



CS215 DISCRETE MATH

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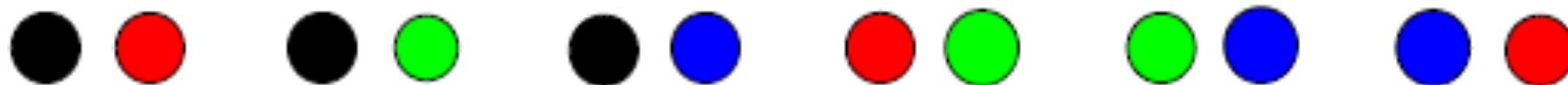


What about when order counts?

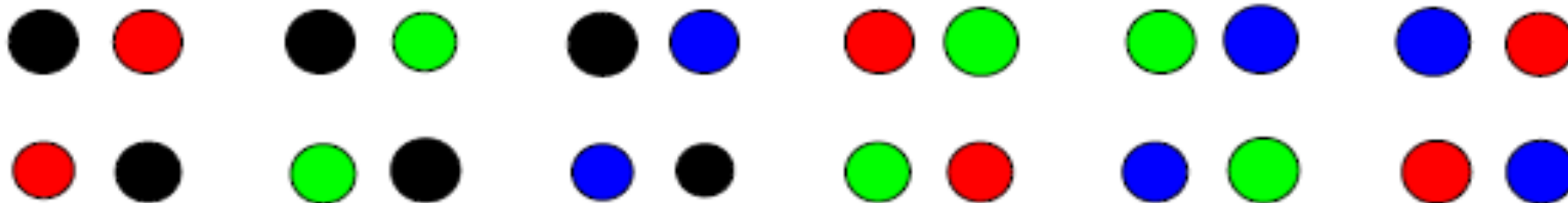
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Counting may be very hard, not trivial.

- simplify the solution by decomposing the problem



Basic Counting Rules

- *the Product Rule*

- *the Sum Rule*



Basic Counting Rules

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- ◇ A count decomposes into a sequence of **dependent** counts
(each element in the first count is associated with all elements of the second count)

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(elements of counts are alternatives)

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In an auditorium, the seats are labeled by a letter and numbers in between 1 to 50 (e.g., A23). What is the total number of seats?



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Example

In an auditorium, the seats are labeled by a letter and numbers in between 1 to 50 (e.g., A23). What is the total number of seats?

We may either list all or use the product rule.

$$26 \times 50 = 1300$$

The Product Rule

- **Product Rule:** If a count of elements can be broken down into a **sequence of dependent counts** where the first count yields n_1 elements, the second n_2 elements, and k th count n_k elements, then the total number of elements is

$$n = n_1 \cdot n_2 \cdot \cdots \cdot n_k$$



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How many different bit strings of length 7 are there?



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How many **one-to-one** functions are there from a set with m elements to a set with n elements?

How many **onto** functions? **容斥原理**



The Product Rule

- The following loop is a part of program computing the product of two matrices.

```
(1) for i = 1 to r
(2)   for j = 1 to m
(3)     S = 0
(4)     for k = 1 to n
(5)       S = S + A[i,k] * B[k,j]
(6)     C[i,j] = S
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How many multiplications (in terms of r, m, n) does this program carry out in total among all iterations of line 5?



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Example

You need to travel from city A to B. You may either fly, take a train, or a bus. There are 12 different flights, 5 different trains and 10 buses. **How many options do you have to get from A to B?**



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You need to travel from city A to B. You may either fly, take a train, or a bus. There are 12 different flights, 5 different trains and 10 buses. **How many options do you have to get from A to B?**

We may **use the sum rule.**

$$12 + 5 + 10$$



The Sum Rule

- **Sum Rule:** If a count of elements can be broken down into a set of independent counts where the first count yields n_1 elements, the second n_2 elements, and k th count n_k elements, then the total number of elements is

$$n = n_1 + n_2 + \cdots + n_k$$



The Sum Rule

- The following loop is from [selection sort](#).

