

Lecture 1

Introduction to Operating Systems

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What is a Computer?

- A computer is a digital electronic machine that can be programmed to carry out sequences of arithmetic or logical operations automatically.

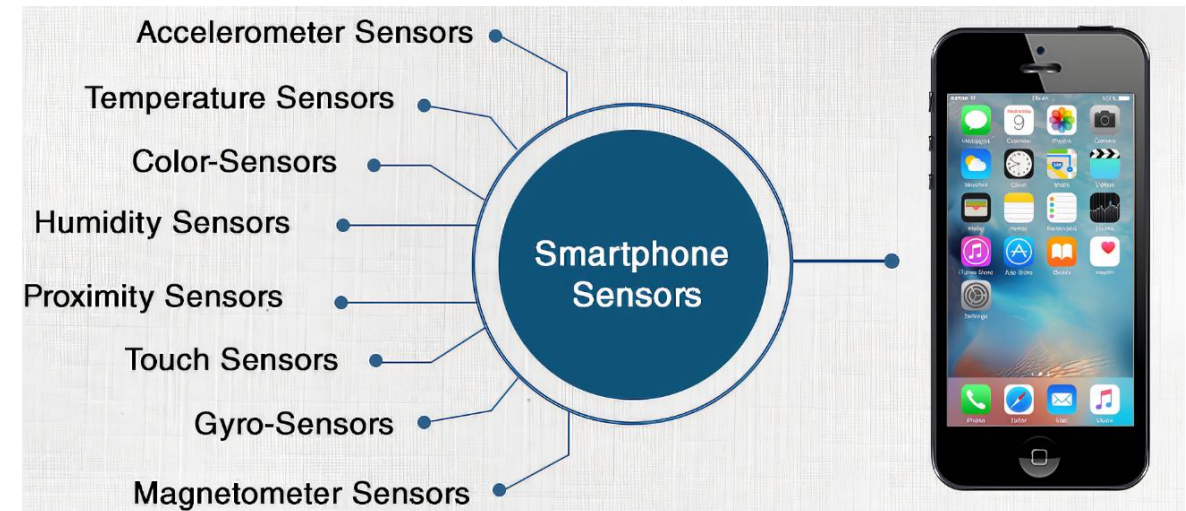
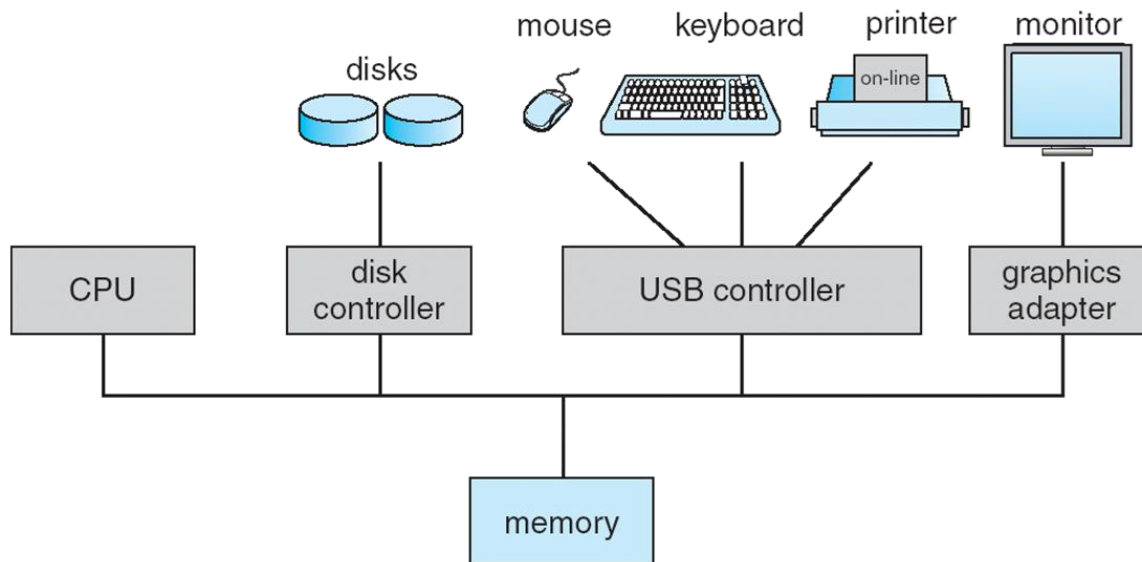


