String Matching

Finding all occurrences of a pattern in the text.

Applications

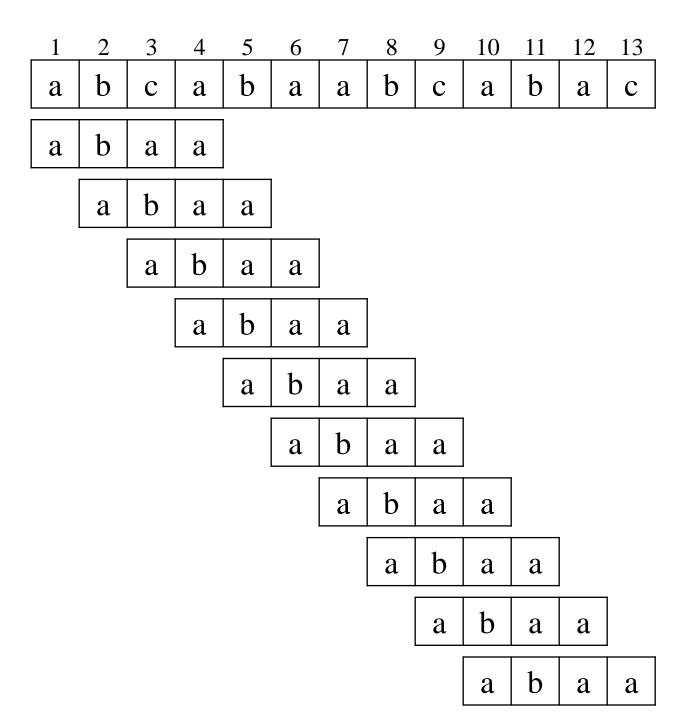
- Spell Checkers.
- Search Engines.
- Spam Filters.
- Intrusion Detection System.
- Plagiarism Detection.
- Bioinformatics DNA Sequencing.
- Digital Forensics.
- Information Retrieval, etc.

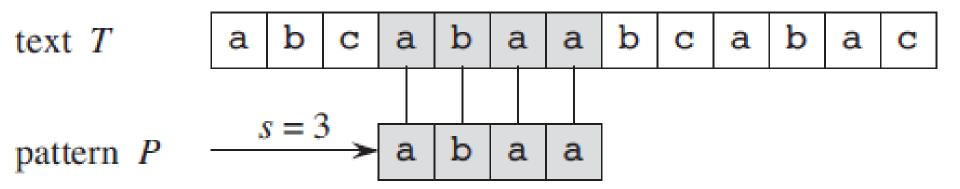
String Matching Problem

- Let,
- Text T[1..n] is an array of length n.
- Pattern P[1..m] is an array of length $m \le n$.
- P and T are drawn from a finite alphabet Σ .
 - $-\Sigma = \{0,1\} \text{ or } \Sigma = \{a, b, ..., z\}.$
- Example:
 - -T = a b c a b a a b c a b a c
 - -P = abaa

Contd... shift = 0 Pshift = $1 \times P$ $shift = 2 \qquad P$ shift = 3 Pshift = 4 Pshift = 5 Pshift = 6 Pshift = 7 P $shift = 8 \nearrow P$

 $shift = 9 \nearrow P$





- Shift s a valid shift, if P occurs with shift s in T.
 - $-0 \le s \le n-m \text{ and } T[s+1..s+m] = P[1..m].$
- Otherwise, shift s is an invalid shift.
- String-matching problem means finding all valid shifts with which a given pattern *P* occurs in a given text *T*.

Naive String Matching Algorithm

NAIVE-STRING-MATCHER(T,P)

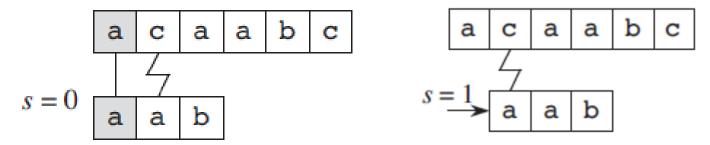
- 1. n = T.length
- 2. m = P.length
- 3. for s = 0 to n m
- 4. if P[1..m] == T[s + 1..s + m]
- 5. print "Pattern occurs with shift" s

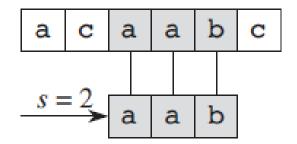
• Complexity: O((n-m+1)m)

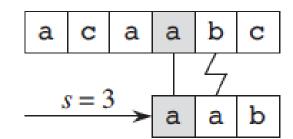
Example

NAIVE-STRING-MATCHER (T, P)

- 1 n = T.length2 m = P.length3 $\mathbf{for} \ s = 0 \ \mathbf{to} \ n - m$ 4 $\mathbf{if} \ P[1..m] == T[s+1..s+m]$ 5 print "Pattern occurs with shift" s
- Text T = acaabc, and pattern P = aab.



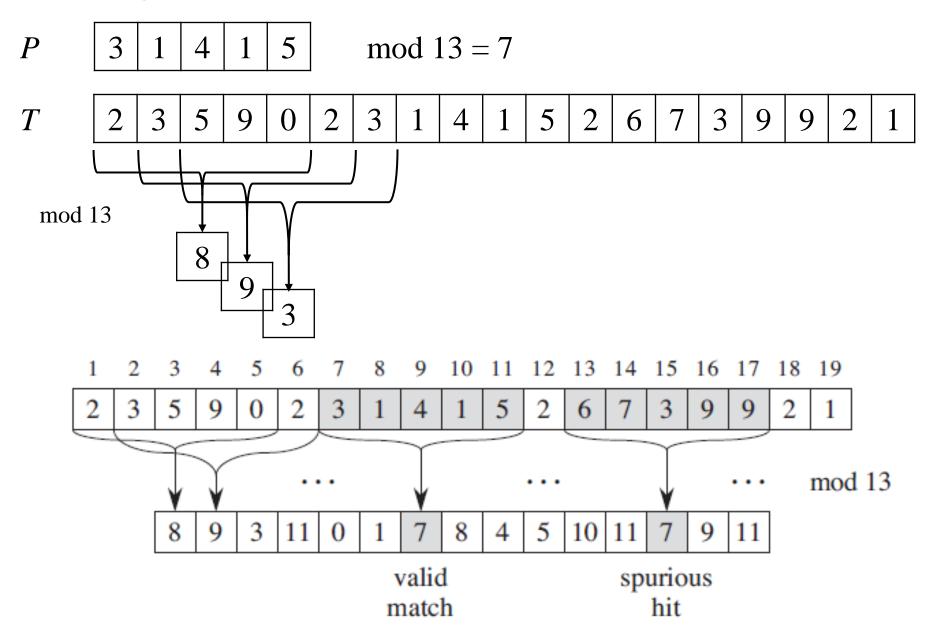




Rabin-Karp Algorithm

- Uses elementary number-theoretic notions.
 - Equivalence of two numbers modulo a third number.
- Let, $\Sigma = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}.$
- String of *k* consecutive characters represents a length-*k* decimal number.
 - -Thus, character string 31415 corresponds to the decimal number 31,415.
- Note:
 - In the general case, each character is a digit in radix-d notation, where $d = |\Sigma|$.

Example



A few calculations...

- For a pattern P [1..m], let p denote its corresponding value in radix-d notation.
- Using Horner's rule, p can be computed in time $\Theta(m)$.

$$p = P[m] + d(P[m-1] + d(P[m-2] + ... + d(P[2] + dP[1]) ...)).$$

- Similarly, for a text T[1..n], let t_s denotes the radix-d notation value of the length-m substring T[s+1..s+m], for s=0, 1, ..., n-m.
- Again, t_0 can be computed from T[1..m] in $\Theta(m)$.

- Each of the remaining values $t_1, t_2, ..., t_{n-m}$ can be computed in constant time.
 - Subtracting $d^{m-1}T[s+1]$ removes the high-order digit from t_s , multiplying the result by d shifts the number left by one digit position, and adding T[s+m+1] brings in the appropriate low-order digit.

$$t_{s+1} = d(t_s - d^{m-1}T(s+1)) + T(s+m+1).$$

• Let $h = d^{m-1}$, then

$$t_{s+1} = d(t_s - hT[s+1]) + T[s+m+1].$$

- Modulus:
 - -p modulo q takes $\Theta(m)$ time.
 - For all t_s , t_s modulo q takes $\Theta(n-m+1)$ time.

Algorithm

```
RABIN-KARP-MATCHER (T, P, d, q)
 1 n = T.length
2 m = P.length
3 \quad h = d^{m-1} \bmod q
4 p = 0
5 t_0 = 0
6 for i = 1 to m
                                // preprocessing
       p = (dp + P[i]) \mod q
        t_0 = (dt_0 + T[i]) \bmod q
                                // matching
   for s = 0 to n - m
10
        if p == t_s
            if P[1..m] == T[s+1..s+m]
11
                print "Pattern occurs with shift" s
12
        if s < n - m
13
            t_{s+1} = (d(t_s - T[s+1]h) + T[s+m+1]) \mod q
14
```

Example – 1

Index	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Text (T)	2	3	5	9	0	2	3	1	4	1	5	2	6	7	3	9	9	2	1
Text (T) 2 3 5 9 0 2 3 1 4 1 5 2 6 7 3 9 9 2 Pattern: 3 1 4 1 5																			
$\Sigma = \{0, 1\}$	1, .	•••	9}		d=	- Σ	=	10		q =	= 13	3							

- n = 19, m = 5, n m = 14.
- h = $10^{5-1} \mod 13$ = $10^4 \mod 13$ = 3.
- $p = (3 \times 10^4 + 1 \times 10^3 + 4 \times 10^2 + 1 \times 10^1 + 5 \times 10^0) \mod 13 = 7.$
- $t_0 = (2 \times 10^4 + 3 \times 10^3 + 5 \times 10^2 + 9 \times 10^1 + 0 \times 10^0) \mod 13 = 8.$

Step 1:
$$s = 0, t_0 = 8, p = 7.$$

 $p == t_0 \rightarrow \text{No.}$
 $s < 14 \rightarrow \text{Yes.}$
 $t_{s+1} = d(t_s - hT[s+1]) + T[s+m+1] \pmod{13}$
 $t_1 = 10(8-3(2)) + 2 \pmod{13}$
 $t_1 = 10(2) + 2 \pmod{13} = 22 \pmod{13} = 9.$

Index	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Text (T)	2	3	5	9	0	2	3	1	4	1	5	2	6	7	3	9	9	2	1
Text (T) 2 3 5 9 0 2 3 1 4 1 5 2 6 7 3 9 9 2 3 Pattern: 3 1 4 1 5																			
$\Sigma = \{0, 1\}$	1, .	•••	9}		d=	= \S	=	10		q =	= 13	3							

- n = 19, m = 5, n m = 14.
- h = $10^{5-1} \mod 13$ = $10^4 \mod 13$ = 3.
- $p = (3 \times 10^4 + 1 \times 10^3 + 4 \times 10^2 + 1 \times 10^1 + 5 \times 10^0) \mod 13 = 7.$
- $t_0 = (2 \times 10^4 + 3 \times 10^3 + 5 \times 10^2 + 9 \times 10^1 + 0 \times 10^0) \mod 13 = 8.$

