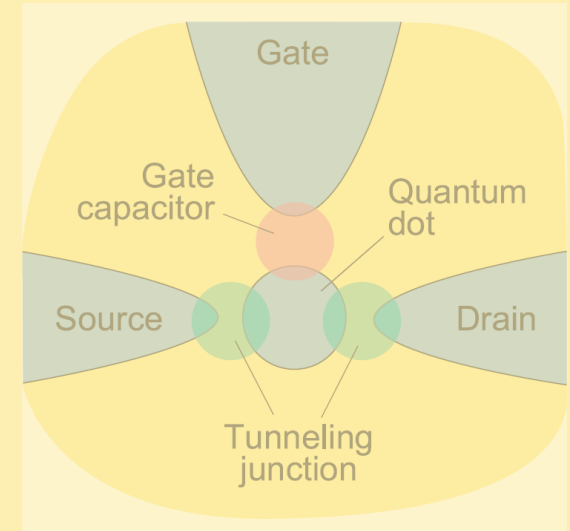
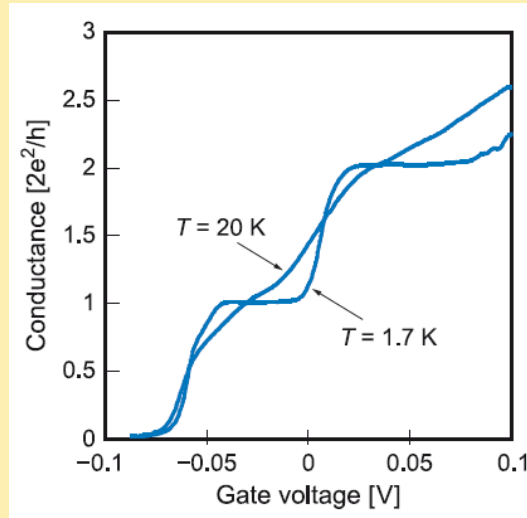
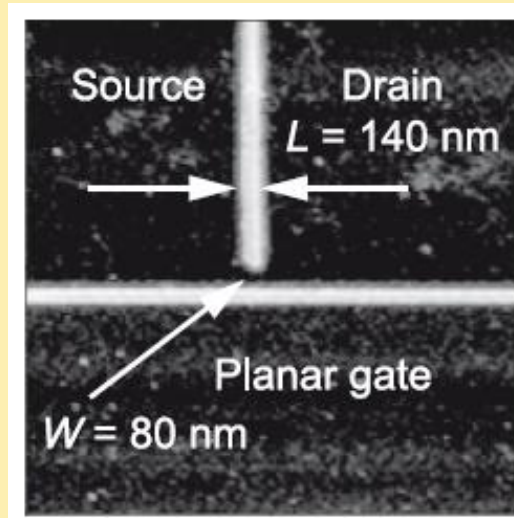


# 1 Mesoscopic electron transport



Transport in low dimensional structures

*When is a system low dimensional?  
When do we observe size effects?*

Conductance quantization in ballistic 1D structures

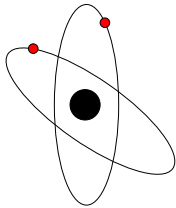
*Does the conductance diverge when there is no scattering?*

Coulomb blockade in quantum dot structures

*Transferring single electrons across a quantum dot*

## Recap: Mesoscopic systems

microscopic  
=  
small



atomic scale

<

**mesoscopic**

=

in between

**too small**  
to be treated as bulk

**too large** (complicated)  
to be treated as single atoms

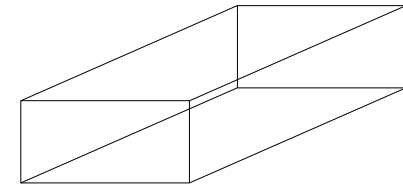
*often “engineered” systems*

<

macroscopic

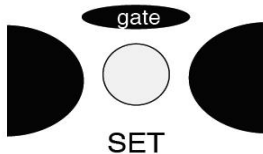
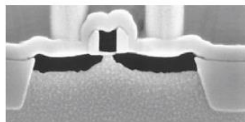
=

large



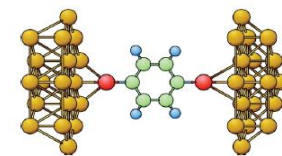
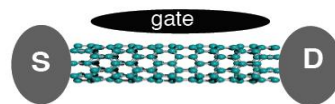
bulk

MOSFET

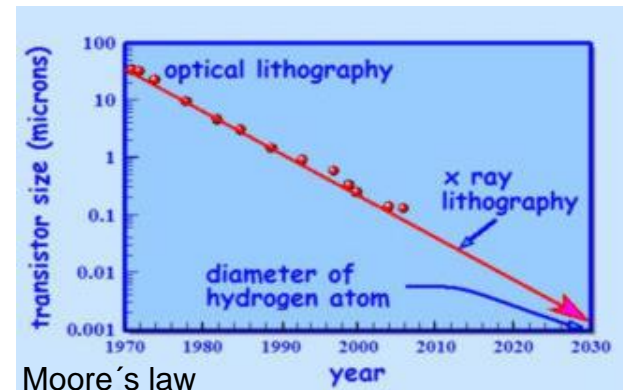


SET

CNT FET



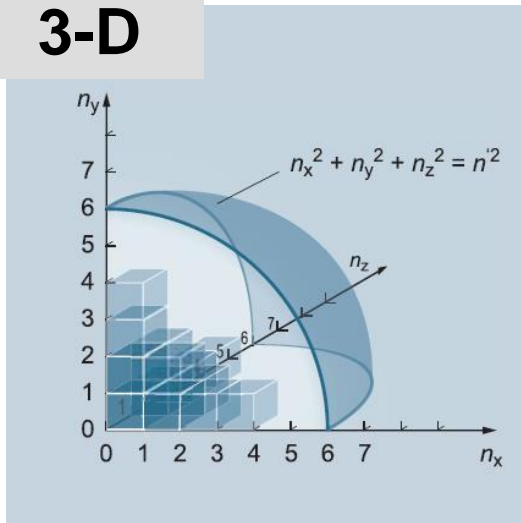
molecular electronics



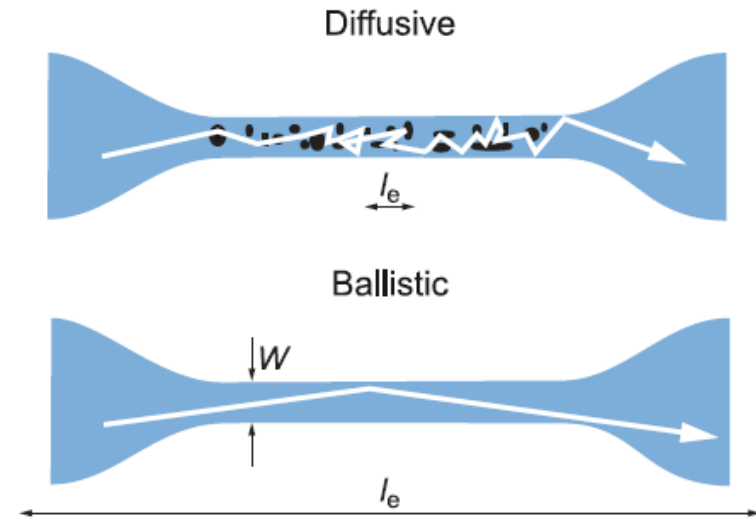
Moore's law

## Recap: Size quantization and ballistic transport

### 3-D



$$L \leq \lambda_F$$



$$l_e \geq L$$

$\lambda_F$  is a measure of the length scale on which size quantization becomes important

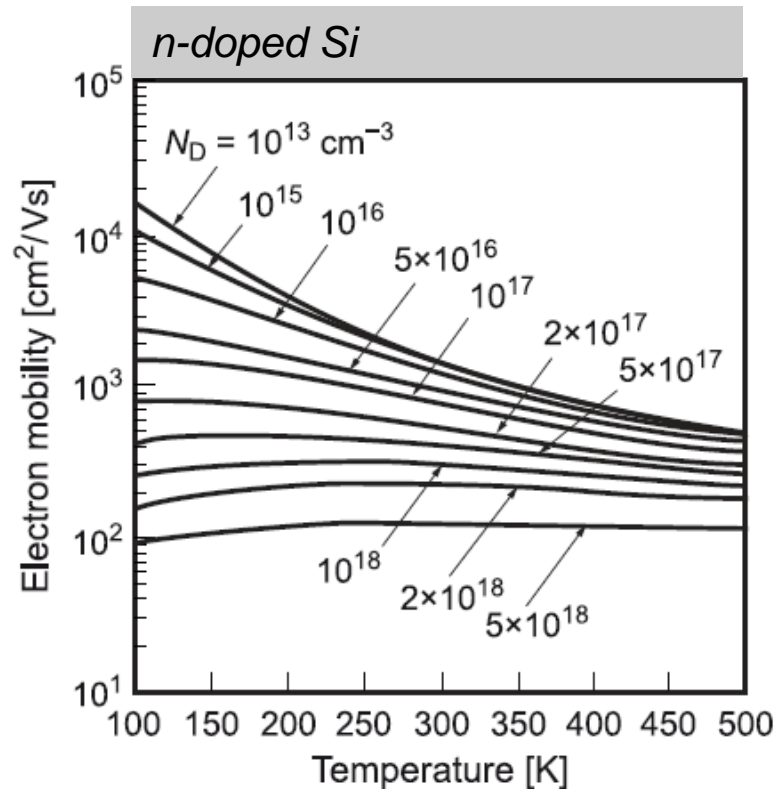
Systems with geometrical size smaller than the elastic scattering length (mean free path) are called *ballistic*

