



# CS215 DISCRETE MATH

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# Recursion

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- Recursive computer programs or algorithms often lead to *inductive analysis*.
- A classical example of *recursion* is the **Towers of Hanoi** Problem.



# Towers of Hanoi



3 - 1



# Towers of Hanoi



- 3 pegs;  $n$  disks of different sizes
- A *legal move* takes a disk from one peg and moves it onto another peg so that **it is not on top of a smaller disk**
- **Problem:** Find an (efficient) way to move all of the disks from one peg to another

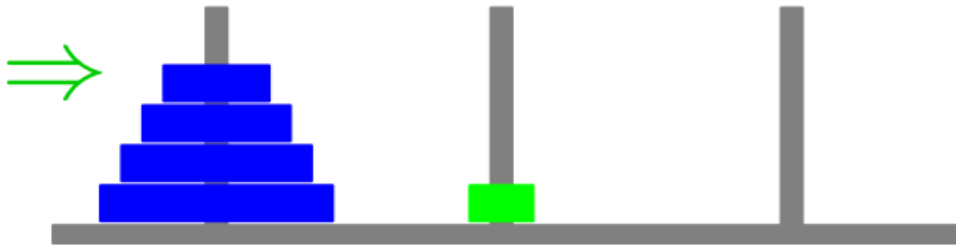
# Towers of Hanoi



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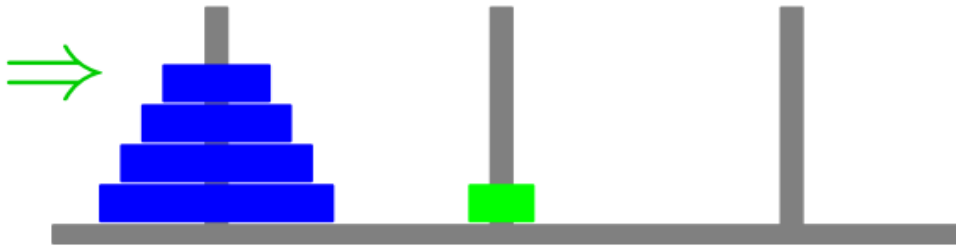
legal move



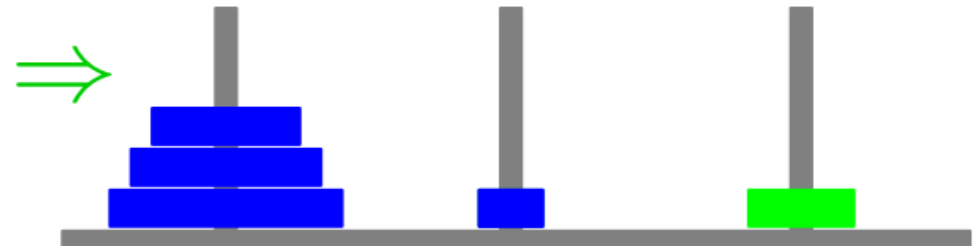
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legal move



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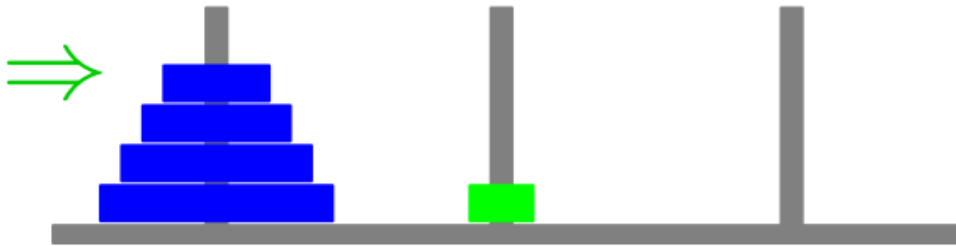




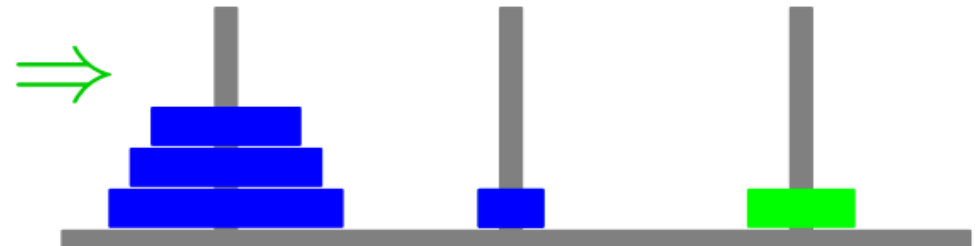
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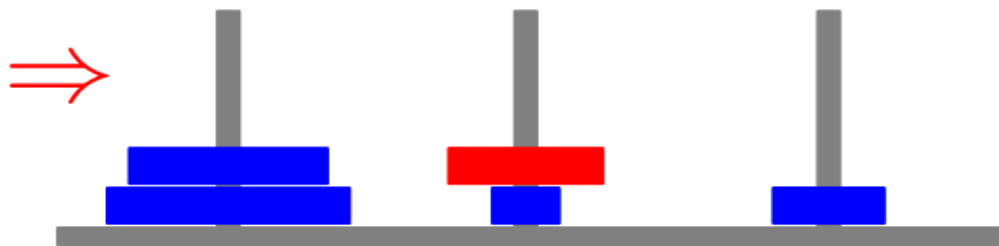
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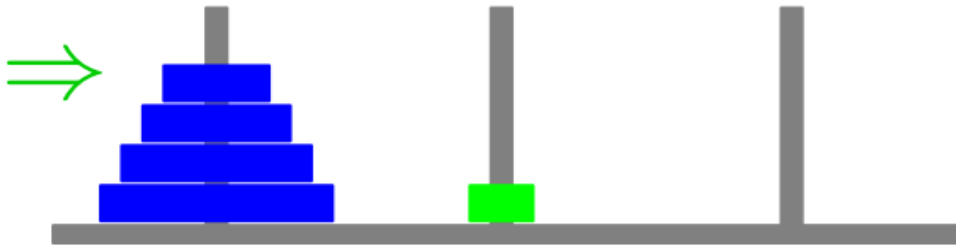
not legal