Step 2:
$$s = 1, t_1 = 9, p = 7.$$

 $p == t_1 \rightarrow No.$
 $s < 14 \rightarrow Yes.$
 $t_{s+1} = d(t_s - hT[s+1]) + T[s+m+1] \pmod{13}$
 $t_2 = 10(9-3(3)) + 3 \pmod{13}$
 $t_2 = 10(0) + 3 \pmod{13} = 3 \pmod{13}$

Index	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Text (T) 2 3 5 9 0 2 3 1 4 1 5 2 6 7 3 9 9 2										1									
Text (T) 2 3 5 9 0 2 3 1 4 1 5 2 6 7 3 9 9 2 3 Pattern: 3 1 4 1 5																			
$\Sigma = \{0, 1\}$	1, .	•••	9}		<u>d</u> =	$= \Sigma $	=	10		q =	= 13	3							

- n = 19, m = 5, n m = 14.
- $h = 10^{5-1} \mod 13 = 10^4 \mod 13 = 3$.
- p = $(3 \times 10^4 + 1 \times 10^3 + 4 \times 10^2 + 1 \times 10^1 + 5 \times 10^0) \mod 13 = 7$.
- $t_0 = (2 \times 10^4 + 3 \times 10^3 + 5 \times 10^2 + 9 \times 10^1 + 0 \times 10^0) \mod 13 = 8.$

Step 3:
$$s = 2, t_2 = 3, p = 7.$$
 $p == t_2 \rightarrow No.$ $s < 14 \rightarrow Yes.$ $t_{s+1} = d (t_s - hT [s+1]) + T [s+m+1] \pmod{13}$ $t_3 = 10 (3-3 (5)) + 1 \pmod{13} = 10 (-12) + 1 \pmod{13}$ Because -12 mod 13 = 1 $t_3 = 10 (1) + 1 \pmod{13} = 11 \pmod{13} = 11.$

Index	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Text (T) 2 3 5 9 0 2 3									4	1	5	2	6	7	3	9	9	2	1
Text (T) 2 3 5 9 0 2 3 1 4 1 5 2 6 7 3 9 9 2 1 Pattern: 3 1 4 1 5																			
$\Sigma = \{0, 1\}$	1, .	•••	9}		d=	= \S	=	10		q =	= 13	3							

- n = 19, m = 5, n m = 14.
- $h = 10^{5-1} \mod 13 = 10^4 \mod 13 = 3$.
- p = $(3 \times 10^4 + 1 \times 10^3 + 4 \times 10^2 + 1 \times 10^1 + 5 \times 10^0) \mod 13 = 7$.
- $t_0 = (2 \times 10^4 + 3 \times 10^3 + 5 \times 10^2 + 9 \times 10^1 + 0 \times 10^0) \mod 13 = 8.$

Step 4:
$$s = 3, t_3 = 11, p = 7.$$

 $p == t_3 \rightarrow No.$
 $s < 14 \rightarrow Yes.$
 $t_{s+1} = d(t_s - hT[s+1]) + T[s+m+1] \pmod{13}$
 $t_4 = 10(11-3(9)) + 4 \pmod{13} = 10(-16) + 4 \pmod{13}$

Because -16 mod 13 = 10 $t_4 = 10 (10) + 4 \pmod{13} = 104 \pmod{13} = 0$.

Index	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
									4	1	5	2	6	7	3	9	9	2	1
Text (T) 2 3 5 9 0 2 3 1 4 1 5 2 6 7 3 Pattern: 3 1 4 1 5																			
$\Sigma = \{0, 1\}$	1, .	•••	9}		d =	$= \Sigma $		10		q =	= 13	3							

- n = 19, m = 5, n m = 14.
- $h = 10^{5-1} \mod 13 = 10^4 \mod 13 = 3$.
- $p = (3 \times 10^4 + 1 \times 10^3 + 4 \times 10^2 + 1 \times 10^1 + 5 \times 10^0) \mod 13 = 7.$
- $t_0 = (2 \times 10^4 + 3 \times 10^3 + 5 \times 10^2 + 9 \times 10^1 + 0 \times 10^0) \mod 13 = 8.$

Step 5:
$$s = 4, t_4 = 0, p = 7.$$

 $p == t_4 \rightarrow No.$
 $s < 14 \rightarrow Yes.$
 $t_{s+1} = d(t_s - hT[s+1]) + T[s+m+1] \pmod{13}$
 $t_5 = 10(0-3(0)) + 1 \pmod{13}$
 $t_5 = 1 \pmod{13} = 1.$

Index	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Text (T)	1	4	1	5	2	6	7	3	9	9	2	1							
Text (T) 2 3 5 9 0 2 3 1 4 1 5 2 6 7 3 9 9 2 Pattern: 3 1 4 1 5																			
$\Sigma = \{0, 1\}$	1, .	•••	9}		d=	= \S	=	10		q =	= 13	3							

- n = 19, m = 5, n m = 14.
- h = $10^{5-1} \mod 13$ = $10^4 \mod 13$ = 3.
- p = $(3 \times 10^4 + 1 \times 10^3 + 4 \times 10^2 + 1 \times 10^1 + 5 \times 10^0) \mod 13 = 7$.
- $t_0 = (2 \times 10^4 + 3 \times 10^3 + 5 \times 10^2 + 9 \times 10^1 + 0 \times 10^0) \mod 13 = 8.$

Step 6:
$$s = 5, t_5 = 1, p = 7.$$
 $p == t_5 \rightarrow No.$ $s < 14 \rightarrow Yes.$ $t_{s+1} = d (t_s - hT [s+1]) + T [s+m+1] \pmod{13}$ $t_6 = 10 (1-3 (2)) + 5 \pmod{13} = 8$ $t_6 = 10 (8) + 5 \pmod{13} = 85 \pmod{13} = 7.$

Index	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Text (T)	1	4	1	5	2	6	7	3	9	9	2	1							
Text (T) 2 3 5 9 0 2 3 1 4 1 5 2 6 7 3 9 9 2 Pattern: 3 1 4 1 5																			
$\Sigma = \{0, 1\}$	1, .	•••	9}		d =	$= \Sigma $		10		q =	= 13	3							

- n = 19, m = 5, n m = 14.
- h = $10^{5-1} \mod 13$ = $10^4 \mod 13$ = 3.
- p = $(3 \times 10^4 + 1 \times 10^3 + 4 \times 10^2 + 1 \times 10^1 + 5 \times 10^0) \mod 13 = 7$.
- $t_0 = (2 \times 10^4 + 3 \times 10^3 + 5 \times 10^2 + 9 \times 10^1 + 0 \times 10^0) \mod 13 = 8.$

Step 7:
$$s = 6, t_6 = 7, p = 7.$$

$$p == t_6 \rightarrow Yes.$$

Character by character matching p[1..5] == T[7..11].

 $\{3\ 1\ 4\ 1\ 5\} == \{3\ 1\ 4\ 1\ 5\}$. Match, hence s = 6 is a valid shift.

$$s < 14 \rightarrow Yes.$$

$$t_{s+1} = d (t_s - hT [s+1]) + T [s+m+1] \pmod{13}$$

 $t_7 = 10 (7-3 (3)) + 2 \pmod{13} = 10 (-2) + 2 \pmod{13}$

Because -2 mod 13 = 11 $t_7 = 10 (11) + 2 \pmod{13} = 112 \pmod{13} = 8.$

Index	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Text (T) 2 3 5 9 0 2 3 1 4 1 5 2 6 7 3 9 9 2											2	1							
Text (T) 2 3 5 9 0 2 3 1 4 1 5 2 6 7 3 9 9 2 1 Pattern: 3 1 4 1 5																			
$\Sigma = \{0, 1\}$	1, .	•••	9}		<u>d</u> =	- Σ	=	10		q =	= 13	3							

- n = 19, m = 5, n m = 14.
- h = $10^{5-1} \mod 13$ = $10^4 \mod 13$ = 3.
- $p = (3 \times 10^4 + 1 \times 10^3 + 4 \times 10^2 + 1 \times 10^1 + 5 \times 10^0) \mod 13 = 7.$
- $t_0 = (2 \times 10^4 + 3 \times 10^3 + 5 \times 10^2 + 9 \times 10^1 + 0 \times 10^0) \mod 13 = 8.$

Step 8:
$$s = 7, t_7 = 8, p = 7.$$

 $p == t_7 \rightarrow \text{No.}$
 $s < 14 \rightarrow \text{Yes.}$
 $t_{s+1} = d(t_s - hT[s+1]) + T[s+m+1] \pmod{13}$
 $t_8 = 10(8-3(1)) + 6 \pmod{13}$
 $t_8 = 10(5) + 6 \pmod{13} = 56 \pmod{13} = 4.$

Index	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
									4	1	5	2	6	7	3	9	9	2	1
Text (T) 2 3 5 9 0 2 3 1 4 1 5 2 6 7 3 9 9 2 Pattern: 3 1 4 1 5																			
$\Sigma = \{0, 1\}$	1, .	•••	9}		<u>d</u> =	- Σ	=	10		q =	= 13	3							

- n = 19, m = 5, n m = 14.
- $h = 10^{5-1} \mod 13 = 10^4 \mod 13 = 3$.
- p = $(3 \times 10^4 + 1 \times 10^3 + 4 \times 10^2 + 1 \times 10^1 + 5 \times 10^0) \mod 13 = 7$.
- $t_0 = (2 \times 10^4 + 3 \times 10^3 + 5 \times 10^2 + 9 \times 10^1 + 0 \times 10^0) \mod 13 = 8.$

Step 9:
$$s = 8$$
, $t_8 = 4$, $p = 7$. $p == t_8 \rightarrow No$. $s < 14 \rightarrow Yes$. $t_{s+1} = d \ (t_s - hT \ [s+1]) + T \ [s+m+1] \ (mod \ 13)$ $t_9 = 10 \ (4-3 \ (4)) + 7 \ (mod \ 13) = 5$ $t_9 = 10 \ (5) + 7 \ (mod \ 13) = 5$.

Index	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Text (T)	2	3	5	9	0	2	3	1	4	1	5	2	6	7	3	9	9	2	1
Text (T) 2 3 5 9 0 2 3 1 4 1 5 2 6 7 3 9 9 2 3 Pattern: 3 1 4 1 5																			
$\Sigma = \{0, 1\}$	1, .	•••	9}		d=	= \S		10		q =	= 13	3							

- n = 19, m = 5, n m = 14.
- $h = 10^{5-1} \mod 13 = 10^4 \mod 13 = 3$.
- $p = (3 \times 10^4 + 1 \times 10^3 + 4 \times 10^2 + 1 \times 10^1 + 5 \times 10^0) \mod 13 = 7.$
- $t_0 = (2 \times 10^4 + 3 \times 10^3 + 5 \times 10^2 + 9 \times 10^1 + 0 \times 10^0) \mod 13 = 8.$

Step 10:
$$s = 9, t_9 = 5, p = 7.$$

 $p == t_9 \rightarrow \text{No.}$
 $s < 14 \rightarrow \text{Yes.}$
 $t_{s+1} = d(t_s - hT[s+1]) + T[s+m+1] \pmod{13}$
 $t_{10} = 10(5-3(1)) + 3 \pmod{13}$
 $t_{10} = 10(2) + 3 \pmod{13} = 23 \pmod{13} = 10.$

Index	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Text (T) 2 3 5 9 0 2 3 1 4 1 5 2 6 7 3 9 9 2											2	1							
Text (T) 2 3 5 9 0 2 3 1 4 1 5 2 6 7 3 9 9 2 Pattern: 3 1 4 1 5																			
$\Sigma = \{0, 1\}$	1, .	•••	9}		<u>d</u> =	= Σ	=	10		q =	= 13	3							

- n = 19, m = 5, n m = 14.
- $h = 10^{5-1} \mod 13 = 10^4 \mod 13 = 3$.
- $p = (3 \times 10^4 + 1 \times 10^3 + 4 \times 10^2 + 1 \times 10^1 + 5 \times 10^0) \mod 13 = 7.$
- $t_0 = (2 \times 10^4 + 3 \times 10^3 + 5 \times 10^2 + 9 \times 10^1 + 0 \times 10^0) \mod 13 = 8.$

Step 11:
$$s = 10, t_{10} = 10, p = 7.$$

 $p == t_{10} \rightarrow \text{No.}$
 $s < 14 \rightarrow \text{Yes.}$
 $t_{s+1} = d(t_s - hT[s+1]) + T[s+m+1] \pmod{13}$
 $t_{11} = 10 (10 - 3 (5)) + 9 \pmod{13} = 10 (-5) + 9 \pmod{13}$

Because -5 mod 13 = 8 $t_{11} = 10(8) + 9 \pmod{13} = 89 \pmod{13} = 11$.