```
(1) for i = 1 to n-1
(2)     for j = i+1 to n
(3)         if (A[i] > A[j])
(4)             exchange A[i] and A[j]
```



The Sum Rule

- The following loop is from **selection sort**.

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How many **comparisons** (in terms of n) does this program carry out in total among all iterations of line 3?



More Complex Counting

- Typically requires a combination of the sum and product rules.



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Example

Each password is 6 to 8 characters long, where each character is a lowercase letter or a digit. Each password must contain at least one digit. How many possible passwords are there?



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$$P = P_6 + P_7 + P_8$$



Tree Diagrams

- A *tree* is a structure that consists of a *root*, *branches* and *leaves*.



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Can be useful to represent a counting problem and record the choices we made for alternatives. *The count appears on the leaves.*



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Example

What is the number of bit strings of length 4 that **do not have two consecutive 1's**?



Tree Diagrams

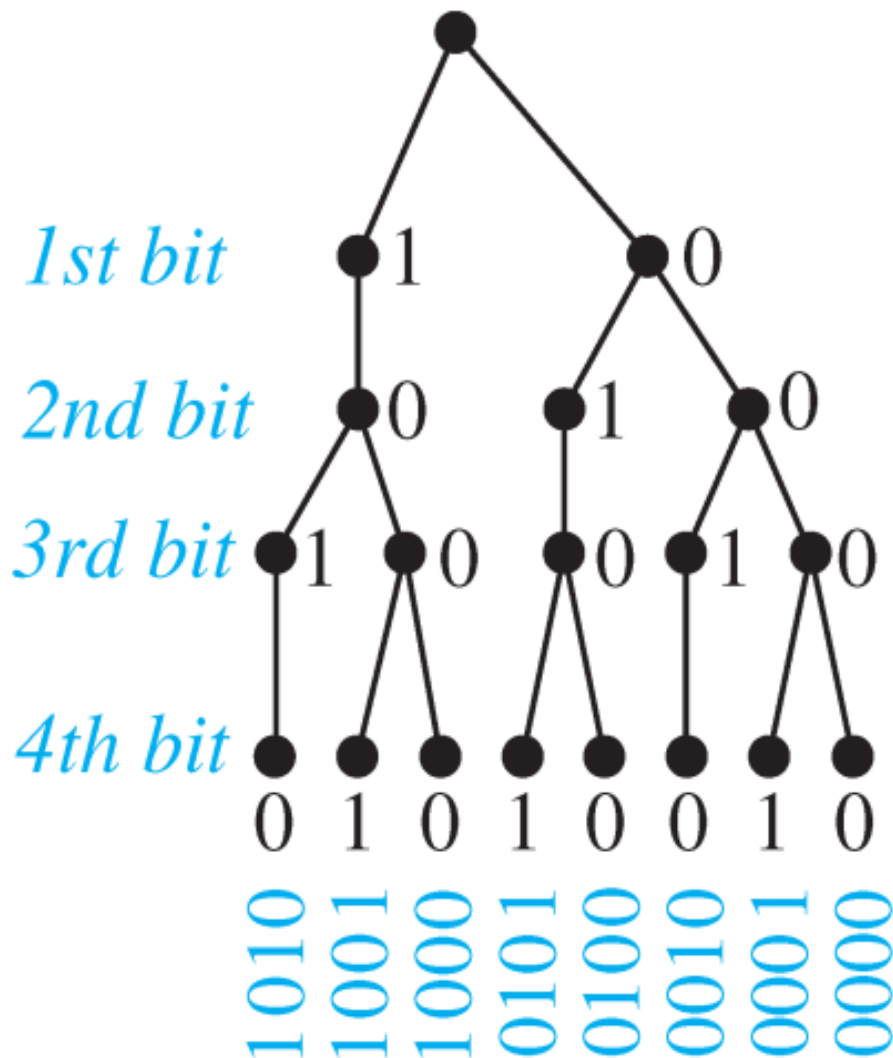
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Can be useful to track the choices with the leaves.

Problem and record count appears on

Example

What is the probability of having two consecutive 1s in a 4-bit sequence?



h 4 that do not

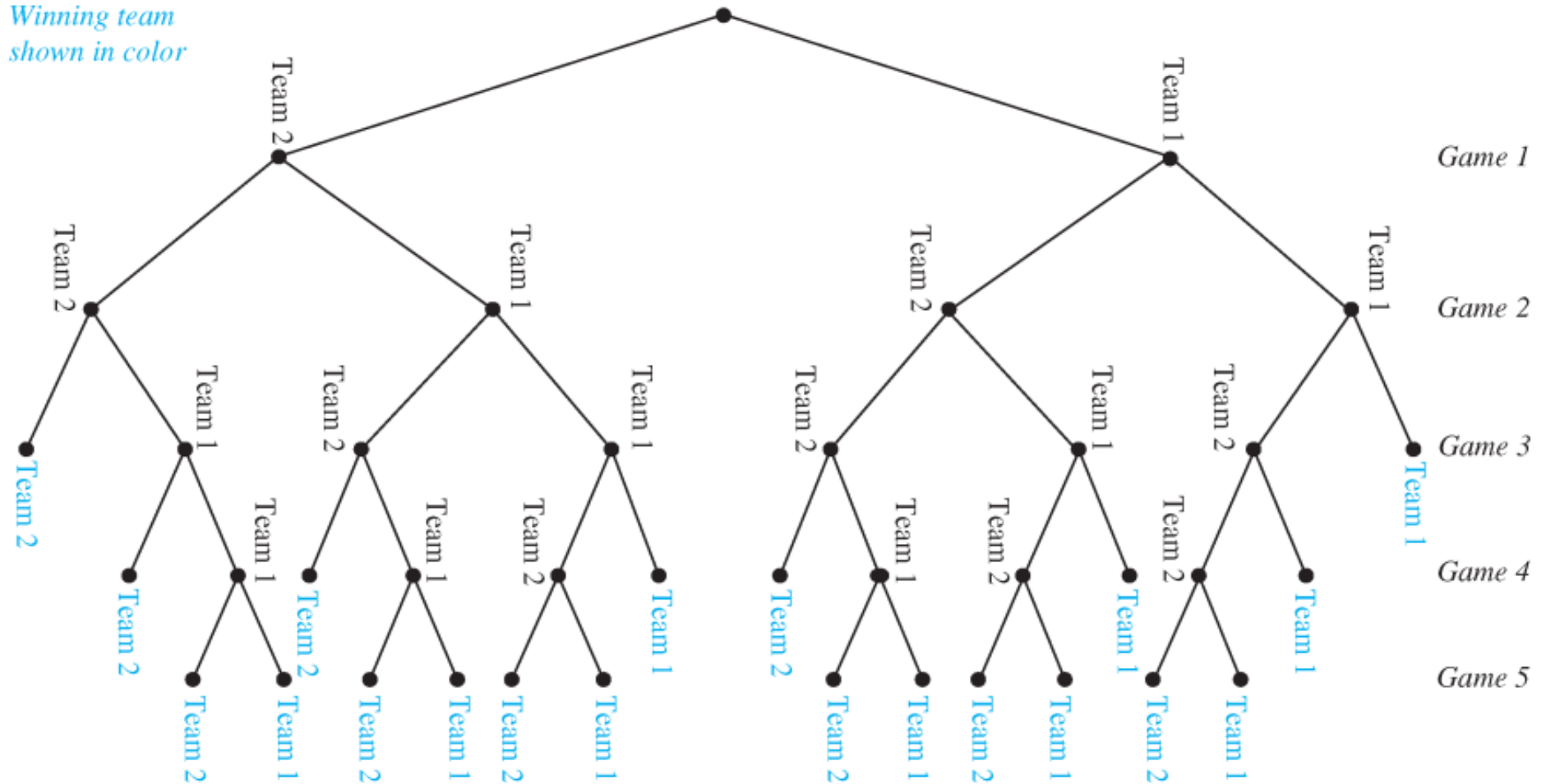
Tree Diagram

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Pigeonhole Principle

- Assume that there are a set of objects and a set of bins to store them.



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抽屉原理

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The pigeonhole principle states that if there are more objects than bins then there is at least one bin with more than one object.

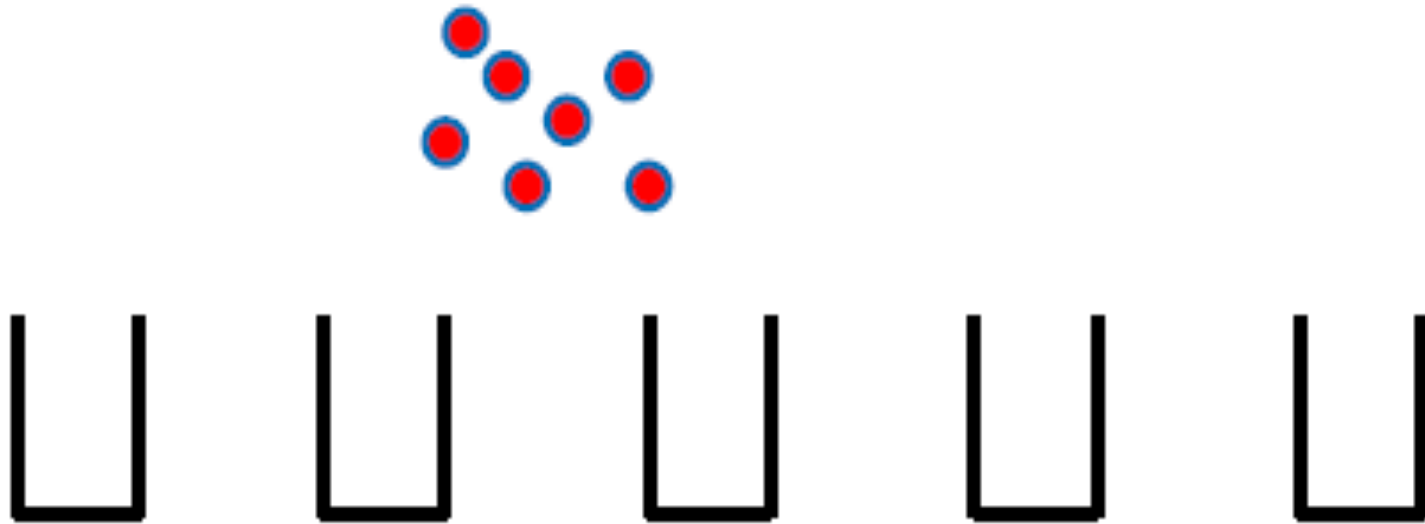


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Example

Assume that there are 367 students. Are there any two people who have the same birthday?

There are 5 bins and 12 objects. Then there must be a bin with at least 3 objects. Why?



Generalized Pigeonhole Principle

- If N objects are placed into k bins, then there is at least one bin containing at least $\lceil N/k \rceil$ objects.



Generalized Pigeonhole Principle

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Example

Assume there are 100 students. How many of them were born in the same month?

Bijections and Permutations

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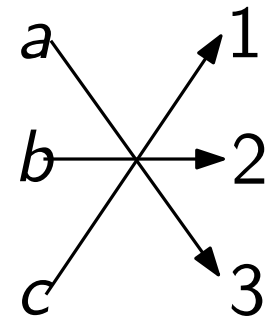
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$f : \{a, b, c\} \rightarrow \{1, 2, 3\}$ defined by $f(a) = 3, f(b) = 2, f(c) = 1$ is a bijection.

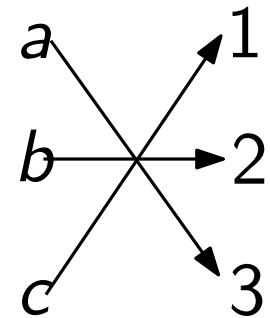


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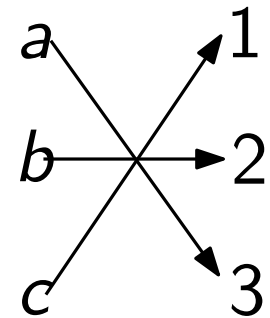
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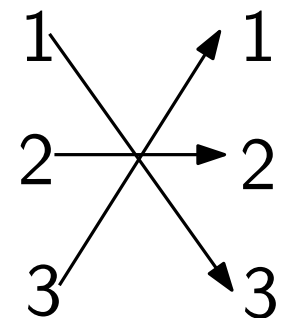
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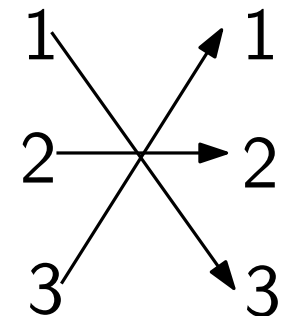
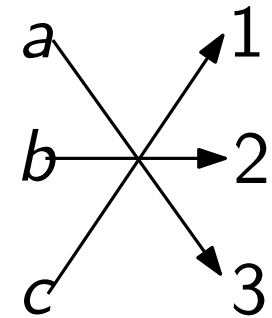
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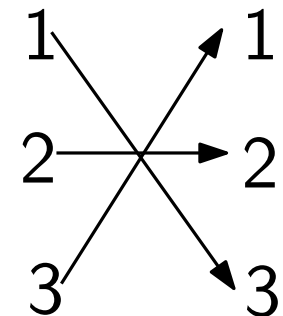
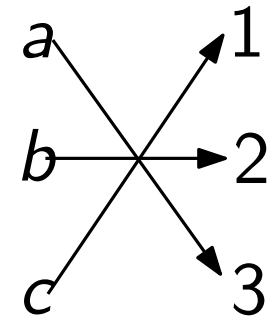
A **bijection** from a set **onto itself** is called a *permutation*.

In a *bijection*,

exactly one arrow leaves each item on the left and exactly one arrow arrives at each item on the right.

Thus,

the left and right sides must have the same size.



The Bijection Principle

- The following loop is a part of program to determine the number of triangles formed by n points in the plane.