**How to construct a ballistic sample?**

## How to get a ballistic sample from semiconductors?

### *Challenges in engineering ballistic systems from semiconductors*

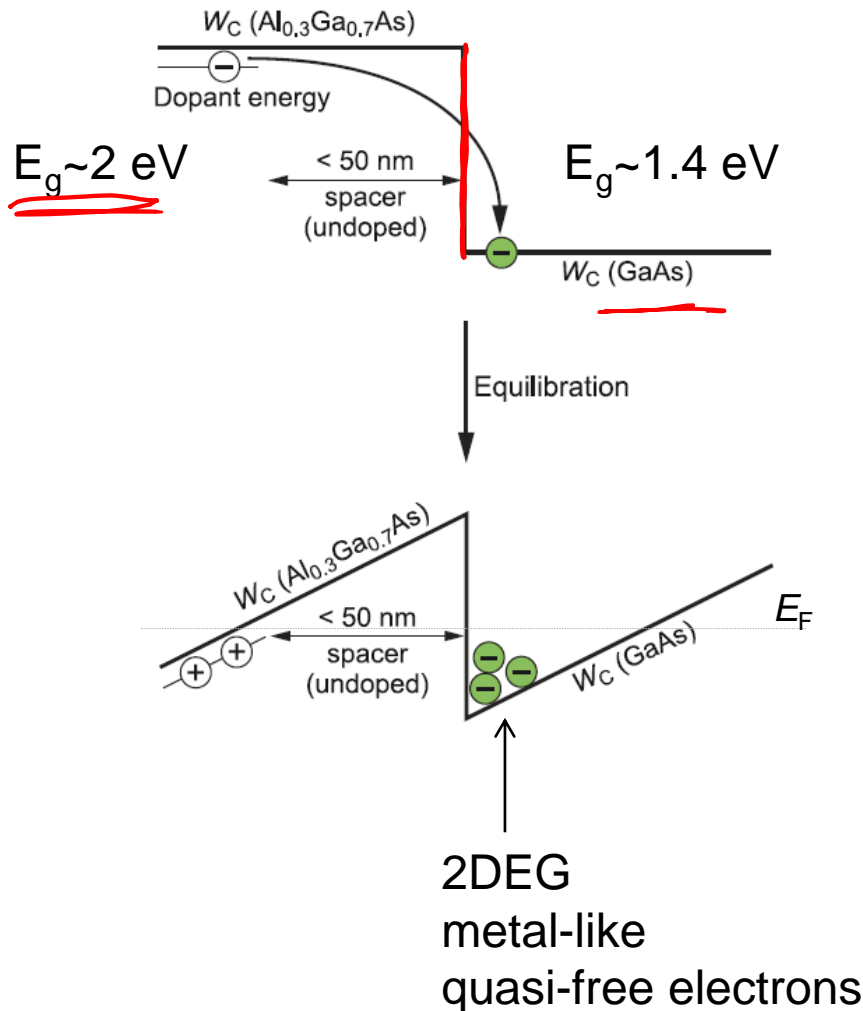
- *intrinsic* sc provide large  $\ell_e$ , but they loose (all) carriers at low temperature
- *n-type* doped sc provide carriers, but the mobility drops



# How to get a ballistic sample?

*modulation doping – separate carriers and dopants*

e.g. at AlGaAs/GaAs interface



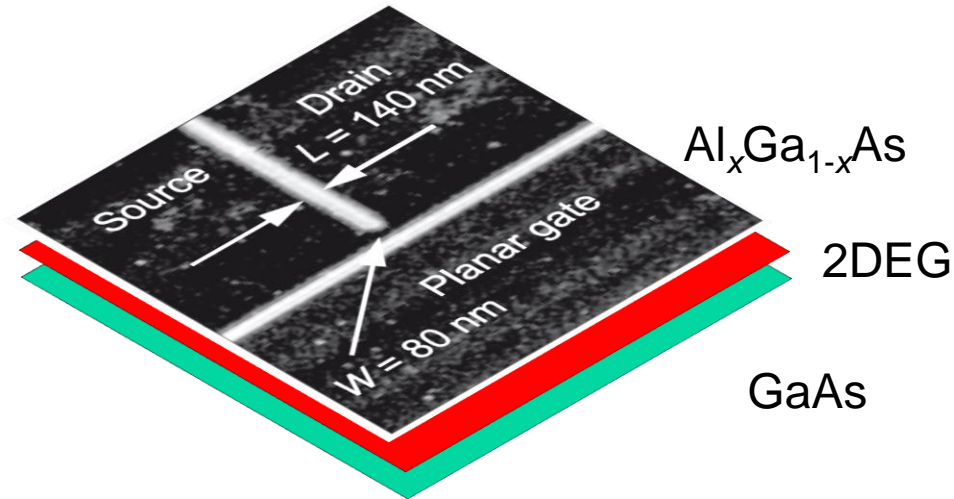
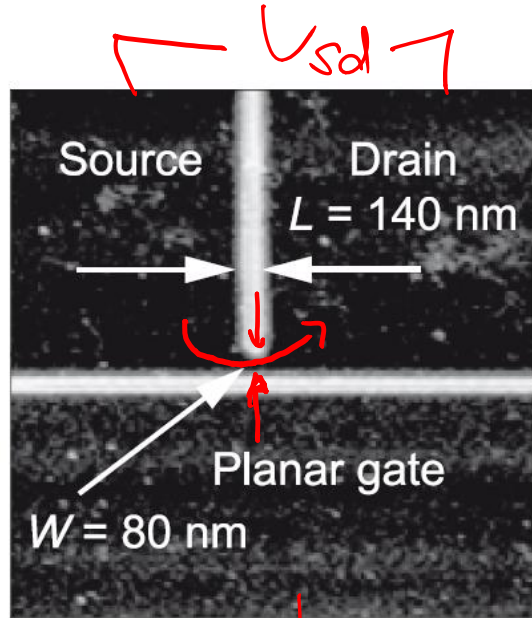
1. Engineer band gap by Ga-Al-ratio
2. Step in conduction band
3. Electrons diffuse to undoped GaAs
4. Emerging charge separation/electric field keeps electrons at the interface separated from positive ions(scatterers) forming 2D gas!

$$\ell_e = v_F \tau \approx 120 \mu\text{m}$$

$$\mu \approx 1.4 \times 10^7 \frac{\text{cm}^2}{\text{Vs}} \quad \text{at 4K}$$

$$\tau \approx 400 \text{ ps}$$

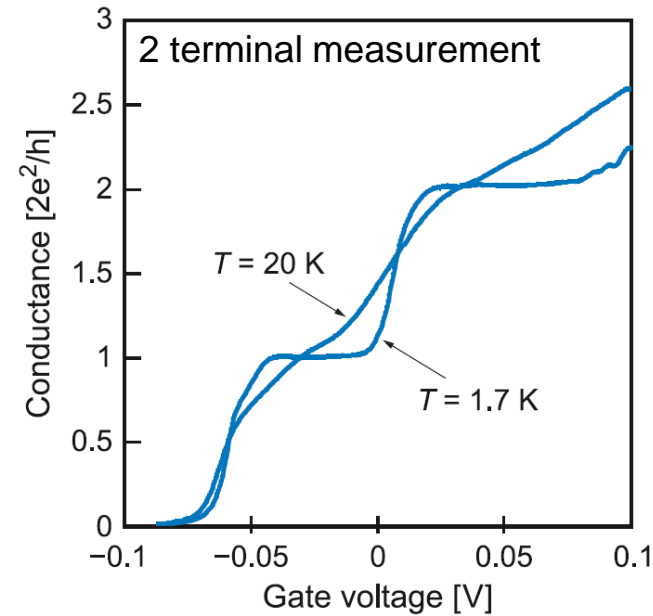
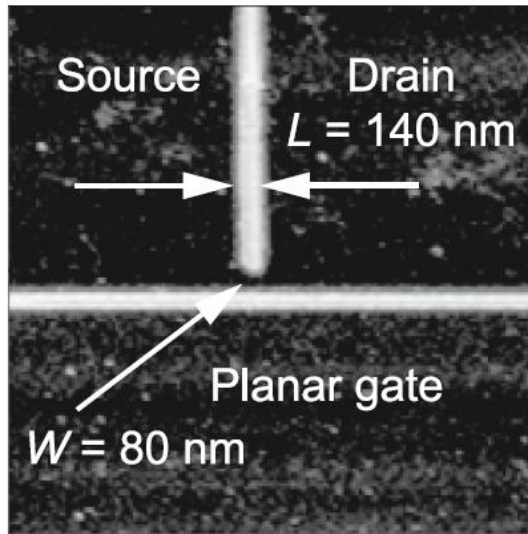
## Electrical transport in ballistic wires (point contacts)



