

# Your grade: 87.50%

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item



1. Which of the following is true about policy gradient methods? (Select all that apply)

1 point

- ☒ Policy gradient methods use generalized policy iteration to learn policies directly.
- ☒ **This should not be selected**  
Incorrect. Value-based methods use generalized policy iteration to learn approximate action values, and indirectly infer a good policy. Policy gradient methods maximize the policy objective to learn policies directly.
- ☒ The policy gradient theorem provides a form for the policy gradient that does not contain the gradient of the state distribution  $\mu$ , which is hard to estimate.
- ☒ **Correct**  
Correct.
- ☒ Policy gradient methods do gradient ascent on the policy objective.
- ☒ **Correct**  
Correct. Policy gradient methods maximize the policy objective, and hence perform gradient ascent.
- ☒ If we have access to the true value function  $v_{\pi}$ , we can perform unbiased stochastic gradient updates using the result from the Policy Gradient Theorem.

☒ **Correct**

Correct. We derived this stochastic update by multiplying and dividing by  $\pi(A|S)$ .

2. Which of the following statements about parameterized policies are true? (Select all that apply)

1 / 1 point

- ☒ The probability of selecting any action must be greater than or equal to zero.
- ☒ **Correct**  
Correct! This is one of the conditions for a valid probability distribution.
- ☒ For each state, the sum of all the action probabilities must equal to one.
- ☒ **Correct**  
Correct! This condition is necessary for the function to be a valid probability distribution.
- ☐ The policy must be approximated using linear function approximation.
- ☐ The function used for representing the policy must be a softmax function.

3. Assume you're given the following preferences  $h_1 = 44$ ,  $h_2 = 42$ , and  $h_3 = 38$ , corresponding to three different actions ( $a_1, a_2, a_3$ ), respectively. Under a softmax policy, what is the probability of choosing  $a_2$ , rounded to three decimal numbers?

1 / 1 point

- ☐ 0.42
- ☐ 0.879
- ☐ 0.002

☒ 0.119

✓ **Correct**  
Correct!

4. Which of the following is true about softmax policy? (Select all that apply)

0.5 / 1 point

- ☐ It is used to represent a policy in discrete action spaces.
- ☒ It can be parameterized by any function approximator as long as it can output scalar values for each available action, to form a softmax policy.
- ✓ **Correct**  
Correct. It can use any function approximation from deep artificial neural networks to simple linear features.
- ☒ Similar to epsilon-greedy policy, softmax policy cannot approach a deterministic policy.
- ✗ **This should not be selected**  
Incorrect. Epsilon-greedy policy will always have epsilon probability of selecting a random action but softmax policy can approach a deterministic policy as the preference of one action dominates other preferences.
- ☐ It cannot represent an optimal policy that is stochastic, because it reaches a deterministic policy as one action preference dominates others.

5. What are the differences between using softmax policy over action-values and using softmax policy over action-preferences? (**Select all that apply**)

1 / 1 point

- ☒ When using softmax policy over action-values, even if the optimal policy is deterministic, the policy may never approach a deterministic policy.

✓ **Correct**

Correct. The policy will always select proportional to exponentiated action-values.

- ☐ When using softmax policy over action-values, assuming a tabular representation, the policy will converge to the optimal policy regardless of whether the optimal policy is stochastic or deterministic.
- ☒ When using softmax policy over action-preferences, assuming a tabular representation, the policy will converge to the optimal policy regardless of whether the optimal policy is stochastic or deterministic.

✓ **Correct**

Correct. Action-preferences does not approach specific values like action-values do. They can be driven to produce a stochastic policy or deterministic policy.

6. What is the following objective, and in which task formulation?

1 / 1 point

$$r(\pi) = \sum_s \mu(s) \sum_a \pi(a|s, \theta) \sum_{s', r} p(s', r|s, a) r$$

- ☐ Average reward objective, episodic task
- ☐ Discounted return objective, continuing task
- ☐ Undiscounted return objective, episodic task
- ☒ Average reward objective, continuing task

✓ **Correct**

Correct.