```
(1) trianglecount = 0
(2)   for i = 1 to n
(3)     for j = i+1 to n
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Among all iterations of line 5, what is the total number of times this line checks three points to see if they are collinear?



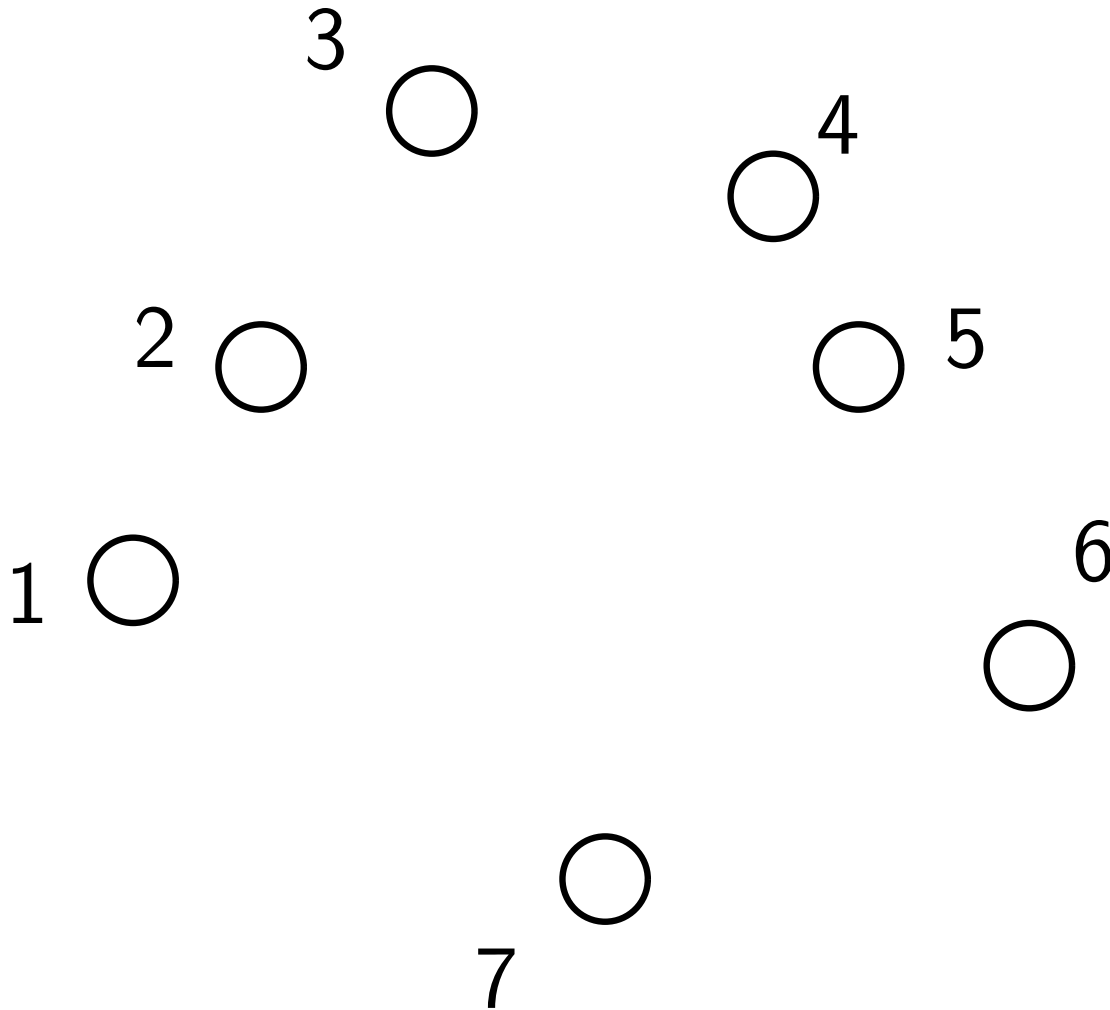
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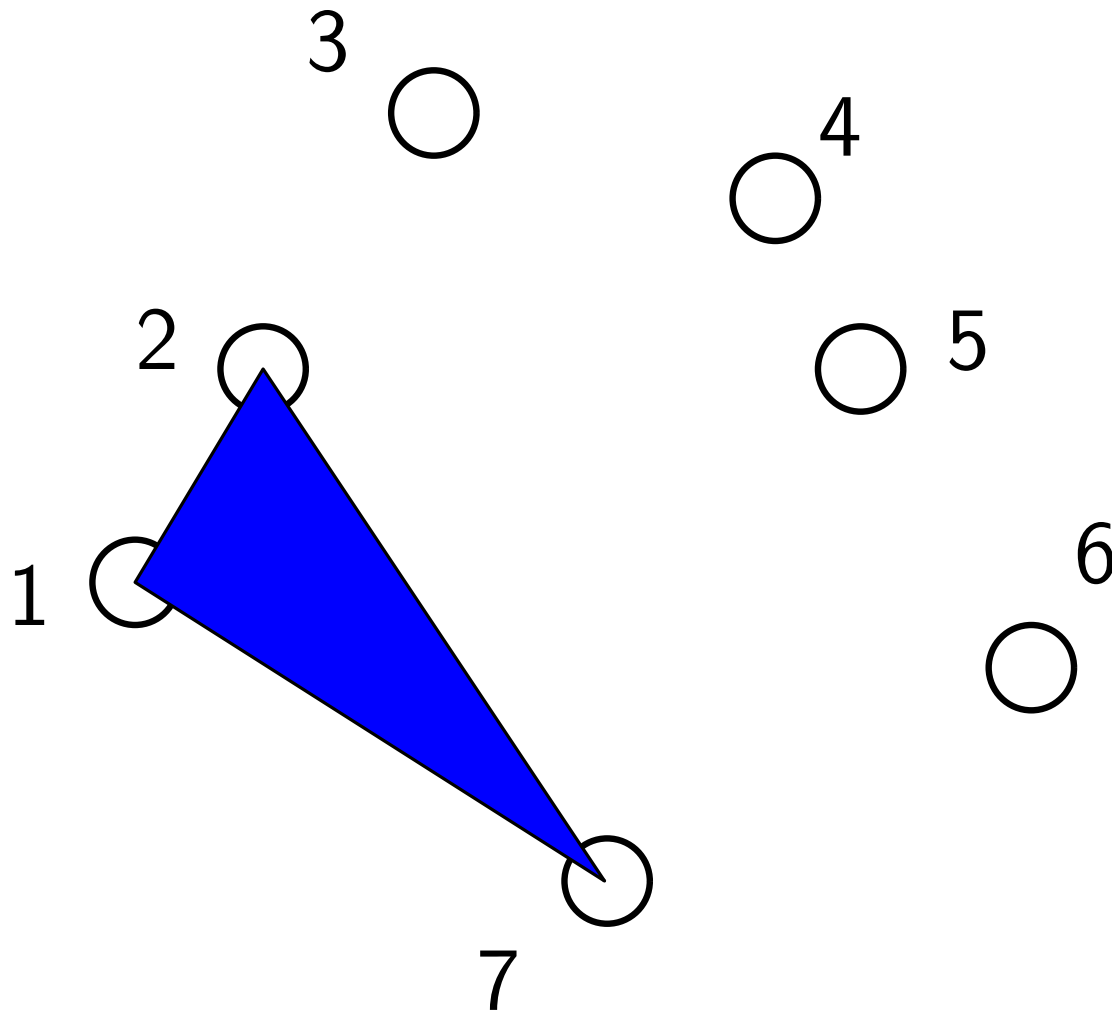