Index	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
									4	1	5	2	6	7	3	9	9	2	1
Text (T) 2 3 5 9 0 2 3 1 4 1 5 2 6 7 3 9 9 Pattern: 3 1 4 1 5																			
$\Sigma = \{0, 1\}$	1, .	•••	9}		d =	$= \Sigma $	=	10		q =	= 13	3							

- n = 19, m = 5, n m = 14.
- $h = 10^{5-1} \mod 13 = 10^4 \mod 13 = 3$.
- $p = (3 \times 10^4 + 1 \times 10^3 + 4 \times 10^2 + 1 \times 10^1 + 5 \times 10^0) \mod 13 = 7.$
- $t_0 = (2 \times 10^4 + 3 \times 10^3 + 5 \times 10^2 + 9 \times 10^1 + 0 \times 10^0) \mod 13 = 8.$

Step 12:
$$s = 11, t_{11} = 11, p = 7.$$

 $p == t_{11} \rightarrow No.$
 $s < 14 \rightarrow Yes.$
 $t_{s+1} = d(t_s - hT[s+1]) + T[s+m+1] \pmod{13}$
 $t_{12} = 10(11 - 3(2)) + 9 \pmod{13}$
 $t_{12} = 10(5) + 9 \pmod{13} = 59 \pmod{13} = 7.$

Index	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Text (T)	2	3	5	9	0	2	3	1	4	1	5	2	6	7	3	9	9	2	1
Pattern:	Pattern: 3 1 4 1 5																		
$\Sigma = \{0, 1\}$	$\Sigma = \{0, 1,, 9\}$ $d = \Sigma = 10$ $q = 13$																		

- n = 19, m = 5, n m = 14.
- $h = 10^{5-1} \mod 13 = 10^4 \mod 13 = 3$.
- $p = (3 \times 10^4 + 1 \times 10^3 + 4 \times 10^2 + 1 \times 10^1 + 5 \times 10^0) \mod 13 = 7.$
- $t_0 = (2 \times 10^4 + 3 \times 10^3 + 5 \times 10^2 + 9 \times 10^1 + 0 \times 10^0) \mod 13 = 8.$

Step 13:
$$s = 12, t_{12} = 7, p = 7.$$

$$p == t_{12} \rightarrow Yes.$$

Character by character matching p[1..5] == T[13..17].

 $\{3\ 1\ 4\ 1\ 5\} == \{6\ 7\ 3\ 9\ 9\}$. Mismatch occurs at first character.

$$s < 14 \rightarrow Yes.$$

$$t_{s+1} = d (t_s - hT [s+1]) + T [s+m+1] \pmod{13}$$

$$t_{13} = 10 (7 - 3 (6)) + 2 \pmod{13} = 10 (-11) + 2 \pmod{13}$$

Because -11 mod 13 = 2 $t_{13} = 10(2) + 2 \pmod{13} = 22 \pmod{13} = 9$.

Index	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Text (T)	2	3	5	9	0	2	3	1	4	1	5	2	6	7	3	9	9	2	1
Pattern: 3 1 4 1 5																			
$\Sigma = \{0, 1\}$	$\Sigma = \{0, 1,, 9\}$ $d = \Sigma = 10$ $q = 13$																		

- n = 19, m = 5, n m = 14.
- h = $10^{5-1} \mod 13$ = $10^4 \mod 13$ = 3.
- p = $(3 \times 10^4 + 1 \times 10^3 + 4 \times 10^2 + 1 \times 10^1 + 5 \times 10^0) \mod 13 = 7$.
- $t_0 = (2 \times 10^4 + 3 \times 10^3 + 5 \times 10^2 + 9 \times 10^1 + 0 \times 10^0) \mod 13 = 8.$

Step 14:
$$s = 13$$
, $t_{13} = 9$, $p = 7$.
 $p == t_{13} \rightarrow No$.
 $s < 14 \rightarrow Yes$.
 $t_{s+1} = d(t_s - hT[s+1]) + T[s+m+1] \pmod{13}$
 $t_{14} = 10(9-3(7)) + 1 \pmod{13} = 10(-12) + 1 \pmod{13}$

Because -12 mod 13 = 1 $t_{14} = 10(1) + 1 \pmod{13} = 11 \pmod{13} = 11$.

Index	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Text (T)	2	3	5	9	0	2	3	1	4	1	5	2	6	7	3	9	9	2	1
Pattern:	Pattern: 3 1 4 1 5																		
$\Sigma = \{0, 1\}$	$\Sigma = \{0, 1,, 9\}$ $d = \Sigma = 10$ $q = 13$																		

- n = 19, m = 5, n m = 14.
- $h = 10^{5-1} \mod 13 = 10^4 \mod 13 = 3$.
- $p = (3 \times 10^4 + 1 \times 10^3 + 4 \times 10^2 + 1 \times 10^1 + 5 \times 10^0) \mod 13 = 7.$
- $t_0 = (2 \times 10^4 + 3 \times 10^3 + 5 \times 10^2 + 9 \times 10^1 + 0 \times 10^0) \mod 13 = 8.$

Step 15:
$$s = 14, t_{14} = 11, p = 7.$$
 $p == t_{14} \rightarrow No.$ $s < 14 \rightarrow No.$

Step 16:
$$s = 15$$
. Loop terminates.

Example – 2

Index	1	2	3	4	5	6	7	8
Text (T)	а	а	р	b	С	а	b	а
ASCII	97	97	98	98	99	97	98	97

- n = 8, m = 3, n m = 5.
- h = $26^{3-1} \mod 3$ $= 26^2 \mod 3 = 1$.

Pattern: c a b

$$\Sigma = \{a, b, ..., z\}$$
 $d = |\Sigma| = 26$ $q = 3$

- p = $(99 \times 26^2 + 97 \times 26^1 + 98 \times 26^0) \mod 3 = 1$.
- $t_0 = (97 \times 26^2 + 97 \times 26^1 + 98 \times 26^0) \mod 3 = 2$.

Step 1:
$$s = 0, t_0 = 2, p = 1.$$

$$p == t_0 \rightarrow No.$$

$$s < 5 \rightarrow Yes.$$

$$t_{s+1} = d(t_s - hT[s+1]) + T[s+m+1] \pmod{3}$$

$$t_1 = 26 (2 - 1 (97)) + 98 \pmod{3}$$

$$t_1 = 26 (2 - 1 (1)) + 2 \pmod{3}$$

(because $97 \mod 3 = 1 \mod 98 \mod 3 = 2$)

$$= 26 (1) + 2 \pmod{3}$$

$$= 28 \pmod{3} = 1.$$

Index	1	2	3	4	5	6	7	8
Text (T)	а	а	b	b	С	а	b	а
ASCII	97	97	98	98	99	97	98	97

Pattern: c a b

Step 2:
$$s = 1, t_1 = 1, p = 1.$$

 $p == t_1 \rightarrow Yes.$

Character by character matching p[1..3] == T[2..4].

 $\{c \ a \ b\} == \{a \ b \ b\}$. Mismatch occurs at first character.

$$s < 5 \rightarrow Yes.$$

$$t_{s+1} = d(t_s - hT[s+1]) + T[s+m+1] \pmod{3}$$

$$t_2 = 26 (1 - 1 (97)) + 99 \pmod{3}$$

$$t_2 = 26 (1 - 1 (1)) + 0 \pmod{3}$$

(because 97 mod 3 = 1 and 99 mod 3 = 0)

$$= 26 (0) \pmod{3}$$

$$=0.$$

Index	1	2	3	4	5	6	7	8
Text (T)	а	а	Ь	р	U	а	b	а
ASCII	97	97	98	98	99	97	98	97

Pattern: c a b

Step 3:
$$s = 2, t_2 = 0, p = 1.$$

 $p == t_2 \rightarrow \text{No}.$
 $s < 5 \rightarrow \text{Yes}.$
 $t_{s+1} = d(t_s - hT[s+1]) + T[s+m+1] \pmod{3}$
 $t_3 = 26(0-1(98)) + 97 \pmod{3}$
 $t_3 = 26(0-1(2)) + 1 \pmod{3}$
(because 97 mod 3 = 1 and 98 mod 3 = 2)
 $= 26(0-2) + 1 \pmod{3}$
(because 3's complement of -2 = 1)
 $= 26(1) + 1 \pmod{3} = 27 \pmod{3} = 0.$

Index	1	2	3	4	5	6	7	8
Text (T)	а	а	b	р	C	а	b	а
ASCII	97	97	98	98	99	97	98	97

Pattern: c a b

Step 4:
$$s = 3, t_3 = 0, p = 1.$$

$$p == t_3 \rightarrow No.$$

$$s < 5 \rightarrow Yes.$$

$$t_{s+1} = d(t_s - hT[s+1]) + T[s+m+1] \pmod{3}$$

$$t_4 = 26 (0 - 1 (98)) + 98 \pmod{3}$$

$$t_4 = 26 (0 - 1 (2)) + 2 \pmod{3}$$

(because $98 \mod 3 = 2$)

$$= 26 (0-2) + 2 \pmod{3}$$

$$= 26 (0 + 1) + 2 \pmod{3}$$

(because 3's complement of -2 = 1)

$$= 26 (1) + 2 \pmod{3} = 28 \pmod{3} = 1.$$

Index	1	2	3	4	5	6	7	8
Text (T)	а	а	b	b	C	а	b	а
ASCII	97	97	98	98	99	97	98	97

Pattern: c a b

Step 5:
$$s = 4, t_4 = 1, p = 1.$$

 $p == t_4 \rightarrow Yes.$

Character by character matching p[1..3] == T[5..7].

