOTICE

This document requires that you provide IQVIA with certain personal information about yourself and, potentially, other parties related to you. This includes information such as your name, contact details, and business associations you might have or associations you might have with government or public officials. Please note that this information will be retained by IQVIA and IQVIA will review and assess your responses in order to ensure its compliance with domestic and U.S. laws, including domestic and foreign anti-corruption laws and other laws and regulations that are applicable to the company. In the event that potential compliance concerns are identified, information that you provide may be shared with IQVIA' external legal advisors and/or domestic and foreign regulatory authorities, such as the US Securities and Exchange Commission and domestic legal authorities. In addition, the information you provide may be transmitted to IQVIA' customers in response to requests for this information. In accordance with IQVIA' normal auditing practices and procedures, this document may be selected and reviewed by IQVIA' internal corporate audit department or its external auditors.

Certification

I, _____ certify that:
full name

I have not engaged in and will continue to avoid any activity, relationship, business interest or transaction that might conflict — or appear to conflict — with IQVIA' interests or with my ability to meet my responsibilities to IQVIA.

Except as stated below, I do not have any business interests outside of IQVIA:

None of the above-mentioned business interests (if any), conflict with my obligations to IQVIA.

I am not a "Government Official" (as defined below), except as provided in a Statement of Exceptions attached to this form. *(If you are a Government official, please explain your position as a Government Official in the attached Statement of Exceptions.)*

I do not have a relationship with a Government Official who is in a position to purchase or influence the purchase of any IQVIA' services or products of IQVIA' customers or otherwise provide a commercial advantage to IQVIA or a customer of IQVIA, except as provided in a Statement of Exceptions attached to this form. *(If you have a relationship with a Government Official, please explain your relationship and the type of Government Official in the attached Statement of Exceptions.)*

I will promptly provide IQVIA with any changes or updates to the information contained herein.

"The performance of my obligations towards IQVIA as a prospective employee of IQVIA does not and will not breach any agreement to keep in confidence proprietary information, knowledge or data acquired by me prior to my employment with IQVIA. I certify and irrevocably confirm that I will not disclose to IQVIA, or induce IQVIA to use, or store in IQVIA owned computers, devices or in IQVIA databases, any confidential or proprietary information or material belonging to any previous employer or others. I am not a party to any other agreement which will interfere with my full compliance of my obligations towards IQVIA. I agree not to enter into any agreement, whether written or oral, in conflict with my certifications contained herein."

eSignature: _____

Name: _____

Position: _____

Location: _____

Date: _____

☐ A Statement of Exceptions is attached.

☐ No Statement of Exceptions is attached.

“Government Official” means any officer or employee or other person acting in an official capacity (whether or not paid) on behalf of a Government or Public Entity.

“Government or Public Entity” means any of the following:

- i. a government or any department, agency or institution thereof;
- ii. a government owned or controlled company, institution, or other entity, including a government-owned hospital or university;
- iii. a public international organization (such as the United Nations, the International Monetary Fund, the International Red Cross and the World Health Organization) or any department, agency or institution thereof; or
- iv. a political party or an organization owned or controlled by a political party or a candidate for public or political party office.

Statement of Exceptions *(if applicable)*