20 - 2



Counting Triangles

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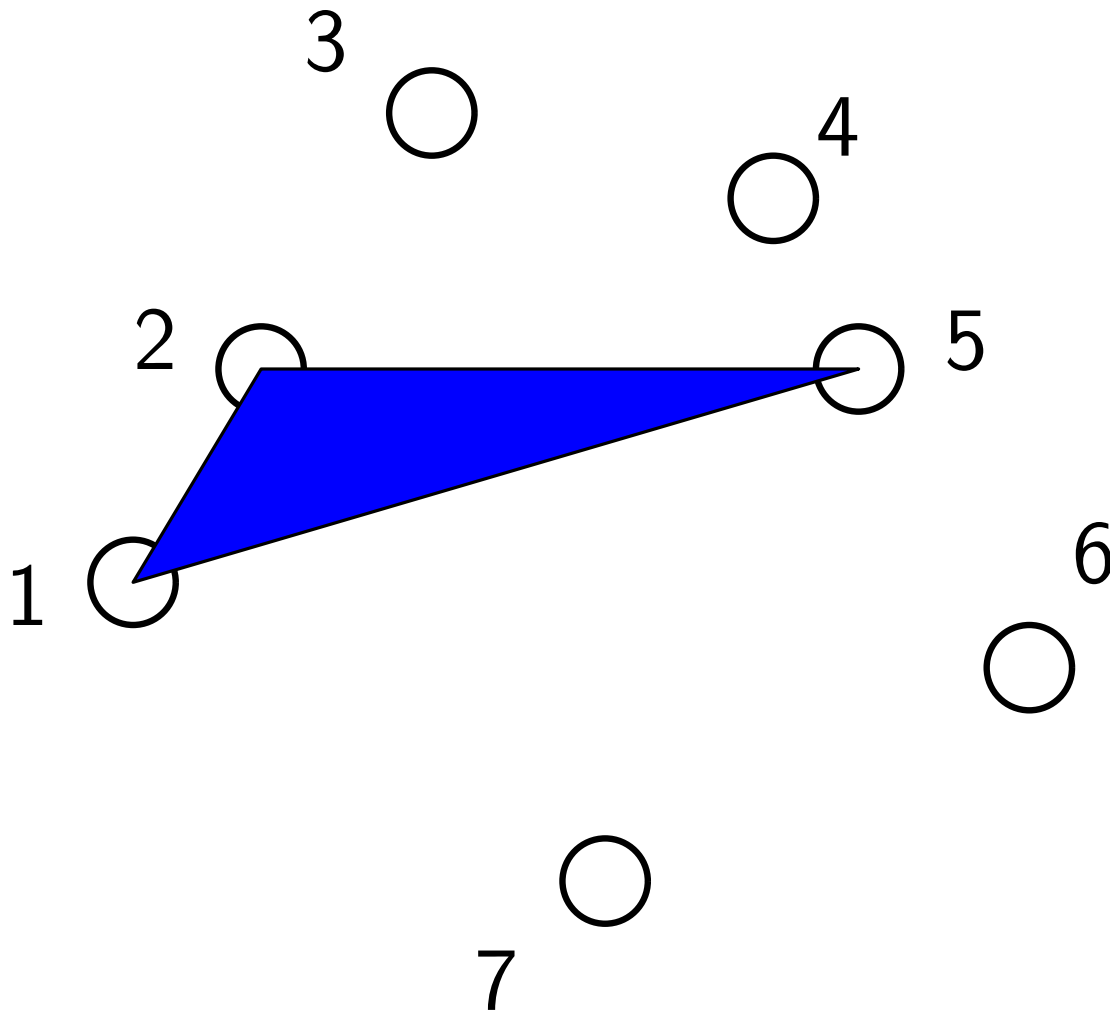
1 – 2 – 7: yes

20 - 3



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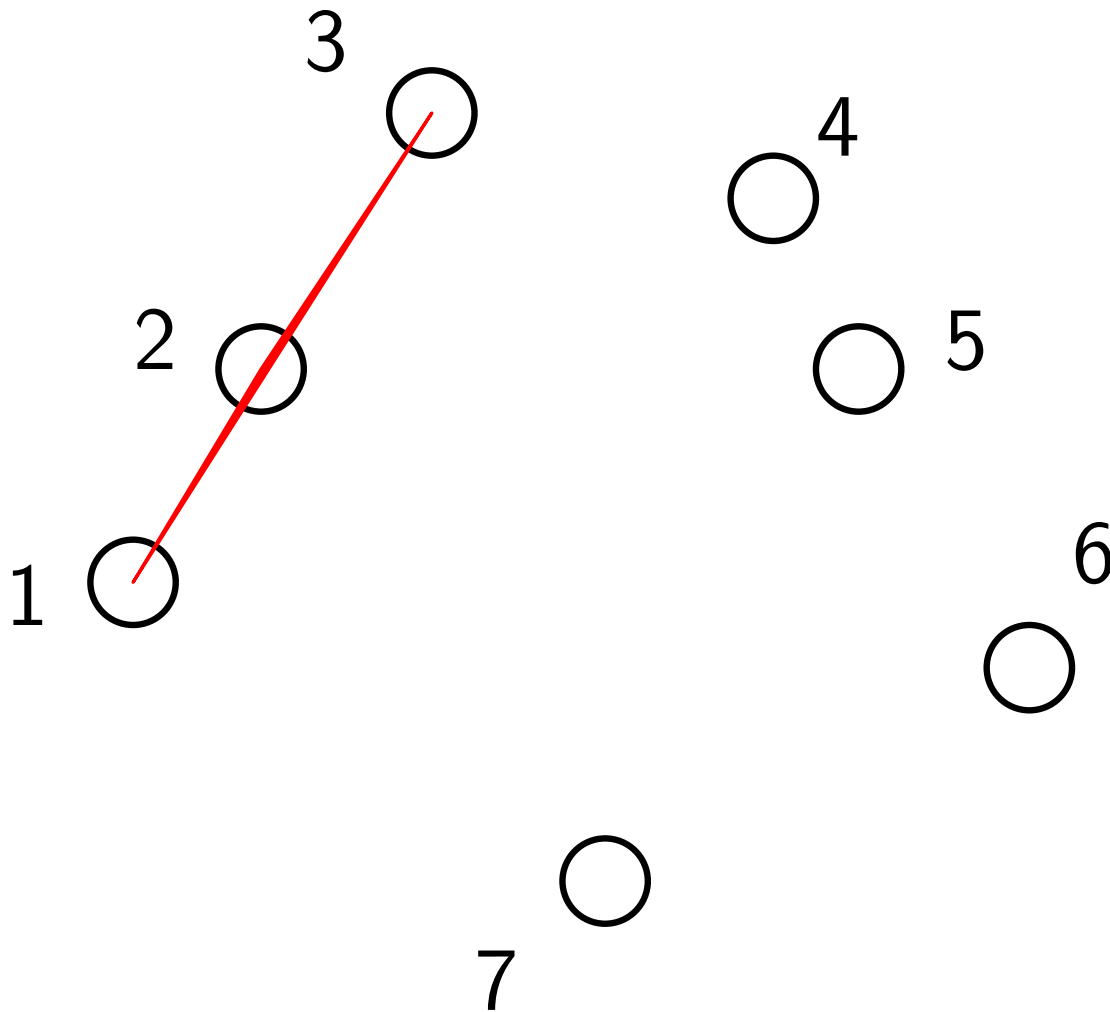