 $\{c \ a \ b\} == \{c \ a \ b\}$. Match, hence s = 4 is a valid shift.

$$s < 5 \rightarrow Yes.$$

$$t_{s+1} = d(t_s - hT[s+1]) + T[s+m+1] \pmod{3}$$

$$t_5 = 26 (1 - 1 (99)) + 97 \pmod{3}$$

$$t_5 = 26 (1 - 1 (0)) + 1 \pmod{3}$$

(because 97 mod 3 = 1 and 99 mod 3 = 0)

$$= 26 (1-0) + 1 \pmod{3}$$

$$= 26 (1) + 1 \pmod{3} = 27 \pmod{3} = 0.$$

Index	1	2	3	4	5	6	7	8
Text (T)	а	а	b	р	С	а	b	а
ASCII	97	97	98	98	99	97	98	97

Pattern: c a b

Step 6:
$$s = 5, t_5 = 0, p = 1.$$

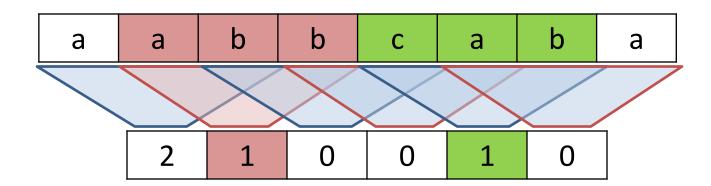
$$p == t_5 \rightarrow No.$$

$$s < 5 \rightarrow No.$$

$$s = 6$$
.

Loop terminates.





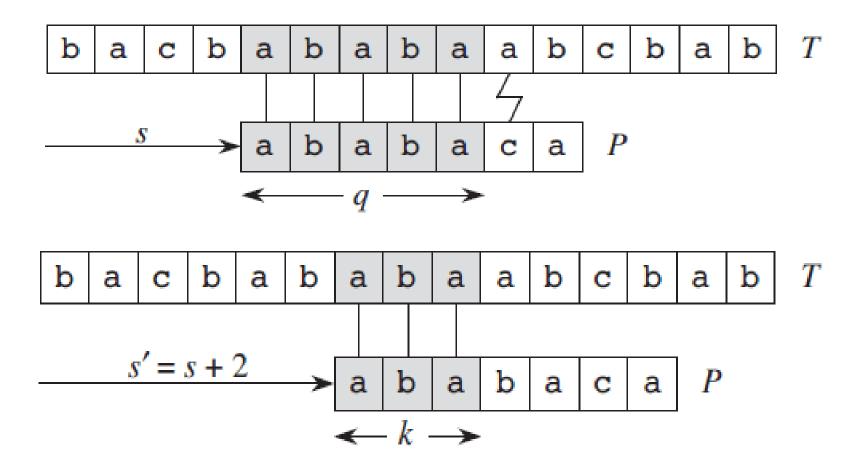
Complexity

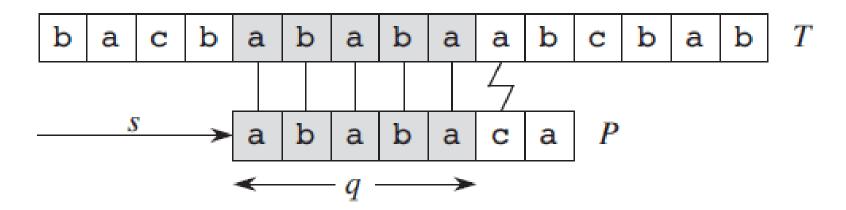
- Takes Θ(m) preprocessing time.
- Worst-case running time is O(m (n m + 1)).
 - Example: $P = a^m$ and $T = a^n$, each of the [n m + 1] possible shifts is valid.
- In many applications, there are a few valid shifts (say some constant c). In such applications, the expected matching time is only O(n – m + 1) + cm), plus the time required to process spurious hits.

- Probabilistic analysis
 - The probability of a false positive hit for a random input is 1/q.
 - The expected number of false positive hits is O(n/q).
 - The expected run time is O(n) + O(m(v + n/q)), if v is the number of valid shifts.
- Choosing $q \ge m$ and having only a constant number of hits, then the expected matching time is O(n + m).
- Since m ≤ n, this expected matching time is O(n).

Knuth-Morris-Pratt Algorithm

- Based on the concept of prefix function for a pattern.
 - Encapsulates knowledge about how the pattern matches against shifts of itself.
 - This information can be used to avoid testing of invalid shifts.
- T: bacbababaabcbab
- P: ababaca

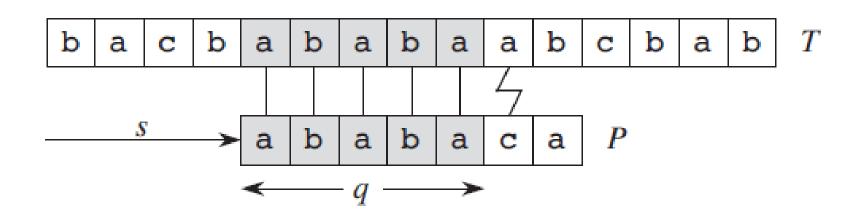




- Given that pattern characters P [1..q] match text characters T [s + 1..s + q], what is the least shift s' > s such that for some k < q,
 - P[1..k] = T[s' + 1..s' + k], where s' + k = s + q?i.e. s' = s + (q - k)
- Best case k = 0. Skips (q 1) shifts.

Note: $P_k = P[1..k]$. Similarly, $P_q = P[1..q]$.

• In other words, knowing that P_q is a suffix of T_{s+q} , find the longest proper prefix P_k of P_q that is also a suffix of T_{s+q} .



• Since suffix P_k of T_{s+q} should also be suffix of P_q . Thus, an equivalent statement is "determine the greatest k < q, such that P_k is a suffix of P_q ".

Prefix Function

• P: ababaca

 q
 1
 2
 3
 4
 5
 6
 7

 k
 0
 0
 1
 2

- $P_q = P[1..q]$
- $P_1 = \{a\}$. $P_k = \{\}$. No matching prefix and suffix of P_1 .
- $P_2 = \{a b\}$. $P_k = \{\}$. No matching prefix and suffix of P_2 .
- $P_3 = \{a \ b \ a\}$. $P_k = \{a\}$.

Prefix	Suffix	k
а	а	1
a b	b a	2

Prefix	Suffix	k
а	b	1
a b	a b	2
a b a	bab	3

- P: ababaca
- $P_q = P[1..q]$
- $P_5 = \{a b a b a\}$. $P_k = \{a b a\}$. Keep the longest.

Prefix	Suffix	k
а	а	1
a b	b a	2
a b a	a b a	3
abab	baba	4

q	1	2	3	4	5	6	7
k	0	0	1	2	3	0	1

• $P_7 = \{a \ b \ a \ b \ a \ c \ a\}$. $P_k = \{a\}$.

Prefix	Suffix	k
а	а	1
a b	c a	2
a b a	aca	3
a b a b	baca	4
ababa	abaca	5
ababac	babaca	6

• $P_6 = \{a \ b \ a \ b \ a \ c\}$. $P_k = \{\}$. No matching prefix and suffix of P_6 as 'c' does not appear in any of the proper prefix.

Prefix Function

q —	<i>i</i>	1	2	3	4	5	6	7
	P[i]	a	b	a	b	a	U	a
k —	$\rightarrow \pi[i]$	0	0	1	2	3	0	1

- Given a pattern P [1..m], the prefix function for the pattern P is the function Π : $\{1, 2, ..., m\} \rightarrow \{0, 1, ..., m-1\}$ such that
 - $\Pi[q] = \max\{k : k < q \text{ and } P_k \text{ is a proper suffix of } P_q.$
- It contains the length of the longest prefix of P that is a proper suffix of P_{α} .

```
COMPUTE-PREFIX-FUNCTION (P)
 1 m = P.length
 2 let \pi[1..m] be a new array
 3 \quad \pi[1] = 0
 4 k = 0
 5 for q = 2 to m
        while k > 0 and P[k+1] \neq P[q]
            k = \pi[k]
        if P[k + 1] == P[q]
            k = k + 1
 9
        \pi[q] = k
10
    return \pi
```

KMP Algorithm

 $q = \pi[q]$

12

```
KMP-MATCHER(T, P)
   n = T.length
2 m = P.length
3 \pi = \text{COMPUTE-PREFIX-FUNCTION}(P)
                                             // number of characters matched
   q = 0
   for i = 1 to n
                                             // scan the text from left to right
        while q > 0 and P[q + 1] \neq T[i]
            q = \pi[q]
                                             // next character does not match
        if P[q + 1] == T[i]
                                             // next character matches
             q = q + 1
                                             // is all of P matched?
10
        if q == m
             print "Pattern occurs with shift" i - m
```

// look for the next match

Complexity

- The COMPUTE-PREFIXFUNCTION runs in Θ(m) time as the while loop in lines 6–7 executes at most m – 1 times altogether.
 - 1. Line 4 starts k at 0, and the only way to increase k is the increment operation in line 9, which executes at most once per iteration of the for loop of lines 5-10. Thus, the total increase in k is at most m-1.
 - 2. Second, since k < q upon entering the for loop and each iteration of the loop increments q and k < q always. Assignments in lines 3 and 10 ensure that $\Pi[q] < q$ for all q = 1, 2, ..., m, which means that each iteration of the while loop decreases k.
 - 3. Third, k never becomes negative.
 - Altogether, the total decrease in k from the while loop is bounded from above by the total increase in k over all iterations of the for loop, which is m – 1.
- Using similar analysis, the matching time of KMP-MATCHER is $\Theta(n)$.

Example (Preprocessing)

i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	C	a
$\pi[i]$	0	0	1	2	3	0	1

• m = 7, $\Pi[1] = 0$, k = 0.

Step 1:
$$q = 2, k = 0$$
 $P[k + 1] == P[q]$ (if) $P[1] == P[2]$. Mismatch.

 $\Pi[2] = 0.$

Step 2:
$$q = 3, k = 0.$$
 $P[k + 1] == P[q]$ (if) $P[1] == P[3].$ Match. $k++=1$ $\Pi[3] = 1.$

Step 3: q = 4, k = 1. P[k + 1] == P[q] (if) P[2] == P[4]. Match. k++=2 $\Pi[4] = 2.$

Step 5:

Step 6:

Step 7:

2 3 4 5 6 7 P[i]b b a a a a $\pi[i]$ 0 0 3 0

q = 5, k = 2
$$P[k + 1] == P[q]$$

Step 4:
$$q = 5, k = 2$$

(if) $P[3] == P[5].$

(if)

 $\Pi[5] = 3.$

q = 6, k = 3.

P[1] == P[6].

 $\Pi[6] = 0.$

q = 7, k = 0.

 $\Pi[7] = 1.$

(if) P[1] == P[7].

(while) P[4] == P[6].

(while) P[2] == P[6].

q = 8 **Loop terminates.**

P[k + 1] == P[q]

Mismatch.

P[k + 1] == P[q]

Match.

Mismatch. $k = \Pi[k] = 1$

Mismatch. $k = \Pi[k] = 0$

k++=1

i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	С	a
$\pi[i]$	0	0	1	2	3	0	1

- T: | b | a | c | b | a | b | a | b | a | b | a | b
- P: a b a b a c a

Step 1:
$$i = 1, q = 0$$

$$P[q + 1] == T[i]$$

(if)
$$P[1] == T[1]$$
.

Mismatch.

