

Algorithm design and analysis Big O - Algorithm Analysis

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Data Structures and Algorithms

Relation between Data Structures and Algorithms

Program = Data Structures + Algorithms

- > Data structure:
- a way of organizing, storing and performing operations on data
- examples: list, set, dictionary,...
- > Algorithm:
- describes a sequence of steps to solve a computational problem or perform a calculation
- described in pseudocode, a programming language



Objectives of Learning Algorithms

"Life is meaningful, without objective life is vague At this moment our goal is to learn Algorithms."

- > Algorithm efficiency is typically measured by the algorithm's computational complexity
- Computational complexity is the amount of resources used by the algorithm



Algorithm

Design an algorithm

Prove the algorithm is correct
 Loop invariant, recursive function,

Analysis the algorithm

- > Time
- Space

Sequential and parallel algorithms

- Random access model (RAM)
- Parallel Multi-processor access model (PRAM)

▼ Exercise7 (OPTIONAL)

Cho một số nguyên dương n, viết phương trình đảo ngược thứ tự các vị trí trong số n. Chỉ dùng while (hay for) và những phép toán cơ bản như +, -, *, /, %, // ...

- Input: n là một dãy số nguyên dương.
- Output: Đảo ngược vị trí các số trong n. Ví dụ input: 12345678910, output: 1987654321

NOTE: Các bạn chú ý các điều kiện sau

- · Không được ép kiểu sang string
- Chỉ sử dụng while hoặc for loop

```
def reverse_number(n):
    r_num = 0
    while n > 0:
        reminder = n % 10
        r_num = (r_num * 10) + reminder
        n = n // 10 #//: chia làm tròn xuống
    return r_num

print(reverse_number(12))

print(reverse_number(n=123456789))
```

```
→ 21
987654321
```



Algorithm efficiency

Experimental Analysis

- Computational complexity is the amount of resources used by the algorithm
- Independent of the hardware and software environment
- > Study a high-level description of the algorithm without need for implementation
- > Takes into account all possible
- ⇒ The most common resources considered :
 - Runtime (time) complexity
 - Memory usage (space) complexity



Running Time

The 'time' function of the time module

```
[4] import time
    start_time = time.time()

i = 0
    while i < 5:
        i = i + 1

end_time = time.time()

print(end_time-start_time)</pre>
```

5.7697296142578125e-05

```
[ ] import time
    start_time = time.time()

# run algorithm

end_time = time.time()

print(end_time-start_time)
```



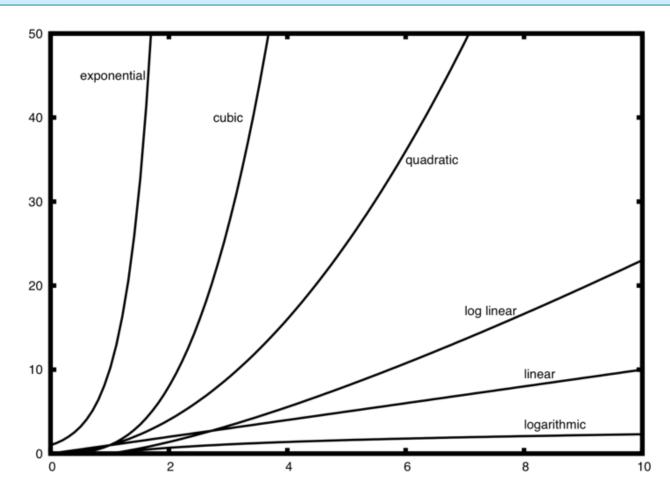
CONTENT

- (1) Big O Algorithm Analysis
- (2) Exhaustive Search (Brute Force) Recursion
- (3) Divide and Conquer
- (4) Dynamic Programming
- **(5) Review**



CONTENT

(1) – Big O – Algorithm Analysis



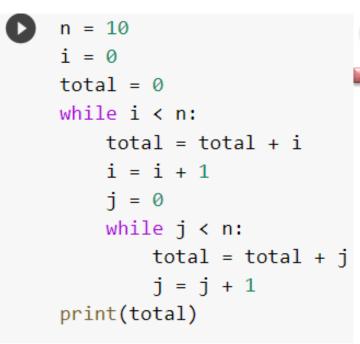


Steps to calculate computational complexity

Python code

Characterize Function

Asymptotic Notation





$$T(n) = 5n^2 + 6n + 4$$



 $O(n^2)$

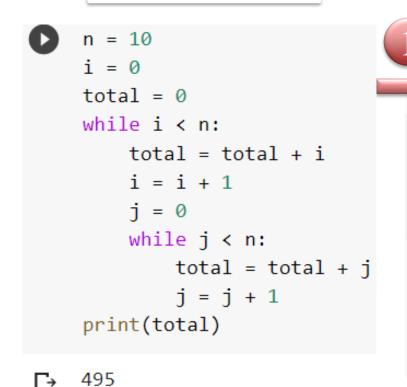


Steps to calculate computational complexity

Python code

Characterize Function

Asymptotic Notation



 $T(n) = 5n^2 + 6n + 4$

 $\stackrel{(2)}{\Longrightarrow}$

 $O(n^2)$

Primitive Operations

Important Function

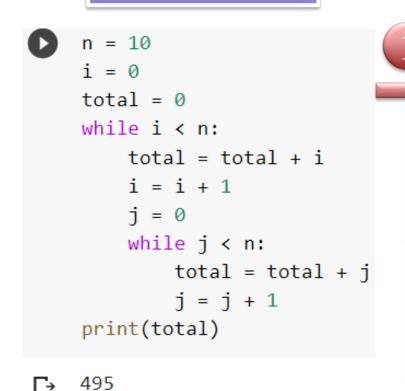


Steps to calculate computational complexity

Python code

Characterize Function

Asymptotic Notation



 $T(n) = 5n^2 + 6n + 4$

 $O(n^2)$

Primitive Operations

Important Function



Primitive Operations

- > Assigning an identifier to an object
- Performing an arithmetic operation
- Comparing two numbers

```
number_a = 10
number_b = number_a
number_a + number_b
```

20

```
add_number = number_a + number_b
add_number
```

20

```
number_a > number_b
```

False

```
compare_number = number_a > number_b
compare_number
```

False



Primitive Operations

- Accessing a single element of list by index
- Calling a function
- > Returning from a function

```
list_number = [1, 2, 3]
list_number[0]
```

```
def sum_list(list_number):
    total = sum(list_number)
    return total

sum_list(list_number)
```



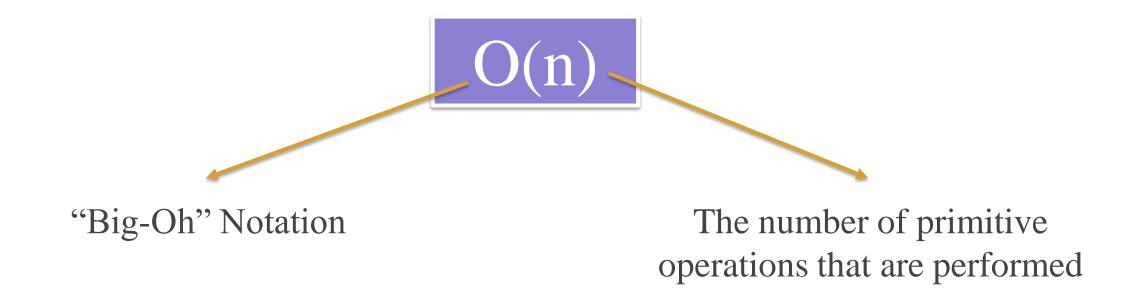
Counting Primitive Operations

The number of primitive operations an algorithm performs will be proportional to the actual running time

Measuring Operations as a Function of Input Size

- To capture the order of growth of an algorithm's running time
- A function f(n): characterizes the number of primitive operations that are performed as a function of the input size n
- Common functions: f(n) = c, $log_b n$, n, nlog n, ...