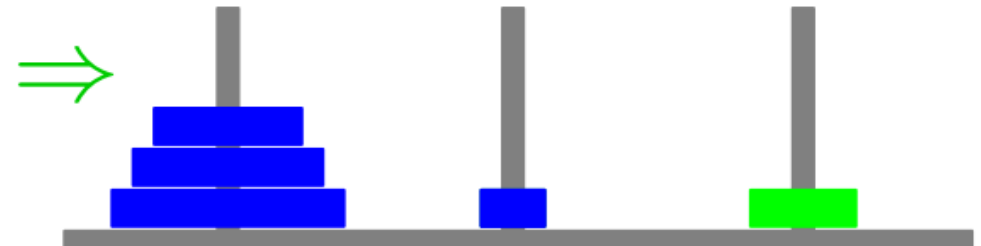
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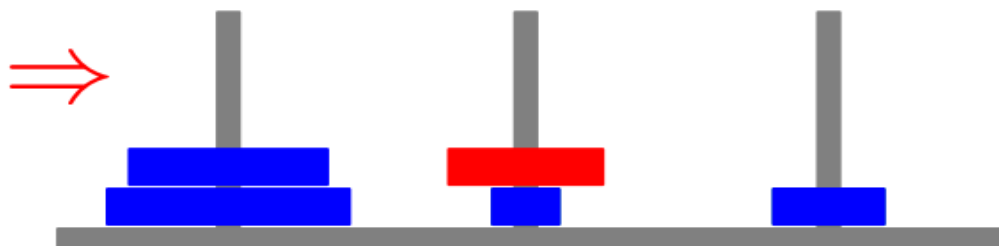
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# Towers of Hanoi

- **Problem:** Start with  $n$  disks on leftmost peg



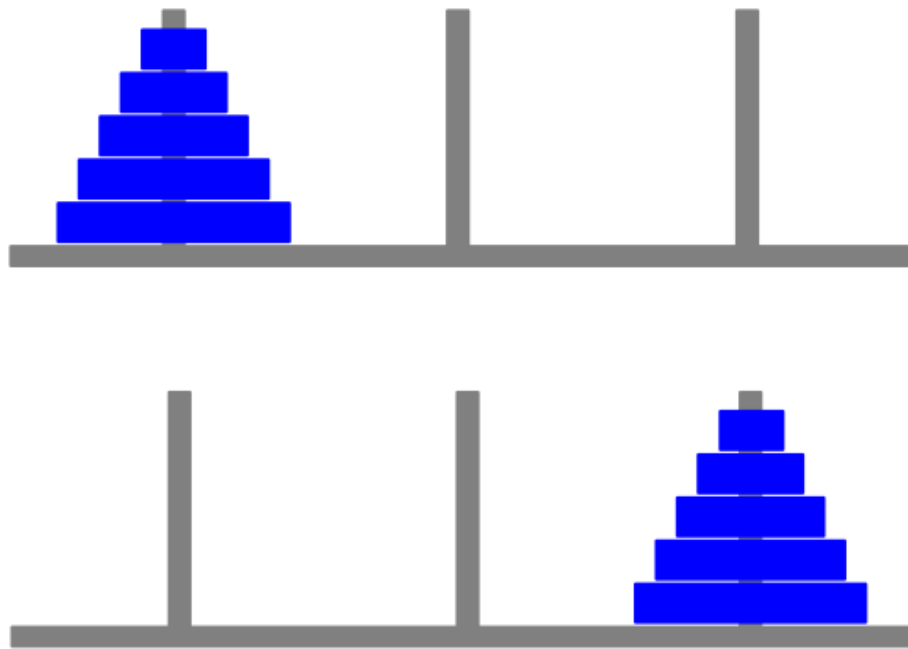
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move all disks to rightmost peg.



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Given  $i, j \in \{1, 2, 3\}$ , let  
 $\overline{\{i, j\}} = \{1, 2, 3\} - \{i\} - \{j\}$ ,  
i.e.,  $\overline{\{1, 2\}} = \{3\}$ ,  $\overline{\{1, 3\}} = \{2\}$ ,  
 $\overline{\{2, 3\}} = \{1\}$ .



# Towers of Hanoi

- General solution



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Recursion Base:

If  $n = 1$ , moving one disk from  $i$  to  $j$  is easy. Just move it.





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1)



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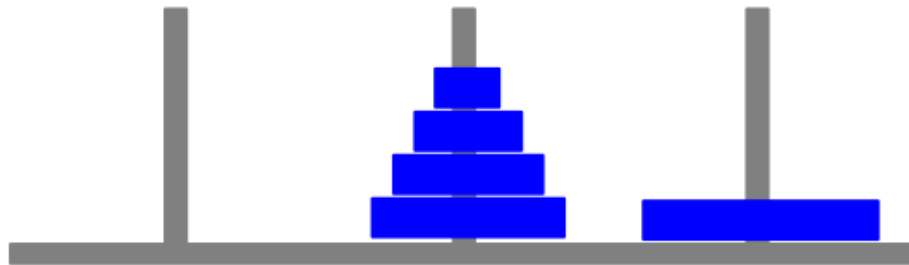
# Towers of Hanoi



1)



2)



To move  $n > 1$  disks from  $i$  to  $j$

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move **largest** disk from  $i$  to  $j$

# Towers of Hanoi



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2)



3)



To move  $n > 1$  disks from  $i$  to  $j$

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move top  $n - 1$  disks from  $\overline{\{i, j\}}$  to  $j$

# Towers of Hanoi

```
3 public class Hanoi
4 {
5
6     public void move(int n, char a, char b, char c)
7     {
8         if (n == 1)
9             System.out.println("plate " + n + " from " + a + " to " + c);
10        else
11        {
12            move(n-1,a,c,b);
13            System.out.println("plate " + n + " from " + a + " to " + c);
14            move(n-1,b,a,c);
15        }
16    }
17 }
18
```

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ii) move largest disk from  $i$  to  $j$

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- $p(n - 1) \rightarrow p(n)$  is **recursion** statement that

if our algorithm works for  $n - 1$  disks, then we can build a correct solution for  $n$  disks

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## ■ Running time

$M(n)$  is number of disk moves needed for  $n$  disks

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$$M(1) = 1$$

$$\text{if } n > 1, \text{ then } M(n) = 2M(n - 1) + 1$$

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We'll prove this *by induction*

Later, we'll also see how to solve *without guessing*



# Towers of Hanoi

- Formally, given

$$M(n) = \begin{cases} 1 & \text{if } n = 1 \\ 2M(n-1) + 1 & \text{otherwise} \end{cases}$$

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Then  $M(n) = 2M(n-1) + 1 = 2(2^{n-1} - 1) + 1 = 2^n - 1$



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$$M(n) = \begin{cases} 1 & \text{if } n = 1 \\ 2M(n-1) + 1 & \text{otherwise} \end{cases}$$

- The second time was to **derive** the **closed form solution**  $M(n) = 2^n - 1$  of the recurrence.





# Recurrences

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$$M(n) = \begin{cases} 1 & \text{if } n = 1 \\ 2M(n-1) + 1 & \text{otherwise} \end{cases} \quad \text{Towers of Hanoi}$$

$$F(n) = \begin{cases} 1 & \text{if } n = 0, 1 \\ F(n-1) + F(n-2) & \text{otherwise} \end{cases} \quad \text{Fibonacci Sequence}$$



# Recurrences

- **Example 2:** Let  $S(n)$  be the number of subsets of a set of size  $n$ . What is the formula for  $S(n)$ ?