1 – 2 – 7: yes

1 – 2 – 5: yes

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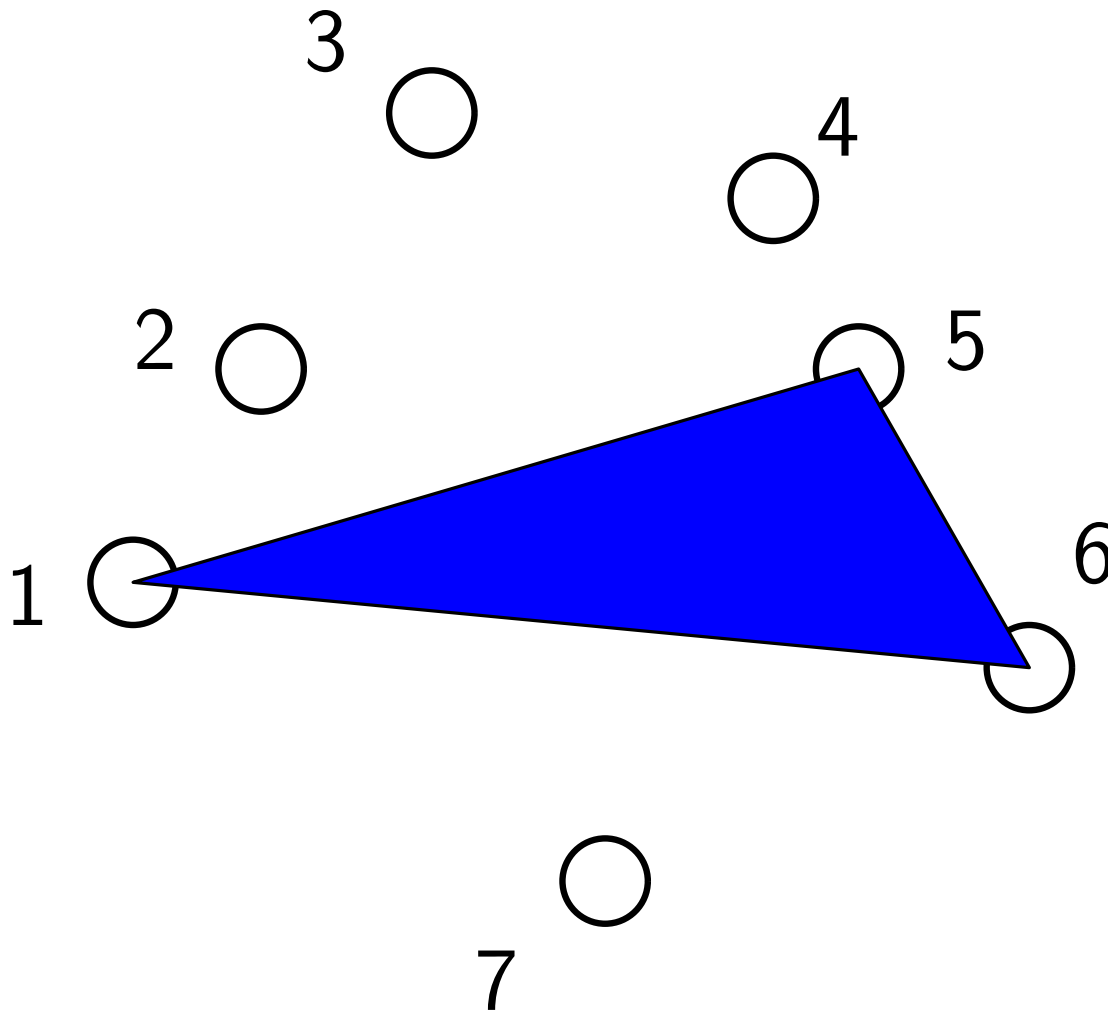
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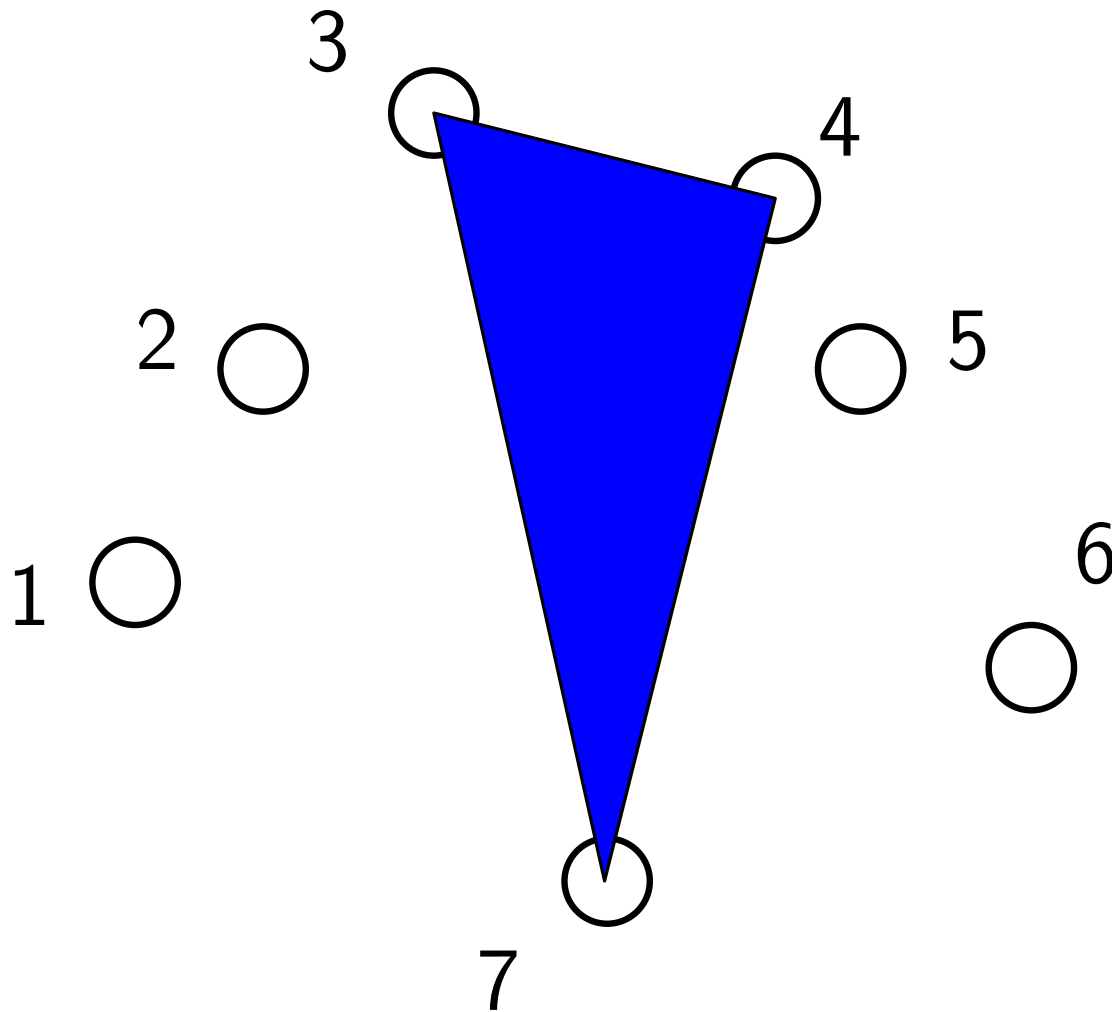
1 – 2 – 5: yes

1 – 2 – 3: no

1 – 5 – 6: yes

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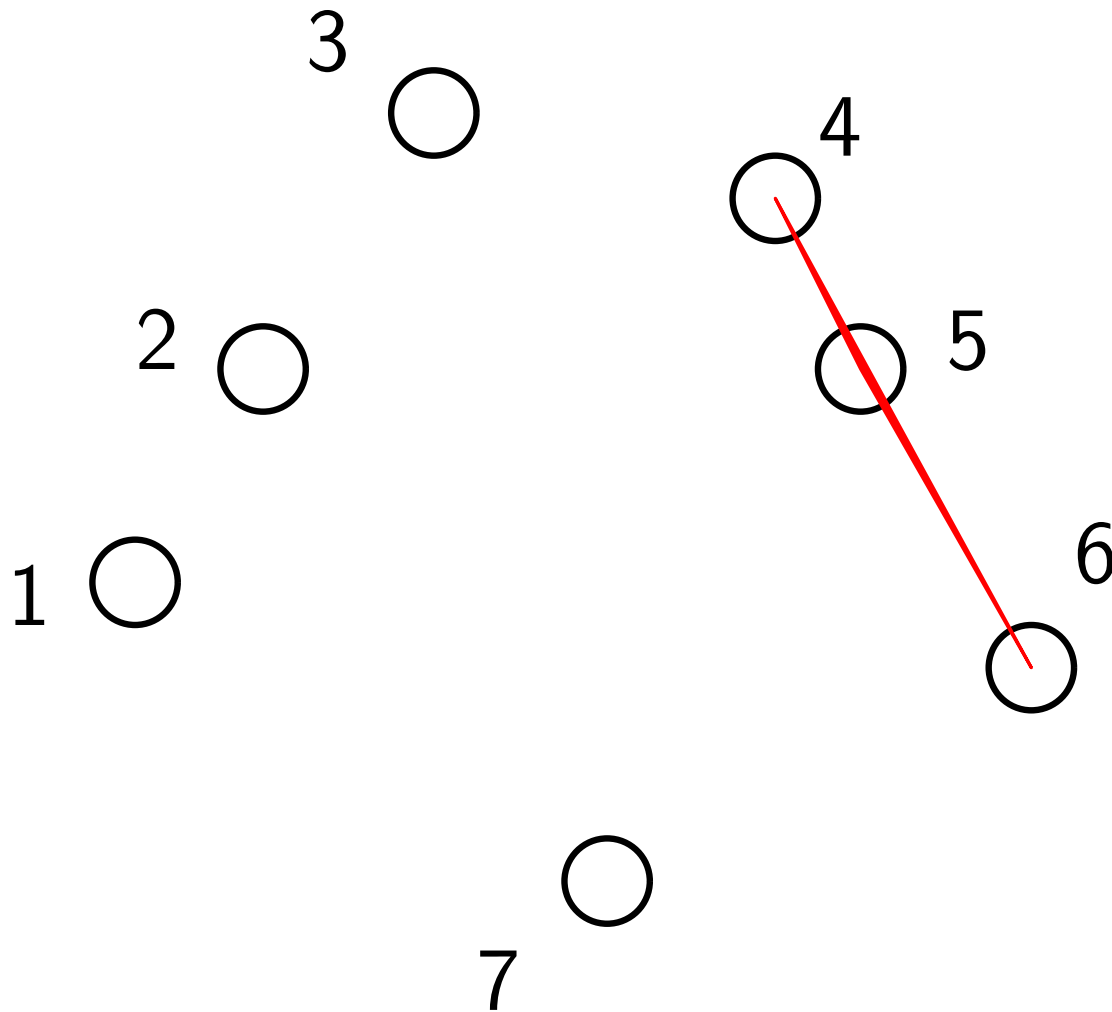
1 – 2 – 3: no