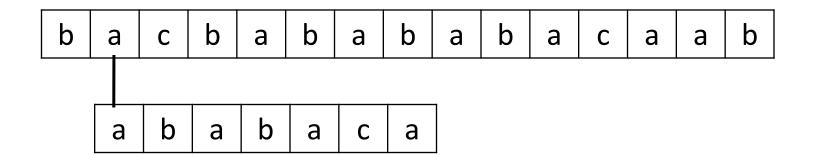
i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	C	a
$\pi[i]$	0	0	1	2	3	0	1

Step 2:
$$i = 2, q = 0$$

$$P(q + 1) == T[i]$$

(if)
$$P[1] == T[2]$$
. Match. $q++=1$

$$q++=1$$



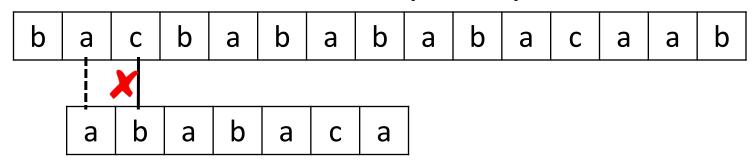
i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	C	a
$\pi[i]$	0	0	1	2	3	0	1

Step 3:
$$i = 3, q = 1$$

(while)
$$P[2] == T[3]$$
. Mismatch.

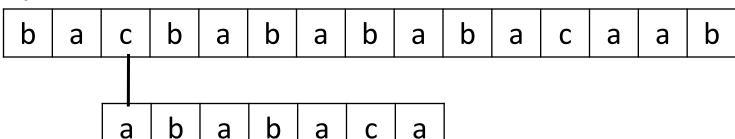
$$P[q+1] == T[i]$$

$$q = \Pi[q] = 0$$



(if)
$$P[1] == T[3]$$
.

Mismatch.

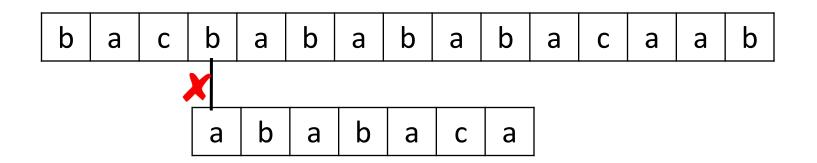


i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	C	a
$\pi[i]$	0	0	1	2	3	0	1

Step 4: i = 4, q = 0

P[q + 1] == T[i]

(if) P[1] == T[4]. Mismatch.



i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	C	a
$\pi[i]$	0	0	1	2	3	0	1

Step 5: i = 5, q = 0

$$P[q + 1] == T[i]$$

(if) P[1] == T[5]. Match. q++=1

$$q++=1$$

b b b b b b a a a a a C a a b b a a

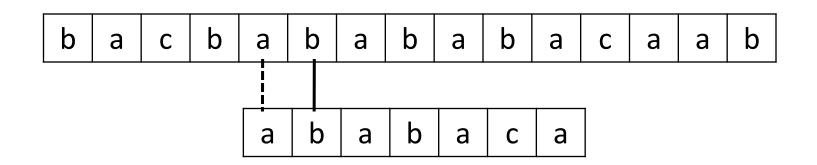
i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	C	a
$\pi[i]$	0	0	1	2	3	0	1

Step 6: i = 6, q = 1

$$P(q + 1) == T[i]$$

(if) P[2] == T[6]. Match. q++=2

$$q++=2$$



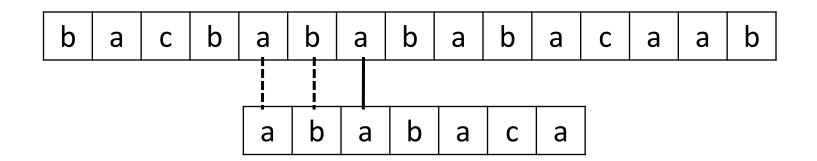
i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	C	a
$\pi[i]$	0	0	1	2	3	0	1

Step 7: i = 7, q = 2

$$P(q + 1) == T[i]$$

(if) P[3] == T[7]. Match. q++=3

$$q++=3$$



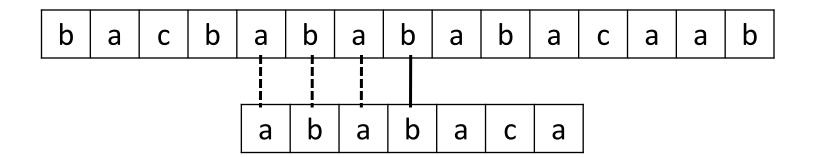
i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	C	a
$\pi[i]$	0	0	1	2	3	0	1

Step 8:
$$i = 8, q = 3$$

$$P[q + 1] == T[i]$$

(if)
$$P[4] == T[8]$$
. Match. $q++=4$

$$q++=4$$

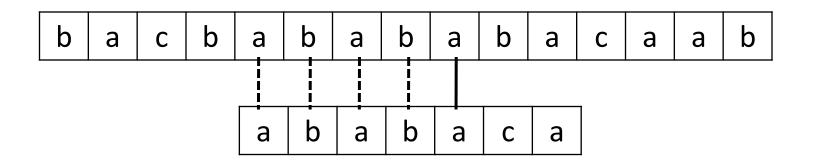


i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	C	a
$\pi[i]$	0	0	1	2	3	0	1

Step 9: i = 9, q = 4

$$P[q + 1] == T[i]$$

(if) P[5] == T[9]. Match. q++=5



i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	C	a
$\pi[i]$	0	0	1	2	3	0	1

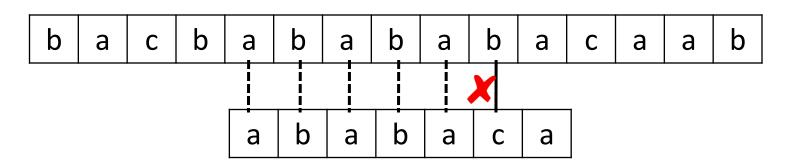
Step 10:
$$i = 10, q = 5$$

$$P[q + 1] == T[i]$$

(while)
$$P[6] == T[10]$$
.

Mismatch. $q = \Pi[q] = 3$

$$q = \Pi[q] = 3$$



(if)
$$P[4] == T[10]$$
.

Match. q++=4

$$q++=4$$

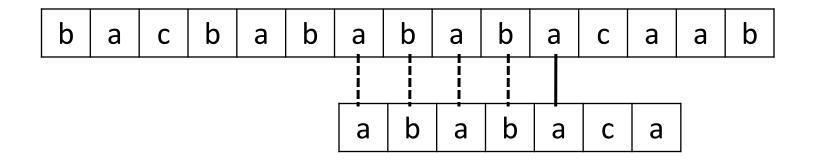
b b b b b b a a a a a a Shifts skipped = 1 and first three characters are not compared. b b a a C

Comparison starts from P[4].

i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	C	a
$\pi[i]$	0	0	1	2	3	0	1

Step 11: i = 11, q = 4 P[q + 1] == T[i]

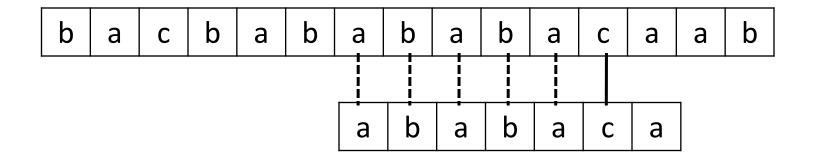
(if) P[5] == T[11]. Match. q++=5



i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	C	a
$\pi[i]$	0	0	1	2	3	0	1

Step 12: i = 12, q = 5 P[q + 1] == T[i]

(if) P[6] == T[12]. Match. q++=6



i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	C	a
$\pi[i]$	0	0	1	2	3	0	1

Step 13:
$$i = 13, q = 6$$

$$P(q + 1) == T[i]$$

(if)
$$P[7] == T[13]$$
. Match. $q++=7$

$$q++=7$$

b	а	С	b	а	b	a	b	a	b	a ·	U·	a	а	b
•						 	 		 					
						a	b	a	b	a	C	a		

- q == m. Yes.
 - Pattern occurs with shift i m = 13 7 = 6.

$$-q = \Pi[q] = 1.$$

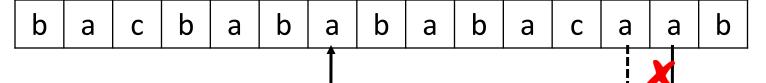
i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	C	a
$\pi[i]$	0	0	1	2	3	0	1

Step 14:
$$i = 14$$
, $q = 1$ $P[q + 1] == T[i]$

(while)
$$P[2] == T[14]$$
. Mismatch. $q = \Pi[q] = 0$

b

a



Shifts skipped = 5 and first character is not compared. Comparison starts from P[2].

Match.
$$q++=1$$

P[1] == T[14].

b b a a a a

b

a

i	1	2	3	4	5	6	7
P[i]	a	b	a	b	a	C	a
$\pi[i]$	0	0	1	2	3	0	1

b

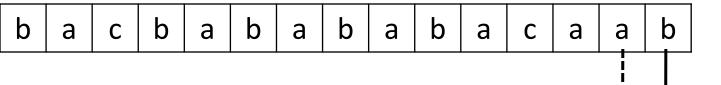
a

Step 15:
$$i = 15$$
, $q = 1$ $P[q + 1] == T[i]$

$$P(q + 1) == T[i]$$

(if)
$$P[2] == T[15]$$
. Match. $q++=2$

Match.
$$q++=2$$



Step 16: i = 16

Loop terminates.

Boyer-Moore algorithm

- An efficient string searching algorithm that has been the standard benchmark for practical string search literature.
- Developed by Robert S. Boyer and J Strother Moore in 1977
- A simplified version of it or the entire algorithm is often implemented in text editors for the "search" and "substitute" commands.
- The reason is that it works the fastest when the alphabet is large and the pattern is relatively long.

- The execution time of the Boyer-Moore algorithm can be sub-linear.
 - It does not need to check every character of the text, but rather skips over some of them.
- The BM algorithm gets faster as the pattern becomes longer.
 - —It utilizes the information gained from each unsuccessful attempt to rule out as many positions as possible of the text where the strings cannot match.

The main ideas...

- Scan the pattern backwards (right to left).
 - The strings are matched from the end of P to the start of P, i.e. P[m], P[m-1], ...P[1].
- The bad character shift rule.
 - Avoids repeating unsuccessful comparisons against a target character (from the text).
- The good suffix shift rule.
 - Aligns only matching pattern characters against target characters already successfully matched.
- Either rule works alone, but they are more effective together.

Backward Scan

- Align the start of P with the start of T.
- Characters in P and T are then compared starting at index m in P and k in T, moving backward.
- The comparisons continue until either the beginning of P is reached (which means there is a match) or a mismatch occurs.
- Example:
 - T: This picture shows a nice view of the park.
 - P: future

- T: This picture shows a nice view of the park.
- P: future

1.
$$P[6] == T[12] = e$$
, 2. $P[5] == T[11] = r$,

3.
$$P[4] == T[10] = u$$
, 4. $P[3] == T[9] = t$,

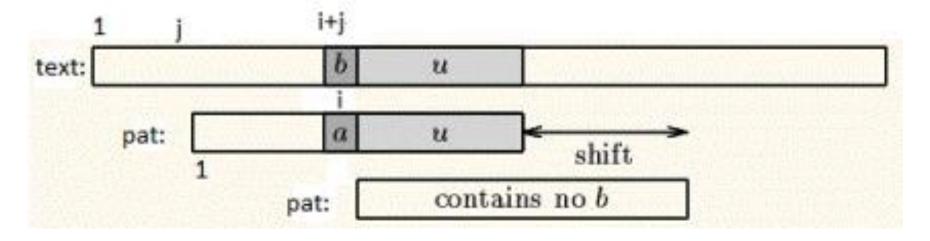
5.
$$P[2] == T[8] \rightarrow u \neq c$$

- Mismatch. Shift P to the right (relative to the text) and start comparisons again from the right end.
- The length of shift is given by the two rules:
 - The bad character shift rule.
 - The good suffix shift rule.

