

# 3GPP TS 31.104 V18.0.0 (2024-03)

Technical Specification

## **3rd Generation Partnership Project; Technical Specification Group Core Network and Terminals; Characteristics of the Hosting Party Subscription Identity Module (HPSIM) application**

**(Release 18)**



The present document has been developed within the 3rd Generation Partnership Project (3GPP™) and may be further elaborated for the purposes of 3GPP. The present document has not been subject to any approval process by the 3GPP Organizational Partners and shall not be implemented. This Specification is provided for future development work within 3GPP only. The Organizational Partners accept no liability for any use of this Specification. Specifications and Reports for implementation of the 3GPP™ system should be obtained via the 3GPP Organizational Partners' Publications Offices.

**3GPP**

Postal address

---

3GPP support office address

---

650 Route des Lucioles - Sophia Antipolis  
Valbonne - FRANCE  
Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Internet

---

<http://www.3gpp.org>

---

**Copyright Notification**

No part may be reproduced except as authorized by written permission.  
The copyright and the foregoing restriction extend to reproduction in all media.

© 2024, 3GPP Organizational Partners (ARIB, ATIS, CCSA, ETSI, TSDSI, TTA, TTC).  
All rights reserved.

UMTS™ is a Trade Mark of ETSI registered for the benefit of its members  
3GPP™ is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners  
LTE™ is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners  
GSM® and the GSM logo are registered and owned by the GSM Association

# Contents

Foreword.....	5
Introduction.....	5
1 Scope.....	6
2 References.....	6
3 Definitions, symbols and abbreviations.....	7
3.1 Definitions.....	7
3.2 Symbols.....	7
3.3 Abbreviations.....	7
3.4 Coding Conventions.....	8
4 Files.....	8
4.0 Overview.....	8
4.1 Contents of the Efs at the MF level.....	8
4.2 Contents of files at the HPSIM ADF (Application DF) level.....	8
4.2.0 HPSIM ADF overview and card issuer-reserved file identifiers.....	8
4.2.1 EF <sub>ARR</sub> (Access Rule Reference).....	9
4.2.2 EF <sub>IMSI</sub> (IMSI).....	9
4.2.3 EF <sub>AD</sub> (Administrative Data).....	9
4.3 HPSIM file structure.....	11
5 Application Protocol.....	11
5.0 Overview of HPSIM selection and HPSIM-related procedures.....	11
5.1 HPSIM management procedures.....	11
5.1.1 Initialisation.....	11
5.1.1.1 HPSIM application selection.....	11
5.1.1.2 HPSIM initialisation.....	11
5.1.2 HPSIM Session termination.....	12
5.1.3 HPSIM application closure.....	12
5.1.4 UICC presence detection.....	12
5.2 HPSIM security related procedures.....	12
5.2.1 Authentication procedure.....	12
5.2.2 IMSI request.....	12
5.3 Subscription related procedures.....	13
5.3.1 Location Information acquisition procedures.....	13
6 Security features.....	13
6.0 Generic security.....	13
6.1 User verification and file access conditions.....	13
7 HPSIM commands.....	14
7.0 Generic commands.....	14
7.1 AUTHENTICATE.....	14
7.1.1 Command description.....	14
7.1.2 Command parameters and data.....	14
7.1.3 Status Conditions Returned by the HPSIM.....	16
7.1.3.0 Status Condition structure.....	16
7.1.3.1 Security management.....	16
7.1.3.2 Status Words of the Commands.....	16
8 HPSIM remote management.....	17
8.1 General functionality.....	17
8.2 Remote application and file management.....	17
8.3 Bearer Independent Protocol.....	17
8.4 Proactive Polling.....	17
8.5 Polling a remote server.....	17

**Annex A (informative): EF changes via remote management or USAT application.....18**

**Annex B (informative): Suggested content of the Efs at pre-personalization.....19**

**Annex C (informative): List of SFI values.....20**

C.1 List of SFI Values at the HPSIM ADF Level.....20

**Annex D (informative): Change history.....21**

---

## Foreword

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

x the first digit:

- 1 presented to TSG for information;
- 2 presented to TSG for approval;
- 3 or greater indicates TSG approved document under change control.

Y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

Z the third digit is incremented when editorial only changes have been incorporated in the document.

---

## Introduction

The present document defines the Hosting Party Subscription Identity Module (HPSIM) application. This application resides on the UICC, an IC card specified in TS 31.101 [3]. In particular, TS 31.101 [3] specifies the application independent properties of the UICC/terminal interface such as the physical characteristics and the logical structure.

---

# 1 Scope

The present document applies to a H(e)NB supporting the HPSIM for H(e)NB Hosting Party authentication. The present document defines the HPSIM application, the UICC application residing in the Hosting Party Module for H(e)NB Hosting Party authentication and provisioning.