The empty set, of size  $n = 0$  has only one subset (itself), so  $S(0) = 1$ .

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We “guess” that  $S(n) = 2^n$ . But, in order to prove formula, we’ll need to think recursively.



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- Consider the eight subsets of  $\{1, 2, 3\}$ :  
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This suggests that the recurrence for the number of subsets of an  $n$ -element set  $\{1, 2, \dots, n\}$  is

$$S(n) = \begin{cases} 1 & \text{if } n = 0 \\ 2S(n-1) & \text{if } n \geq 1 \end{cases}$$



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Thus, if  $n > 1$ , then  $S(n) = 2S(n - 1)$ .

Proof by induction is easy.





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Can we generalize this to find a **closed-form solution**?



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Then, we have

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**Guess**  $T(n) = r^n T(0) + a \sum_{i=0}^{n-1} r^i$



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This would lead to the same guess

$$T(n) = r^n b + a \sum_{i=0}^{n-1} r^i.$$



# Formula of Recurrences

- **Theorem** If  $T(n) = rT(n-1) + a$ ,  $T(0) = b$ , and  $r \neq 1$ , then

$$T(n) = r^n b + a \frac{1 - r^n}{1 - r}$$

for all nonnegative integers  $n$ .



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## Proof by induction

The base case:

$$T(0) = r^0 b + a \frac{1 - r^0}{1 - r} = b.$$

So the formula is true when  $n = 0$ .

Now assume that  $n > 0$  and

$$T(n-1) = r^{n-1} b + a \frac{1 - r^{n-1}}{1 - r}.$$

# Formula of Recurrences

## ■ Proof by induction

$$\begin{aligned}T(n) &= rT(n-1) + a \\&= r \left( r^{n-1}b + a \frac{1-r^{n-1}}{1-r} \right) + a \\&= r^n b + \frac{ar - ar^n}{1-r} + a \\&= r^n b + \frac{ar - ar^n + a - ar}{1-r} \\&= r^n b + a \frac{1-r^n}{1-r}.\end{aligned}$$



# Formula of Recurrences

- **Theorem** If  $T(n) = rT(n-1) + a$ ,  $T(0) = b$ , and  $r \neq 1$ , then

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$$T(n) = 3T(n-1) + 2 \text{ with } T(0) = 5$$



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Plugging  $r = 3$ ,  $a = 2$ ,  $b = 5$  in the formula, gives

$$T(n) = 3^n \cdot 5 + 2 \frac{1 - 3^n}{1 - 3} = 3^n \cdot 6 - 1$$





# First-Order Linear Recurrences

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  - ◇ **Linear** because  $T(n-1)$  only appears to the **first power**.  
  
Something like  $T(n) = (T(n-1))^2 + 3$  would be a **non-linear** first-order recurrence relation.



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# First-Order Linear Recurrences

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When  $f(n)$  is a constant, say  $r$ , the general solution is almost as easy as we derived before. Iterating the recurrence gives

$$\begin{aligned}T(n) &= rT(n-1) + g(n) \\&= r(rT(n-2) + g(n-1)) + g(n) \\&= r^2T(n-2) + rg(n-1) + g(n) \\&= r^3T(n-3) + r^2g(n-2) + rg(n-1) + g(n) \\&\vdots \\&= r^nT(0) + \sum_{i=0}^{n-1} r^i g(n-i)\end{aligned}$$



# First-Order Linear Recurrences

- **Theorem** For any positive constants  $a$  and  $r$ , and any function  $g$  defined on nonnegative integers, the solution to the first-order linear recurrence

$$T(n) = \begin{cases} rT(n-1) + g(n) & \text{if } n > 0 \\ a & \text{if } n = 0 \end{cases}$$

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**Proof by induction**



# Examples

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**Theorem.** For any real number  $x \neq 1$ ,

$$\sum_{i=1}^n ix^i = \frac{nx^{n+2} - (n+1)x^{n+1} + x}{(1-x)^2}.$$

# Examples

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# Growth Rates of Solutions to Recurrences

- Divide and conquer algorithms
- Iterating recurrences
- Three different behaviors



# Divide and conquer algorithms

- We just analyzed recurrences of the form

$$T(n) = \begin{cases} b & \text{if } n = 0 \\ r \cdot T(n-1) + a & \text{if } n > 0 \end{cases}$$



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These corresponded to the analysis of recursive algorithms in which a problem of size  $n$  is solved by recursively solving a problem of size  $n-1$ .

$$T(n) = r^n b + a \frac{1 - r^n}{1 - r}$$

We will now look at recurrences of the form

$$T(n) = \begin{cases} \text{something given} & \text{if } n \leq n_0 \\ r \cdot T(n/m) + a & \text{if } n > n_0 \end{cases}$$



# Binary Search

- Someone has chosen a number  $x$  between 1 and  $n$ . We need to discover  $x$ .



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Our strategy will be to always ask greater than questions, at each step halving our search range, until the range only contains one number, when we ask a final equal to question.



# Binary Search Example

1

32

48

64

33 - 1



# Binary Search Example

---

1

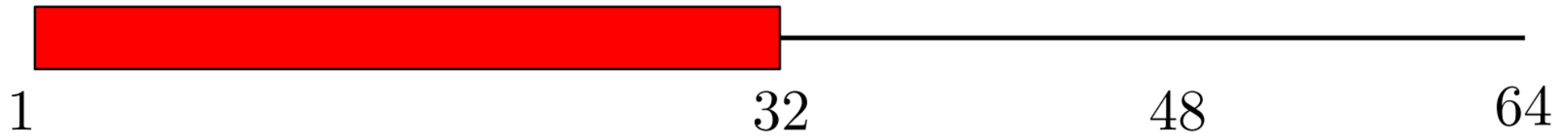
32

48

64

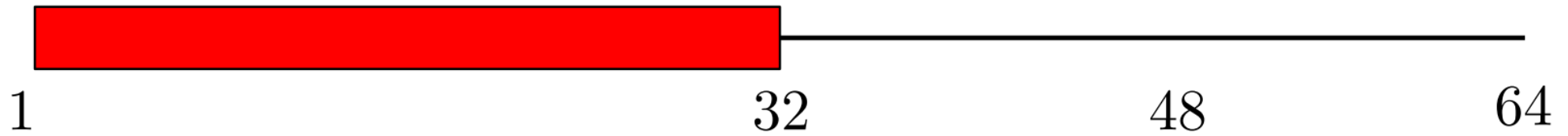
Is  $x > 32$ ?