Ballistic channel  
length  $\sim 140 \text{ nm} \ll \ell_e$   
width  $\sim 80 \text{ nm} \sim \lambda_F$

Such a wire is often called  
(quantum) point contact  
(QPC)

## Electrical transport in ballistic wires (point contacts)



- at low temperatures the conductance of the quantum wire is a step-like function of gate voltage

$$G_0 = \frac{2e^2}{h} = (12.9 \text{ k}\Omega)^{-1} \quad \text{conductance quantum}$$

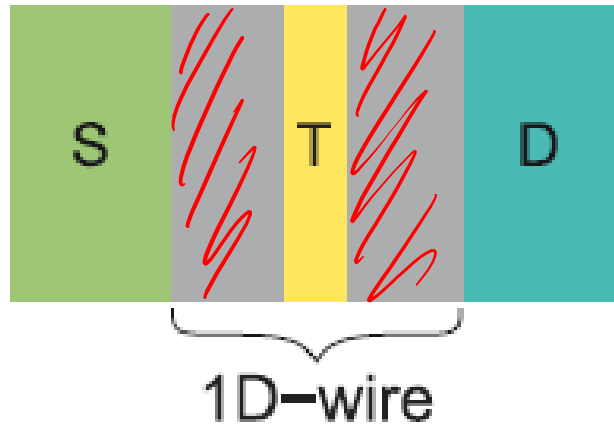


### **1.3.3 Conductance quantization in ballistic quantum wires**

## Electrical transport in ballistic wires (point contacts)

*How can we understand this phenomenon?*

*Where does the resistance come from?*



$T = 1$  no transmission barrier

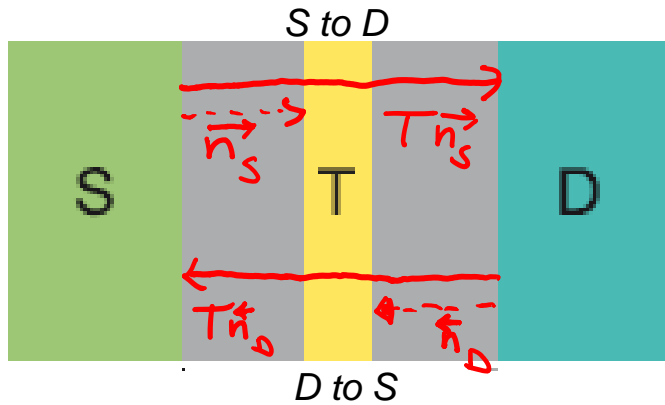
$T = 0$  no transmission

Simple but drastic model:

- source and drain are perfect metal reservoirs
- leads/transition region are ballistic, 1D quantum wires
- QPC is represented by barrier with transmission probability  $T$

$T=1$  means the QPC is open

## Electrical transport in ballistic wires (point contacts)

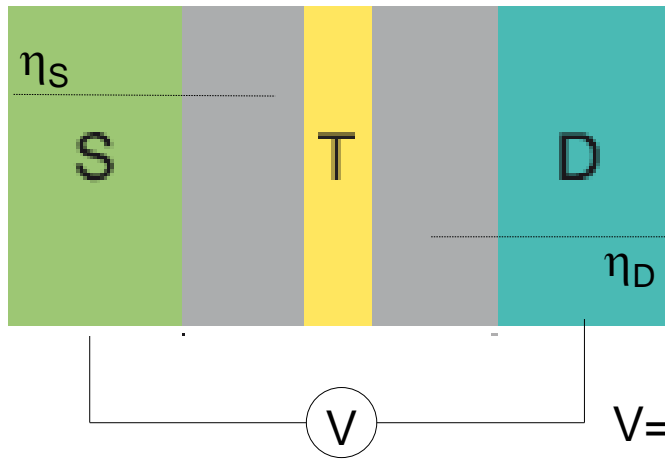


Current through QPC means electrons transverse barrier from left to right (S to D) or from right to left (D to S)

$$I = \int_0^{\infty} d\omega I(\omega)$$

$$I(\omega) = eT(\vec{n}_S \cdot \vec{v}_S - \vec{n}_D \cdot \vec{v}_D)$$

# Electrical transport in ballistic wires (point contacts)



$$\vec{n}_s(\omega) = \vec{g}_{1D}(\omega) f(\omega - \eta_s)$$

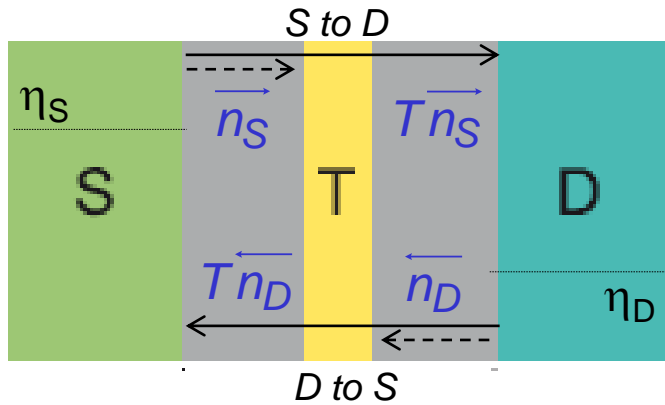
$$\vec{n}_d(\omega) = \vec{g}_{1D}(\omega) f(\omega - \eta_d)$$

- reservoirs will fill up the 1D levels up to their chemical potential,  $\eta_S$  and  $\eta_D$
- density of states in wires is 1D

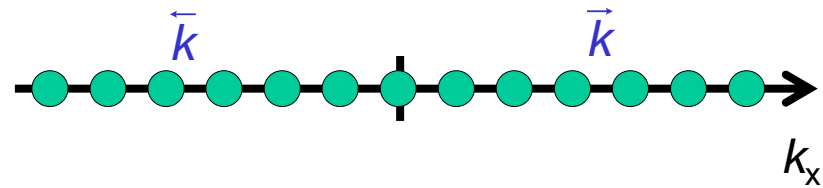
$$g_{1D}(\omega) = \sum_j \sqrt{\frac{2m^*}{\pi^2 \hbar^2}} (\omega - \omega_j)^{-\frac{1}{2}} ; \quad v_j = \sqrt{\frac{2(\omega - \omega_j)}{m^*}}$$

no of activated modes (in quantized dimensions)

# Electrical transport in ballistic wires (point contacts)



$$\overrightarrow{g_{1D}}(W) = \overleftarrow{g_{1D}}(W) = \frac{1}{2} g_{1D}(W)$$

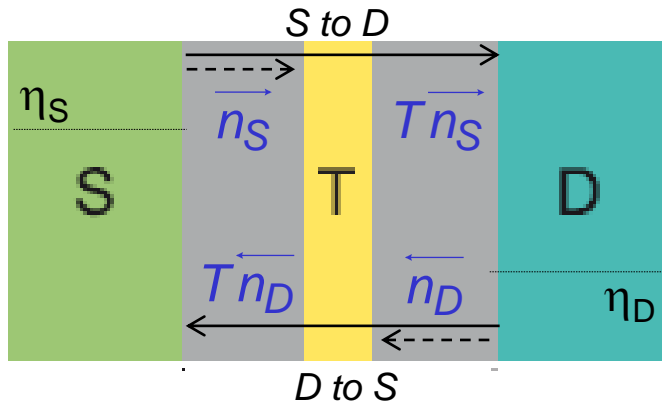


$$\overleftrightarrow{n_{S,D}}(W) v_{S,D} = \frac{1}{2} g_{1D}(W) \cdot f_{Fermi}(W - \eta_{S,D}) \cdot v_{S,D}$$

$$= \sum_j \frac{1}{2} \sqrt{\frac{2m^*}{\pi^2 \hbar^2}} \cdot (W - W_j)^{-1/2} \cdot \sqrt{\frac{2(W - W_j)}{m^*}} \cdot f_{Fermi}(W - \eta_{S,D})$$

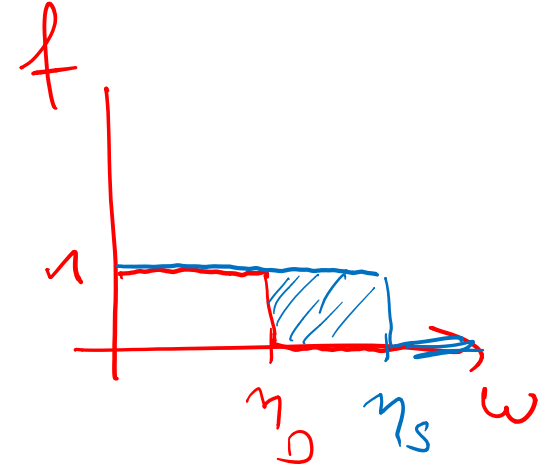
$$= \sum_j \frac{1}{\pi \hbar} \cdot f_{Fermi}(W - \eta_{S,D})$$