Counting Primitive Operations

False

```
[1] number_a = 10
    number_b = number_a
    number_a + number_b
    20
[6] number a = 10
    number_b = number_a
     add_number = number_a + number_b
    add_number
    20
```

```
number_a = 10
number_b = number_a
number_a > number_b
```



Counting Primitive Operations

```
[1] number_a = 10
    number b = number a
                                                         3 operations
                                                                                    O(1)
    number_a + number_b
    20
[6] number a = 10
    number_b = number_a
                                                         5 operations
                                                                                    O(1)
    add_number = number_a + number_b
    add_number
    20
    number_a = 10
                                                         3 operations
                                                                                    O(1)
    number_b = number_a
    number_a > number_b
    False
```



Counting Primitive Operations

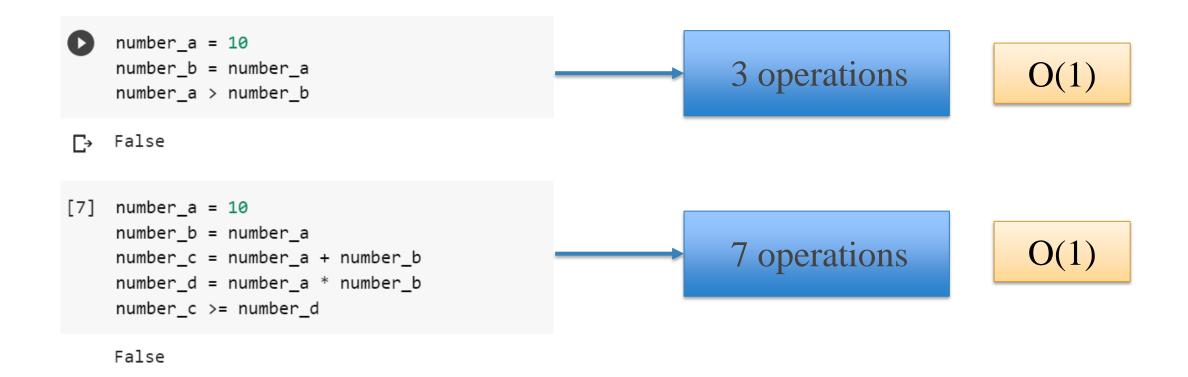
```
number_a = 10
number_b = number_a
number_a > number_b
False

[7] number_a = 10
number_b = number_a
number_c = number_a + number_b
number_d = number_a * number_b
number_c >= number_d
```

False



Counting Primitive Operations



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Counting Primitive Operations

```
def compute_rectangle_area(height=0, width=0):
    '''
    This function aims to compute area for a rectangle.
    height -- the height of the rectangle
    width -- the width of the rectangle

This function returns the area of the rectangle
    '''
    area = height*width
    return area
```

```
area1 = compute rectangle area(5, 6)
print('area 1: ', area1)
area2 = compute rectangle area(height=5, width=6)
print('area 2: ', area2)
area3 = compute rectangle area(width=6, height=5)
print('area 3: ', area3)
area4 = compute rectangle_area(width=6, height=5)
print('area 4: ', area4)
area5 = compute_rectangle_area()
print('area 5: ', area5)
```

```
⇒ area 1: 30
    area 2: 30
    area 3: 30
    area 4: 30
    area 5: 0
```



Counting Primitive Operations

```
area1 = compute_rectangle_area(5, 6)
                                          9 op
print('area 1: ', area1)
area2 = compute rectangle area(height=5, width=6)
print('area 2: ', area2)
                                          10 op
area3 = compute rectangle area(width=6, height=5)
print('area 3: ', area3)
                                          10 op
area4 = compute rectangle area(width=6, height=5)
print('area 4: ', area4)
                                          10 op
area5 = compute_rectangle_area()
                                          8 op
print('area 5: ', area5)
```

```
☐⇒ area 1: 30
area 2: 30
area 3: 30
area 4: 30
area 5: 0
```

O(1)



C→

Time Complexity of Condition

```
[10] \text{ num} = 3
     if num > 0:
         print(num, "is a positive number.")
     print("This is always printed.")
     num = -1
     if num > 0:
         print(num, "is a positive number.")
     print("This is also always printed.")
     3 is a positive number.
     This is always printed.
     This is also always printed.
```

```
def find_max_number(number_a, number_b):
    number max = 0
    if number_a > number_b:
        number_max = number_a
    else:
        number max = number b
    return number max
print(find_max_number(5, 7))
number a = -5
number_b = 8
print(find max number(number a, number b))
```



 \Box

Time Complexity of Condition

```
[10] num = 3
    if num > 0:
        print(num, "is a positive number.")
    print("This is always printed.")

num = -1
    if num > 0:
        print(num, "is a positive number.")
    print("This is also always printed.")

3 is a positive number.
    This is always printed.
```

8 op

This is also always printed.

```
def find_max_number(number_a, number_b):
                number max = 0
                if number_a > number_b:
                    number_max = number_a
                else:
                    number max = number b
                return number max
                                               8 op
            print(find_max_number(5, 7))
            number a = -5
                                               10 op
            number_b = 8
O(1)
            print(find max number(number a, number b))
```



Time Complexity of Condition

```
Input: a and b

if a = 0 then y = b^2

if a = K then y = \sqrt{b}
```

```
[15] import math
     def function 3(a, b):
         result = 0
         if a>0:
             result = b*b
         elif a<0:
             result = math.sqrt(b)
         return result
     print(function_3(2, 4))
     print(function_3(-2, 4))
```

```
16
2.0
```

```
import math
def function 3(a, b):
    result = 0
    if a>0:
        result = b*b
        result = result + a
    elif a<0:
        result = math.sqrt(b)
    return result
print(function 3(2, 4))
print(function_3(-2, 4))
```

```
[→ 18
2.0
```



Time Complexity of Condition

```
Input: a and b

if a = 0 then y = b^2

if a = K then y = \sqrt{b}
```

```
import math
[15] import math
                                                     def function 3(a, b):
     def function 3(a, b):
                                                         result = 0
         result = 0
                                                         if a>0:
         if a>0:
                                           O(1)
                                                             result = b*b
             result = b*b
                                                             result = result + a
         elif a<0:
                                                         elif a<0:
             result = math.sqrt(b)
                                                             result = math.sqrt(b)
         return result
                                                         return result
                                        9 op
     print(function_3(2, 4))
                                                                                    9 op
                                                     print(function_3(2, 4))
                                        9 op
                                                                                    9 op
     print(function_3(-2, 4))
                                                     print(function_3(-2, 4))
     16
```

16 2.0

_→ 18 2.0

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Time Complexity of For Loops

n = number of iterations * static statements

```
[18] n = 5
    for i in range(n):
        print(i)
0
1
2
3
4
```

```
n = 5
total = 0
for i in range(n):
    total = total + i
    print(total)
```

```
C→ 0
1
3
6
10
```



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Time Complexity of For Loops

n = number of iterations * static statements

```
[18] n = 5
    for i in range(n):
        print(i)
0
1
2
3
4
16 op
```

```
n = 5
total = 0
for i in range(n):
   total = total + i
   print(total)
```

```
C→ 0
1
3
6
10
27 op
```

```
3n + 1 \text{ op} O(n)
```

5n + 2 op

O(n)

Time Complexity of For Loops

```
[29] x = 10
    y = 20
    n = 10
    for i in range(n):
        z = x + y
        t = x - y
```

```
[23] x = 10
    y = 20
    n = 10
    for i in range(n*n):
        z = x + y
        t = x - y
```

```
x = 10
y = 20
n = 10
for i in range(2*n):
    z = x + y
    t = x - y
```

```
[30] x = 10
    y = 20
    n = 10
    for i in range(n):
        for j in range(5):
        z = x + y
        t = x - y
```

```
[33] x = 10
    y = 20
    n = 10
    for i in range(n):
        x = x + i
        y = y + i
        for j in range(5):
        z = x + y
        t = x - y
```

```
[32] x = 10
    y = 20
    n = 10
    for i in range(n):
        x = x + i
        y = y + i
        for j in range(n):
        z = x + y
        t = x - y
```



Time Complexity of For Loops

```
[29] x = 10
     V = 20
     n = 10
     for i in range(n):
         z = x + y
         t = x - y
```

```
63 op
6n + 3 op
```

O(n)

```
[23] x = 10
     V = 20
     n = 10
     for i in range(n*n):
         z = x + y
         t = x - y
```

```
603
6n^2 + 3 \text{ op}
```

 $O(n^2)$

```
x = 10
y = 20
n = 10
for i in range(2*n):
    z = x + y
   t = x - y
```

```
123
12n + 3 op
  O(n)
```

```
y = 20
n = 10
for i in range(n):
    x = x + i
   y = y + i
   for j in range(n):
        z = x + y
```

```
[30] x = 10
    V = 20
    n = 10
    for i in range(n):
                                  323 op
        for j in range(5):
                                                    O(n)
           z = x + y
                               32n + 3 op
           t = x - y
```

```
[33] x = 10
     v = 20
     n = 10
     for i in range(n):
         x = x + i
         y = y + i
         for j in range(5):
             z = x + y
             t = x - y
```

```
363 op
              O(n)
36n + 3 op
```

```
[32] x = 10
             t = x - y
```

```
663 op
n(6n+6) + 3 op
```