# Binary Search Example



Is  $x > 32$ ?      Answer: Yes

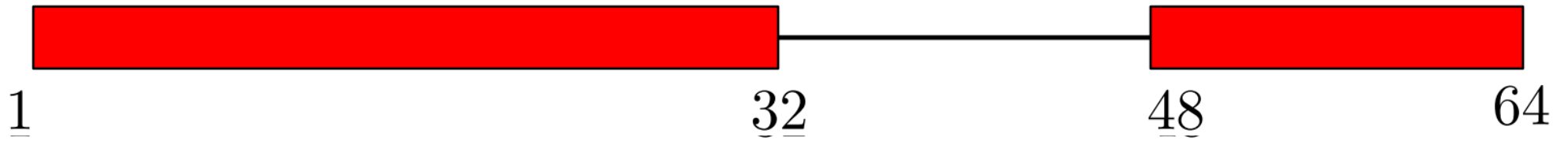
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Is  $x > 32$ ?      Answer: Yes

Is  $x > 48$ ?

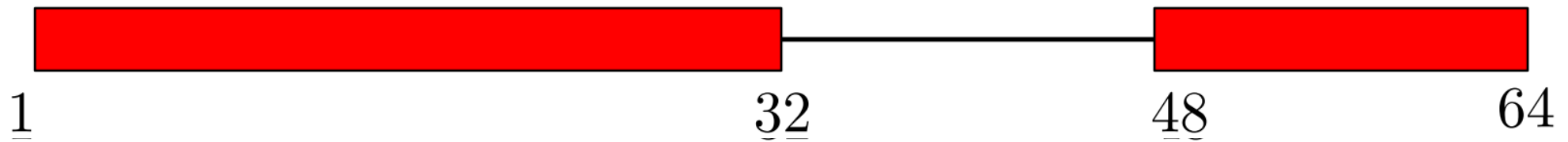
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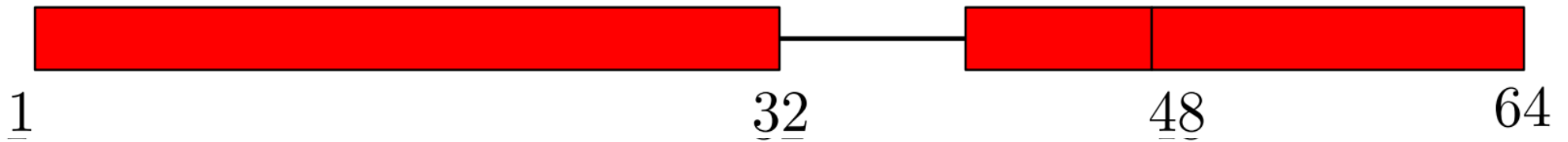


Is  $x > 32$ ? Answer: Yes

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Is  $x > 40$ ?

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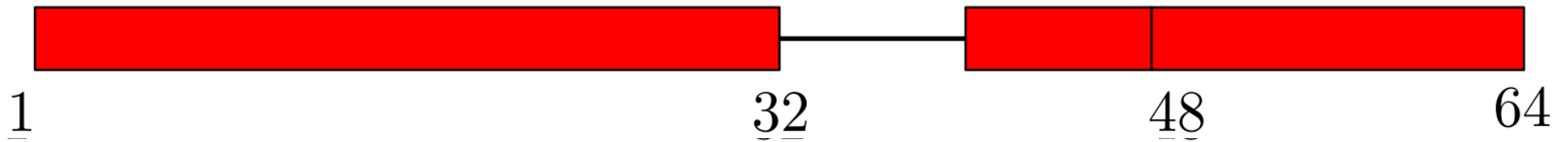


Is  $x > 32$ ?      Answer: Yes

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# Binary Search Example



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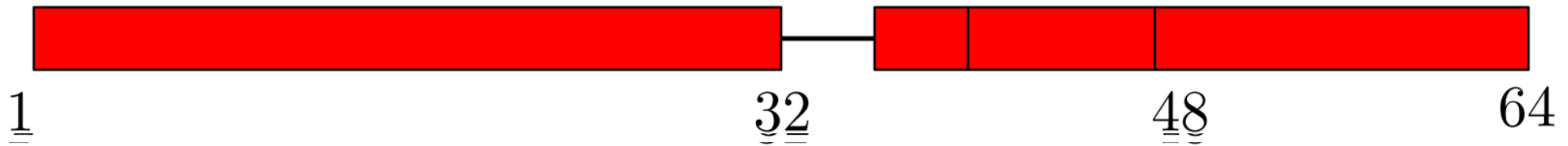
Is  $x > 48$ ? Answer: No

Is  $x > 40$ ? Answer: No

Is  $x > 36$ ?



# Binary Search Example



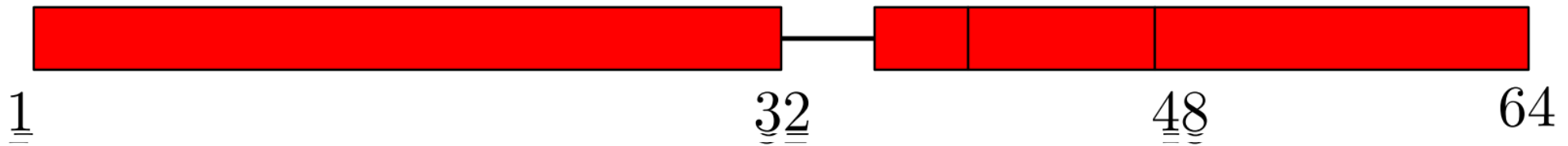
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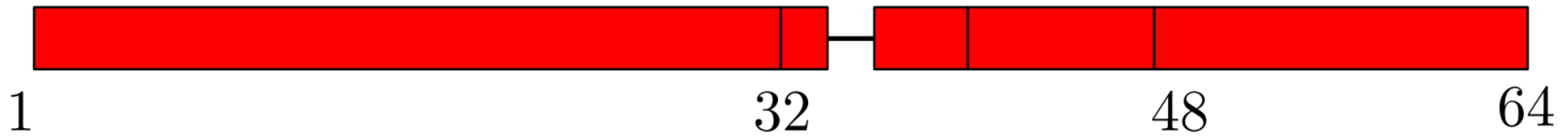
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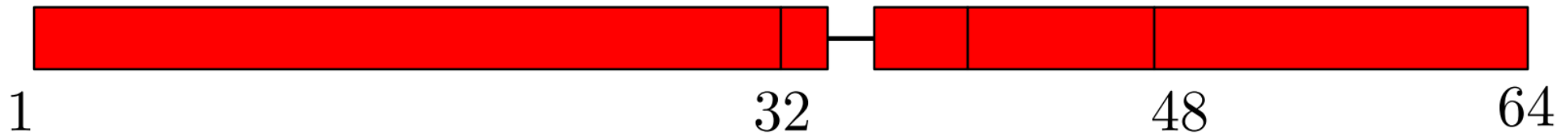
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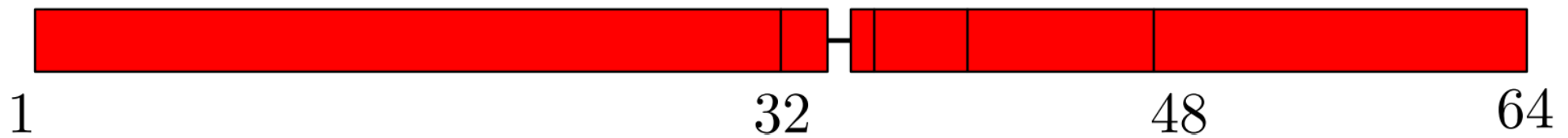
Is  $x > 40$ ? Answer: No

Is  $x > 36$ ? Answer: No

Is  $x > 34$ ? Answer: Yes

Is  $x > 35$ ?