# Electrical transport in ballistic wires (point contacts)

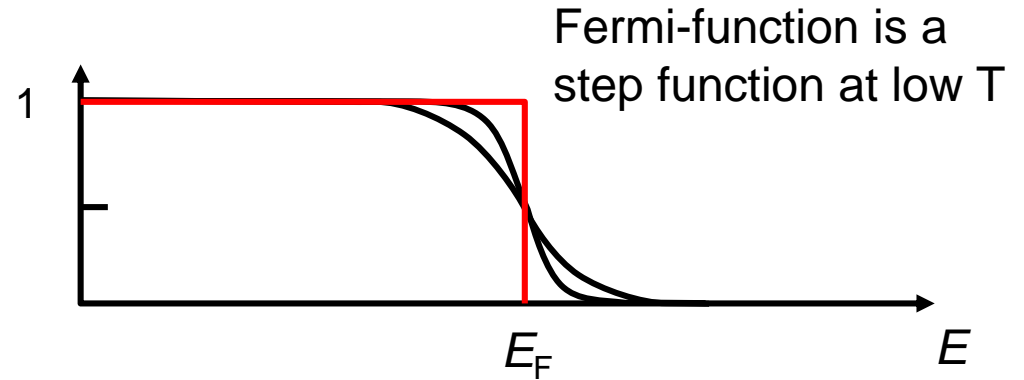
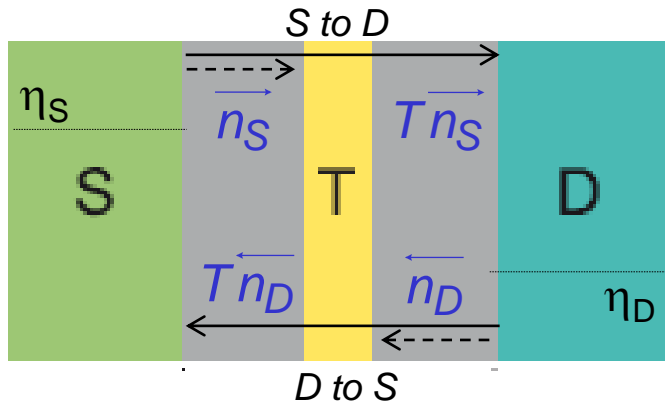


$$I(W) = \frac{2eT}{h} \sum_j f_{Fermi}(W - \eta_S) - f_{Fermi}(W - \eta_D)$$

$$= \frac{2eT}{h} \underbrace{M}_{\substack{1 \\ \text{integer No}}} \cdot [f_{Fermi}(W - \eta_S) - f_{Fermi}(W - \eta_D)]$$



# Electrical transport in ballistic wires (point contacts)

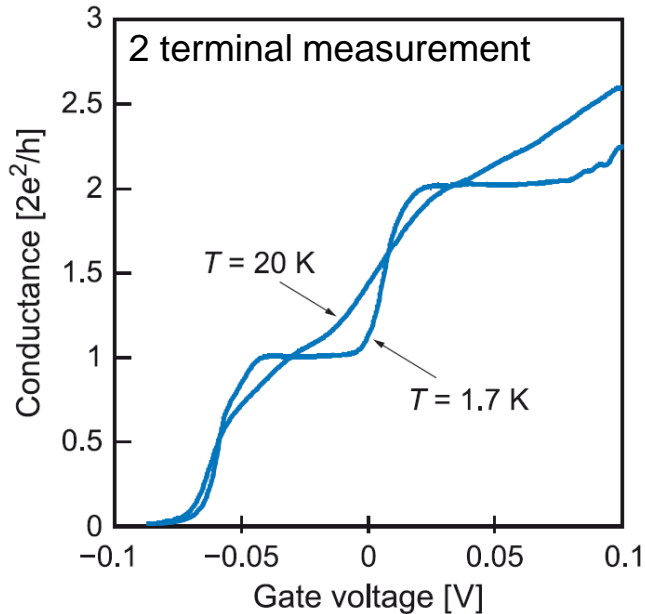


$$I = \int_0^\infty I(\omega) d\omega$$

$$= \frac{2e}{h} T M (\eta_S - \eta_D) = \frac{2e^2}{h} T \eta \cdot V$$

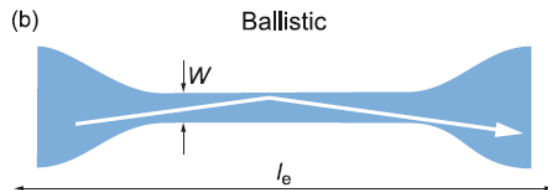
$$\Rightarrow G = \frac{I}{V} = \frac{2e^2}{h} T \cdot M$$

# Electrical transport in ballistic wires (point contacts)



$G$  is quantized because the energy dependence of the one-dimensional density of states and that of the electron velocity cancel out

- No of modes ( $M$ ) can be tuned by gate voltage  
→ it controls how many 1D sub-bands are filled
- Increased temperature smears out step-like distribution function  
→ Steps smear out



$$G = \frac{I}{V} = \frac{2e^2}{h} TM$$

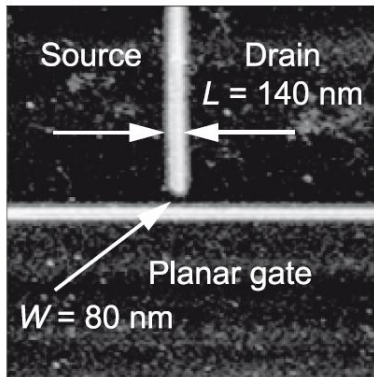
**Landauer Formula**

no barrier means  $T \rightarrow 1$

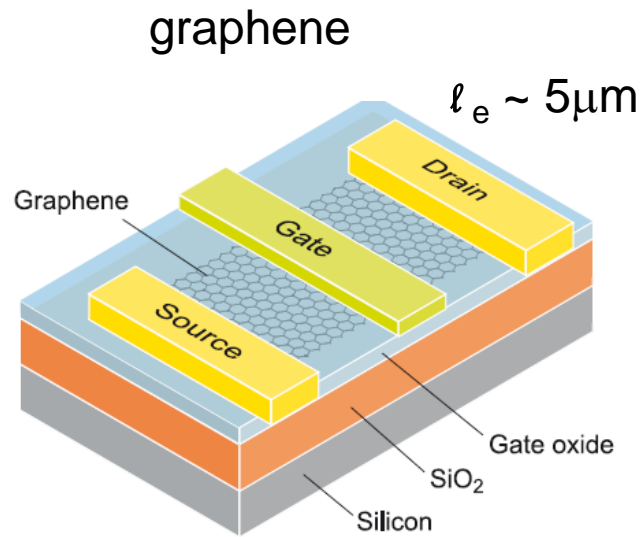
$M$  is number of activated modes



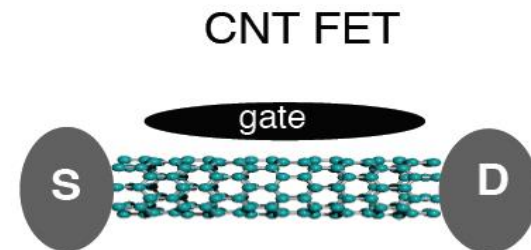
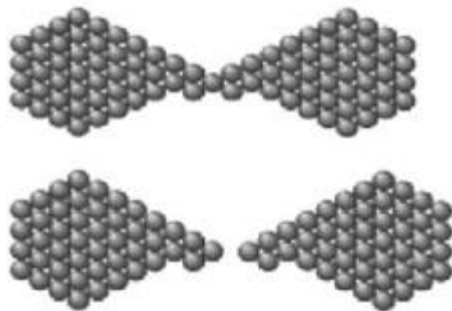
## Other ballistic systems



QPC in semiconductor devices



Breaking metal contacts...