The present document specifies

- identification of the Hosting Party
- security mechanism, e.g. authentication based on EAP-AKA method
- support of information for the initial provisioning (e.g. O&M system contact)
- initialisation procedure on H(e)NB-UICC interface
- O&M procedure

---

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TS 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 33.320: "Security of Home Node B (HNB)/ Home evolved Node B (HeNB)".
- [3] 3GPP TS 31.101: "UICC-Terminal Interface, Physical and Logical Characteristics".
- [4] 3GPP TS 22.220: "Service requirements for Home Node B (HNB)/ Home eNode B (HeNB)".
- [5] 3GPP TS 33.102: "3G Security; Security Architecture".
- [6] ISO/IEC 7816-4: "Integrated circuit cards, Part 4: Organization, security and commands for interchange".
- [7] 3GPP TS 31.102: "Characteristics of the USIM application".
- [8] 3GPP TS 25.467: "UTRAN architecture for 3G Home NodeB (HNB)".
- [9] IETF RFC 3629 (2003): "UTF-8, a transformation format of ISO 10646".
- [10] ISO/IEC 8825-1 (2008): "Information technology – ASN.1 encoding rules : Specification of Basic Encoding Rules (BER), Canonical Encoding Rules (CER) and Distinguished Encoding Rules (DER)"
- [11] ETSI TS 101 220: "Smart Cards; ETSI numbering system for telecommunication application providers".
- [12] Void.
- [13] 3GPP TS 33.401: "3GPP System Architecture Evolution (SAE); Security architecture".

- [14] 3GPP TS 32.583: "Telecommunications management; Home Node B (HNB) Operations, Administration, Maintenance and Provisioning (OAM&P); Procedure flows for Type 1 interface HNB to HNB Management System (HMS)".
- [15] 3GPP TS 32.593: "Telecommunications management; Home Node B (HeNB) Operations, Administration, Maintenance and Provisioning (OAM&P); Procedure flows for Type 1 interface HeNB to HeNB Management System (HeMS)".
- [16] Void.
- [17] 3GPP TS 36.413, "S1 Application Protocol".
- [18] 3GPP TS 31.115: "Secured packet structure for (U)SIM Toolkit applications"
- [19] 3GPP TS 31.116: "Remote APDU structure for (U)SIM Toolkit applications"
- [20] 3GPP TS 31.111: "USIM application toolkit"

---

## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1], TS 22.220 [4] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1] and TS 22.220 [4].

**HPSIM:** UICC application residing on the Hosting Party Module, providing necessary mechanism for H(e)NB Hosting Party authentication and provisioning.

### 3.2 Symbols

For the purposes of the present document, the following symbols apply:

	Concatenation
$\oplus$	Exclusive or
f1	Message authentication function used to compute MAC
f1*	A message authentication code (MAC) function with the property that no valuable information can be inferred from the function values of f1* about those of f1, ... , f5 and vice versa
f2	Message authentication function used to compute RES and XRES
f3	Key generating function used to compute CK
f4	Key generating function used to compute IK
f5	Key generating function used to compute AK

### 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

FQDN	Fully Qualified Domain Name
HeMS	Home eNodeB Management System
HeNB	Home evolved NodeB
HeNB-GW	HeNB Gateway
HMS	HNB Management System
HNB	Home NodeB
HNB-GW	Home NodeB Gateway

HPSIM	Hosting Party Subscription Identity Module.
H(e)MS	HMS or HeMS
H(e)NB	HNB or HeNB
H(e)NB-GW	HNB-GW or HeNB-GW
K	Long-term secret Key shared between the HPSIM and the AuC
MME	Mobility Management Entity

## 3.4 Coding Conventions

The following coding conventions apply to the present document.

All lengths are presented in bytes, unless otherwise stated. Each byte is represented by bits b8 to b1, where b8 is the most significant bit (MSB) and b1 is the least significant bit (LSB). In each representation, the leftmost bit is the MSB.

The coding of Data Objects in the present document is according to TS 31.101 [3].

'XX': Single quotes indicate hexadecimal values. Valid elements for hexadecimal values are the numbers '0' to '9' and 'A' to 'F'.

---

# 4 Files

## 4.0 Overview

Clause 4 of the present document specifies the Efs for the H(e)NB session defining access conditions, data items and coding. A data item is a part of an EF which represents a complete logical entity.

## 4.1 Contents of the Efs at the MF level

There are four Efs at the Master File (MF) level. These Efs are specified in TS 31.101 [3].