1 – 5 – 6: yes

3 – 4 – 7: yes

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- 3 points form a **triangle** if and only if **they are non collinear**



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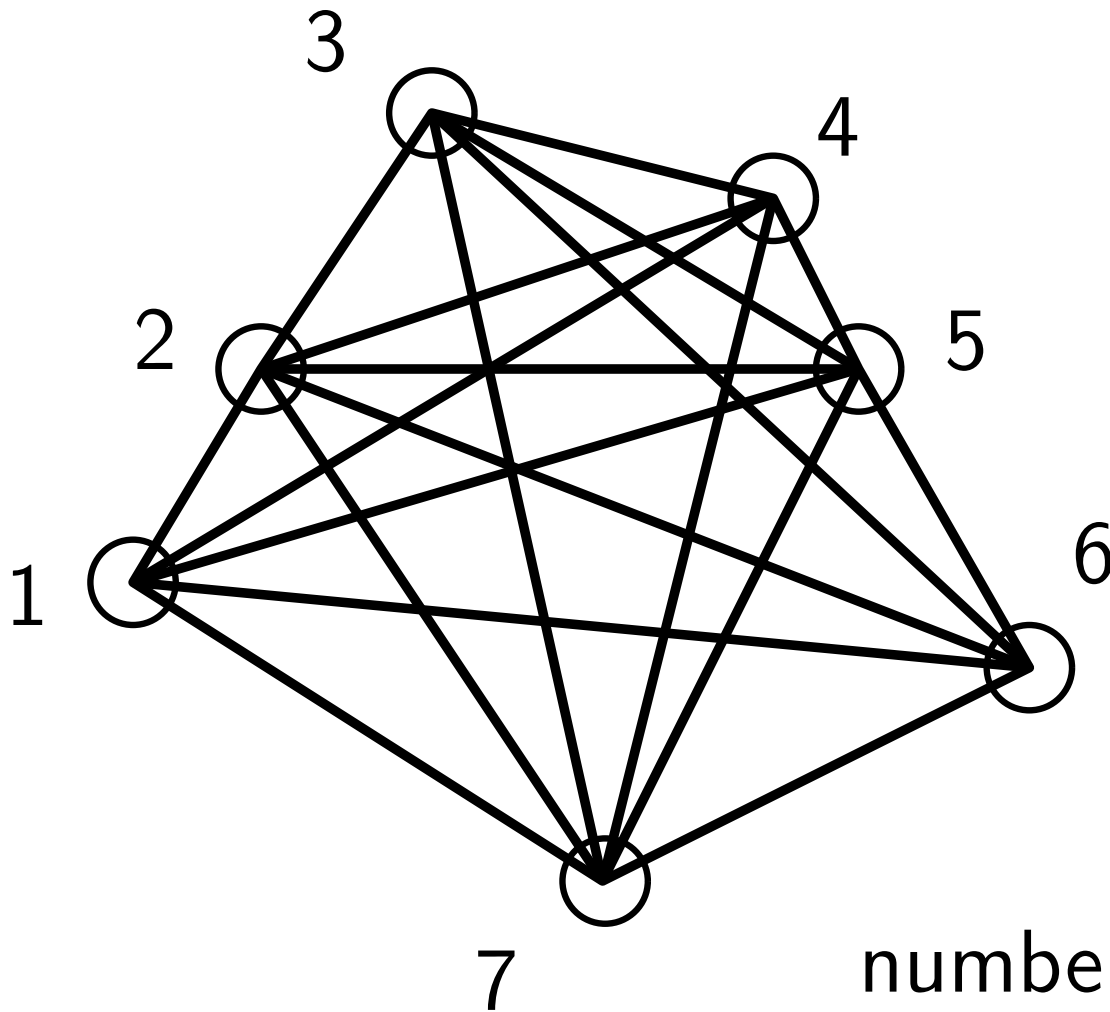
1 – 5 – 6: yes

3 – 4 – 7: yes

4 – 5 – 6: **no**

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1 – 2 – 7: yes

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1 – 5 – 6: yes

3 – 4 – 7: yes

4 – 5 – 6: no

number of triangles: 33

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A loop

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A loop embedded in a loop embedded in another loop.

Second loop begins with $j = i + 1$ and j increases up to n .

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For example, if $n = 4$, then triples (i, j, k) used by algorithm are $(1, 2, 3)$, $(1, 2, 4)$, $(1, 3, 4)$, and $(2, 3, 4)$.

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Why? Let $X =$ set of increasing triples and
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f is a bijection because

f is one-to-one

if $(i, j, k) \neq (i', j', k') \Rightarrow f((i, j, k)) \neq f((i', j', k'))$

f is onto

if γ is a 3-element subset then it can be written as $\gamma = \{i, j, k\}$

where $i < j < k$ so $f((i, j, k)) = \gamma$.

Counting Pairs

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We actually already saw that $|X| = |Y| = \binom{n}{2}$



The Bijection Principle

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Currently, we started with the problem of counting the **# of increasing triples** and changed it to the problem of counting the **# of 3-element sets from $\{1, 2, \dots, n\}$**



Inclusion-Exclusion Principle

- Used in counts where the decomposition yields two independent counting tasks with overlapping elements



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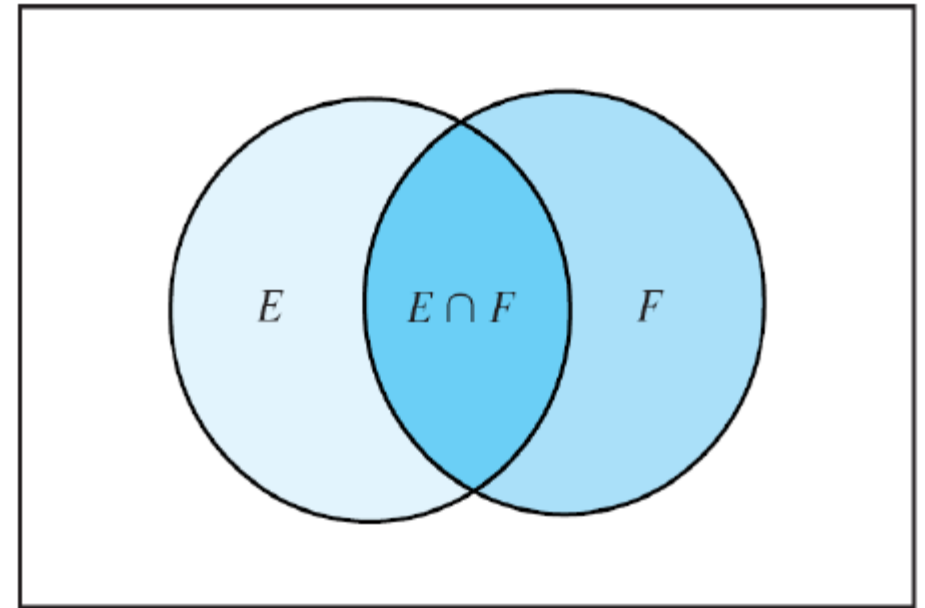
◇ deduct the number of strings starting with '1' and ending with "00": 2^5