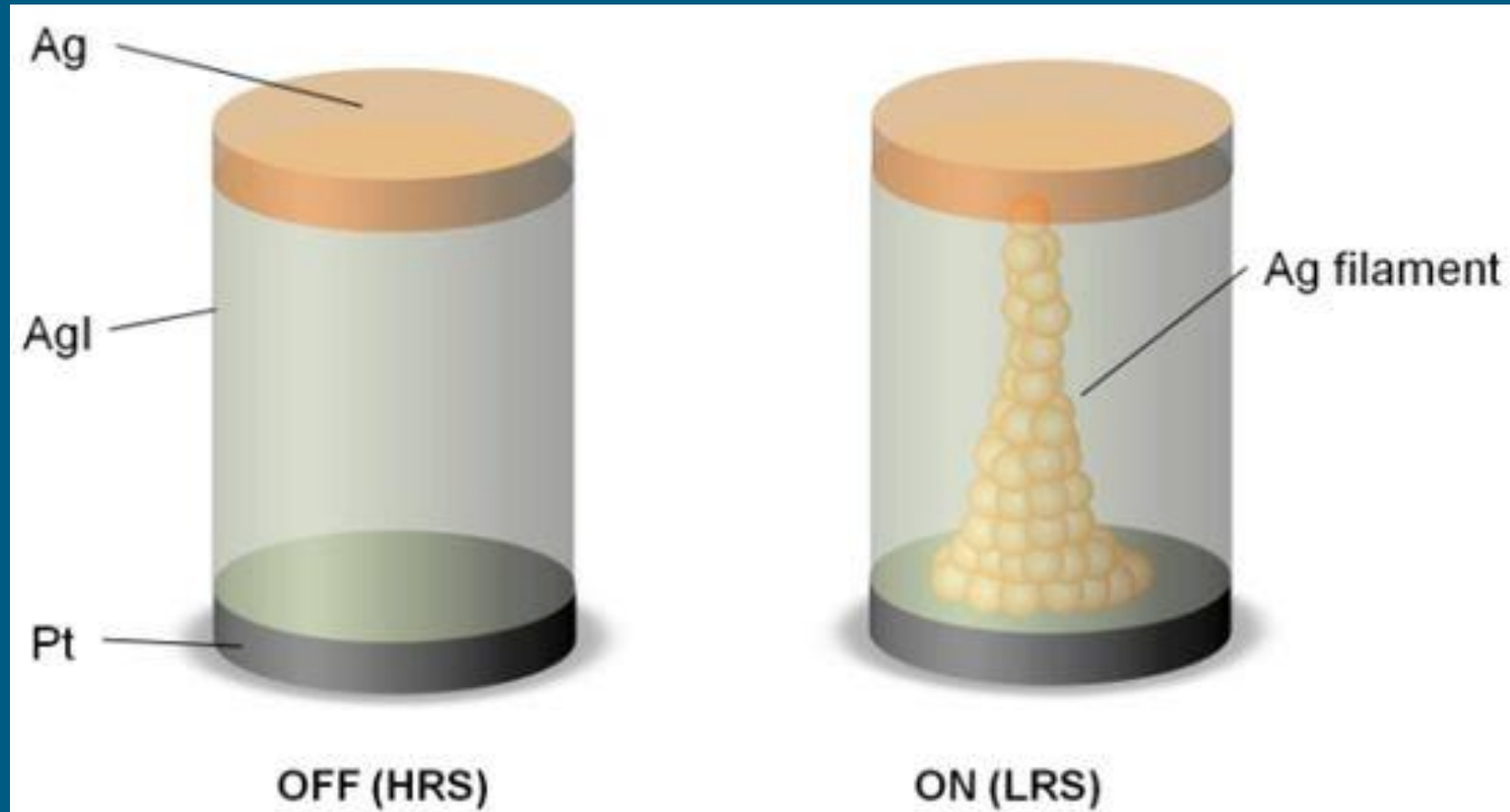


Carbon nanotubes

## Atomic switch – a novel data memory device

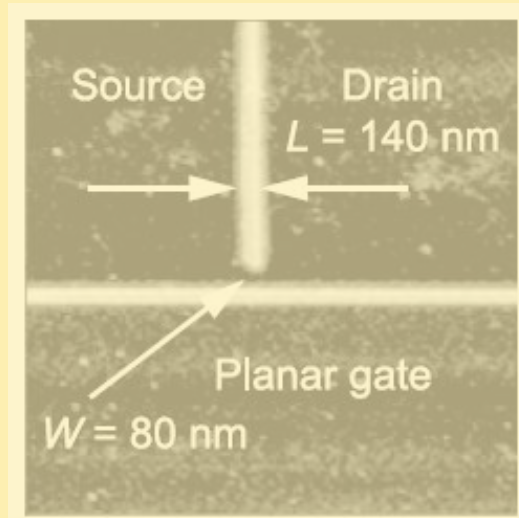
„0“

„1“, („2“, „3“, ...)



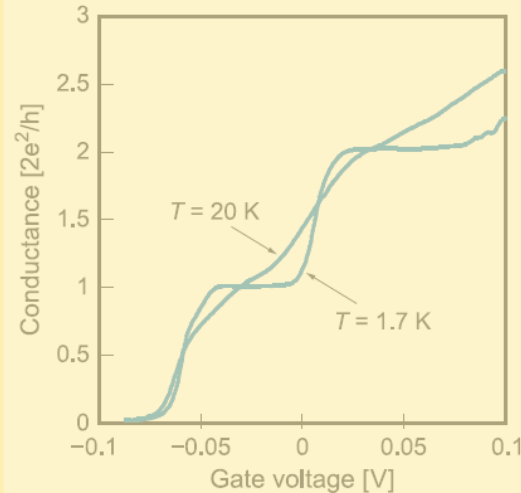
...addressed/controlled by external voltage...

# 1 Mesoscopic electron transport



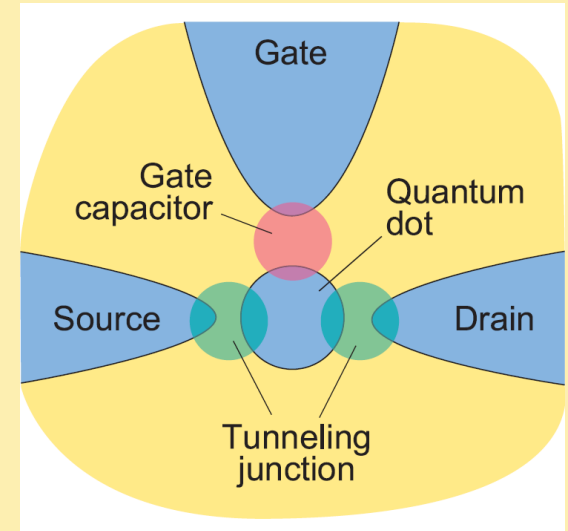
Transport in low dimensional structures

*When is a system low dimensional?  
When do we observe size effects?*



Conductance quantization in ballistic 1D structures

*Does the conductance diverge when there is no scattering?*



Coulomb blockade in quantum dot structures

*Transferring single electrons across a quantum dot*

### **1.3.5 Single electron charging – charge quantization**

## Conventional capacitors

So far, we considered free electrons,  
however, if we confine electrons into a small volume there will be Coulomb repulsion

→ Electrostatic energy can be expressed by the capacitance

$$W_Q = \frac{Q^2}{2C}$$

$$C = \frac{Q}{U}$$

capacitance      charge      voltage



How much does charge change when voltage is varied?

$$\Delta Q = C \cdot \Delta U = 100 \text{ pF} \cdot 1 \text{ mV} = 10^{-10} \cdot 10^{-3} \text{ C} = 10^{-13} \text{ C}$$

→ Say we increase voltage 1 mV  
 $= 10^6 e$

**Charge is a continuous quantity in conventional capacitors**

# Capacitance of particles

Coulomb energy of a charged particle

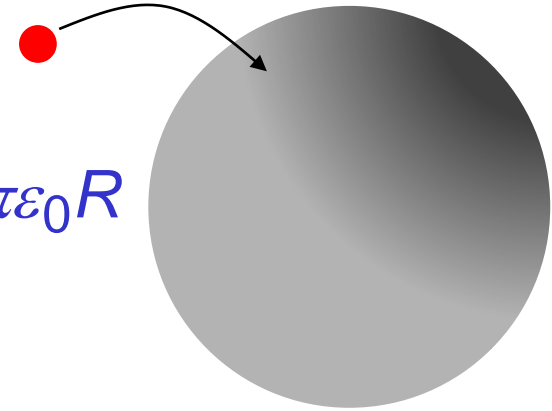
$$W_Q = \frac{Q^2}{2C} ; \text{ if } C \downarrow \text{ then } W_Q \uparrow$$

Generally  $W_Q$  increases with decreasing feature size

Radius of sphere [nm]	Capacitance $C=4\pi\epsilon_0 R$ [F]	Energy $W_Q$ of single electron on capacitor [meV]	Corresponding temperature $T=W_Q/k_B$ [K]
10000	$1.1 \times 10^{-15}$	0.07	0.83
1000	$1.1 \times 10^{-16}$	0.7	8.3 (LH2)
100	$1.1 \times 10^{-17}$	7	83 (LN2)
10	$1.1 \times 10^{-18}$	70	830
1	$1.1 \times 10^{-19}$	700	8300

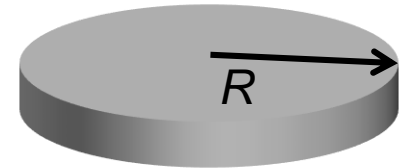
$$C_{\text{sphere}} = 4\pi\epsilon_0 R$$

charged sphere



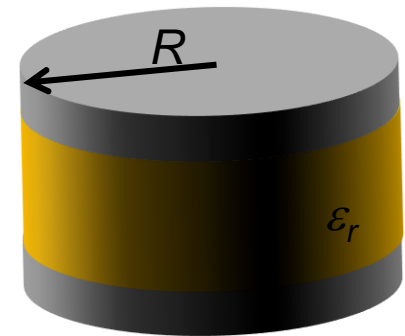
$$C_{\text{disc}} = 8\epsilon_0 R$$

charged disc



$$C_{\text{ppc}} = \epsilon_0 \epsilon_r \frac{\pi R^2}{d}$$

parallel-plate capacitor



## Relevant temperature range to observe single electron charging

if size becomes low,  $C$  becomes small and the *single electron Coulomb energy* may determine the *charge state of the particle* → **which then is quantized**

Charge quantization can be observed if the *relevant energy scale*

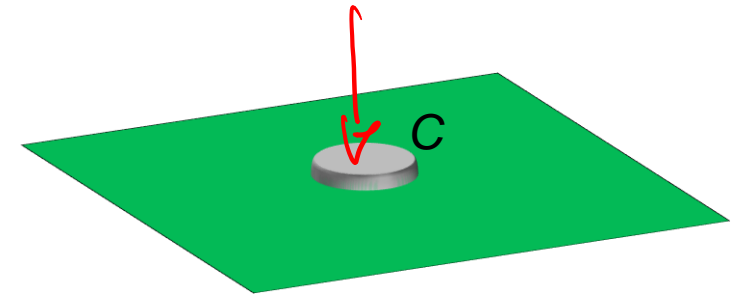
$$W_C = \frac{e^2}{C}$$

exceeds the *thermal energy*

$$\frac{e^2}{C} \gg k_B T$$

Thermal fluctuations of the charge on the particle are suppressed!

let us consider  
10-100 nm metal island



one usually talks about  
**Quantum dot**

→ This does not necessarily mean that the DOS is discrete (size quantization)!

