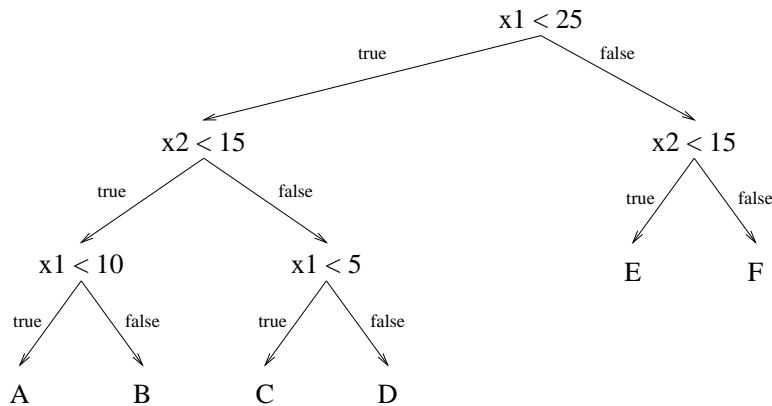


AI534 — Written Homework Assignment 3 —

1. (Naive Bayes Classifier) (7 pts) Consider the following training set:

A	B	C	Y
0	1	1	0
1	1	1	0
0	0	0	0
1	1	0	1
0	1	0	1
1	0	1	1

- (a) (3 pts) Learn a Naive Bayes classifier by estimating all necessary probabilities (there should be 7 independent probabilities to be estimated in total).
- (b) (3 pts) Compute the probability $P(y = 1 | A = 1, B = 0, C = 0)$.
- (c) (1 pts) Suppose we know that the three features A, B and C are independent from one another, can we say that the Naive Bayes assumption is valid? (Note that the particular data set is irrelevant for this question). If your answer is yes, please explain why; if your answer is no please give an counter example.
2. (Naive Bayes learns linear decision boundary.) (10 pts) Show that the following naive Bayes classifiers learn linear decision boundary $w_0 + w_1x_1 + w_2x_2 + \dots + w_dx_d = 0$. Express the weights using the corresponding Naive Bayes parameters. Hint: start with the decision boundary defined by $\log \frac{P(y=1|\mathbf{x})}{P(y=0|\mathbf{x})} = 0$.
- (a) **Bernoulli** Naive Bayes model, where features x_1, x_2, \dots, x_d are binary indicating the presence/absence of words in the vocabulary.
- (b) **Multinomial** Naive Bayes Model, where x_1, \dots, x_d representing counts of words w_1, \dots, w_d in the vocabulary. Express the weights using the Naive Bayes parameters: the class priors $P(y = 1), P(y = 0)$ and the class conditionals: $p(w_i | y = 1)$ and $p(w_i | y = 0)$
3. (6 pts) Consider the following decision tree:



- (a) (2 pts) Draw the decision boundaries defined by this tree. Each leaf of the tree is labeled with a letter. Write this letter in the corresponding region of input space.

- (b) (2 pts) Give another decision tree that is syntactically different but defines the same decision boundaries. This demonstrates that the space of decision trees is syntactically redundant.
- (c) (2pts) How does this redundancy influence learning (does it make it easier or harder to find an accurate tree)?
4. (6 pts) In the basic decision tree algorithm (assuming we always create binary splits), we choose the feature/value pair with the maximum information gain as the test to use at each internal node of the decision tree. Suppose we modified the algorithm to choose at random from among those feature/value combinations that had non-zero mutual information, and we kept all other parts of the algorithm unchanged.
- (a) (2 pts) What is the maximum number of leaf nodes that such a decision tree could contain if it were trained on m training examples?
- (b) (2 pts) What is the maximum number of leaf nodes that a decision tree could contain if it were trained on m training examples using the original maximum mutual information version of the algorithm? Is it bigger, smaller, or the same as your answer to (b)?
- (c) (2 pts) How do you think this change (using random splits vs. maximum information mutual information splits) would affect the testing accuracy of the decision trees produced on average? Why?
5. (8 pts) Consider the following training set:

A	B	C	Y
0	1	1	0
1	1	1	0
0	0	0	0
1	1	0	1
0	1	0	1
1	0	1	1

Learn a decision tree from the training set shown above using the information gain criterion. Show your steps, including the calculation of information gain (you can skip $H(y)$ and just compute $H(y|\mathbf{x})$) of different candidate tests. You can randomly break ties (or better, choose the one that give you smaller tree if you do a bit look ahead for this problem).

6. (8pts) Prove that

$$H(X, Y) = H(X) + H(Y|X) = H(Y) + H(X|Y)$$

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Hint: you should start with the definition $H(X, Y) = -\sum_{x,y} P(x, y) \log P(x, y)$. Here we use X, Y to denote the random variables and x, y denote the values X and Y take, $P(x, y)$ is a short hand notation denoting $P(X = x, Y = y)$.