Inclusion-Exclusion Principle

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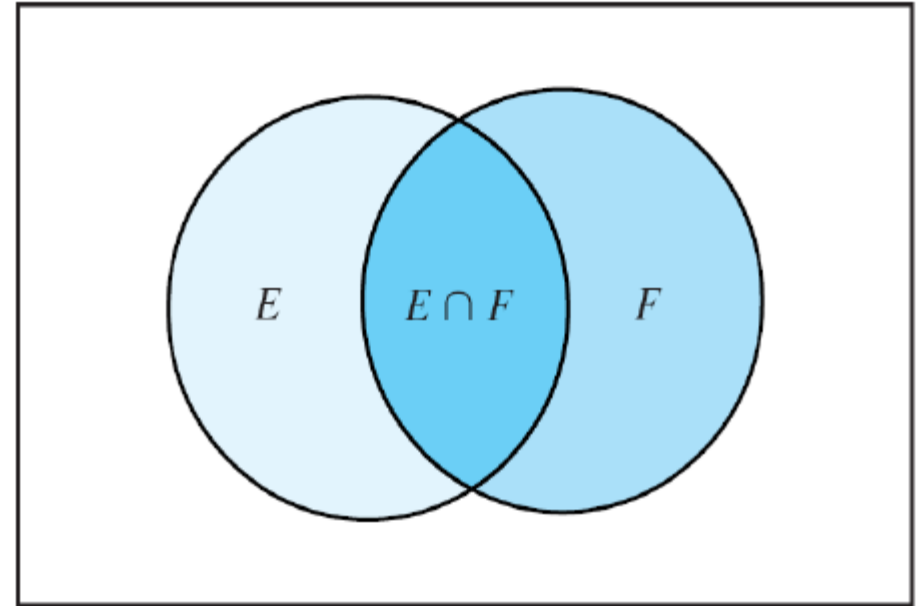
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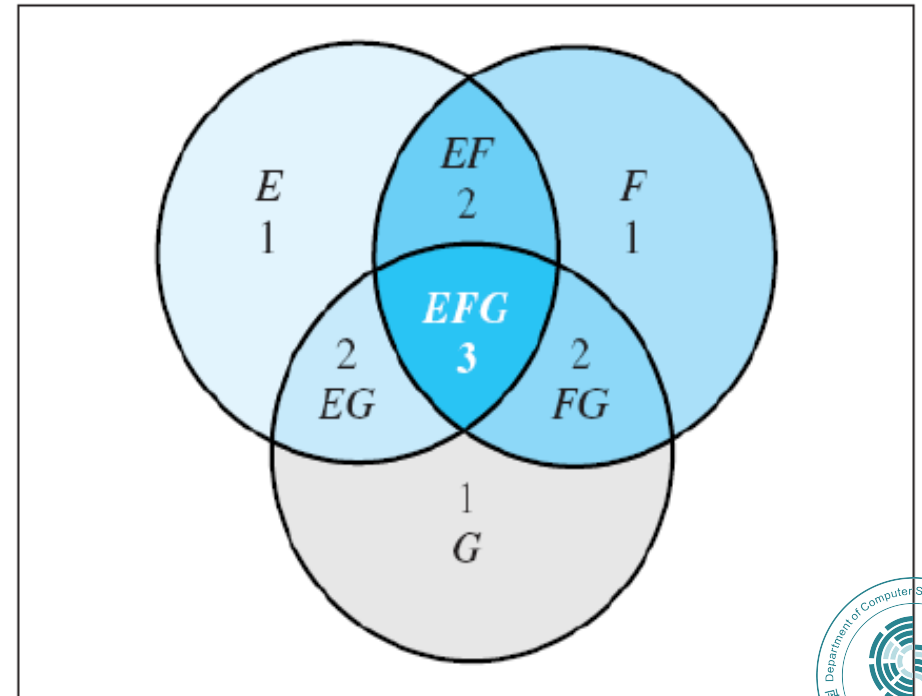
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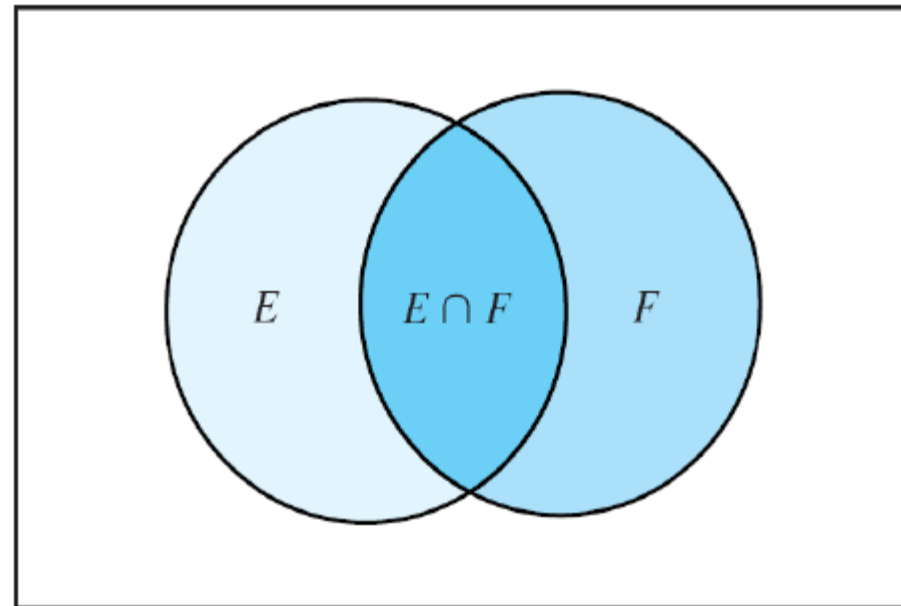
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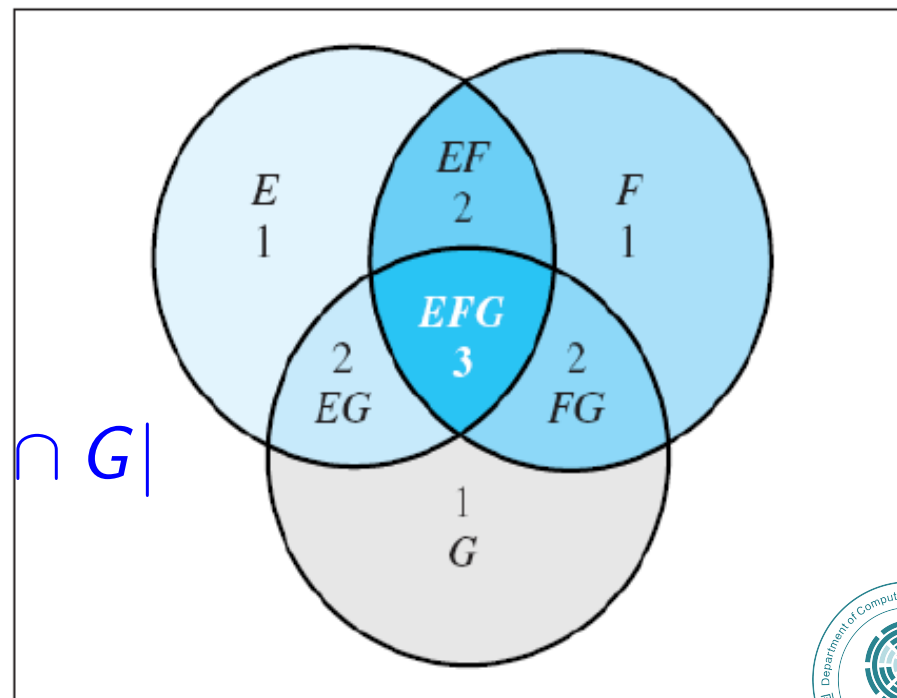
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Three sets

$$\begin{aligned} &|E \cup F \cup G| \\ &= |E| + |F| + |G| \\ &\quad - |E \cap F| - |E \cap G| - |F \cap G| \\ &\quad + |E \cap F \cap G| \end{aligned}$$



Inclusion-Exclusion Principle



$$|\cup_{i=1}^n E_i| = \sum_{k=1}^n (-1)^{k+1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$$

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Inductive Hypothesis

$$|\cup_{i=1}^{n-1} E_i| = \sum_{k=1}^{n-1} (-1)^{k+1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n-1} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$$



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Set $E = E_1 \cup \cdots \cup E_{n-1}$, and $F = E_n$.