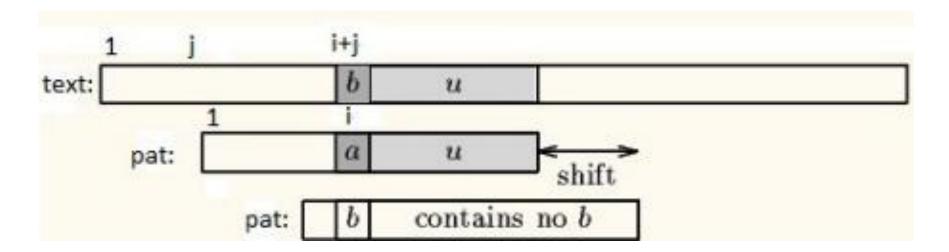
Bad Character Shift Rule

- Upon mismatch, skip alignments until
 - Mismatch becomes a match, or
 - P moves past the mismatched character.
- T: G C T T C T G C T A C C T T T T G C G C G C G C G C G C G A A
 P: C C T T T T G C (can be a match as C exists at position 2)
- 2. T: G C T T C T G C T A C C T T T T G C G C G C G C G C G C G A A
 P: ← s = 3 C C T T T T G C (A does not exist in pattern)

- Assume that a mismatch occurs between characters
 P[i] (= a) and T[i + j] (= b) during an attempt at shift j.
 That is, P[i + 1 .. m] = T[i + j + 1 .. j + m] = u and P[i] ≠ T[i + j].
- If "b" is not contained anywhere in P, then shift the pattern P completely past T[i + j].



 Otherwise, shift the pattern P until the rightmost occurrence of the character "b" in P gets aligned with T[i + j].



Preprocessing

- For all characters x in the alphabet, define the function R(x) to compute the bad character shift.
- R(x) = 0, if x does not occur in P.

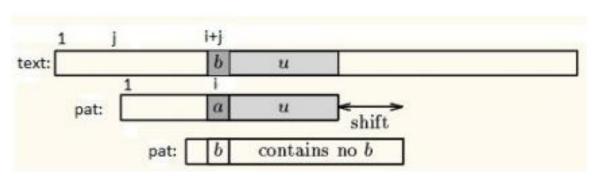
```
\{ i < m \mid P[i] = x \}, otherwise
```

When

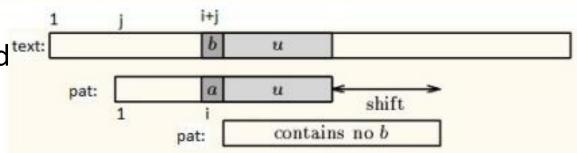
```
P[i + 1 .. m] = T[i + j + 1 .. j + m] = u and
P[i] \neq T[i + j] and T[i + j] = b,
```

- Then, shift P to the right by max {1, i R(b)}.
- R(x) can be computed in O(m) time.

If the right-most occurrence of b in P[1..m-1] is at k < i, then P[k] and T[i + j] get aligned.



If b does not occur in P[1..m-1], then shift = i, and text: the pattern is next aligned with T[i + j + 1.. i + j + m].



Example

$$\Sigma$$
 A C G T
 $R(x)$ 0 2 \rightarrow 1 7 6 \rightarrow 5 \rightarrow 4 \rightarrow 3

T: G C T T C T G C T A C C T T T T G C G C G C G C G C G A A
P: C C T T T T G C
Old Shift = 0. New Shift = $0 + \max\{1, i - R(b)\} = 0 + \max\{1, 5 - R(C)\}$ $= 0 + \max\{1, 5 - 2\} = 0 + 3 = 3.$

T: GCTTCTGCTACCTTTTGCGCGCGCGCGAA P: CCTTTTGC

Old Shift = 3. New Shift = $3 + \max \{1, i - R(b)\} = 3 + \max \{1, 7 - R(A)\}$ = $3 + \max \{1, 7 - 0\} = 3 + 7 = 10$.

T: GCTTCTGCTACCTTTTGCGCGCGCGCGAA
P: CCTTTTGC

Good Suffix Shift Rule

1. T: CGTGCCTACTTACTTACTTACTTACGGAA P: CTTACTTAC

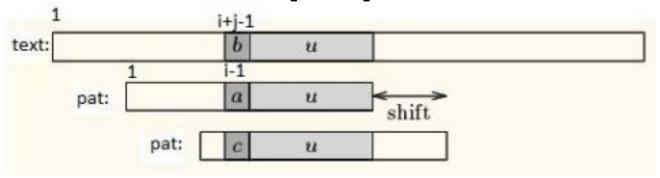
(TAC can be aligned with the rightmost occurrence TAC)

2. T: CGTGCCTACTTACTTACTTACTTACGCGAA

P: s=4 CTTACTTAC

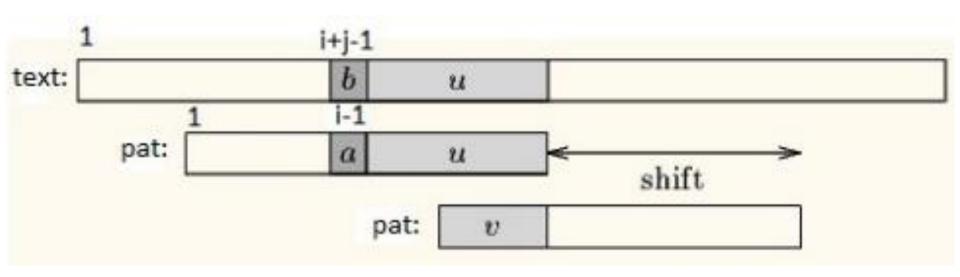
(TACTTAC has no rightmost occurrence, go for longest prefix-suffix match alignment in between CTTACTTAC and TACTTAC)

- Let P[i .. m] = T[i + j .. j + m] = u and P[i 1] ≠ T[i + j 1].
- The good-suffix shift consists in aligning the segment u = T[i + j .. j + m] (= P[i .. m]) with its rightmost occurrence in pattern (which is not a suffix) that is preceded by a character different from P[i 1].



 The original weaker version of this rule did not require that the character preceding the rightmost occurrence of u should not be P[i – 1].

 If there exists no such segment, the shift consists in aligning the longest suffix v of T[i + j .. j + m] with a matching prefix of P.



• If no such shift is possible, i.e., the longest suffix v of T[i + j .. j + m] which matches a prefix of P, is empty, then shift P to the right by P.length (= m).

:	b	u	
1	i-1		
pat:	a	u	

1. T: CGTGCCTACTTACTTACTTACTTACGGGAA P: CTTACTTAC

(TAC can't be aligned with the rightmost occurrence TAC as preceding character (T) is same in both the occurrences.)

Thus, the longest prefix-suffix match alignment in between CTTACTTAC and TAC is used to compute the shift increment)

2. T: CGTGCCTACTTACTTACTTACTTACGCGAA
P: CTTACTTAC

```
1...3456789......

text: I visited Helsinki by bike and I pedaled a lot.

pat: pedaled

pat: pedaled
```

 Align text[7..9] = "ted" with some character sequence "xed" in the pattern, such that x ≠ l.

• In this example the character sequence pat[1..3] = "ped" is the required one, so shift pattern such that pat[1..3] gets aligned with text[7..9].

text: When the phone ranged he was disturbed.

pat: disturbed

 The character sequence "ed" is not appearing again in pattern, so check for longest suffix matching. In this case a single character 'd' is the required match, thus align pat[1] with text[21].

text: When the phone ranged he was disturbed.

pat:

disturbed

```
text: I travelled by bike, so I pedaled a lot.

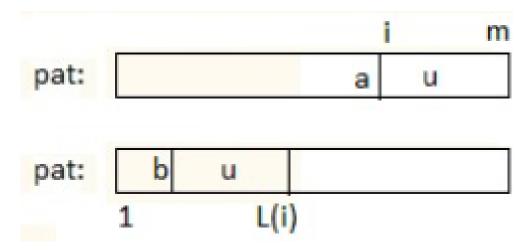
pat: pedaled

pat: pedaled
```

- In this case,
 - —The character sequence "led" is not appearing again in pattern.
 - The longest suffix matching also results in an empty string.
- So shift the pattern completely.

Preprocessing (Compute L(i))

- For i = 2,..., m + 1, compute L(i) as follows:
 - L(i) is the largest position, less than m, such that P[i..m] matches a suffix of P[1..L(i)] and, moreover, this suffix is not preceded by character P[i-1].
- If no such copy of P[i..m] exists, then let L(i) = 0.



Contd... (Compute L(i))

 Since P[m + 1..m] = ε, L(m + 1) is the right-most position j such that P[j] ≠ P[m] (or 0 if all characters are equal).

 L(i) gives the right end position of the rightmost copy of P[i..m], which is not a suffix of pattern, and is not preceded by P[i - 1].

The values L(i) can be computed in O(m) time.

- For a given i, the value L(i) < m is computed as follows:
 - -Take the suffix P[i..m] = u.
 - Search for the rightmost occurrence of u in P such that the preceding letter is not P[i-1].
 - If there is such an occurrence then L(i) = the right-end position of this occurrence of u.
 - -Otherwise, L(i) = 0

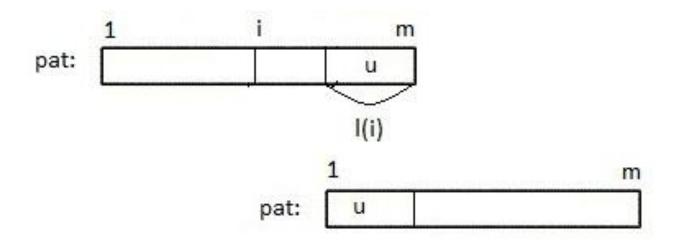
Example

- P: antecedence
- P.length = 11, i = 2, 3, ..., 11, 12

- L(12) = 10, $P[12...11] = \varepsilon$, antecedence
- L(11) = 8, P[11] = e, antecedence
- L(10) = 6, P[10..11] = ce, antecedence
- L(9) = 0, P[9..11] = nce antecedence
- L(8) = ... = L(2) = 0.

Contd... (Compute I(i))

- For i ≥ 2, let l(i) = the length of the largest suffix of P[i..m] that is also a prefix of pattern, if one exists.
- Otherwise, I(i) = 0.