7. The following equation is the outcome of the policy gradient theorem. Which of the following is true about the policy gradient theorem? (Select all that apply)

1 / 1 point

$$\nabla r(\pi) = \sum_s \mu(s) \sum_a \nabla \pi(a|s, \theta) q_\pi(s, a)$$

- ☒ This expression can be converted into the following expectation over  $\pi$ :

$$E_\pi[\nabla \ln \pi(A|S, \theta) q_\pi(S, A)]$$

☒ **Correct**

Correct. In fact, this expression is normally used to perform stochastic gradient updates.

- ☒ This expression can be converted into:

$$E_\pi[\sum_a \nabla \pi(a|S, \theta) q_\pi(S, a)]$$

In discrete action space, by approximating  $q_\pi$  we could also use this gradient to update the policy.

☒ **Correct**

Correct. The expression contains sum over actions, which can be computed for discrete actions. In the textbook, this is also called the all-actions method.

- ☒ We do not need to compute the gradient of the state distribution  $\mu$ .

☒ **Correct**

Correct.

- ☒ The true action value  $q_\pi$  can be approximated in many ways, for example using TD algorithms.

☒ **Correct**

Correct.

8. Which of the following statements is true? (**Select all that apply**)

1 / 1 point

- ☒ Subtracting a baseline in the policy gradient update tends to reduce the variance of the update, which results in faster learning.

✓ **Correct**  
Correct.

✓ To update the actor in Actor-Critic, we can use TD error in place of  $q_\pi$  in the Policy Gradient Theorem.

✓ **Correct**  
Correct. This is equivalent to using one-step state value and subtracting a current state value baseline.

✓ The Actor-Critic algorithm consists of two parts: a parameterized policy — the actor — and a value function — the critic.

✓ **Correct**  
Correct.

☐ TD methods do not have a role when estimating the policy directly.

9. We usually want the critic to update at a faster rate than the actor.

1 / 1 point

☒ True

☐ False

✓ **Correct**  
Correct!

10. Consider the following state features and parameters  $\theta$  for three different actions (red, green, and blue):

1 / 1 point

$$\mathbf{X}(s) = \begin{bmatrix} 0.1 \\ 0.3 \\ 0.6 \end{bmatrix}$$

$$\boldsymbol{\theta} = \begin{bmatrix} 45 \\ 73 \\ 21 \\ 120 \\ 120 \\ -10 \\ -100 \\ 200 \\ -25 \end{bmatrix} \begin{matrix} \left. \vphantom{\begin{matrix} 45 \\ 73 \\ 21 \end{matrix}} \right\} a_0 \\ \left. \vphantom{\begin{matrix} 120 \\ 120 \\ -10 \end{matrix}} \right\} a_1 \\ \left. \vphantom{\begin{matrix} -100 \\ 200 \\ -25 \end{matrix}} \right\} a_2 \end{matrix}$$

☐ 33

☐ 35 Compute the action preferences for each of the three different actions using linear function approximation and stacked features for the action preferences.

☒ 39

What is the action preference of  $a_0$  (red)?

☐ 37

☒ **Correct**  
Correct.

11. Which of the following statements are true about the Actor-Critic algorithm with softmax policies? (**Choose all that apply**)

1 / 1 point

- ☒ The learning rate parameter of the actor and the critic can be different.

☒ **Correct**

Correct! In practice, it is preferable to have a slower learning rate for the actor so that the critic can accurately critique the policy.

☐ The actor and the critic share the same set of parameters.

☒ Since the policy is written as a function of the current state, it is like having a different softmax distribution for each state.

☒ **Correct**

Correct!

☐ The preferences must be approximated using linear function approximation.

12. A Gaussian policy becomes deterministic in the limit  $\sigma \rightarrow 0$ .

☒ True

☐ False

☒ **Correct**

Correct: As  $\sigma$  approaches 0, the values of the Gaussian policy approach the mean of the policy in a given state.