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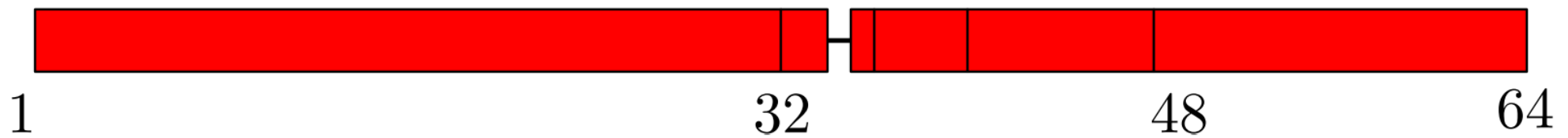
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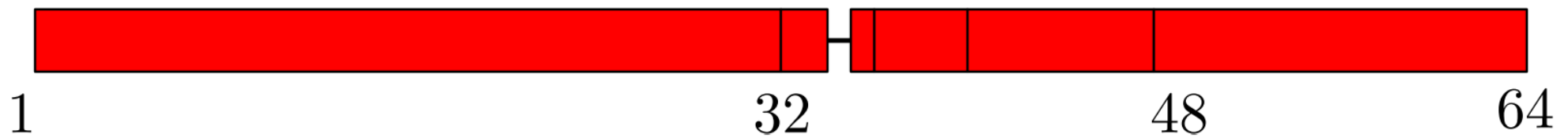
Is  $x > 36$ ? Answer: No

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Is  $x = 35$ ?

# Binary Search Example



Is $x > 32$ ?	Answer: Yes
Is $x > 48$ ?	Answer: No
Is $x > 40$ ?	Answer: No
Is $x > 36$ ?	Answer: No
Is $x > 34$ ?	Answer: Yes
Is $x > 35$ ?	Answer: No
Is $x = 35$ ?	Answer: BINGO!

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**Note:** When  $n$  is a power of 2,  $T(n)$ , the number of questions in a binary search on  $[1, n]$ , satisfies

$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \geq 2 \end{cases}$$



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This can also be proved **inductively**, similar to the tower of Hanoi recurrence.



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+

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Base case (1 item):  $T(1) = 1$  to ask: “**Is the number  $k$ ?**”



# Binary Search Example

$$(*) \quad T(n) = \begin{cases} C_1 & \text{if } n = 1 \\ T(\lceil n/2 \rceil) + C_2 & \text{if } n \geq 2 \end{cases}$$

For simplicity, we will (usually) assume that  $n$  is a power of 2 (or sometimes 3 or 4) and also often that constants such as  $C_1, C_2$  are 1. This will let us replace a recurrence such as  $(*)$  by one such as  $(**)$ .



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$$(**) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \geq 2 \end{cases}$$

In practice, the solution of (\*) will be very close to that of (\*\*) (this can be proved mathematically). Hence, we can restrict attention to (\*\*).

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- Divide and conquer algorithms
- Iterating recurrences
- Three different behaviors



# Iterating Recurrences: Example 1

■

$$(*) \quad T(n) = \begin{cases} T(1) & \text{if } n = 1 \\ 2T(n/2) + n & \text{if } n \geq 2 \end{cases}$$



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This corresponds to solving a problem of size  $n$ , by

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or using  $T(1)$  work for “bottom” case of  $n = 1$



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We now see how to solve  $(*)$  by algebraically iterating the recurrence.



# Iterating Recurrences: Example 1

- Algebraically iterating the recurrence

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$



# Iterating Recurrences: Example 1

- Algebraically iterating the recurrence

Assume that  $n$  is a power of 2

$$T(n) = 2T\left(\frac{n}{2}\right) + n = 2\left(2T\left(\frac{n}{4}\right) + \frac{n}{2}\right) + n$$



# Iterating Recurrences: Example 1

- Algebraically iterating the recurrence

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- Algebraically iterating the recurrence

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- Algebraically iterating the recurrence

Assume that  $n$  is a power of 2

$$\begin{aligned}T(n) &= 2T\left(\frac{n}{2}\right) + n &&= 2\left(2T\left(\frac{n}{4}\right) + \frac{n}{2}\right) + n \\&= 4T\left(\frac{n}{4}\right) + 2n &&= 4\left(2T\left(\frac{n}{8}\right) + \frac{n}{4}\right) + 2n \\&= 8T\left(\frac{n}{8}\right) + 3n \\&\quad \vdots \quad \quad \quad \vdots \\&= 2^i T\left(\frac{n}{2^i}\right) + in\end{aligned}$$



# Iterating Recurrences: Example 1

- Algebraically iterating the recurrence

Assume that  $n$  is a power of 2

$$T(n) = 2T\left(\frac{n}{2}\right) + n = 2\left(2T\left(\frac{n}{4}\right) + \frac{n}{2}\right) + n$$

$$= 4T\left(\frac{n}{4}\right) + 2n = 4\left(2T\left(\frac{n}{8}\right) + \frac{n}{4}\right) + 2n$$

$$= 8T\left(\frac{n}{8}\right) + 3n$$

$$\vdots \quad \vdots$$
$$= 2^i T\left(\frac{n}{2^i}\right) + in$$

$$\vdots \quad \vdots$$
$$= 2^{\log_2 n} T\left(\frac{n}{2^{\log_2 n}}\right) + (\log_2 n)n$$

End when  $i = \log_2 n$



# Iterating Recurrences: Example 1

- Algebraically iterating the recurrence

Assume that  $n$  is a power of 2

$$T(n) = 2T\left(\frac{n}{2}\right) + n = 2\left(2T\left(\frac{n}{4}\right) + \frac{n}{2}\right) + n$$

$$= 4T\left(\frac{n}{4}\right) + 2n = 4\left(2T\left(\frac{n}{8}\right) + \frac{n}{4}\right) + 2n$$

$$= 8T\left(\frac{n}{8}\right) + 3n$$

$$\vdots \quad \vdots$$
$$= 2^i T\left(\frac{n}{2^i}\right) + in$$

End when  $i = \log_2 n$

$$\vdots \quad \vdots$$
$$= 2^{\log_2 n} T\left(\frac{n}{2^{\log_2 n}}\right) + (\log_2 n)n$$

$$= nT(1) + n\log_2 n$$



# Iterating Recurrences: Example 1

- We just iterated the recurrence to derive that the solution to

$$(*) \quad T(n) = \begin{cases} T(1) & \text{if } n = 1 \\ 2T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

is  $nT(1) + n \log_2 n$ .