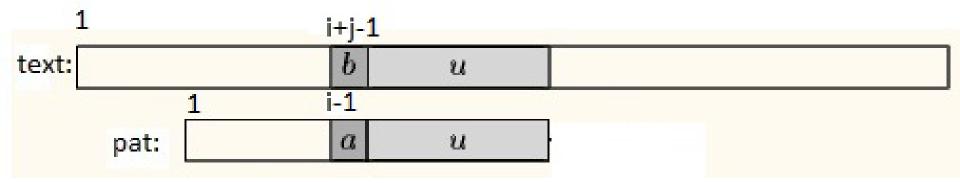
Example

- P: ababa
- P.length = 5, i = 2, 3, 4, 5, 6

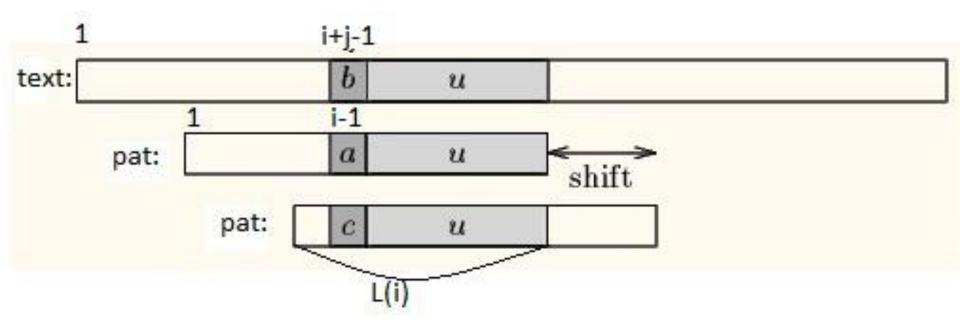
- I(6) = 0 (since $P[m+1..m] = \varepsilon$)
- I(5) = 1 (P[5] = a, the largest suffix is 'a')
- I(4) = 1 (P[4..5] = ba, the largest suffix is 'a')
- I(3) = 3 (P[3..5] = aba, the largest suffix is 'aba')
- I(2) = 3 (P[2..5] = baba, the largest suffix is 'aba')

Computing Shifts

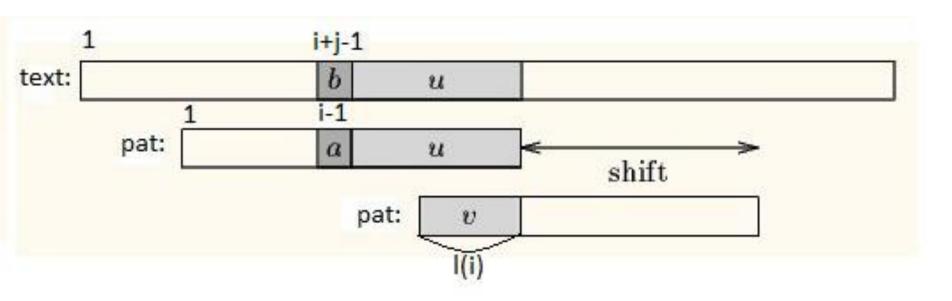
- The computed values L(i) and l(i) are used to get the length of a shift using the good suffix rule.
- Suppose, an occurrence of P[i..m] exists but there is a mismatch on P[i – 1]



 If L(i) > 0, then shift P by m – L(i) characters to the right, i.e., the prefix of length L(i) of the shifted pattern aligns with the suffix of length L(i) of the unshifted pat.



 If L(i) = 0, then the good shift rule shifts pattern by m – l(i) characters.



- If mismatch occurs for the first comparison, i.e., on P[m], then shift the pattern P by m – L(m + 1) positions to the right.
- When an occurrence of P has been found, then shift pattern to the right by m – I(2) positions, to align a prefix of pattern with the longest matching proper suffix of the occurrence.
 - I(2) = the length of the largest suffix of P[2..m] that is also a prefix of pattern, if one exists.

Boyer Moore Algorithm

- Given a pattern P of length m and text T of length n.
- Pre-processing
 - Compute the values L(i) and l(i) for $2 \le i \le m+1$.
 - Also compute R(x) for all characters x from the alphabet.
- Matching
 - Let index k represents the right-end of the current occurrence of pattern that is being matched to the text.
 - Thus, a shift of pattern is implemented by increasing k
 with the appropriate amount of positions.

13.

14. end

end

```
1. k=m
2.
   while k \le n do begin
3.
    i=m,\,h=k
4.
       while i > 0 and P[i] == T[h] do begin
5.
               i - -, h - -
6.
      end
7.
       if i = 0 then begin
8.
                pattern found at a shift of h
9.
               k = k + m - I(2)
10.
        end
11.
        else
12.
                shift pattern (increase k) by the maximum amount
                determined by the bad character rule and the good suffix
                rule.
```

	Α	С	G	Т
R:	3	8 → 5	$7 \rightarrow 6 \rightarrow 4 \rightarrow 1$	2

	2	3	4	5	6	7	8	9	10
L:	0	0	0	0	0	6	0	7	8
l:	1	1	1	1	1	1	1	1	0

T: GTTATAGCTGATCGCGGCGTAGCGGCGAA

P: G T A G C G G C G

Step 1:
$$k = 9$$
. Mismatch at $i = 9$.
Shift using BC = $max\{1, i - R(T)\} = max(1,9-2) = 7$.
Shift using GS = $m - L(m + 1) = 9 - 8 = 1$
Maximum shift = 7.
 $k = k + 7 = 9 + 7 = 16$

	Α	С	G	Т
R:	3	8 → 5	$7 \rightarrow 6 \rightarrow 4 \rightarrow 1$	2

	2	3	4	5	6	7	8	9	10
L:	0	0	0	0	0	6	0	7	8
l:	1	1	1	1	1	1	1	1	0

T: G T T A T A G C T G A T C G C G T A G C G G A A
P: G T A G C G T A G C G C G T A G C G G C G A A
1 2 3 4 5 6 7 8 9 ← i

Step 2:
$$k = 16$$
. Mismatch at $i = 6$.

Shift using BC = $max\{1, i - R(C)\} = max(1,6-5) = 1$. Shift using GS:

As
$$L(i + 1) = L(7) = 6 \neq 0$$
.

Thus, shift =
$$m - L(i + 1) = 9 - 6 = 3$$
.

Maximum shift = 3.

$$k = k + 3 = 16 + 3 = 19$$

	Α	С	G	Т
R:	3	8 → 5	$7 \rightarrow 6 \rightarrow 4 \rightarrow 1$	2

	2	3	4	5	6	7	8	9	10
L:	0	0	0	0	0	6	0	7	8
l:	1	1	1	1	1	1	1	1	0

Step 3:
$$k = 19$$
. Mismatch at $i = 3$.
Shift using BC = max{ 1, $i - R(C)$ } = max(1,3-0) = 3.
Shift using GS:
As $L(i + 1) = L(4) = 0$.

Thus, shift = m - I(i + 1) = 9 - 1 = 8. Maximum shift = 8.

$$k = k + 8 = 19 + 8 = 27$$

	Α	С	G	Т
R:	3	8 → 5	$7 \rightarrow 6 \rightarrow 4 \rightarrow 1$	2

	2	3	4	5	6	7	8	9	10
L:	0	0	0	0	0	6	0	7	8
1:	1	1	1	1	1	1	1	1	0

T: G T T A T A G C T G A T C G C G G C G T A G C G G C G A A
P: G T A G C G G C G

Step 4: k = 27. Pattern found at T[k - m + 1..k]. i = 0. Shift using BC = 1 (pattern found). Shift using GS = m - I(2) = 9 - 1 = 8. Maximum shift = 8. k = k + 8 = 27 + 8 = 35.

Step 5: k > n. 35 > 29. Loop terminates.

	А	С	G	Т
R:	$7 \rightarrow 5 \rightarrow 3$	2	$6 \rightarrow 4 \rightarrow 1$	0

	2	3	4	5	6	7	8	9
L:	0	0	0	6	0	4	1	7
l:	1	1	1	1	1	1	1	0

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

T: G C A T C G C A G A G A G T A T A C A G T A C G

P: G C A G A G A G

Step 1:
$$k = 8$$
. Mismatch at $i = 8$.
Shift using BC = max{ 1, $i - R(A)$ } = max(1,8-7) = 1.
Shift using GS = $m - L(m + 1) = 8 - 7 = 1$
Maximum shift = 1.
 $k = k + 1 = 8 + 1 = 9$

	А	С	G	Т
R:	$7 \rightarrow 5 \rightarrow 3$	2	$6 \rightarrow 4 \rightarrow 1$	0

	2	3	4	5	6	7	8	9
L:	0	0	0	6	0	4	1	7
l:	1	1	1	1	1	1	1	0

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

T: G C A T C G C A G A G A G T A T A C A G T A C G

P: GCAGAGAG

1 2 3 4 5 6 7 8 ← **i**

Step 2: k = 9. Mismatch at i = 6.

Shift using BC = $max\{1, i - R(C)\} = max(1,6-2) = 4$. Shift using GS:

As
$$L(i + 1) = L(7) = 4 \neq 0$$
.

Thus, shift = m - L(i + 1) = 8 - 4 = 4.

Maximum shift = 4.

$$k = k + 4 = 9 + 4 = 13$$

	А	С	G	Т
R:	$7 \rightarrow 5 \rightarrow 3$	2	$6 \rightarrow 4 \rightarrow 1$	0

	2	3	4	5	6	7	8	9
L:	0	0	0	6	0	4	1	7
l:	1	1	1	1	1	1	1	0

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

T: G C A T C G C A G A G A G T A T A C A G T A C G

P:

GCAGAGAG

1 2 3 4 5 6 7 8 -

Step 3: k = 13. Pattern found at T[k - m + 1..k]. i = 0.

Shift using BC = 1 (pattern found).

Shift using GS = m - I(2) = 8 - 1 = 7.

Maximum shift = 7.

k = k + 7 = 13 + 7 = 20

	А	С	G	Т
R:	$7 \rightarrow 5 \rightarrow 3$	2	$6 \rightarrow 4 \rightarrow 1$	0

	2	3	4	5	6	7	8	9
L:	0	0	0	6	0	4	1	7
l:	1	1	1	1	1	1	1	0

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

T: G C A T C G C A G A G A G T A T A C A G T A C G

P: GCAGAGAG

1 2 3 4 5 6 7 8 \leftarrow \dot{I}

Step 4:
$$k = 20$$
. $i = 6$.

Shift using BC = $max\{1, i - R(C)\} = max(1,6-2) = 4$. Shift using GS:

As
$$L(i + 1) = L(7) = 4 \neq 0$$
.

Thus, shift = m - L(i + 1) = 8 - 4 = 4.

Maximum shift = 4.

$$k = k + 4 = 20 + 4 = 24$$

	Α	С	G	Т
R:	7	2	6	0

	2	3	4	5	6	7	8	9
L:	0	0	0	6	0	4	1	7
l:	1	1	1	1	1	1	1	0

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

T: G C A T C G C A G A G A G T A T A C A G T A C G

P:

GCAGAGAG

1 2 3 4 5 6 7 8

Step 5:
$$k = 24$$
. $i = 7$.

Shift using BC = $\max\{1, i - R(C)\} = \max(1,7-2) = 5$.

Shift using GS:

As
$$L(i + 1) = L(8) = 1 \neq 0$$
.

Thus, shift = m - L(i + 1) = 8 - 1 = 7.

Maximum shift = 7.

$$k = k + 7 = 24 + 7 = 31$$

Step 6: k > n. 31 > 24. Loop terminates.

Complexity

- If BM algorithm uses only the strong good suffix rule, then it has O(n) worst-case time complexity if the pattern does not occur in the text.
- If the pattern does appear in the text, then the algorithm runs in O(mn) worst-case.
- However, by slightly modifying this algorithm, it can achieve
 O(n) worst-case time complexity in all cases.
 - This was first proved by Galil in 1979.
- It can be proved that the BM algorithm has O(n) time complexity also when it uses both shift rules (Bad character and Good suffix shift rules)
- On natural language texts the running time is almost always sub-linear.