 $O(n^2)$



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Time Complexity of While Loops

```
[46] n = 10
    i = 0
    while i < n:
    i = i + 1</pre>
```

```
[47] n = 10
    i = 5
    total = 0
    while i < n:
        total = total + i
        i = i + 1</pre>
```

```
n = 10
i = 0
total = 0
while i < n:
    total = total + i
   i = i + 1
    j = 0
    while j < n:
        total = total + j
        j = j + 1
print(total)
```



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Time Complexity of While Loops

```
[46] n = 10

i = 0

while i < n:

i = i + 1

32 op

3n + 2 op

O(n)
```

```
[47] n = 10

i = 5

total = 0

while i < n:

total = total + i

i = i + 1

53 op

5n + 3 op

O(n)
```

```
n = 10
i = 0
total = 0
                                554 op
while i < n:
                           n(5n + 6) + 4 \text{ op}
    total = total + i
    i = i + 1
                                 O(n^2)
    j = 0
    while j < n:
        total = total + j
        j = j + 1
print(total)
```



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Other example

```
[49] n = 10
    for i in range(n):
        for j in range(n):
            for k in range(n):
                 print(i*j*k)
```

```
[48] def is_even(value):
    result = value % 2 == 0
    return result

n = 10
total = 0
for i in range(n):
    if is_even(i):
        print(i)
    else:
        total = total + i
        print(total)
```

```
def reverse_number(n):
    r_num = 0
    while n > 0:
        reminder = n % 10
        r_num = (r_num * 10) + reminder
        n = n // 10 #//: chia làm tròn xuống
    return r_num

print(reverse_number(12))

print(reverse_number(n=123456789))
```



Other example

```
def factorial fcn(x):
    res = 1
   for i in range(x):
      res *= (i+1)
    return res
def approx_cos(x, n):
    cos_approx = 0
    for i in range(n+1):
        coef = (-1)**i
        num = x^{**}(2*i)
        denom = factorial_fcn(2*i)
        cos approx += ( coef ) * ((num)/(denom))
    return cos_approx
approx_cos(x=3.14, n=10)
```

```
def factorial_fcn(x):
    res = 1
    for i in range(x):
      res *= (i+1)
    return res
def approx sin(x, n):
    sin_approx = 0
    for i in range(n+1):
        coef = (-1)**i
        num = x^{**}(2*i+1)
        denom = factorial_fcn(2*i+1)
        sin_approx += ( coef ) * ((num)/(denom))
    return sin approx
approx sin(x=3.14, n=10)
```

0.0015926529267151343

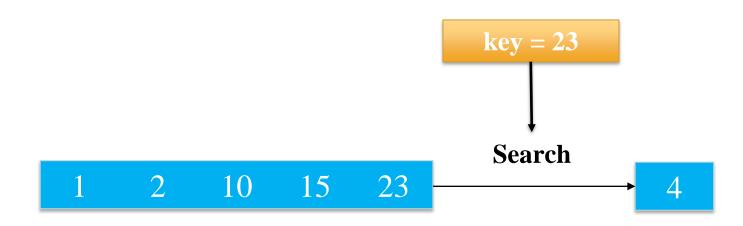


Algorithm design and analysis

Example: Searching problem

Input: a sorted sequence of n number $\langle a_1, a_2, ..., a_n \rangle$, key

Output: index of key in the sequence if exist, -1 if not exist





Algorithm design and analysis

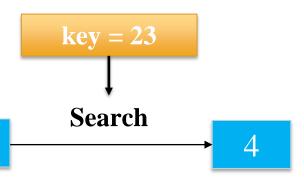
Example: Searching problem

1 2 10 15 23

Pseudo code

LINEAR-SEARCH(arr, key)

```
for idx = 0 to (arr.length-1)
element = arr[i]
// Check key
if element == key
return idx
return
```



Python

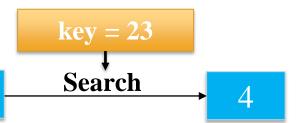
```
[15] def linear_search(arr, key):
    n = len(arr)
    for idx in range(n):
        element = arr[idx]
        if element == key:
            return idx

    return -1

arr = [1, 2, 10, 15, 23]
    key = 23
    linear_search(arr, key)
```



Different searching algorithms



Linear search

10

15

23

```
[15] def linear_search(arr, key):
    n = len(arr)
    for idx in range(n):
        element = arr[idx]
        if element == key:
            return idx

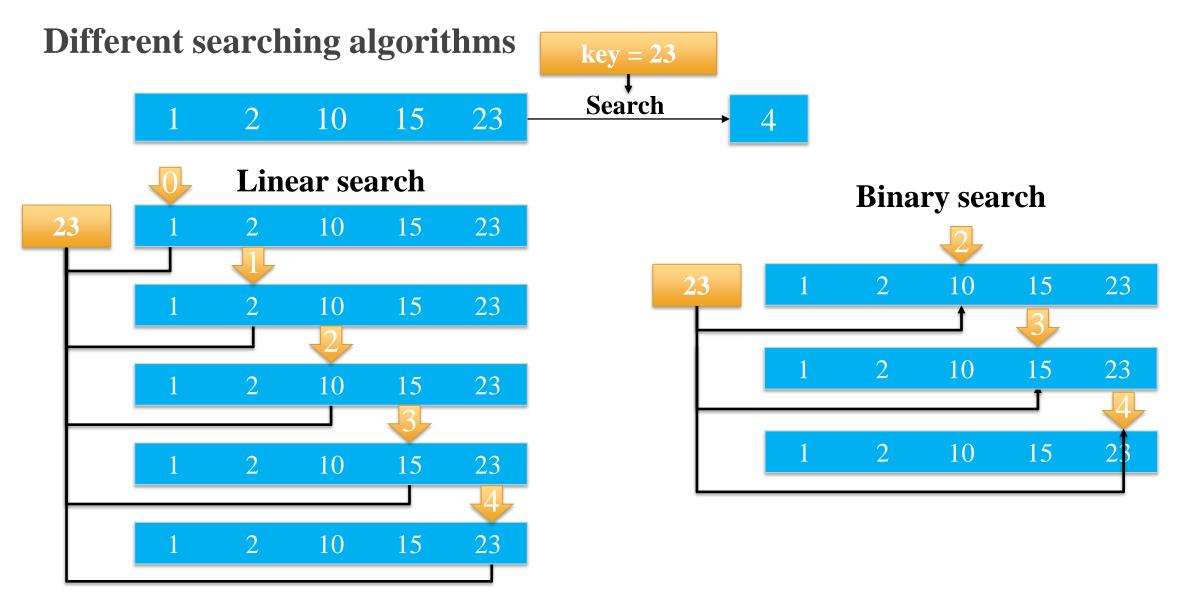
    return -1

arr = [1, 2, 10, 15, 23]
    key = 23
    linear_search(arr, key)
```

Binary search

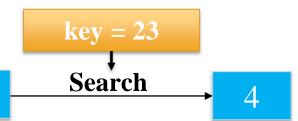
```
def binary_search(array, key):
    low = 0
    high = len(arr) - 1
    while low <= high:
        mid = low + (high - low)//2
        if arr[mid] == key:
            return mid
        elif arr[mid] < key:</pre>
            low = mid + 1
        else:
            high = mid - 1
    return -1
arr = [1, 2, 10, 15, 23]
key = 23
binary search(arr, key)
```







Different searching algorithms



Linear search

10

15

23

Binary search

```
def binary_search(array, key):
                low = 0
                high = len(arr) - 1
                while low <= high:
                    mid = low + (high - low)//2
                    if arr[mid] == key:
                        return mid
O(logn)
                    elif arr[mid] < key:</pre>
                        low = mid + 1
                    else:
                        high = mid - 1
                return -1
            arr = [1, 2, 10, 15, 23]
            key = 23
            binary search(arr, key)
```



Different searching algorithms

- \triangleright Suppose n=10¹⁰ numbers:
 - Linear search: $c_1 n$
 - Binary search: $c_2(logn)$