# Iterating Recurrences: Example 1

- We just iterated the recurrence to derive that the solution to

$$(*) \quad T(n) = \begin{cases} T(1) & \text{if } n = 1 \\ 2T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

is  $nT(1) + n \log_2 n$ .

**Note:** Technically, we still need to use **induction** to prove that our solution is correct. Practically, we **never** explicitly perform this step, since it is obvious how the induction would work.



# Iterating Recurrences: Example 2

■

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \geq 2 \end{cases}$$



# Iterating Recurrences: Example 2



$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \geq 2 \end{cases}$$

$$T(n) = T\left(\frac{n}{2}\right) + 1$$



# Iterating Recurrences: Example 2



$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \geq 2 \end{cases}$$

$$T(n) = T\left(\frac{n}{2}\right) + 1 = \left(T\left(\frac{n}{2^2}\right) + 1\right) + 1$$



# Iterating Recurrences: Example 2



$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \geq 2 \end{cases}$$

$$\begin{aligned} T(n) &= T\left(\frac{n}{2}\right) + 1 &&= \left(T\left(\frac{n}{2^2}\right) + 1\right) + 1 \\ &= T\left(\frac{n}{2^2}\right) + 2 \end{aligned}$$



# Iterating Recurrences: Example 2



$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \geq 2 \end{cases}$$

$$\begin{aligned} T(n) &= T\left(\frac{n}{2}\right) + 1 &= \left(T\left(\frac{n}{2^2}\right) + 1\right) + 1 \\ &= T\left(\frac{n}{2^2}\right) + 2 &= \left(T\left(\frac{n}{2^3}\right) + 1\right) + 2 \end{aligned}$$



# Iterating Recurrences: Example 2



$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \geq 2 \end{cases}$$

$$\begin{aligned} T(n) &= T\left(\frac{n}{2}\right) + 1 &= \left(T\left(\frac{n}{2^2}\right) + 1\right) + 1 \\ &= T\left(\frac{n}{2^2}\right) + 2 &= \left(T\left(\frac{n}{2^3}\right) + 1\right) + 2 \\ &= T\left(\frac{n}{2^3}\right) + 3 \end{aligned}$$





# Iterating Recurrences: Example 2



$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \geq 2 \end{cases}$$

$$\begin{aligned} T(n) &= T\left(\frac{n}{2}\right) + 1 &= \left(T\left(\frac{n}{2^2}\right) + 1\right) + 1 \\ &= T\left(\frac{n}{2^2}\right) + 2 &= \left(T\left(\frac{n}{2^3}\right) + 1\right) + 2 \\ &= T\left(\frac{n}{2^3}\right) + 3 \\ &\quad \vdots \quad \vdots \\ &= T\left(\frac{n}{2^i}\right) + i \end{aligned}$$



# Iterating Recurrences: Example 2



$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \geq 2 \end{cases}$$

$$T(n) = T\left(\frac{n}{2}\right) + 1 = \left(T\left(\frac{n}{2^2}\right) + 1\right) + 1$$

$$= T\left(\frac{n}{2^2}\right) + 2 = \left(T\left(\frac{n}{2^3}\right) + 1\right) + 2$$

$$= T\left(\frac{n}{2^3}\right) + 3$$

$$\begin{array}{c} \vdots \\ \vdots \\ = T\left(\frac{n}{2^i}\right) + i \end{array}$$

$$\begin{array}{c} \vdots \\ \vdots \\ = T\left(\frac{n}{2^{\log_2 n}}\right) + \log_2 n \end{array}$$



# Iterating Recurrences: Example 2



$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + 1 & \text{if } n \geq 2 \end{cases}$$

$$T(n) = T\left(\frac{n}{2}\right) + 1 = \left(T\left(\frac{n}{2^2}\right) + 1\right) + 1$$

$$= T\left(\frac{n}{2^2}\right) + 2 = \left(T\left(\frac{n}{2^3}\right) + 1\right) + 2$$

$$= T\left(\frac{n}{2^3}\right) + 3$$

$$\begin{array}{c} \vdots \\ \vdots \\ = T\left(\frac{n}{2^i}\right) + i \end{array}$$

$$\begin{array}{c} \vdots \\ \vdots \\ = T\left(\frac{n}{2^{\log_2 n}}\right) + \log_2 n = 1 + \log_2 n \end{array}$$



# Iterating Recurrences: Example 3

■

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + n & \text{if } n \geq 2 \end{cases}$$



# Iterating Recurrences: Example 3



$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

$$\begin{aligned} T(n) &= T\left(\frac{n}{2}\right) + n \\ &= T\left(\frac{n}{2^2}\right) + \frac{n}{2} + n \end{aligned}$$



# Iterating Recurrences: Example 3

■

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

$$T(n) = T\left(\frac{n}{2}\right) + n$$

$$= T\left(\frac{n}{2^2}\right) + \frac{n}{2} + n$$

$$= T\left(\frac{n}{2^3}\right) + \frac{n}{2^2} + \frac{n}{2} + n$$



# Iterating Recurrences: Example 3

■

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

$$\begin{aligned} T(n) &= T\left(\frac{n}{2}\right) + n \\ &= T\left(\frac{n}{2^2}\right) + \frac{n}{2} + n \\ &= T\left(\frac{n}{2^3}\right) + \frac{n}{2^2} + \frac{n}{2} + n \\ &\quad \vdots \\ &= T\left(\frac{n}{2^i}\right) + \frac{n}{2^{i-1}} + \cdots + \frac{n}{2^2} + \frac{n}{2} + n \end{aligned}$$

# Iterating Recurrences: Example 3

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

$$T(n) = T\left(\frac{n}{2}\right) + n$$

$$= T\left(\frac{n}{2^2}\right) + \frac{n}{2} + n$$

$$= T\left(\frac{n}{2^3}\right) + \frac{n}{2^2} + \frac{n}{2} + n$$

$$\begin{array}{c} \vdots \\ \vdots \\ = T\left(\frac{n}{2^i}\right) + \frac{n}{2^{i-1}} + \cdots + \frac{n}{2^2} + \frac{n}{2} + n \end{array}$$

$$\begin{array}{c} \vdots \\ \vdots \\ = T\left(\frac{n}{2^{\log_2 n}}\right) + \frac{n}{2^{\log_2 n - 1}} + \cdots + \frac{n}{2^2} + \frac{n}{2} + n \end{array}$$