# Coulomb energy of (small) charged particles

$$W_Q = W_Q(n) = \frac{e^2 n^2}{2C}, \quad n = \text{No of electrons on QD}$$

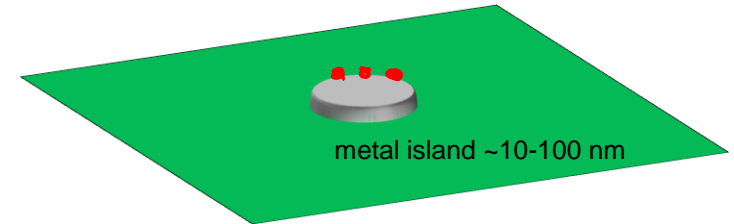
$$\Delta W_Q = W_Q(n) - W_Q(n-1) = \frac{e^2}{C} n - \frac{e^2}{2C}$$

$$\mu := \frac{\partial W}{\partial n}$$

Chemical potential

$$\mu(n) = \frac{\Delta W_Q}{\Delta n} = \frac{e^2}{C} n - \frac{e^2}{2C}$$

W(n=3)
W(n=2)
W(n=1)



$\mu(n=3)$
$\Delta\mu = \frac{e^2}{C}$
$\mu(n=2)$
$\mu(n=1)$

The chemical potential of the system takes

- discrete values
- equidistant values

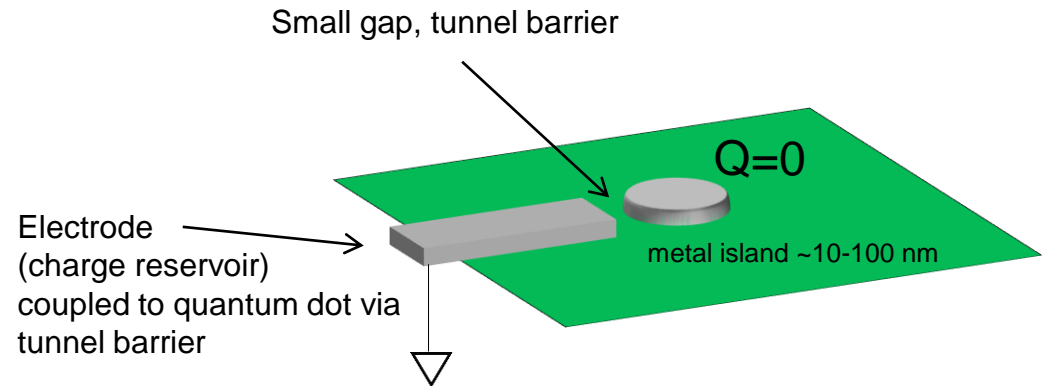
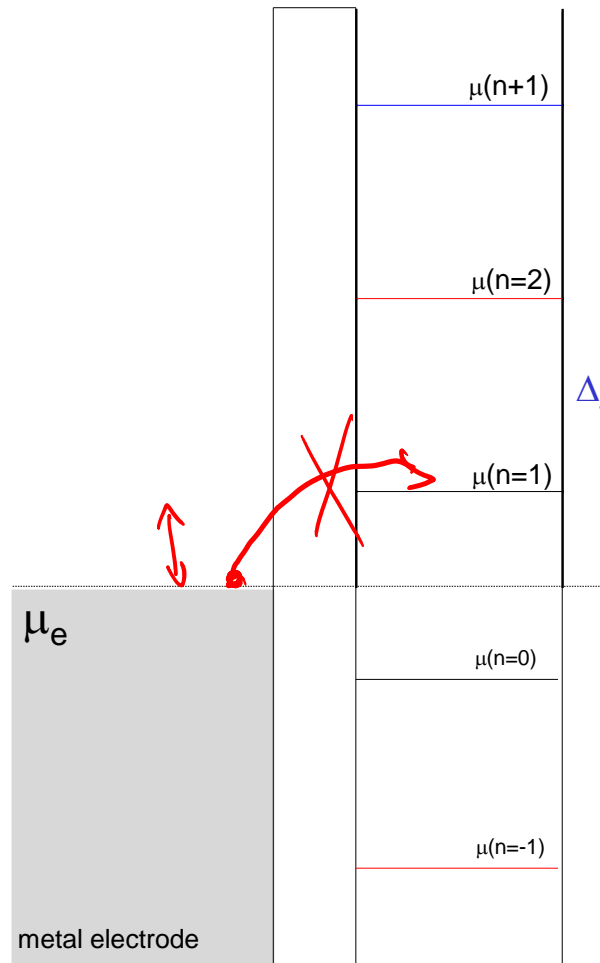
$$W_C = \Delta\mu = \frac{e^2}{C}$$

**Charging energy**



# Single electron box

*How can we charge the quantum dot?*



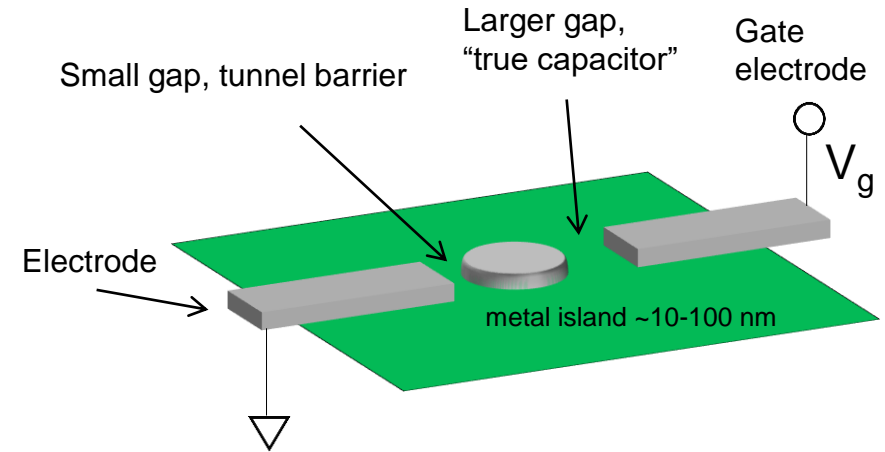
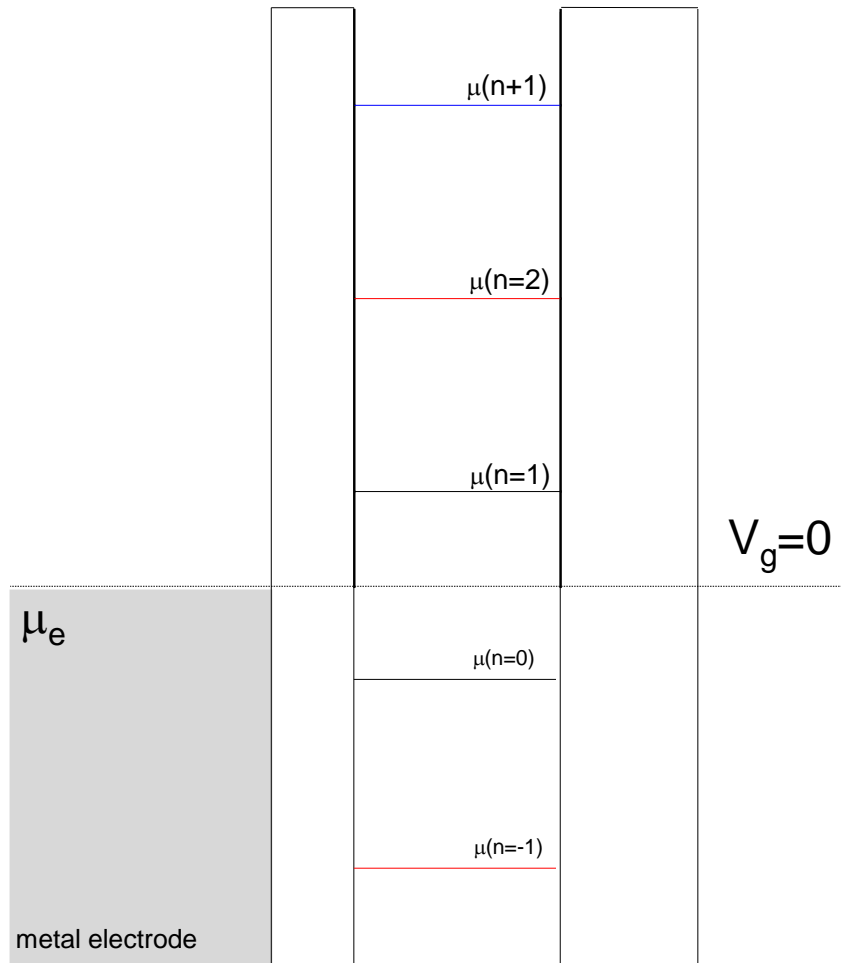
$$\mu(n) = \frac{e^2}{C} n - \frac{e^2}{2C}$$