Case 1: The same programmer ($c_1=c_2=1$), computer (1 billion/second)

Linear search	Binary search
$1*(10^{10})$ instructions/ 10^9 instructions per second = 10 seconds	$1*log(10^{10})$ instructions/ 10^9 instructions per second $\approx 3.3*10^{-8}$ seconds



Different searching algorithms

- \triangleright Suppose n=10¹⁰ numbers:
 - Linear search: $c_1 n$
 - Binary search: $c_2(logn)$

Case 2: Best programmer ($c_1=1$), bad programmer ($c_2=50$)

The same computer (1 billion/second)

	Linear search	Binary search	
Best programmer	$1*(10^{10})/10^9 = 10$ seconds	$1*\log(10^{10})/10^9 \approx 3.3*10^{-8}$ seconds	
Bad programmer	$50*(10^{10})/10^9 = 500$ seconds	$50*\log(10^{10})/10^9 \approx 1.65*10^{-6}$ seconds	



Different searching algorithms

 \triangleright Suppose n=10¹⁰ numbers:

• Linear search: $c_1 n$

• Binary search: $c_2(logn)$

Case 3: The same programmer ($c_1=c_2=1$), language (python),

Computer A (1 billion/second), computer B (10 million/second)

	Linear search	Binary search		
Computer A	$1*(10^{10})/10^9 = 10$ seconds	$1*\log(10^{10})/10^9 \approx 3.3*10^{-8}$ seconds		
Computer B	$1*(10^{10})/10^7 = 10^3$ seconds	$1*\log(10^{10})/10^7 \approx 3.3*10^{-6}$ seconds		



Different searching algorithms

 \triangleright Suppose n=10¹⁰ numbers:

• Linear search: $c_1 n$

Binary search: $c_2(logn)$

Case 4: $\langle A \rangle$: best programmer (c₁=1), computer A (1 billion/second)

: bad programmer (c₂=50), computer B (10 million/second)

	Linear search	Binary search		
<a>	$1*(10^{10})/10^9 = 10$ seconds	$1*\log(10^{10})/10^9 \approx 3.3*10^{-8}$ seconds		
	$50*(10^{10})/10^7 = 5*10^4$ seconds	$50*\log(10^{10})/10^7 \approx 1.66.10^{-4}$ seconds		

=> Binary search on is 60.000 times faster than binary search on A

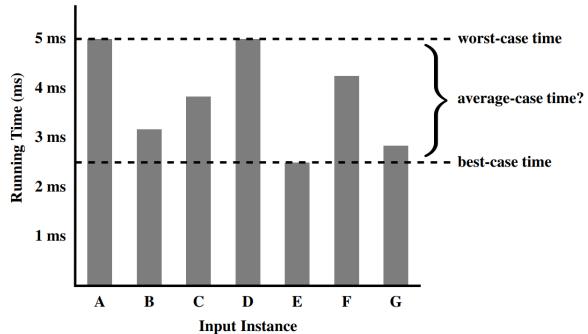


Computational complexity

Running time

Takes into account all possible inputs

- Worst case, best case, average case
- For some algorithms, worst case occurs often, average case is often roughly as bad as the worst case. So generally, worse case running time





Running time

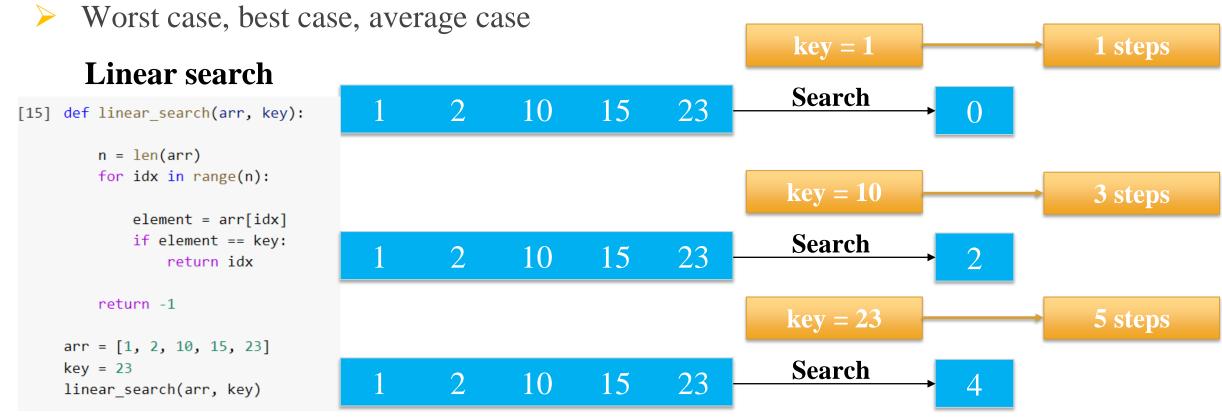
Takes into account all possible inputs

Worst case, best case, average case key = 1Linear search Search 10 15 23 [15] def linear search(arr, key): n = len(arr)for idx in range(n): key = 10element = arr[idx] if element == key: Search 15 23 10 return idx return -1 key = 23arr = [1, 2, 10, 15, 23]Search key = 2315 23 10 linear_search(arr, key)



Running time

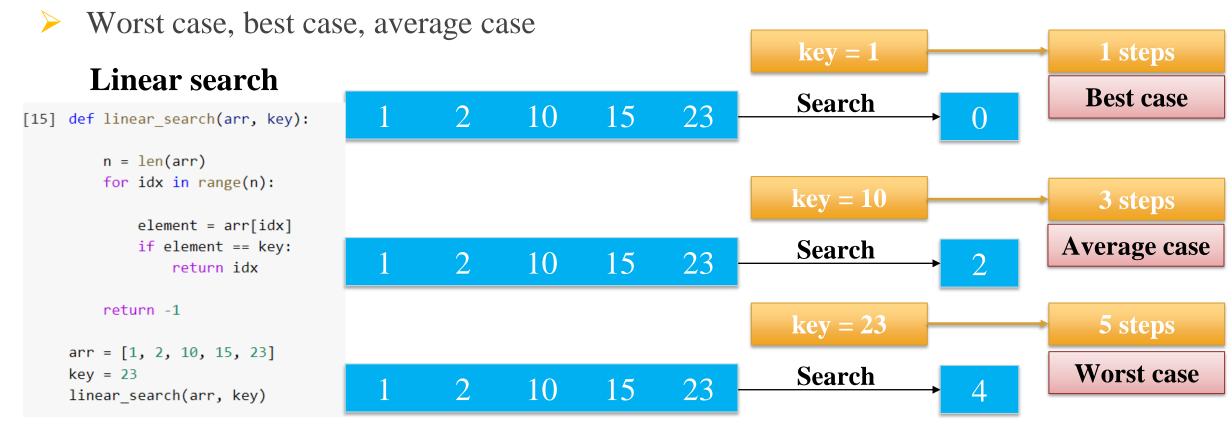
Takes into account all possible inputs





Running time

Takes into account all possible inputs



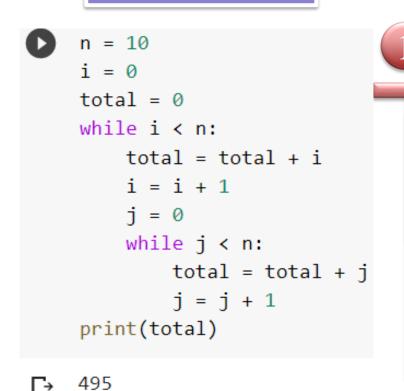


Steps to calculate computational complexity

Python code

Characterize Function

Asymptotic Notation



 $T(n) = an^2 + bn + c$

 $\stackrel{(2)}{\Longrightarrow}$

 $O(n^2)$

Primitive Operations

Important Function

The seven most important functions

- \triangleright The constant function: f(n) = c
 - Any argument n, f(n) assigns the value c.
 - $c = 5, 10, 2^{10}, \dots$
 - Basic operation: comparing two numbers,...

```
def find_max_number(number_a, number_b):
    number_max = 0
    if number_a > number_b:
        number_max = number_a
    else:
        number_max = number_b
    return number_max
```

The seven most important functions

> The logarithm function:

 $log_b a = log_d a / log_d b$

$$f(n) = \log_b n$$
 if and only if $b^x = n$, $b > 1$

- b: base of the logarithm (computer science is 2)
- $\log_{\mathbf{h}} 1 = 0$
- For any real numbers a>0, b>1, c>0, n:

```
log_b ac = log_b a + log_b c log_b a/c = log_b a - log_b c log_b a^n = nlog_b a b^{log_d a} = a^{log_d b}
```

```
def binary search(array, key):
    low = 0
    high = len(arr) - 1
    while low <= high:
        mid = low + (high - low)//2
        if arr[mid] == key:
            return mid
        elif arr[mid] < key:</pre>
            low = mid + 1
        else:
            high = mid - 1
    return -1
arr = [1, 2, 10, 15, 23]
key = 23
binary search(arr, key)
```

The seven most important functions

- \triangleright The linear function: f(n) = n
 - The best running time to achieve for any algorithm
 - Comparing a number x to each element of a sequence of size n
- \triangleright The N-Log-N function: $f(n) = n \log n$
 - The fastest possible algorithms for sorting

```
[29] x = 10
    y = 20
    n = 10
    for i in range(n):
        z = x + y
        t = x - y
```

```
[47] n = 10
    i = 5
    total = 0
    while i < n:
        total = total + i
        i = i + 1</pre>
```

The seven most important functions

- \triangleright The Quadratic function: $f(n) = n^2$
 - Appears often in algorithm analysis: nested loops
 - For any integer $n \ge 1$:

$$\sum_{i=1}^{n} i = 1 + 2 + 3 + \dots + (n-2) + (n-1) + n = \frac{n(n+1)}{2}$$

```
[32] x = 10
    y = 20
    n = 10
    for i in range(n):
        x = x + i
        y = y + i
        for j in range(n):
        z = x + y
        t = x - y
```

```
n = 10
i = 0
total = 0
while i < n:
    total = total + i
    i = i + 1
    j = 0
    while j < n:
    total = total + j
    j = j + 1</pre>
```

^{@aivietnam.edu.vn}Computational complexity

The seven most important functions

- \triangleright The Cubic function: $f(n) = n^3$
 - Polynomials:

$$f(n) = a_0 + a_1 n + a_2 n^2 + \dots + a_d n^d = \sum_{i=0}^d a_i n^i$$

 $a_0, a_1, a_2,...$: constants => coefficients, $a_d \neq 0$. d: highest power => degree

```
[49] n = 10
    for i in range(n):
        for j in range(n):
            for k in range(n):
                 print(i*j*k)
```

The seven most important functions

- \triangleright The Exponential function: $f(n) = b^n$
 - b: positive constant => base
 - n => exponent

For any
$$n \ge 0$$
, $a > 0$, $a \ne 1$: $\sum_{i=0}^{n} a^i = 1 + a + a^2 + ... + a^n = \frac{a^{n+1}-1}{a-1}$

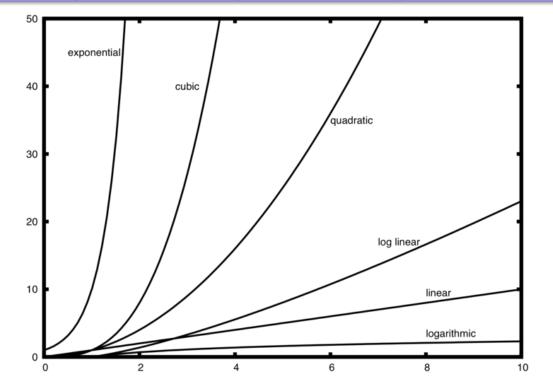


^{@aivietnam.edu.vn}Computational complexity

The seven most important functions

Comparing growth rates (the order of growth)

constant	logarithm	linear	n-log-n	quadratic	cubic	exponential
1 (c)	logn	n	nlogn	n^2	n^3	a ⁿ

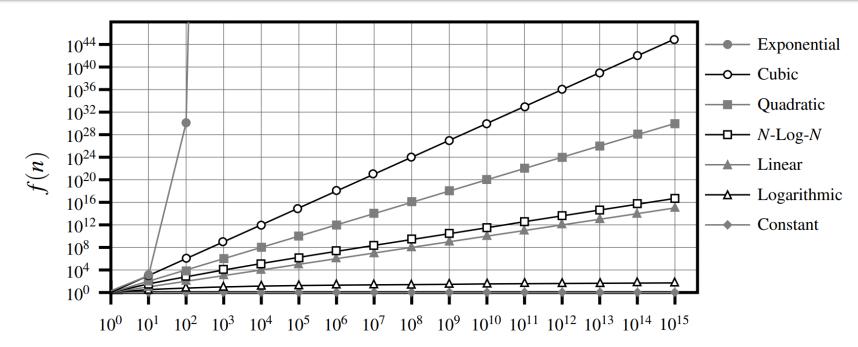




The seven most important functions

Comparing growth rates (the order of growth)

constant	logarithm	linear	n-log-n	quadratic	cubic	exponential
1 (c)	logn	n	nlogn	n^2	n^3	a ⁿ



Steps to calculate computational complexity

Python code

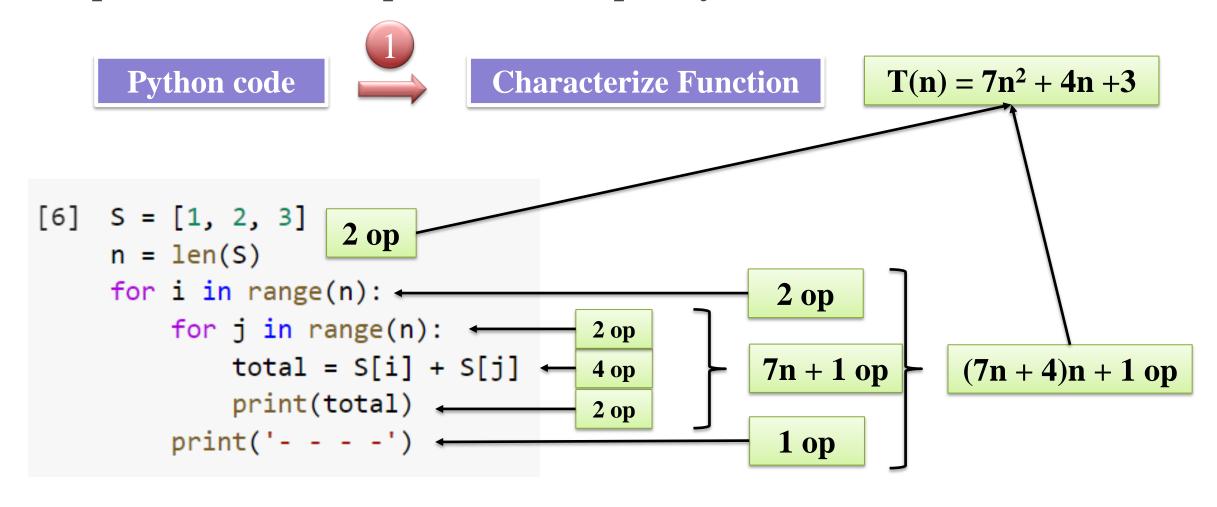


Characterize Function

```
[6] S = [1, 2, 3]
n = len(S)
for i in range(n):
    for j in range(n):
        total = S[i] + S[j]
        print(total)
    print('- - - -')
```



Steps to calculate computational complexity



Steps to calculate computational complexity

Python code



Characterize Function

$$T(n) = an^2 + bn + c$$

```
[6] S = [1, 2, 3]

n = len(S)

for i in range(n):

for j in range(n):

total = S[i] + S[j]

print(total)

print('- - - -')
```