## 4.2 Contents of files at the HPSIM ADF (Application DF) level

### 4.2.0 HPSIM ADF overview and card issuer-reserved file identifiers

The Efs in the HPSIM ADF contain service and network related information and are required for H(e)NB to operate in a 3GPP environment.

The File Ids '6F1X' (for Efs), '5F1X' and '5F2X' (for DFs) with X ranging from '0' to 'F' are reserved under the HPSIM ADF for administrative use by the card issuer.



### 4.2.1 EF<sub>ARR</sub> (Access Rule Reference)

This EF contains the access rules for files located under the HPSIM ADF in the UICC. If the security attribute tag '8B' is indicated in the FCP it contains a reference to a record in this file.

**Structure of EF<sub>ARR</sub> at ADF-level**

Identifier: '6F06'		Structure: Linear fixed		Mandatory
SFI: '06'				
Record Length: X bytes			Update activity: low	
Access Conditions:				
READ		ALW		
UPDATE		ADM		
DEACTIVATE		ADM		
ACTIVATE		ADM		
Bytes	Description		M/O	Length
1 to X	Access Rule TLV data objects		M	X bytes

This EF contains one or more records containing access rule information according to the reference to expanded format as defined in ISO/IEC 7816-4 [6]. Each record represents an access rule. Unused bytes in the record are set to 'FF'.

If the card cannot access EF<sub>ARR</sub>, any attempt to access a file with access rules indicated in this EF<sub>ARR</sub> shall not be granted.

### 4.2.2 EF<sub>IMSI</sub> (IMSI)

This EF contains the International Mobile Subscriber Identity (IMSI).

An HPSIM shall be provisioned with an IMSI value as defined in TS 33.320 [2].

Identifier: '6F07'		Structure: transparent		Mandatory
SFI: '07'				
File size: 9 bytes			Update activity: low	
Access Conditions:				
READ		PIN		
UPDATE		ADM		
DEACTIVATE		ADM		
ACTIVATE		ADM		
Bytes	Description		M/O	Length
1	Length of IMSI		M	1 byte
2 to 9	IMSI		M	8 bytes

For the content and coding, refer to TS 31.102 [7].

### 4.2.3 EF<sub>AD</sub> (Administrative Data)

This EF contains information concerning the mode of operation according to the type of HPSIM, such as normal (to be used by Hosting Party for H(e)NB operation), type approval (to allow specific use of the H(e)NB during type approval procedures of e.g. the network equipment), manufacturer specific (to allow the H(e)NB manufacturer to perform specific proprietary auto-test in its H(e)NB during e.g. maintenance phases).

It also provides an indication of whether some H(e)NB features should be activated during normal operation.

Identifier: '6FAD'		Structure: transparent		Mandatory
SFI: '03'				
File size: 4+X bytes		Update activity: low		
Access Conditions:				
READ		ALW		
UPDATE		ADM		
DEACTIVATE		ADM		
ACTIVATE		ADM		
Bytes	Description		M/O	Length
1	H(e)NB operation mode		M	1 byte
2 to 3	Additional information		M	2 bytes
4	length of MNC in the IMSI		M	1 byte
5 to 4+X	RFU		O	X bytes

- H(e)NB operation mode:

Contents:

- mode of operation for the H(e)NB.

Coding:

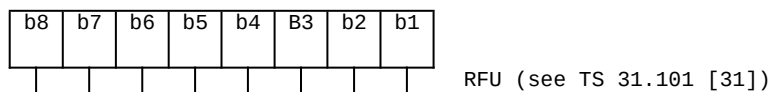
- Initial value
  - '00' normal operation.
  - '80' type approval operations.
  - '01' normal operation + specific facilities.
  - '81' type approval operations + specific facilities.
  - '02' maintenance (off line).

- Additional information:

Coding:

- specific facilities (if b1=1 in byte 1);

Bytes 2 and 3 (first byte of additional information):



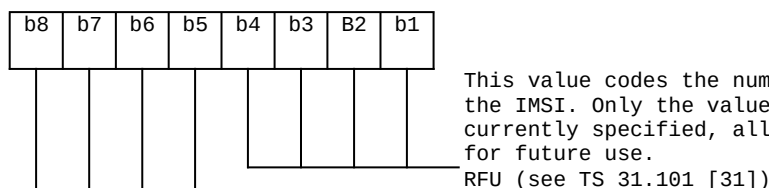
- Length of MNC in the IMSI:

Contents:

The length indicator refers to the number of digits, used for extracting the MNC from the IMSI

Coding:

Byte 4:

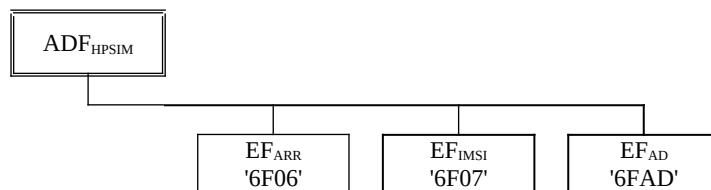


This value codes the number of digits of the MNC in the IMSI. Only the values '0010' and '0011' are currently specified, all other values are reserved for future use.