# Iterating Recurrences: Example 3

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

$$T(n) = T\left(\frac{n}{2}\right) + n$$

$$= T\left(\frac{n}{2^2}\right) + \frac{n}{2} + n$$

$$= T\left(\frac{n}{2^3}\right) + \frac{n}{2^2} + \frac{n}{2} + n$$

$$\begin{array}{c} \vdots \\ \vdots \\ = T\left(\frac{n}{2^i}\right) + \frac{n}{2^{i-1}} + \cdots + \frac{n}{2^2} + \frac{n}{2} + n \end{array}$$

$$\begin{array}{c} \vdots \\ \vdots \\ = T\left(\frac{n}{2^{\log_2 n}}\right) + \frac{n}{2^{\log_2 n - 1}} + \cdots + \frac{n}{2^2} + \frac{n}{2} + n \end{array}$$

$$= 1 + 2 + 2^2 + \cdots + \frac{n}{2^2} + \frac{n}{2} + n$$

# Iterating Recurrences: Example 3

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

$$T(n) = T\left(\frac{n}{2}\right) + n$$

$$= T\left(\frac{n}{2^2}\right) + \frac{n}{2} + n$$

$$= T\left(\frac{n}{2^3}\right) + \frac{n}{2^2} + \frac{n}{2} + n$$

$$\vdots \quad \vdots$$

$$= T\left(\frac{n}{2^i}\right) + \frac{n}{2^{i-1}} + \cdots + \frac{n}{2^2} + \frac{n}{2} + n$$

$$\vdots \quad \vdots$$

$$= T\left(\frac{n}{2^{\log_2 n}}\right) + \frac{n}{2^{\log_2 n - 1}} + \cdots + \frac{n}{2^2} + \frac{n}{2} + n$$

$$= 1 + 2 + 2^2 + \cdots + \frac{n}{2^2} + \frac{n}{2} + n = \Theta(n)$$

# Iterating Recurrences: Example 4

■

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \geq 3 \end{cases}$$



# Iterating Recurrences: Example 4

■

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \geq 3 \end{cases}$$

$$T(n) = 3T\left(\frac{n}{3}\right) + n$$



# Iterating Recurrences: Example 4

■

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \geq 3 \end{cases}$$

$$T(n) = 3T\left(\frac{n}{3}\right) + n = 3\left(3T\left(\frac{n}{3^2}\right) + \frac{n}{3}\right) + n$$

# Iterating Recurrences: Example 4

■

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \geq 3 \end{cases}$$

$$\begin{aligned} T(n) &= 3T\left(\frac{n}{3}\right) + n &= 3\left(3T\left(\frac{n}{3^2}\right) + \frac{n}{3}\right) + n \\ &= 3^2 T\left(\frac{n}{3^2}\right) + 2n \end{aligned}$$



# Iterating Recurrences: Example 4

■

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \geq 3 \end{cases}$$

$$\begin{aligned} T(n) &= 3T\left(\frac{n}{3}\right) + n &= 3\left(3T\left(\frac{n}{3^2}\right) + \frac{n}{3}\right) + n \\ &= 3^2 T\left(\frac{n}{3^2}\right) + 2n &= 3^2\left(3T\left(\frac{n}{3^3}\right) + \frac{n}{3^2}\right) + 2n \end{aligned}$$



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$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \geq 3 \end{cases}$$

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$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \geq 3 \end{cases}$$

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$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \geq 3 \end{cases}$$

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# Iterating Recurrences: Example 4

■

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n < 3 \\ 3T(n/3) + n & \text{if } n \geq 3 \end{cases}$$

$$\begin{aligned} T(n) &= 3T\left(\frac{n}{3}\right) + n &= 3\left(3T\left(\frac{n}{3^2}\right) + \frac{n}{3}\right) + n \\ &= 3^2 T\left(\frac{n}{3^2}\right) + 2n &= 3^2\left(3T\left(\frac{n}{3^3}\right) + \frac{n}{3^2}\right) + 2n \\ &= 3^3 T\left(\frac{n}{3^3}\right) + 3n \\ &\quad \vdots \quad \vdots \\ &= 3^i T\left(\frac{n}{3^i}\right) + in \\ &\quad \vdots \quad \vdots \\ &= 3^{\log_3 n} T\left(\frac{n}{3^{\log_3 n}}\right) + n \log_3 n = n + n \log_3 n \end{aligned}$$



# Iterating Recurrences: Example 5

■

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + n & \text{if } n \geq 2 \end{cases}$$



# Iterating Recurrences: Example 5

■

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

$$T(n) = 4T\left(\frac{n}{2}\right) + n$$



# Iterating Recurrences: Example 5

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$$T(n) = 4T\left(\frac{n}{2}\right) + n = 4\left(4T\left(\frac{n}{2^2}\right) + \frac{n}{2}\right) + n$$

# Iterating Recurrences: Example 5

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$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

$$\begin{aligned} T(n) &= 4T\left(\frac{n}{2}\right) + n &= 4\left(4T\left(\frac{n}{2^2}\right) + \frac{n}{2}\right) + n \\ &= 4^2 T\left(\frac{n}{2^2}\right) + \frac{4}{2}n + n \end{aligned}$$



# Iterating Recurrences: Example 5

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$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

$$\begin{aligned} T(n) &= 4T\left(\frac{n}{2}\right) + n &= 4\left(4T\left(\frac{n}{2^2}\right) + \frac{n}{2}\right) + n \\ &= 4^2 T\left(\frac{n}{2^2}\right) + \frac{4}{2}n + n &= 4^2\left(4T\left(\frac{n}{2^3}\right) + \frac{n}{2^2}\right) + \frac{4}{2}n + n \end{aligned}$$





# Iterating Recurrences: Example 5

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$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

$$\begin{aligned} T(n) &= 4T\left(\frac{n}{2}\right) + n &&= 4\left(4T\left(\frac{n}{2^2}\right) + \frac{n}{2}\right) + n \\ &= 4^2 T\left(\frac{n}{2^2}\right) + \frac{4}{2}n + n &&= 4^2\left(4T\left(\frac{n}{2^3}\right) + \frac{n}{2^2}\right) + \frac{4}{2}n + n \\ &= 4^3 T\left(\frac{n}{2^3}\right) + \frac{4^2}{2^2}n + \frac{4}{2}n + n \end{aligned}$$