ComputerHope.com



Computer System Organization

- One or more CPUs, device controllers connect through common bus providing access to shared memory
- Concurrent execution of CPUs and devices competing for memory cycles

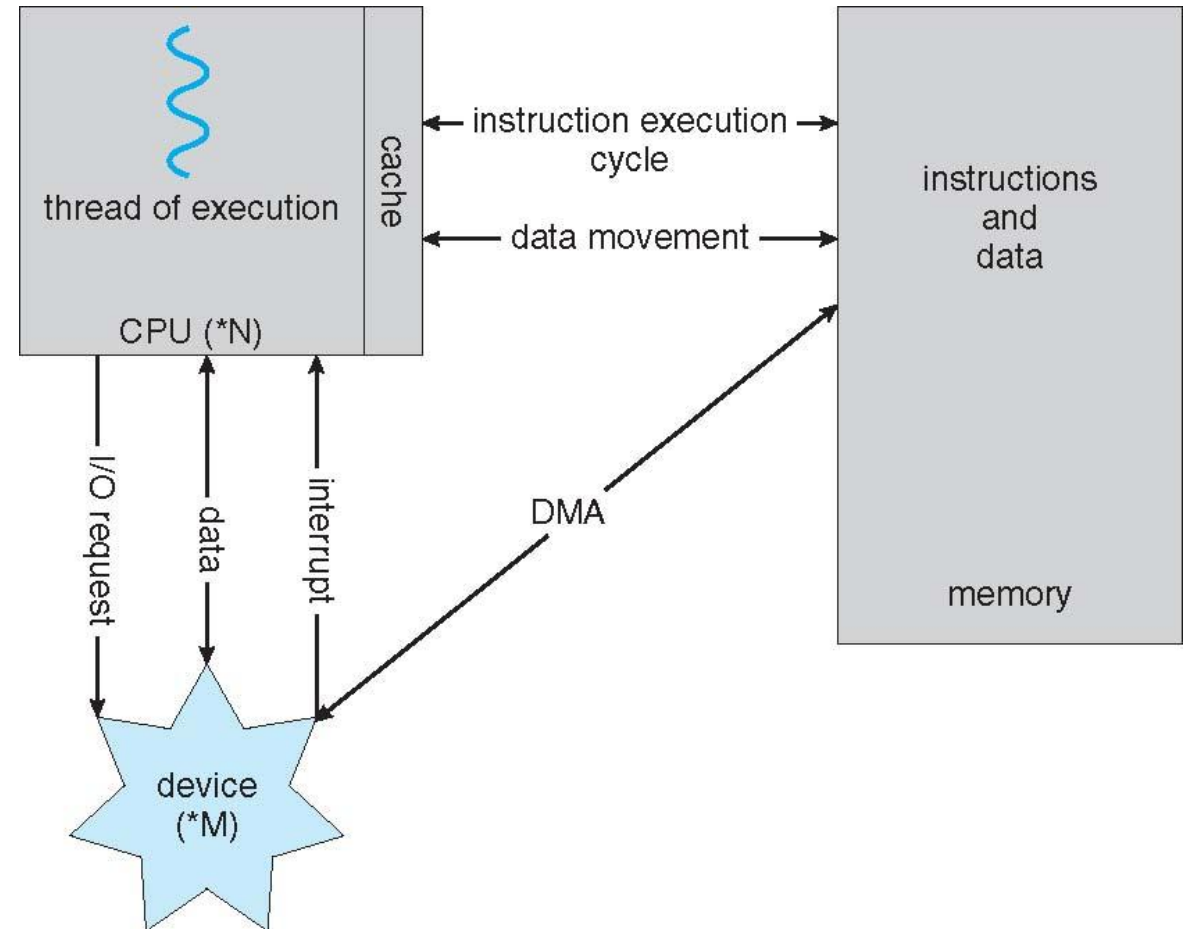


How a Modern Computer Works

- von Neumann Architecture:
 - a single, shared memory for programs and data
 - a single bus for memory access
 - an arithmetic unit
 - and a program control unit