In equilibrium, *no tunneling into/out of QD* is allowed due to energetic offset of  $\mu(n)$  in the QD

$$\frac{e^2}{C} \gg k_B T$$

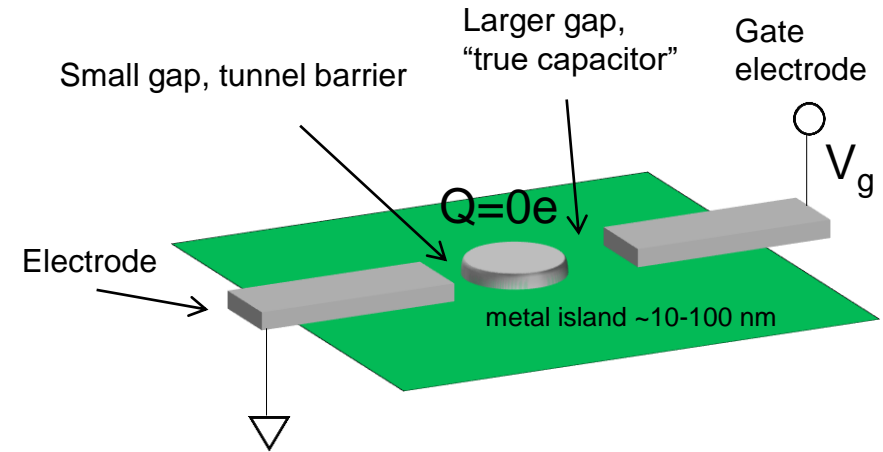
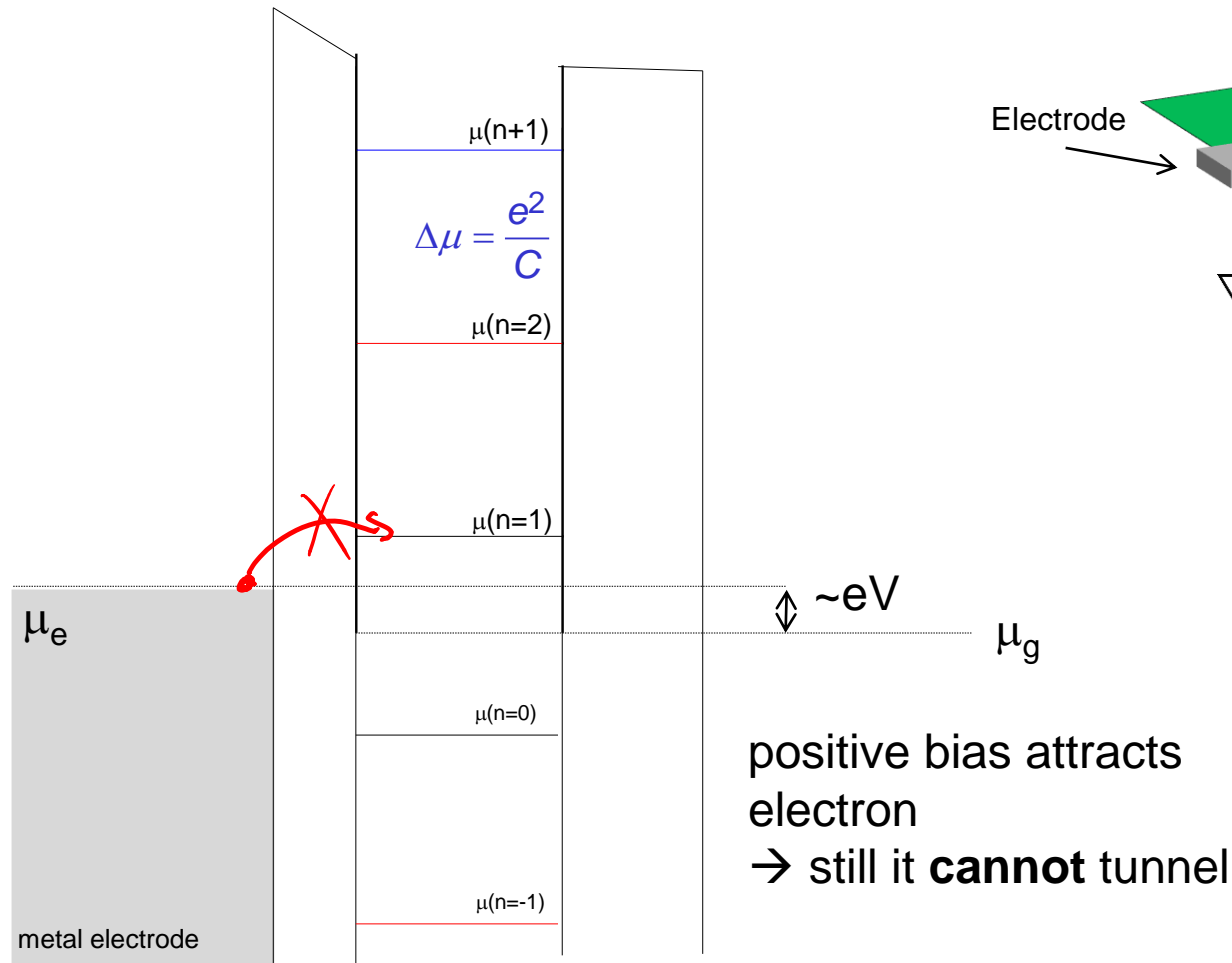
# Single electron box