Steps to calculate computational complexity

Python code



Characterize Function

$$T(n) = an^2 + bn + c$$

[6]
$$S = [1, 2, 3]$$
 c_0 1

 $n = len(S)$ c_1 1

for i in range(n): c_2 $n+1$

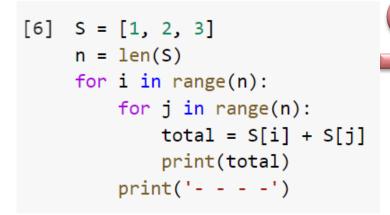
for j in range(n): c_3 $n^*(n+1) = n^2 + n$
 $total = S[i] + S[j]$ c_4 $n^*n = n^2$
 $print(total)$ c_5 $n^*n = n^2$
 $print('---')$ c_6 n

$$T(n) = (c_3 + c_4 + c_5)n^2 + (c_2 + c_3 + c_6)n + (c_0 + c_1 + c_2)$$



Steps to calculate computational complexity

Python code



Characterize Function

 $T(n) = an^2 + bn + c$

Primitive Operations

Asymptotic Notation

 $O(n^2)$

Asymptotic Analysis

Important Function



"Big-Oh" Notation

If f(n) and g(n): two non-negative functions of non-negative arguments f(n) is O(g(n)) if there is a real constant c>0 and an integer constant $n_0 \ge 1$:

$$f(n) \le cg(n)$$
, for $n \ge n_0$

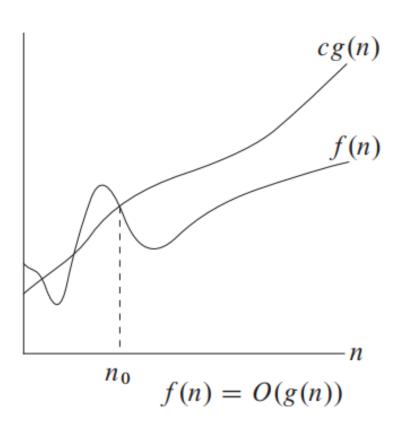
"less-than-or-equal-to"

Example: f(n) = 8n + 5 is O(n)

Find c>0 and $n_0 \ge 1$

 $8n + 5 \le cn$, for every integer $n \ge n_0$

=> A possible choice is c=13 and $n_0=1$





"Big-Oh" Notation

- Some properties of the Big-Oh Notation
 - Lower order items are ignored, just keep the highest order item
 - The constant coefficients are ignored
 - The rate (/order) of growth possesses the highest significance

Example:

$$f(n) = 5n^4 + 3n^3 + 2n^2 + 4n + 1 \text{ is } O(n^4)$$

$$f(n) = 5n^4 + 3n^3 + 2n^2 + 4n + 1 \le (5+3+2+4+1)n^4 = cn^4$$

$$=> c = 15, n \ge n_0 = 1$$

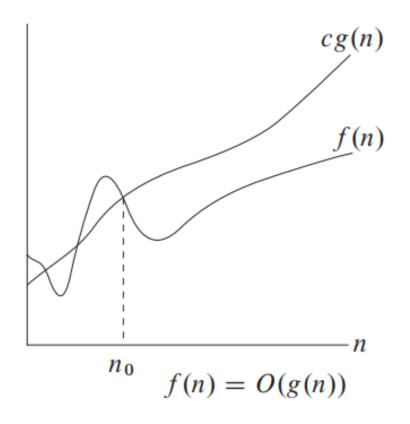
f(n) is a polynomial of degree d, $a_d > 0 \Rightarrow f(n)$ is $O(n^d)$

"Big-Oh" Notation

Some rules:

$$f(n) = 7n + 7$$
, $g(n) = 3nlogn$

- ightharpoonup O(c.f(n)) = O(f(n))=> f(n) is O(n)
- O(f(n) + g(n)) = O(max(f(n), g(n))) => T(n) is O(nlogn)
- O(f(n).g(n)) = O(f(n)).O(g(n)) => T(n) is O(n²logn)





"Big-Oh" Notation

If f(n) and g(n): two non-negative functions of non-negative arguments f(n) is O(g(n)) if there is a real constant c>0 and an integer constant $n_0 \ge 1$:

$$f(n) \le cg(n)$$
, for $n \ge n_0$

Example: $f(n) = 8n^3 + 5n$

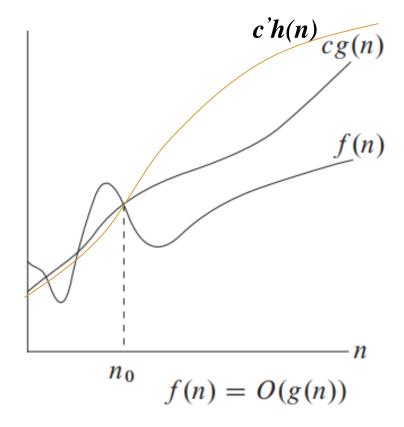
$$f(n) = 13n^3$$

=> $f(n)$ is $O(n^3)$

$$h(n) = 13n^5$$

=> $f(n)$ is $O(n^5)$

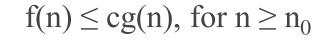
TRUE??? f(n) is ???





"Big-Oh" Notation

If f(n) and g(n): two non-negative functions of non-negative arguments f(n) is O(g(n)) if there is a real constant c>0 and an integer constant $n_0 \ge 1$:



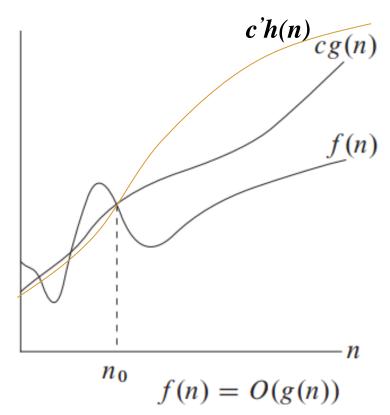
Example: $f(n) = 8n^3 + 5n$

$$f(n) = 13n^3$$

=> $f(n)$ is $O(n^3)$

 $h(n) = 13n^5$ => f(n) is $O(n^5)$ Simplest Terms

TRUE??? f(n) is ???



"Big-Omega" Notation

If f(n) and g(n): two non-negative functions of non-negative arguments f(n) is $\Omega(g(n))$ if there is a real constant c>0 and an integer constant $n_0 \ge 1$:

$$f(n) \ge cg(n)$$
, for $n \ge n_0$

"greater-than-or-equal-to"

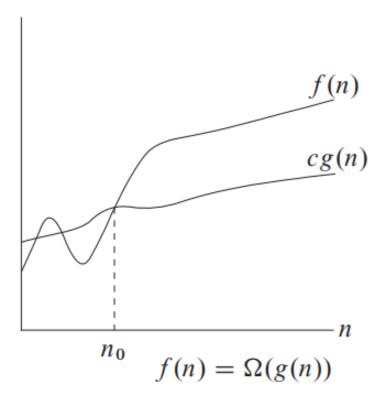
Example: f(n) = 3nlogn - 2n is Ω(nlogn)

Find c>0 and $n_0 \ge 1$:

$$3nlogn - 2n = nlogn + 2n(nlogn-1)$$

 \geq nlogn, for every integer $n \geq n_0 = 2$

$$=> c = 1, n_0 = 2.$$





"Big-Theta" Notation

If f(n) and g(n): two non-negative functions of non-negative arguments f(n) is $\Theta(g(n))$ if there is a real constant c_1 , $c_2>0$ and an integer constant $n_0 \ge 1$:

$$c_1g(n) \le f(n) \le c_2g(n)$$
, for $n \ge n_0$

f(n) is $\Theta(g(n))$ if f(n) is O(g(n)) and f(n) is $\Omega(g(n))$

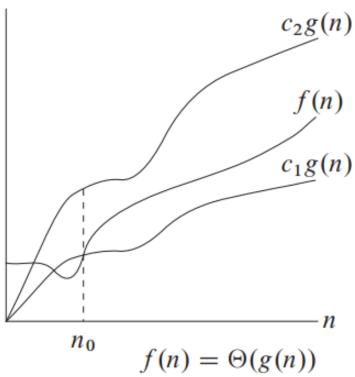
Example: f(n) = 3nlogn + 4n + 5logn is Θ(nlogn)

Find
$$c_1$$
, $c_2 > 0$ and $n_0 \ge 1$

$$3nlogn \le 3nlogn + 4n + 5logn \le (3+4+5)nlogn$$

$$\Rightarrow$$
 3nlogn \leq 3nlogn + 4n + 5logn \leq 12nlogn

$$=> c_1=3, c_2=12 \text{ and } n_0=2$$



Steps to calculate computational complexity

Python code

Characterize Function



Asymptotic Notation

$$T(n) = an^2 + bn + c$$



 $O(n^2)$

$$T(n) = (c_3 + c_4 + c_5)n^2 + (c_2 + c_3 + c_6)n$$

$$+ (c_0 + c_1 + c_2)$$

$$\leq (c_0 + c_1 + 2c_2 + 2c_3 + c_4 + c_5 + c_6)n^2$$

$$= c'n^2$$
For c' = $c_0 + c_1 + 2c_2 + 2c_3 + c_4 + c_5 + c_6$, $n_0 = 1$



Question 1

- a) $(n+1)^5$ is $O(n^5)$
- b) 2^{n+1} is $O(2^n)$
- c) $5n^2 + 3nlogn + 2n + 5$ is $O(n^2)$
- d) $3\log n + 2$ is $O(\log n)$
- e) $3n\log n 2n$ is $\Omega(n\log n)$
- f) n^2 is $\Omega(nlogn)$
- g) 3nlogn + 4n + 5logn is $\Theta(nlogn)$



