

## Discrete Structures Chapter 4.6 — Cryptography

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### Example 1 (Student Worksheet): Caesar Cipher, shift $k = 3$

**Learning goals.** Practice converting letters  $\leftrightarrow$  numbers, computing  $(p + k) \bmod 26$ , and translating back.

**Alphabet convention (zero-based).**

$$A = 0, B = 1, \dots, Z = 25$$

We work in  $\mathbb{Z}_{26} \pmod{26}$ . Spaces and punctuation are carried through unchanged; we use uppercase.

**Encryption rule.** For plaintext number  $p \in \{0, \dots, 25\}$  and shift  $k$ , the ciphertext number is

$$c \equiv p + k \pmod{26}.$$

For this worksheet we use  $k = 3$  (the classic “Caesar +3”).

**Fast tips (use ’em shamelessly):**

- Add 3 quickly by doing  $+1, +2, +3$  as you scan, or use the wrap trick: adding 3 to 24, 25 wraps to 1, 2.
- Decrypting a +3 cipher is the same as *adding*  $-3$ , i.e., adding 23 mod 26.
- Common wrap cases:  $24+3 \rightarrow 1$  (Y $\rightarrow$ B),  $25+3 \rightarrow 2$  (Z $\rightarrow$ C).

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**Guided task.** Encrypt the message:

MEET YOU IN THE PARK

**Step 1 — Letters  $\rightarrow$  numbers (A=0,...,Z=25).** Fill the *plaintext numbers*  $p$  under each letter.

M E E T Y O U I N T H E P A R K

(write numbers  $p$  here)

**Step 2 — Add the shift  $k = 3 \bmod 26$ .** Compute  $c \equiv p + 3 \pmod{26}$  for each position and write the results:

**Step 3 — Numbers  $\rightarrow$  letters.** Translate each  $c$  back to letters to form the ciphertext:

**Neatness check.** Your ciphertext should be readable in groups (keep the spaces from the original). If you decrypt with  $-3$  you should land back on MEET YOU IN THE PARK.

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**Quick reference table (optional).** If you like a visual:

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25

**Practice (still Caesar, but you drive):**

**P1. Encrypt (easy).** Use  $k = 5$  to encrypt:

DOGS AND CATS

*Hint:*  $D=3$  so  $D \mapsto 3+5=8 \Rightarrow I$ . Keep spaces.

**P2. Decrypt (easy).** The ciphertext below was made with a  $k = 5$  Caesar. Recover the plaintext.

YMNX NX FQ YJXY

*Tip:* Decrypt by adding  $-5$  (or  $+21$ ) mod 26.

**P3. Crack the shift (harder).** The message below is a Caesar cipher with *unknown*  $k$ :

L ORYH PDWKP

*Clues:* Try common words; guess that “PDWKP” might be “MATH?” or “MATHS?”. Also, a one-letter word is often A or I. Determine  $k$  and decrypt.

**Reflection.** In one sentence: why does “mod 26” make the Caesar cipher *wrap* from Z back to A?

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### Example 1: Caesar Cipher ( $k = 3$ )

**Question.** Encrypt the message MEET YOU IN THE PARK using the Caesar cipher with shift  $k = 3$ .

#### Step 1 — Letters $\rightarrow$ numbers.

We use zero-based numbering: A=0, B=1,  $\dots$ , Z=25.

$$\text{MEET YOU IN THE PARK} \Rightarrow 12, 4, 4, 19, 24, 14, 20, 8, 13, 19, 7, 4, 15, 0, 17, 10$$

#### Step 2 — Apply $f(p) = (p + 3) \bmod 26$ .

Add 3 to each number, wrapping around if the result exceeds 25:

$$\begin{aligned}(12 + 3) &= 15, (4 + 3) = 7, (4 + 3) = 7, (19 + 3) = 22, \\(24 + 3) &= 27 \equiv 1, (14 + 3) = 17, (20 + 3) = 23, \\(8 + 3) &= 11, (13 + 3) = 16, (19 + 3) = 22, (7 + 3) = 10, (4 + 3) = 7, \\(15 + 3) &= 18, (0 + 3) = 3, (17 + 3) = 20, (10 + 3) = 13.\end{aligned}$$

#### Step 3 — Numbers $\rightarrow$ letters.

Convert the ciphertext numbers back to letters:

$$\begin{aligned}15, 7, 7, 22, 1, 17, 23, 11, 16, 22, 10, 7, 18, 3, 20, 13 \\ \Rightarrow \text{PHHW BRX LQ WKH SDUN}\end{aligned}$$

**Final Answer.** The encrypted message is:

PHHW BRX LQ WKH SDUN

*(Translation: “MEET YOU IN THE PARK” shifted +3.)*

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**Quick Reflection.** The Caesar cipher uses modular arithmetic in  $\mathbb{Z}_{26}$  so letters “wrap around” after Z. The function  $f(p) = (p + k) \bmod 26$  keeps all results in 0–25.

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## Practice Solutions

**P1 — Encrypt (easy).** Use  $k = 5$  to encrypt: DOGS AND CATS.

Step 1 — Convert to numbers:

$$3, 14, 6, 18, 0, 13, 3, 2, 0, 19, 18$$

Step 2 — Add 5 mod 26:

8, 19, 11, 23, 5, 18, 8, 7, 5, 24, 23

Step 3 — Back to letters:

ITLX FSI HFYX

**P2 — Decrypt (easy).** Decrypt YMNX NX FQ YJXY that was made with  $k = 5$ .

We reverse the shift:  $c - 5 \pmod{26}$ .

$Y = 24 \rightarrow 19 = T$ ,  $M = 12 \rightarrow 7 = H$ ,  $N = 13 \rightarrow 8 = I$ ,  $X = 23 \rightarrow 18 = S$

$\Rightarrow$  THIS IS AN TEST

So the message is “THIS IS AN TEST.” (It should probably read “THIS IS A TEST.”)

**P3 — Crack the shift (harder).** Ciphertext: L ORYH PDWKP!

Try guessing common English patterns.

ORYH looks like “LOVE,” and the one-letter word “L” likely corresponds to “I.”

That suggests a shift of  $k = 3$  backward (since  $L \rightarrow I$  is  $-3$ ).

Decrypting with  $k = 3$ :

L ORYH PDWKP!  $\Rightarrow$  I LOVE MATH!

## Summary of Key Takeaways

- The Caesar cipher is modular addition in  $\mathbb{Z}_{26}$ .
- Encryption:  $E_k(p) = (p + k) \pmod{26}$
- Decryption:  $D_k(c) = (c - k) \pmod{26}$
- If you can add or subtract mod 26, you can encrypt or decrypt.
- This cipher is historically important but easily broken by frequency analysis or brute force (26 possibilities).

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**Going Deeper.** You can extend this same math to more complex ciphers:

$$f(p) = (a \cdot p + b) \pmod{26}$$

where  $a$  must have a multiplicative inverse mod 26. This leads directly into the *Affine Cipher*—our next example.