# Iterating Recurrences: Example 5

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$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

$$\begin{aligned} T(n) &= 4T\left(\frac{n}{2}\right) + n &&= 4\left(4T\left(\frac{n}{2^2}\right) + \frac{n}{2}\right) + n \\ &= 4^2 T\left(\frac{n}{2^2}\right) + \frac{4}{2}n + n &&= 4^2\left(4T\left(\frac{n}{2^3}\right) + \frac{n}{2^2}\right) + \frac{4}{2}n + n \\ &= 4^3 T\left(\frac{n}{2^3}\right) + \frac{4^2}{2^2}n + \frac{4}{2}n + n \\ &\quad \vdots \quad \quad \quad \vdots \\ &= 4^i T\left(\frac{n}{2^i}\right) + \frac{4^{i-1}}{2^{i-1}}n + \cdots + \frac{4^2}{2^2}n + n \end{aligned}$$

# Iterating Recurrences: Example 5

■

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

$$\begin{aligned} T(n) &= 4T\left(\frac{n}{2}\right) + n &&= 4\left(4T\left(\frac{n}{2^2}\right) + \frac{n}{2}\right) + n \\ &= 4^2 T\left(\frac{n}{2^2}\right) + \frac{4}{2}n + n &&= 4^2\left(4T\left(\frac{n}{2^3}\right) + \frac{n}{2^2}\right) + \frac{4}{2}n + n \\ &= 4^3 T\left(\frac{n}{2^3}\right) + \frac{4^2}{2^2}n + \frac{4}{2}n + n \\ &\quad \vdots \quad \vdots \\ &= 4^i T\left(\frac{n}{2^i}\right) + \frac{4^{i-1}}{2^{i-1}}n + \cdots + \frac{4^2}{2^2}n + n \\ &\quad \vdots \quad \vdots \\ &= 4^{\log_2 n} T\left(\frac{n}{2^{\log_2 n}}\right) + \frac{4^{\log_2 n - 1}}{2^{\log_2 n - 1}}n + \cdots + \frac{4}{2}n + n \end{aligned}$$



# Iterating Recurrences: Example 5

$$(*) \quad T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

$$\begin{aligned} T(n) &= 4T\left(\frac{n}{2}\right) + n &&= 4\left(4T\left(\frac{n}{2^2}\right) + \frac{n}{2}\right) + n \\ &= 4^2 T\left(\frac{n}{2^2}\right) + \frac{4}{2}n + n &&= 4^2\left(4T\left(\frac{n}{2^3}\right) + \frac{n}{2^2}\right) + \frac{4}{2}n + n \\ &= 4^3 T\left(\frac{n}{2^3}\right) + \frac{4^2}{2^2}n + \frac{4}{2}n + n \\ &\quad \vdots \quad \vdots \\ &= 4^i T\left(\frac{n}{2^i}\right) + \frac{4^{i-1}}{2^{i-1}}n + \cdots + \frac{4^2}{2^2}n + n \\ &\quad \vdots \quad \vdots \\ &= 4^{\log_2 n} T\left(\frac{n}{2^{\log_2 n}}\right) + \frac{4^{\log_2 n - 1}}{2^{\log_2 n - 1}}n + \cdots + \frac{4}{2}n + n \\ &= 2n^2 - n \end{aligned}$$



# Three Different Behaviors

- Compare the iteration for the recurrences

$$T(n) = 2T(n/2) + n$$

$$T(n) = T(n/2) + n$$

$$T(n) = 4T(n/2) + n$$



# Three Different Behaviors

- Compare the iteration for the recurrences

$$T(n) = 2T(n/2) + n$$

$$T(n) = T(n/2) + n$$

$$T(n) = 4T(n/2) + n$$

- ◇ all three recurrences iterate  $\log_2 n$  times
- ◇ in each case, size of subproblem in next iteration is **half** the size in the preceding iteration level



# Three Different Behaviors

- **Theorem** Suppose that we have a recurrence of the form

$$T(n) = aT(n/2) + n,$$

where  $a$  is a positive integer and  $T(1)$  is nonnegative. Then we have the following **big  $\Theta$**  bounds on the solution:

1. If  $a < 2$ , then  $T(n) = \Theta(n)$ .
2. If  $a = 2$ , then  $T(n) = \Theta(n \log n)$ .
3. If  $a > 2$ , then  $T(n) = \Theta(n^{\log_2 a})$ .



# Three Different Behaviors

- **Theorem** Suppose that we have a recurrence of the form

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3. If  $a > 2$ , then  $T(n) = \Theta(n^{\log_2 a})$

## Proof

We already proved Case 1 when  $a = 1$  in Example 3.

(will not prove it for  $1 < a < 2$ )

We already proved Case 2 in Example 1.

We will now prove Case 3.





# Iterating Recurrences

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$$T(n) = a^{\log_2 n} T(1) + n \sum_{i=0}^{\log_2 n - 1} \left(\frac{a}{2}\right)^i$$

Work at  
“bottom”

Iterated  
Work



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Since  $a > 2$ , the geometric series is  $\Theta$  of the largest term.

$$n \sum_{i=0}^{\log_2 n - 1} \left(\frac{a}{2}\right)^i = n \frac{1 - (a/2)^{\log_2 n}}{1 - a/2} = n\Theta((a/2)^{\log_2 n - 1})$$

# Total work

- $n$  times the largest term in the geometric series is

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$a = 4$ , so the Theorem says that

$$T(n) = \Theta(n^{\log_2 a}) = \Theta(n^{\log_2 4}) = \Theta(n^2)$$



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This matches with the exact answer of  $2n^2 - n$ .



# Three Different Behaviors

- **Theorem** Suppose that we have a recurrence of the form

$$T(n) = aT(n/2) + n,$$

where  $a$  is a positive integer and  $T(1)$  is nonnegative. Then we have the following **big  $\Theta$**  bounds on the solution:

1. If  $a < 2$ , then  $T(n) = \Theta(n)$ .
2. If  $a = 2$ , then  $T(n) = \Theta(n \log n)$ .
3. If  $a > 2$ , then  $T(n) = \Theta(n^{\log_2 a})$ .



# The Master Theorem

- **Theorem** Suppose that we have a recurrence of the form

$$T(n) = aT(n/b) + cn^d,$$

where  $a$  is a positive integer,  $b \geq 1$ ,  $c, d$  are real numbers with  $c$  positive and  $d$  nonnegative, and  $T(1)$  is nonnegative. Then we have the following **big  $\Theta$**  bounds on the solution:

1. If  $a < b^d$ , then  $T(n) = \Theta(n^d)$ .
2. If  $a = b^d$ , then  $T(n) = \Theta(n^d \log n)$ .
3. If  $a > b^d$ , then  $T(n) = \Theta(n^{\log_b a})$

# Next Lecture

- counting ...