John von Neumann (1903~1957)

- Mathematician, computer scientist, physicist, chemist
- Known for invention of modern computer architecture and game theory

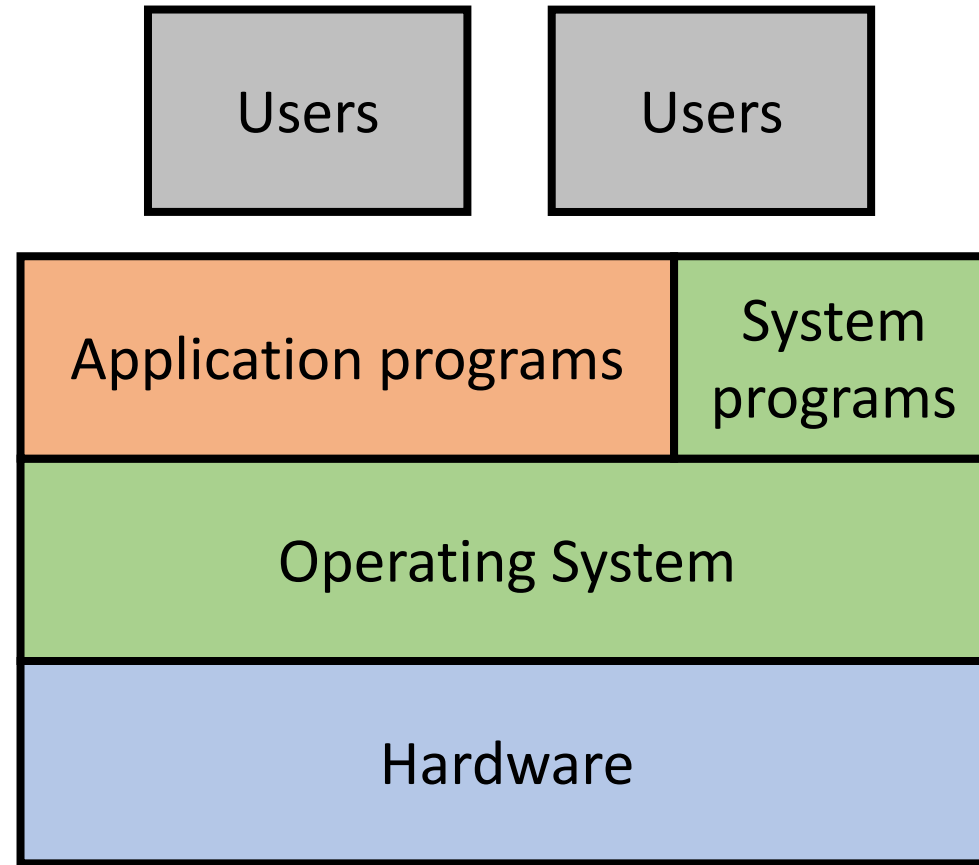


Structure of a Computer System

- Computer system can be divided into four components
 - Hardware
 - Provides basic computing resources
 - CPU, memory, I/O devices
 - Operating system
 - Controls and coordinates use of hardware among various applications and users
 - Application programs
 - Define the ways in which the system resources are used to solve the computing problems of the users
 - Word processors, compilers, web browsers, database systems, video games
 - Users
 - People, machines, other computers