Question 1

- a) $(n+1)^5$ is $O(n^5)$
- b) 2^{n+1} is $O(2^n)$
- c) $5n^2 + 3nlogn + 2n + 5$ is $O(n^2)$
- d) $3\log n + 2$ is $O(\log n)$
- e) 3nlogn 2n is $\Omega(nlogn)$
- f) n^2 is $\Omega(nlogn)$
- g) 3nlogn + 4n + 5logn is $\Theta(nlogn)$

Justification

a)
$$(n+1)5$$
 is $O(n5)$

$$(n+1)^5 = n^5 + 5n^4 + 10n^3 + 10n^2 + 5n + 1$$

 $\leq (1+5+10+10+5+1)n^5 = cn^5$
 $=> c=32, n_0=1$

b)
$$2^{n+1}$$
 is $O(2^n)$

$$2^{n+1} = 2^{n}.2 = c2^{n}$$

=> c=2, n_0 =1

c)
$$5n^2+3n\log n+2n+5$$
 is $O(n^2)$
 $\leq (5+3+2+5)n^2 = cn^2$
 $=> c=15, n_0=1$



Question 1

- a) $(n+1)^5$ is $O(n^5)$
- b) 2^{n+1} is $O(2^n)$
- c) $5n^2 + 3nlogn + 2n + 5$ is $O(n^2)$
- d) $3\log n + 2$ is $O(\log n)$
- e) 3nlogn 2n is $\Omega(nlogn)$
- f) n^2 is $\Omega(nlogn)$
- g) 3nlogn + 4n + 5logn is $\Theta(nlogn)$

Justification

d) 3logn + 2 is O(logn)

$$3\log n + 2 = 3\log n + 2\log 1$$

 $\leq (3+2)\log n = \operatorname{clog} n$

$$=> c=5, n_0=2$$

e) 3nlogn - 2n is $\Omega(nlogn)$

$$3nlogn - 2n = nlogn + 2n(logn-1)$$

$$=> c=2, n_0=1$$

f) n^2 is $\Omega(nlogn)$

$$n^2 = n.n \ge nlogn => c=1, n_0=1$$



Question 1

- a) $(n+1)^5$ is $O(n^5)$
- b) 2^{n+1} is $O(2^n)$
- c) $5n^2 + 3nlogn + 2n + 5$ is $O(n^2)$
- d) $3\log n + 2$ is $O(\log n)$
- e) 3nlogn 2n is $\Omega(nlogn)$
- f) n^2 is $\Omega(nlogn)$
- g) 3nlogn + 4n + 5logn is $\Theta(nlogn)$

Justification

g) 3nlogn + 4n + 5logn is $\Theta(nlogn)$

 $3n\log n \le 3n\log n + 4n + 5\log n \le (3+4+5)n\log n$

 $3n\log n \le 3n\log n + 4n + 5\log n \le 12n\log n$

$$=> c_1=3, c_2=12, n_0=2$$



Question 2

a) 4nlogn + 2n

 2^{10}

2^{logn}

b) $n^2 + 10$

 n^3

nlogn

c) 4^{logn}

4n

 $n^{1/logn}$



Question 2

a) $4n\log n + 2n$

210

7logn

 \Rightarrow 4nlogn + 2n is O(nlogn) 2^{10} is O(1) 2^{logn} =n is O(n)

 \Rightarrow 4nlogn + 2n

2logn

<

 2^{10}

b) $n^2 + 10$

 n^3

nlogn

 \Rightarrow n² + 10 is O(n²) n³ is O(n³)

nlogn is O(nlogn)

 \Rightarrow nlogn \leq $n^2 + 10$

c) 4^{logn}

4n

n^{1/logn}

 \Rightarrow 4^{logn} is O(n²)

4n is O(n)

 $n^{1/\log n}$ is O(1)

 \Rightarrow n^{1/logn}

4n

4logn

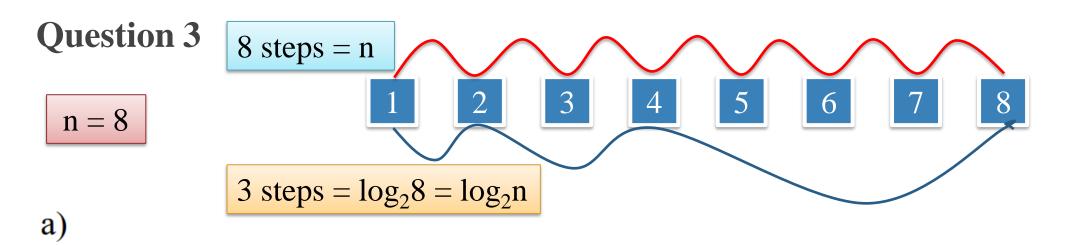


Question 3

a)

```
def step_example1(n):
    i = 1
    count = 0
    while i < n:
        print(i)
        i *= 2
        count += 1
    return count</pre>
```



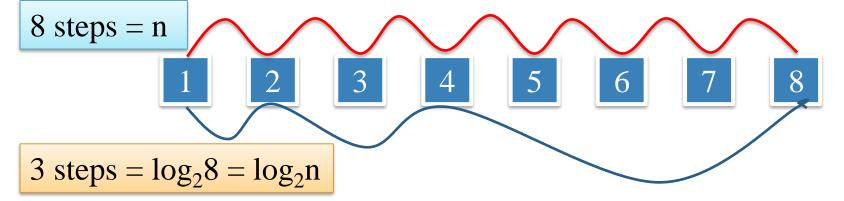


```
def step_example1(n):
    i = 1
    count = 0
    while i < n:
        print(i)
        i *= 2
        count += 1
    return count</pre>
```





$$n = 8$$



a)

<pre>def step example1(n):</pre>	cost	times
$i = \overline{1}$	c_0	1
count = 0 ←	- c ₁	1
while i < n: ←	- c ₂	$\log_2 n$
print(i) ←	- c ₃	$\log_2 n$
i *= 2 ←	- c ₄	$\log_2 n$
count += 1 ←	- c ₅	$\log_2 n$
return count ←	- c ₆	1

$$T(n) = (c_2+c_3+c_4+c_5)logn + (c_0+c_1+c_6)$$

is $O(logn)$



Question 3

a)

```
def step example1(n):
    i = 1
    count = 0
    while i < n:
        print(i)
        i *= 2
         count += 1
    return count
def step example2(n):
    i = 1
    count = 0
    while i < n:
        print(i)
        i *= 3
        count += 1
    return count
```

$$T(n) = (c_2 + c_3 + c_4 + c_5)log_2n$$

$$+ (c_0 + c_1 + c_6)$$
is $O(log_2n)$

$$T(n) = (c_2 + c_3 + c_4 + c_5)log_3n + (c_0 + c_1 + c_6)$$
is $O(log_3n)$



Question 3

b)

```
times
                           cost
                                        def sum example2(S):
def sum example1(S):
                                             n = len(S)
    n = len(S)
                                1
    total = 0 \leftarrow c_1
                                             total = 0
    for i in range (n): \leftarrow c_2
                                             for i in range (0, n, 2):
                                n+1
         total += S[i] \leftarrow c_3
                                                 total += S[i]
                                n
    return total \leftarrow c_{4}
                                             return total
```



Question 3

b)

```
def sum_example1(S):
    n = len(S)
    total = 0
    for i in range(n):
        total += S[i]
    return total
```

cost	times	<pre>def sum_example2(S):</pre>
c_0		\rightarrow n = len(S)
c_1		→ total = 0
c_2		\rightarrow for i in range(0, n, 2):
c_3		→ total += S[i]
c_4		——→return total



Question 3

b)

```
def sum_example1(S):
    n = len(S)
    total = 0
    for i in range(n):
        total += S[i]
    return total
```

cost	times	<pre>def sum_example2(S):</pre>
c_0	1	\rightarrow n = len(S)
c_1	1	→ total = 0
c_2	n/2+1	\rightarrow for i in range(0, n, 2):
c_3	n/2	→ total += S[i]
c_4	1	——→return total

T(n) is O(n)