*How can we charge the quantum dot?*



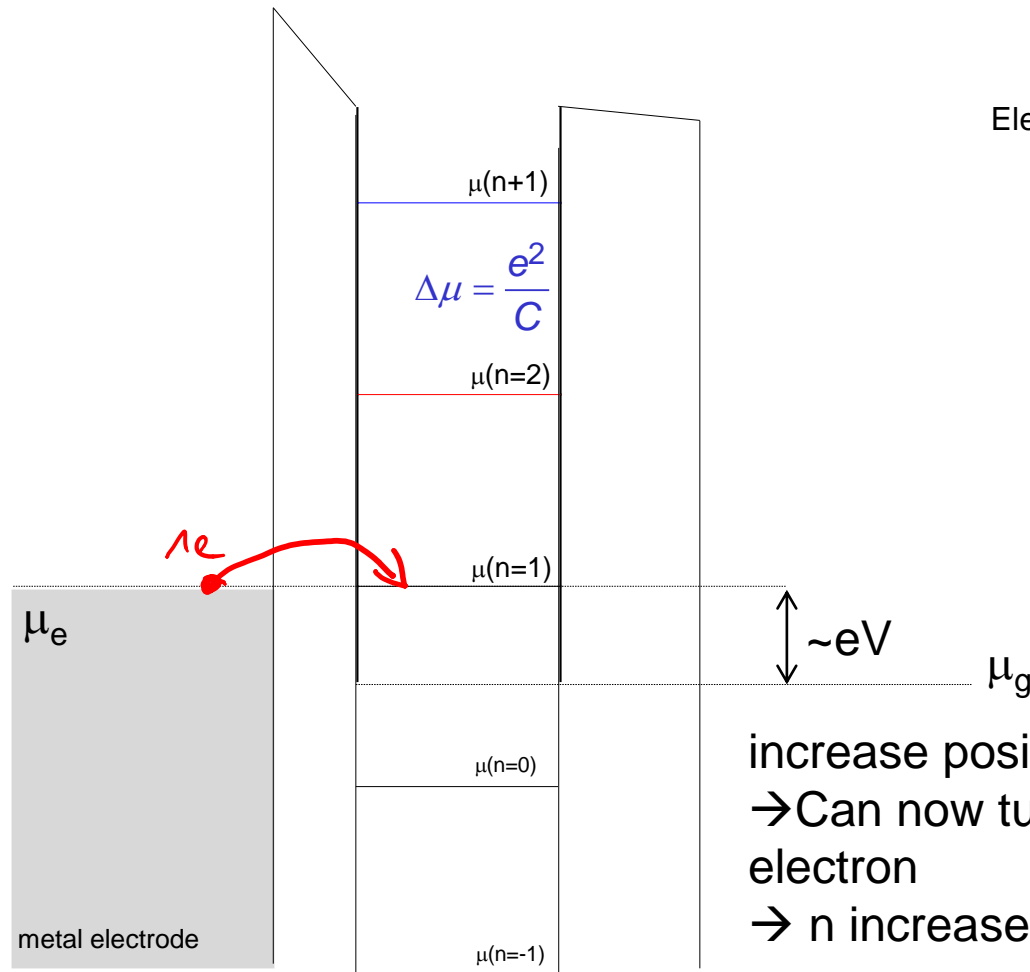
# Single electron box

*How can we charge the quantum dot?*

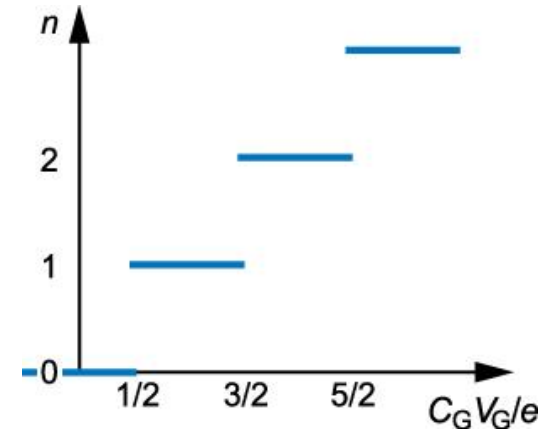
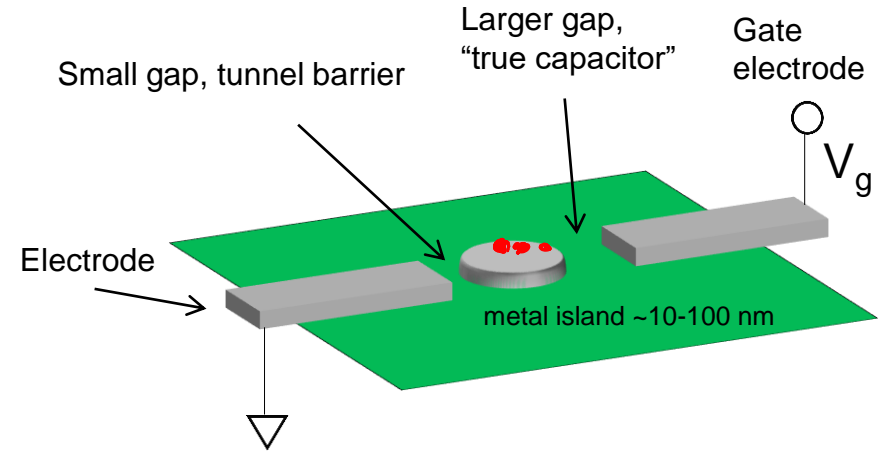


# Single electron box

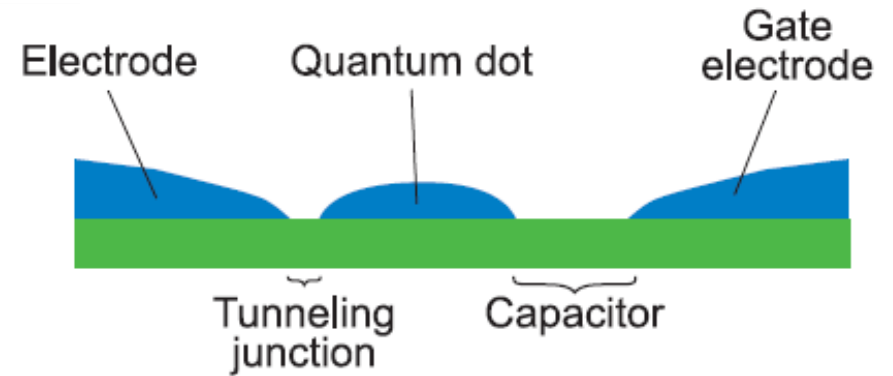
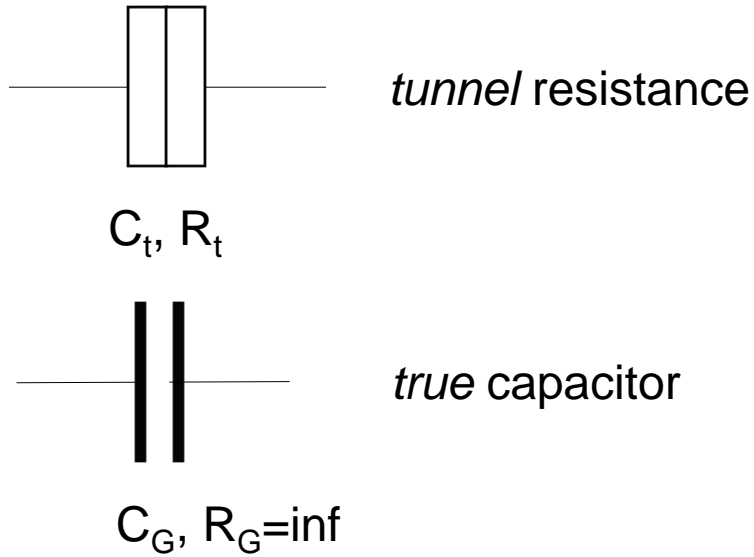
*How can we charge the quantum dot?*



increase positive bias  
 → Can now tunnel  
 electron  
 →  $n$  increases

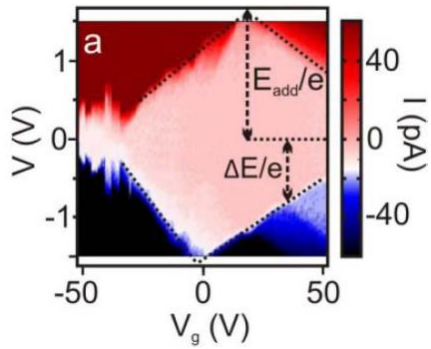
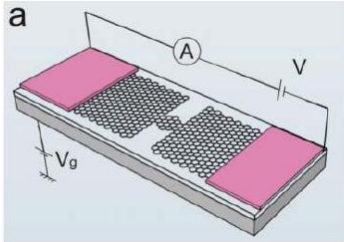


## Single electron box – quantitative model

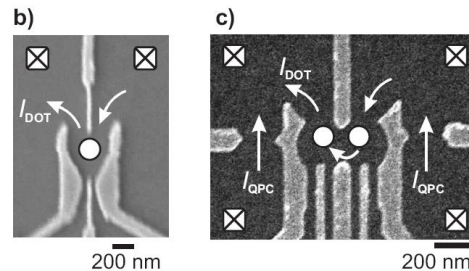
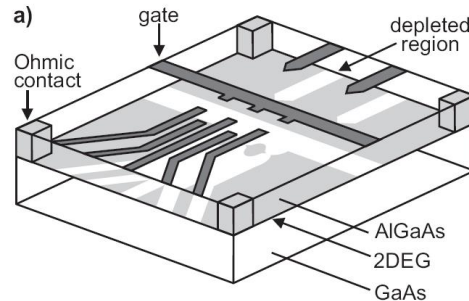


... to be continued

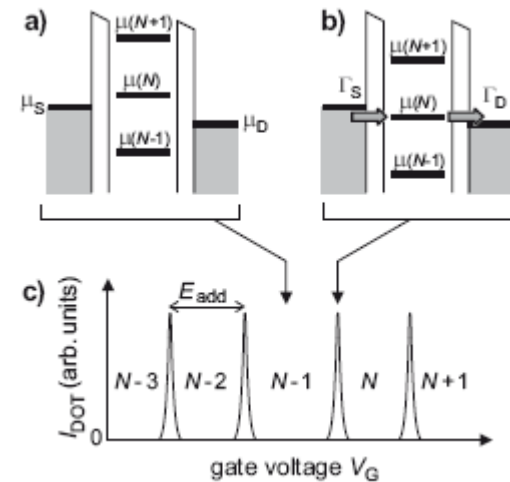
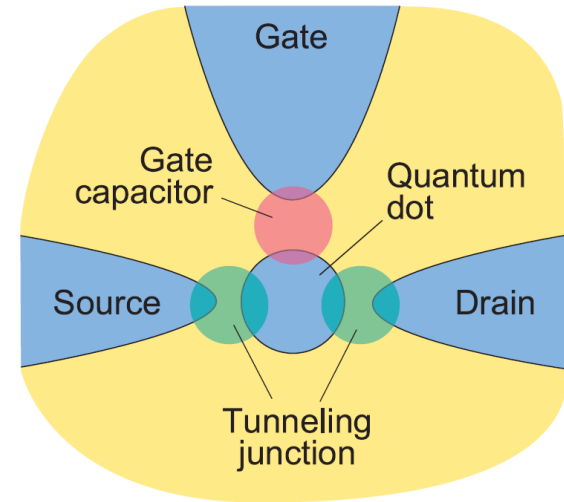
# Coulomb blockade - examples



A. Barreiro, H.S.J. van der Zant, L.M.K. Vandersypen, "Quantum Dots at Room Temperature carved out from Few-Layer Graphene", arXiv:1211.4551 (21 Nov. 2012)

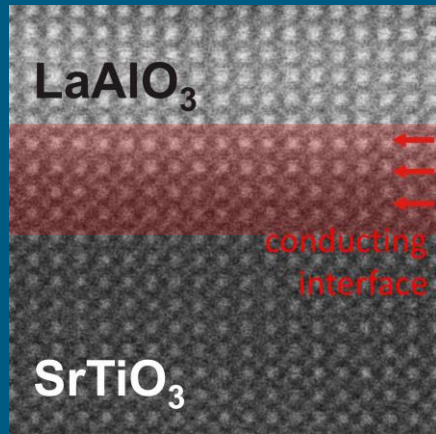


Hanson et al., Rev. Mod. Phys. 79, 1217 (2007)



Hanson et al., Rev. Mod. Phys. 79, 1217 (2007)

# Single electron box and transistor realized in oxide heterostructures



*2DEG in oxide heterostructure (cf. previous lecture)*

*Sketched oxide single-electron transistor*

G. Cheng, P. F. Siles, F. Bi, C. Cen, D. F. Bogorin, C. Bark, C. M. Folkman, J.-W. Park, C.-B. Eom, G. Medeiros-Ribeiro, J. Levy, Nature Nanotechnology 6, 343–347 (2011)