Structure of a Computer System

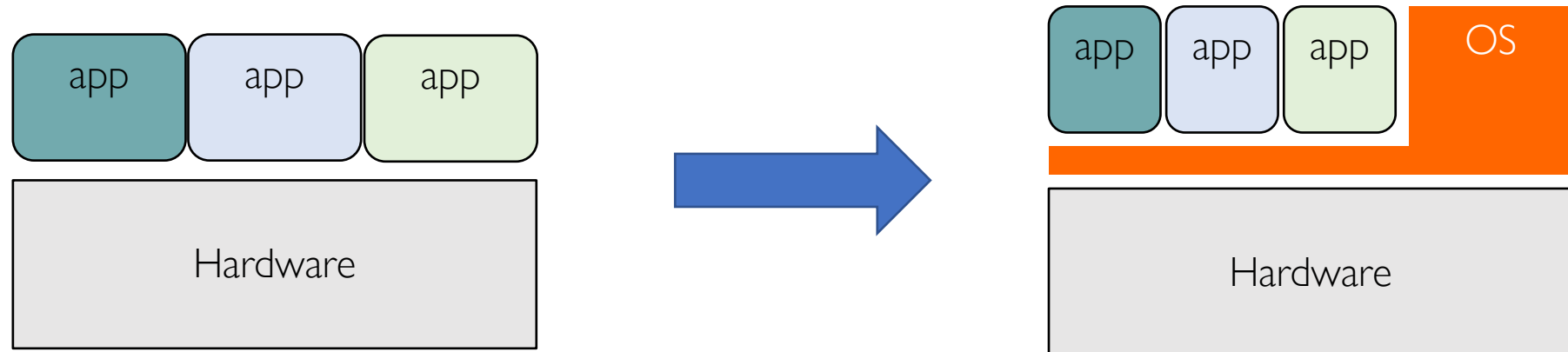
- Hardware
- Operating system
- Application programs
- Users



What is an Operating System?

Operating system
was once called
supervisor or
master control
program !!!

- A group of software that makes the computer operate **correctly** and **efficiently** in an easy-to-use manner.
 - Execute user programs and make solving user problems easier
 - Make the computer system convenient to use
 - Use the computer hardware in an efficient manner (**hardware abstraction**)



What is an Operating System?

- Which one do you use: MacOS, Windows, or Linux?

Survey:
What OS do you use
everyday on your
laptop/desktop?

A: Windows
B: Mac OS
C: Linux



What's an Operating System?

- It includes a software program called **kernel**
 - manages all the physical devices (e.g., CPU, RAM and hard disk)
 - exposes some functions such as **system calls** for others to configure the kernel or build software (e.g., C library) on top
- It includes other "helper" programs
 - Such as a **shell**, which renders a simple command-line user interface with a full set of commands
 - Such as a **GUI** (graphic user interface), which renders a user-friendly interface with icons representing files and folders
 - Such as a **Browser**, which helps the user to visit websites

What's an Operating System?

- An OS is a **resource manager**
 - Managing CPUs, memory, disks, I/O devices (keyboards, USB drive, sensors, ...)
 - Arbitrator of conflicting requests for efficient and fair resource use
- An OS is a **control program**
 - Controls execution of programs to prevent errors and improper use of the computer

What Does an Operating System Do?

- Virtualization
 - Virtualize CPU: Run multiple programs on a single CPU (as if there are many CPUs)
 - Virtualize memory: Give each process (or programs if you will) the illusion of running in its own memory address space
- Concurrency
 - Run multi-threaded programs and make sure they execute correctly
- Persistence
 - Write data (from volatile SRAM/DRAM) into persistent storage
 - Performance, crash-resilience
-