Example

- Solve it using all the string matching algorithm giving total
 - Number of shifts and
 - Number of character comparisons.

For Rabin-Karp

$$-\Sigma = \{0, 1\}, q = 13, X = 0, Y = 1$$

Naïve approach

```
11
                                    9
                                        10
                                               12
                                                   13
                                                       14
                                                           15
                                                               16
                                                                   17
                                                                       18
                                                                           19
                                                                               20
                                                                                   21
                                                                                       22
                                           X
    X
            X
                X
                        X
                                X
                                                    X
                                                            X
                                                                       X
                                                                               X
    X
        Υ
            X
                    Υ
                       Χ
                            Υ
                               X
                                   Υ
                                           X
P:
        X
            Υ
                Χ
                            X
                                    Χ
                                           X
            X
P:
                   X
                                    Υ
                                           Υ
                               X
                                       X
                                               X
                                                   X
                    Υ
P:
                X
                       X
                                   X
                                           X
                                                   X
P:
                    X
                            Χ
                                            Υ
                                       X
                                               X
                                                   Υ
                                                       X
                                                           X
P:
                        Χ
                            Υ
                               X
                                    Υ
                                           X
                                               Υ
                                                   X
                                                           X
                            Χ
P:
                                    X
                                               X
                                                    Υ
                                                       X
                                                                   X
                                Χ
                                    Υ
P:
                                        X
                                                    X
                                                            X
                                                                   Χ
        Total shifts
P:
                                    X
                                            X
                                                                           X
        = 24 - 11 + 1 = 14
                                        X
P:
                                            Υ
                                                                    X
                                                                           X
                                                            X
P:
                                           X
                                                    X
                                                            Υ
                                                               X
                                                                       X
                                                                                   X
        Total character comparisons
        = 4 + 1 + 2 + 5 + 1 + 11 + 1
P:
                                               X
                                                    Υ
                                                                           X
                                                                                   Χ
                                                                                       X
                                                                    Χ
                  +3+1+1+5+1+11+1
                                                    X
P:
                                                            X
                                                                                           X
                                                                       X
                                                                               X
                                                                                       X
        = 48
P:
                                                       X
```

Rabin-Karp

$$\Sigma = \{0, 1\}, q = 13, X = 0, Y = 1$$

 $h = 2^{11-1} \mod 13 = 10$

```
4 5 6 7
                    8 9 10 11 12 13 14 15 16 17 18 19 20 21
X Y X X Y
              0 1
              0
                 1
                    0
                       1 1
                             0 1
                                   0 1 0 1 1 0 1 0 1 0
   1
X Y X Y Y X Y X Y
                                   • p = (2^9 + 2^7 + 2^6 + 2^4 + 2^2) \mod 13 = 9
                                   • T[1..11] = t_0 = (2^9 + 2^6 + 2^4 + 2^2 + 2^1) \mod 13 = 0
   1 0 1 1 0 1
                    0 1
                                   • T[2..12] = t_1 = (2(0 - 10.0) + 1) \mod 13 = 1
                                   • T[3..13] = t_2 = (2(1 - 10.1) + 0) \mod 13 = 8
```

- p = 9.
 - $t_7 = 9$. Compare T[8..18] with P[1..11].
 - T[8] = P[1] = X
 - T[9] = P[2] = Y
 - T[10] = Y and P[3] = X. Mismatch
- $t_{12} = 9$. Compare T[13..23] with P[1..11].
 - Match.

Total shifts = 24 - 11 + 1 = 14

Total character comparisons = 3 + 11 = 14

- $T[4..14] = t_3 = (2(8 10.0) + 1) \mod 13 = 4$
- [[...1] t₃ (2(0 10.0) · 1) · · · · · · · ·
- $T[5..15] = t_4 = (2(4 10.0) + 0) \mod 13 = 8$
- $T[6..16] = t_5 = (2(8 10.1) + 1) \mod 13 = 10$
- $T[7..17] = t_6 = (2(10 10.0) + 1) \mod 13 = 8$
- $T[8..18] = t_7 = (2(8 10.1) + 0) \mod 13 = 9$
- $T[0, 10] = + = /2/0, 10.0 + 1) \mod 12 = 6$
- $T[9..19] = t_8 = (2(9 10.0) + 1) \mod 13 = 6$
- $T[10..20] = t_9 = (2(6 10.1) + 0) \mod 13 = 5$
- $T[11..21] = t_{10} = (2(5 10.1) + 1) \mod 13 = 4$
- $T[12..22] = t_{11} = (2(4 10.0) + 0) \mod 13 = 8$
- $T[13..23] = t_{12} = (2(8 10.1) + 0) \mod 13 = 9$
- $T[14..24] = t_{13} = (2(9 10.0) + 1) \mod 13 = 6$

KMP

```
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22
P: X Y X Y Y X Y X X
П: 0 0 1 2 0 1 2 3 4 3 1
• i = 1, q = 0. T[1] = P[1] (Shift = 0). q = 1.
• i = 2, q = 1. T[2] = P[2] (Shift = 0). q = 2.
• i = 3, q = 2. T[3] = P[3] (Shift = 0). q = 3.
• i = 4, q = 3. T[4] \neq P[4] (Shift = 0). q = \Pi[3] = 1.
                T[4] \neq P[2] (Shift = 2). q = \Pi[1] = 0.
                T[4] = P[1] (Shift = 3). q = 1.
• i = 5, q = 1. T[5] = P[2] (Shift = 3). q = 2.
• i = 6, q = 2. T[6] = P[3] (Shift = 3). q = 3.
• i = 7, q = 3. T[7] = P[4] (Shift = 3). q = 4.
• i = 8, q = 4. T[8] \neq P[5] (Shift = 3). q = \Pi[4] = 2.
                T[8] = P[3] (Shift = 5). q = 3.
• i = 9, q = 3. T[9] = P[4] (Shift = 5). q = 4.
  i = 10, q = 4. T[10] = P[5] (Shift = 5). q = 5.
```

• i = 20, q = 7. T[20] = P[8] (Shift = 12). q = 8.

• i = 21, q = 8. T[21] = P[9] (Shift = 12). q = 9.

• i = 22, q = 9. T[22] = P[10] (Shift = 12). q = 10.

```
1 \quad 2 \quad 3 \quad 4 \quad 5 \quad 6 \quad 7 \quad 8 \quad 9 \quad 10 \quad 11 \quad 12 \quad 13 \quad 14 \quad 15 \quad 16 \quad 17 \quad 18 \quad 19 \quad 20 \quad 21 \quad 22 \quad 23 \quad 24
P: X Y X Y Y X Y X X
П: 0 0 1 2 0 1 2 3 4 3 1
                                                 • i = 23, q = 10.
                                                    T[23] = P[11] (Shift = 12). q = 11.
• i = 11, q = 5. T[11] = P[6] (Shift = 5). q = 6.
                                                     Pattern found at shift 12. q = \Pi[11] = 1.
• i = 12, q = 6. T[12] = P[7] (Shift = 5). q = 7.
                                                  • i = 24, q = 1.
• i = 13, q = 7. T[13] = P[8] (Shift = 5). q = 8.
                                                    T[24] = P[2] (Shift = 22). q = 2.
• i = 14, q = 8. T[14] = P[9] (Shift = 5). q = 9.
• i = 15, q = 9. T[15] = P[10] (Shift = 5). q = 10.
• i = 16, q = 10. T[16] \neq P[11] (Shift = 5). q = \Pi[10] = 3.
                 T[16] = P[4] (Shift = 12). q = 4.
• i = 17, q = 4. T[17] = P[5] (Shift = 12). q = 5.
• i = 18, q = 5. T[18] = P[6] (Shift = 12). q = 6.
                                                          Total shifts = 6
• i = 19, q = 6. T[19] = P[7] (Shift = 12). q = 7.
```

Total character comparisons = 28

Boyer-Moore

	X	Υ
R:	$10 \rightarrow 8 \rightarrow 6 \rightarrow 3 \rightarrow 1$	$9 \rightarrow 7 \rightarrow 5$ $\rightarrow 4 \rightarrow 2$

	2	3	4	5	6	7	8	9	10	11	12
L:	0	0	0	0	0	0	0	0	0	10	9
l:	1	1	1	1	1	1	1	1	1	1	0

21 22 23 24

4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20

• Step 1:

X Y X

k = 11. Shift = 0.

Y Y X Y X Y X X

BC =
$$max(1,10-9) = 1$$
; GS = $11-10 = 1$; $k = 11 + 1 = 12$. Shift = $0 + 1 = 1$.

Step 2: k = 12. Shift = 1.

BC =
$$max(1,11-9) = 2$$
; GS = $m - L(m + 1) = 11 - 9 = 2$; $k = 12 + 2 = 14$. Shift = $1 + 2 = 3$.

	i	i
	X	Υ
R:	$10 \rightarrow 8 \rightarrow 6 \rightarrow 3 \rightarrow 1$	$9 \rightarrow 7 \rightarrow 5$ $\rightarrow 4 \rightarrow 2$

	2	3	4	5	6	7	8	9	10	11	12
L:	0	0	0	0	0	0	0	0	0	10	9
l:	1	1	1	1	1	1	1	1	1	1	0

- Step 3:
- k = 14. Shift = 3.

BC =
$$max(1,11-9) = 2$$
; GS = $m - L(m + 1) = 11 - 9 = 2$; $k = 14 + 2 = 16$. Shift = $3 + 2 = 5$.

• Step 4: k = 16. Shift = 5.

BC =
$$max(1,11-9) = 2$$
; GS = $m - L(m + 1) = 11 - 9 = 2$; $k = 16 + 2 = 18$. Shift = $5 + 2 = 7$.

	X	Υ
R:	$10 \rightarrow 8 \rightarrow 6 \rightarrow 3 \rightarrow 1$	$9 \rightarrow 7 \rightarrow 5$ $\rightarrow 4 \rightarrow 2$

	2	3	4	5	6	7	8	9	10	11	12
L:	0	0	0	0	0	0	0	0	0	10	9
l:	1	1	1	1	1	1	1	1	1	1	0

• Step 5: k = 18. Shift = 7.

BC = max(1,10-9) = 1; GS = 11-10 = 1;

k = 18 + 1 = 19. Shift = 7 + 1 = 8.

• Step 6: k = 19. Shift = 8.

BC = max(1,11-9) = 2; GS = m - L(m + 1) = 11 - 9 = 2;

k = 19 + 2 = 21. Shift = 8 + 2 = 10.

	X	Υ
R:	$10 \rightarrow 8 \rightarrow 6 \rightarrow 3 \rightarrow 1$	$9 \rightarrow 7 \rightarrow 5$ $\rightarrow 4 \rightarrow 2$

	2	3	4	5	6	7	8	9	10	11	12
L:	0	0	0	0	0	0	0	0	0	10	9
l:	1	1	1	1	1	1	1	1	1	1	0

Step 7: k = 21. Shift = 10.

BC =
$$max(1,11-9) = 2$$
; GS = $m - L(m + 1) = 11 - 9 = 2$; $k = 21 + 2 = 23$. Shift = $10 + 2 = 12$.

• Step 8: k = 23. Shift = 12.

$$k = 21 + 11 - 1 = 31$$
. Shift = $12 + 10 = 22$.

Total shifts = 8

Total character

comparisons = 20