Question 3

```
times
                  def sum example3(S):
cost
     1
                       n = len(S)
c_0
                       total = 0
c_1
      n+1
                        for i in range(n):
c_2
      \sum_{j=1}^{n+1} j + 1
c_3
                             for j in range(1+i):
      \sum_{j=1}^{n+1} j
                                   total += S[j]
c_4
                        return total
      1
```

```
def sum_example4(S):
    n = len(S)
    prefix = 0
    total = 0
    for i in range(n):
        prefix += S[i]
        total += prefix
    return total
```



Question 3

cost	times
c_0	1
c_1	1
c_2	n+1
c_3	$\sum_{j=1}^{n+1} j + 1$
c_4	$\sum_{j=1}^{n+1} j$
C ₅	1

```
    cost
    times

    c_0
    1

    c_1
    1

    c_2
    1

    c_3
    n+1

    c_4
    n+1

    c_5
    n+1

    c_6
    1
```

```
def sum_example4(S):
    n = len(S)
    prefix = 0
    total = 0
    for i in range(n):
        prefix += S[i]
        total += prefix
    return total
```

T(n) is $O(n^2)$



Question 3

c)

```
def uniq_example1(S):
    n = len(S)
    for i in range(n):
        for j in range(i+1, n):
            if S[i] == S[j]:
                 return False
    return True
def uniq_example2(S):
    n = len(S)
    S_temp = sorted(S)
    for i in range(n-1):
        if S_temp[i] == S_temp[i+1]:
            return False
    return True
```

T(n) is $O(n^2)$

T(n) is O(nlogn)



Question 4: Running time analysis: worst, best, average case

```
def insertion sort(S):
    n = len(S)
    for step in range(1, n):
        key = S[step]
        i = step - 1
        while i \ge 0 and key < S[i]:
            S[i + 1] = S[i]
            i = i - 1
        S[i + 1] = key
    return S
```



Question 4

1. def insertion_sort(s):	cost	times
2. n = len(s)	c_0	1
3. for step in range(1, n):	c_1	n
4. $\text{key} = \text{s[step]}$	c_2	n-1
5. $i = step - 1$	c_3	n-1
6. while $i \ge 0$ and $key < s[i]$	c_4	$\sum_{i=1}^{n-1} t_i$
7. $s[i+1] = s[i]$	c_5	$\sum_{i=1}^{n-1} (t_i - 1)$
8. $i = i - 1$	c_6	$\sum_{i=1}^{n-1} (t_i - 1)$
9. $s[i+1] = key$	c_7	n-1
10. return s	c_8	1

t_i is the number of times while loop test in line 6 is executed for that value of i

$$T(n) = c_0 + c_1 n + c_2(n-1) + c_3(n-1) + c_4 \sum_{i=1}^{n-1} t_i + c_5 \sum_{i=1}^{n-1} (t_i-1) + c_6 \sum_{i=1}^{n-1} (t_i-1) + c_7(n-1) + c_8 \sum_{i=1}^{n-1} (t_i-1) + c_7(n-1) + c_7(n-1) + c_8 \sum_{i=1}^{n-1} (t_i-1) + c_7(n-1) + c_7(n-1)$$



$$T(n) = c_0 + c_1 n + c_2(n-1) + c_3(n-1) + c_4 \sum_{i=1}^{n-1} t_i + c_5 \sum_{i=1}^{n-1} (t_i-1) + c_6 \sum_{i=1}^{n-1} (t_i-1) + c_7(n-1) + c_8 \sum_{i=1}^{n-1} (t_i-1) + c$$

- > Best case: already ordered numbers
 - t_i =1, line 7 and 8 will be executed 0 times

-
$$T(n) = c_0 + c_1 n + c_2 (n-1) + c_3 (n-1) + c_4 (n-1) + c_7 (n-1) + c_8$$

= $(c_1 + c_2 + c_3 + c_4 + c_7)n + (c_0 + c_8 - c_2 - c_3 - c_4 - c_7)$
= $c_1 + c_2 + c_3 + c_4 + c_7 +$

$$T(n) = c_0 + c_1 n + c_2(n-1) + c_3(n-1) + c_4 \sum_{i=1}^{n-1} t_i + c_5 \sum_{i=1}^{n-1} (t_i-1) + c_6 \sum_{i=1}^{n-1} (t_i-1) + c_7(n-1) + c_8 \sum_{i=1}^{n-1} (t_i-1) + c$$

- Worst case: reverse numbers
 - t_i =i, line 7 and 8 will be executed i times

$$-\sum_{i=1}^{n-1} t_i = \sum_{i=1}^{n-1} i = n(n+1)/2-1$$
, and $\sum_{i=1}^{n-1} (t_i-1) = \sum_{i=1}^{n-1} (i-1) = n(n-1)/2$

-
$$T(n) = c_0 + c_1 n + c_2 (n-1) + c_3 (n-1) + c_4 (n(n+1)/2-1) + c_5 n(n-1)/2$$

+ $c_6 n(n-1)/2 + c_7 (n-1) + c_8$
= $an^2 + bn + c$
=> $O(n^2)$

- > Average case: random numbers
 - $t_i = i/2 =>$ The same worst case: $O(n^2)$



- a) $\sum_{i=1}^{n} logi is O(nlogn)$
- b) $\sum_{i=1}^{n} logi is \Theta(nlogn)$
- c) Let $p(n) = \sum_{i=1}^{d} a_i n^i$, where $a_d > 0$. Let k be a constant. Use the definitions of the asymptotic notation to prove the following properties:
 - (i) Nếu $k \ge d$, thì $p(n) = O(n^k)$
 - (ii) Nếu k = d, thì $p(n) = \Theta(n^k)$



```
a) \sum_{i=1}^{n} \log i = \log 1 + \log 2 + ... + \log n = \log(1*2*...*n)
            \leq \log(n*n*...*n) = n\log n
           => \sum_{i=1}^{n} \log i is O(nlogn)
b) \sum_{i=1}^{n} \log i \ge \sum_{i=n/2}^{n} \log i = \log \left(\frac{n}{2}\right) + \log \left(\frac{n}{2} + 1\right) + \dots + \log(n)
           = \log n - \log 2 + \log(n+3) - \log 2 + \dots + \log n
           \geq \log n - \log 2 + \log n - \log 2 + \dots + \log n
           = n/2\log n - (n/2-1)\log 2
           \Rightarrow \sum_{i=1}^{n} \log i \text{ is } \Omega(n \log n)
     => \sum_{i=1}^{n} \log i is \Theta(n \log n)
```



Question 5

c)
$$p(n) = \sum_{i=0}^{d} a_i n^i, a_d > 0$$

(i) – p(n) is
$$O(n^k)$$
, if $k \ge d$

$$\sum_{i=0}^{d} a_i n^i \le c n^k$$

divide
$$n^k \Rightarrow \sum_{i=0}^d a_i n^{i-k} \le c$$

$$k >= d => i-k \le 0 => n^{i-k} \le 1$$

$$\Rightarrow$$
 Choose $c = \sum_{i=0}^{d} a_i$

c)
$$p(n) = \sum_{i=0}^{d} a_i n^i, a_d > 0$$

(ii) –
$$p(n)$$
 is $\Theta(n^k)$, if $k = d$

$$c_1 n^k \le p(n) \le c_2 n^k$$

Note (i) =>
$$c_2 = \sum_{i=0}^{d} a_i$$

Find c₁

$$c_1 n^k \leq \sum_{i=0}^d a_i n^i$$

divide
$$n^k \Rightarrow c_1 \leq \sum_{i=0}^d a_i n^{i-k}$$

$$k = d \implies i-k \le 0 \implies n^{i-k} \le 1$$

$$\Rightarrow$$
 Choose $c_1 = a_d$

$$=> c_1 = a_d, c_2 = \sum_{i=0}^d a_i$$



SUMMARY

Python code

```
[6] S = [1, 2, 3]
n = len(S)
for i in range(n):
    for j in range(n):
        total = S[i] + S[j]
        print(total)
    print('- - - -')
```

Characterize Function

1(c)
logn
n
nlogn
n²
n³
an

Asymptotic Notation

O(n) O(n) O(n)



Reference

- (1) Introduction to Algorithms, 3rd Edition; Thomas H.Cormen et al; 2009
- (2) Data Structures & Algorithms; Michael T.Goodrich et al; 2013
- (3) Algorithms, 4th; Robert Sedgewick et al; 2011



Thanks! Any questions?