Evolution of OS

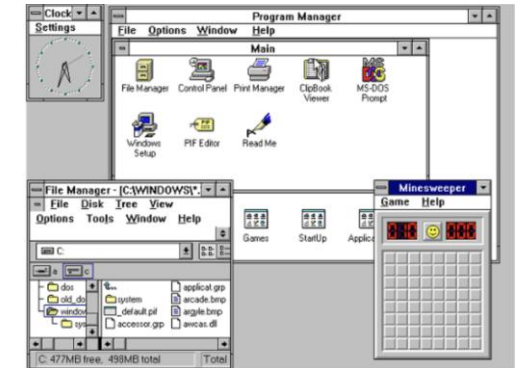
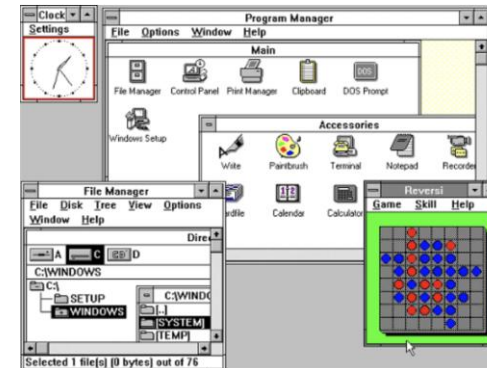
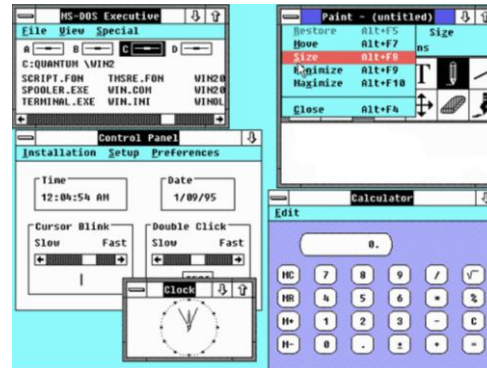
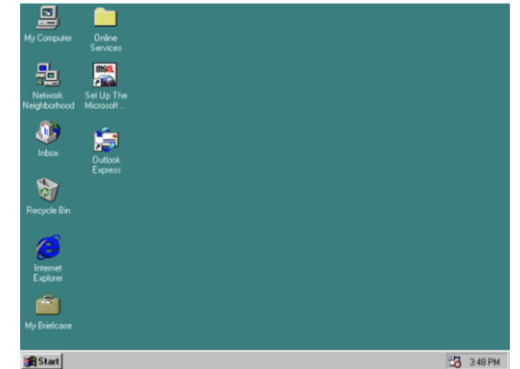
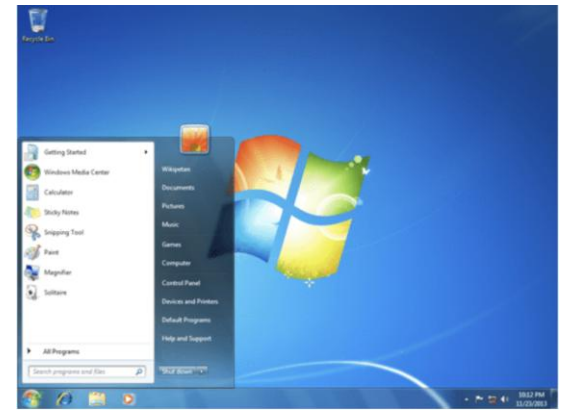
- Early OS: just a library to handle low-level I/O
- Atlas computing system: **system calls** that raise the hardware privilege level
 - Special instruction to transit between kernel mode and user mode
- UNIX: support of multi-programming and memory protection
- PC era: better security and useability
 - Disk Operating System (DOS), Mac OS, Windows, Linux
- Smart phones: user-facing applications, more sensors
 - iOS, Android, ...

A Brief History of UNIX

- Influenced by Multics system from MIT
- Originally by Ken Thompson and Dennis Ritchie at Bell Labs
 - Support meta-level programming with shell and pipe
 - Written in easy-to-understand C programming language
- Evolves to Berkeley Systems Distribution (BSD)
 - Advanced virtual memory, file system, and networking subsystems
- Commercial versions of UNIX
 - SunOS from Sun Microsystems, AIX from IBM, HP-UX from HP, and IRIX from SGI.
- Mac OS has UNIX at its core
- Ideas and principles of UNIX inspire Linus Torvalds
 - The Linux Operating System!!