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For the third term, by distributive law,

$$|(\cup_{i=1}^{n-1} E_i) \cap E_n| = |\cup_{i=1}^{n-1} (E_i \cap E_n)| = |\cup_{i=1}^{n-1} G_i|$$

where $G_i = E_i \cap E_n$.

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Some discussion:

first summation sums $(-1)^{k+1} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$ over **all lists** i_1, i_2, \dots, i_k that **do not contain** n
 $|E_n|$ and **second summation** together sum $(-1)^{k+1} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$ over **all lists** i_1, i_2, \dots, i_k that **do contain** n

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Note that the case of $k = n$ is special;

An **n -element permutation** of a **set N** of size $|N| = n$ is what we earlier simply called a **permutation**.



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Ex: When $n = 4$, there are $4 \times 3 \times 2 = 24$
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$L = \{123, 124, 132, 134, 142, 143, 213, 214, 231, 234, 241, 243, 312, 314, 321, 324, 341, 342, 412, 413, 421, 423, 431, 432\}.$

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Note: This type of "dictionary" ordering of tuples (assuming that we treat numbers the same as letters) is called a **lexicographic ordering** and is used quite often.



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- **Theorem** If N is a positive integer and k is an integer with $1 \leq k \leq n$, then there are

$$P(n, k) = n(n-1)(n-2) \cdots (n-k+1)$$

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$$P(n, 3) = 3! \cdot C(n, 3)$$



Binomial Coefficient

- **Theorem** For integers n and k with $0 \leq k \leq n$, the number of k -element subsets of an n -element set is

$$\binom{n}{k} = C(n, k) = \frac{P(n, k)}{k!} = \frac{n!}{k!(n - k)!}.$$

This is the number of k -combinations of a set with n elements.



Some Properties of Binomial Coefficients

- $\binom{n}{k} = \frac{n!}{k!(n-k)!}$ is the number of k -element subsets of an n -element set.

$$\binom{n}{0} = 1 \text{ only one set of size } 0.$$

$$\binom{n}{n} = 1 \text{ only one set of size } n.$$

$\binom{n}{k} = \binom{n}{n-k}$ Obvious from equation. Can you think of a simple bijection that explains this?

Some Properties of Binomial Coefficients (cont.)



$$\sum_{i=0}^n \binom{n}{i} = 2^n$$



Some Properties of Binomial Coefficients (cont.)

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Use Sum Rule

Let P = set of all subsets of $\{1, 2, \dots, n\}$

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$$\Rightarrow |P| = \sum_{i=0}^n |S_i| = \sum_{i=0}^n \binom{n}{i}$$

Some Properties of Binomial Coefficients (cont.)

■ Let $L = L_1 L_2 \dots L_n$ be a list of size n from $\{0, 1\}$

If \mathcal{L} = set of all such lists $\Rightarrow |\mathcal{L}| = 2^n$

There is a *bijection* between \mathcal{L} and P so

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If $L \in \mathcal{L}$ then $f(L)$ is the set $S \subseteq \{1, 2, \dots, n\}$ defined by

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Define the following function $f : \mathcal{L} \rightarrow P$

If $L \in \mathcal{L}$ then $f(L)$ is the set $S \subseteq \{1, 2, \dots, n\}$ defined by

$$i \in S \Leftrightarrow L_i = 1$$

f is a *bijection* between \mathcal{L} and P (why?) so $|\mathcal{L}| = |P|$

Ex: $n = 5$

$$f(10101) = \{1, 3, 5\}, \quad f(11101) = \{1, 2, 3, 5\}, \quad f(00000) = \emptyset$$

Binomial Coefficients

$n \backslash k$	0	1	2	3	4	5	6
0	1						
1	1	1					
2	1	2	1				
3	1	3	3	1			
4	1	4	6	4	1		
5	1	5	10	10	5	1	
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Each row begins with a 1
because $\binom{n}{0} = 1$

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Second half of each row is the reverse of the first half.

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Each row ends with a 1
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Each row increases at first
then decreases.

Second half of each row is the reverse of the first half.

Sum of items on n -th row is 2^n

Pascal's Triangle

Take the table

$n \backslash k$	0	1	2	3	4	5	6
0	1						
1	1	1					
2	1	2	1				
3	1	3	3	1			
4	1	4	6	4	1		
5	1	5	10	10	5	1	
6	1	6	15	20	15	6	1

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4	1	4	6	4	1		
5	1	5	10	10	5	1	
6	1	6	15	20	15	6	1

and shift each row slightly
so that middle element is
in middle

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Pascal's Triangle

				1				
			1		1			
		1		2		1		
	1		3		3		1	
	1	4		6		4	1	
1		5	10		10	5		1
1	6	15	20	15	6		1	



Pascal's Triangle

				1			
			1		1		
		1		2		1	
	1		3		3		1
	1	4		6		4	1
1		5	10		10	5	1
1	6	15	20	15	6	1	

What is the next row in the table?

Pascal's Triangle

				1				
			1		1			
		1		2		1		
	1		3		3		1	
	1	4		6		4		1
	1	5	10		10	5		1
	1	6	15	20		15	6	1
1	7	21	35	35	21	7		1



Pascal's Triangle

				1					
			1		1				
		1		2		1			
	1		3		3		1		
	1	4		6		4		1	
1		5	10		10	5		1	
1	6		15	20		15	6	1	
1	7	21		35	35		21	7	1

Pascal identity

Each (non-1) entry in Pascal's Triangle is the sum of the two entries directly above it (to left and to right).

Pascal's Triangle

				1				
			1		1			
		1		2		1		
	1		3		3		1	
	1	4		6		4	1	
1	5	10		10		5	1	
1	6	15	20		15	6	1	
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$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

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A purely *algebraic* proof (manipulating formulas) is possible.



Pascal's Identity



$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

A purely *algebraic* proof (manipulating formulas) is possible.

We will use a *combinatorial proof*.



A Combinatorial Proof

- $\binom{n}{k}$ is the number of k -element subsets of an n -element set.



A Combinatorial Proof

- $\binom{n}{k}$ is the number of k -element subsets of an n -element set.

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

Therefore, each term (left and right) represents the number of subsets of a particular size chosen from an appropriately sized set.

A Combinatorial Proof



$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$



A Combinatorial Proof

■

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

Number of k -subsets of an n -element set.

A Combinatorial Proof



$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

Number of k -subsets of an n -element set.

Number of $(k-1)$ -subsets of an $(n-1)$ -element set.



A Combinatorial Proof



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Number of k -subsets of an n -element set.

Number of $(k-1)$ -subsets of an $(n-1)$ -element set.

Number of k -subsets of an $(n-1)$ -element set.

Try to use sum principle to explain relationship among these three terms.

Example: $n = 5, k = 2$

$$\binom{5}{2} = \binom{4}{1} + \binom{4}{2}.$$



A Combinatorial Proof

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A Combinatorial Proof

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Consider $S = \{A, B, C, D, E\}$.



A Combinatorial Proof

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Set S_1 of 2-subsets of S

$$S_1 = \{\{A, B\}, \{A, C\}, \{A, D\}, \{A, E\}, \{B, C\}, \\ \{B, D\}, \{B, E\}, \{C, D\}, \{C, E\}, \{D, E\}\}.$$



A Combinatorial Proof

$$\binom{5}{2} = \binom{4}{1} + \binom{4}{2}.$$

Consider $S = \{A, B, C, D, E\}$.

Set S_1 of 2-subsets of S can be partitioned into 2 disjoint parts.

S_2 the 2-subsets that contain E and

S_3 , the set of 2-subsets that do not contain E .

$$S_1 = \{\{A, B\}, \{A, C\}, \{A, D\}, \{A, E\}, \{B, C\}, \\ \{B, D\}, \{B, E\}, \{C, D\}, \{C, E\}, \{D, E\}\}.$$



A Combinatorial Proof

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A Combinatorial Proof

- If n and k are integers satisfying $0 < k < n$, then

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}.$$



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Let S_1 be set of all k -element subsets.



A Combinatorial Proof

- If n and k are integers satisfying $0 < k < n$, then

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}.$$

Proof: Apply **sum rule**.

Let S_1 be set of all k -element subsets.

To apply **sum rule**, partition S_1 into S_2 and S_3 .

Let S_2 be set of k -element subsets that **contain** x_n .

Let S_3 be set of k -element subsets that **don't contain** x_n .



Blaise Pascal

Born 1623; Died 1662

French Mathematician

A Founder of Probability Theory

Inventor of one of the first mechanical
calculating machines

Pascal Programming Language named for him



Next Lecture

- counting II ...