A Brief History of Windows

- Windows 1 (1985): Graphic user interface on MS-DOS
- Windows 2 (1987): Support overlapping windows
- Windows 3 (1990): Run MS-DOS programs on Windows
- Windows 3.1 (1992): TrueType fonts support
- Windows 95 (1995): Start menu and button
- Windows 98, ME, 2000, XP, Vista, 7, 8, 8.1, 10



Our course will be
organized as a mix of
the two

Organization of This Course

- Organized by the functionalities of OS (**three easy pieces**)
 - Virtualization (Process, scheduling, memory address space, swapping)
 - Concurrency (Threads, locks, semaphores)
 - Persistence (I/O, storage, file systems)
- Organized by the resources OS manages (**the dinosaur book**)
 - CPU management (Processes, synchronization, scheduling, deadlocks)
 - Memory management (Physical memory, virtual memory)
 - I/O management (I/O subsystems, storage and file systems)

OS Concept: Processes

- A process is a program in execution
 - Program is a **passive** entity and process is an **active** entity.
它只是磁盘上的一个文件（代码+数据），不会自己运行 当程序被加载到内存并执行时，它就成为了一个进程
- Process needs resources to accomplish its task
 - CPU, memory, I/O, files
 - Process termination requires **reclaim** of any reusable resources
- Process executes instructions **sequentially**, one at a time, until completion
 - **Single-threaded** process has **one program counter** specifying location of next instruction to execute
 - **Multi-threaded** process has **one program counter per thread**
- Typically, **system** has many processes, some user, some operating system running concurrently on one or more CPUs
 - Concurrency by multiplexing the CPUs among the processes / threads

并发 (Concurrency) 的实现方法是：操作系统把 CPU 时间片分配给不同的进程/线程（也就是时间复用）

OS Concept: Process Management

- Creating and deleting both user and system processes
- Suspending and resuming processes
- Providing mechanisms for process synchronization
- Providing mechanisms for process communication
- Providing mechanisms for deadlock handling

OS Concept: Memory

- **DRAM** (Dynamic Random Access Memory) is the **main memory** used for all desktop, laptops, servers, and mobile devices
- CPU only **directly interacts with the main memory** during execution
 - All data in memory before and after processing
 - All instructions in memory in order to execute
- OS manages the main memory for kernel and processes
 - OS dictates **which process can access which memory region**

操作系统负责给不同的进程分配内存，决定哪个进程能访问内存的哪一部分。

这样避免进程之间互相干扰 保证安全和稳定

OS Concept: Memory Management

- Memory management determines what is in memory when
 - Optimizing CPU utilization and computer response to users
- Memory management activities
 - Keeping track of which parts of memory are currently being used and by whom
 - Deciding which processes (or parts thereof) and data to move into and out of memory
 - Allocating and deallocating memory space as needed

OS Concept: Storage Management

- OS provides uniform, logical view of information storage
 - Abstracts physical properties to logical storage unit - file
 - Each medium is controlled by device (i.e., disk drive, tape drive)
 - Varying properties include access speed, capacity, data-transfer rate, access method (sequential or random)
- File-System management
 - Files usually organized into directories
 - Access control on most systems to determine who can access what
 - OS activities include
 - Creating and deleting files and directories
 - Primitives to manipulate files and dirs
 - Mapping files onto secondary storage
 - Backup files onto stable (non-volatile) storage media

OS Concept: I/O Subsystem

- One purpose of OS is to **hide peculiarities of hardware devices** from the user
- I/O subsystem responsible for
 - Memory management of I/O including
 - buffering (storing data temporarily while it is being transferred)
 - caching (storing parts of data in faster storage for performance)
 - General device-driver interface
 - **Drivers for specific hardware devices**

OS Concept: Protection and Security

- **Protection** – any mechanism for controlling access of processes or users to resources defined by the OS
- **Security** – defense of the system against internal and external attacks
 - Huge range, including denial-of-service, worms, viruses, identity theft, theft of service
- OS determines which users can do what
 - User identities (user IDs, security IDs) include name and associated number, one per user
 - User ID then associated with all files, processes of that user to determine access control
 - Group identifier (group ID) allows set of users to be defined and controls managed, then also associated with each process, file
 - Privilege escalation allows user to change to effective ID with more rights

Thank you!