RFU (see TS 31.101 [31])

## 4.3 HPSIM file structure

This clause contains a figure depicting the file structure of the  $ADF_{HPSIM}$ .



**Figure 1: File identifiers and directory structure of the HPSIM**

---

# 5 Application Protocol

## 5.0 Overview of HPSIM selection and HPSIM-related procedures

The requirements stated in the corresponding clause of TS 31.101 [3] apply to the HPSIM application. The  $ADF_{HPSIM}$  shall be selected using the AID and information in  $EF_{DIR}$ .

The procedures listed in clause "5.1 HPSIM management procedures" are required for execution of the procedures in the subsequent clause "HPSIM security related procedures". The procedures authentication procedure, IMSI request, which are listed in clause "HPSIM security related procedures" are mandatory.

## 5.1 HPSIM management procedures

### 5.1.1 Initialisation

#### 5.1.1.1 HPSIM application selection

After UICC activation (see TS 31.101 [3]), the H(e)NB shall select an HPSIM application. If no HPSIM applications are found in the UICC, the H(e)NB shall abort the HPSIM initialisation procedure.

An HPSIM compliant to the present document shall have an AID with a PIX value starting with '3G application code' = '100A', see ETSI TS 101 220 [11].

After a successful HPSIM application selection, the selected HPSIM (AID) is stored on the UICC. This application is referred to as the last selected application. The last selected application shall be available on the UICC after a deactivation followed by an activation of the UICC.

If a HPSIM application is selected using partial DF name, the partial DF name supplied in the command shall uniquely identify a HPSIM application. Furthermore if a HPSIM application is selected using a partial DF name as specified in TS 31.101 [3] indicating in the SELECT command the last occurrence the UICC shall select the application stored as the last application. If, in the SELECT command, the options first, next/previous are indicated, they have no meaning if an application has not been previously selected in the same session and shall return an appropriate error code.

#### 5.1.1.2 HPSIM initialisation

The HPSIM shall not indicate any language preference.

If the H(e)NB provides an user interface, the H(e)NB shall choose a language from EF<sub>PL</sub> at the MF level according the procedure defined in TS 31.101 [3]. If the H(e)NB does not support the languages of EF<sub>PL</sub>, the H(e)NB shall use its own internal default parameters.

If supported by H(e)NB, the H(e)NB runs the PIN verification procedure. If the procedure is not performed successfully, the HPSIM initialisation stops.

If both the application selection and the PIN verification procedures have been performed successfully then the HPSIM session starts. In all other cases the HPSIM session shall not start.

After the previous procedures have been completed successfully, the H(e)NB runs the following procedures:

- Administrative information request, by reading the EF<sub>AD</sub>.
- IMSI request.

After the HPSIM initialisation has been completed successfully, the H(e)NB shall indicate this to the HPSIM by sending a particular STATUS command.

### 5.1.2 HPSIM Session termination

NOTE 1: This procedure is not to be confused with the deactivation procedure in TS 31.101 [3].

The HPSIM session is terminated by the H(e)NB as follows.

The H(e)NB shall indicate to the HPSIM by sending a particular STATUS command that the termination procedure is starting.

The H(e)NB deletes all the subscription related information elements from its memory.

NOTE 2: If the H(e)NB has already updated any of the subscriber related information during the session, and the value has not changed until session termination, the H(e)NB may omit the respective update procedure.

To actually terminate the session, the H(e)NB shall use one of the mechanisms described in TS 31.101 [3].

### 5.1.3 HPSIM application closure

After termination of the HPSIM session as defined in clause 5.1.2, the HPSIM application may be closed by closing the logical channels that are used to communicate with this particular HPSIM application.

### 5.1.4 UICC presence detection

The H(e)NB checks for the presence of the UICC according to TS 31.101 [3] within all 30 s periods of inactivity on the UICC-Terminal interface. If the presence detection according to TS 31.101 [3] fails, then the H(e)NB shall follow the requirements listed in TS 33.220 [2] for the removal of the HPM within 5s after the presence detection has failed.

## 5.2 HPSIM security related procedures

### 5.2.1 Authentication procedure

The H(e)NB selects a HPSIM application and uses the AUTHENTICATE command (see clause 7.1).

### 5.2.2 IMSI request

Request: The H(e)NB performs the reading procedure with EF<sub>IMSI</sub>.

## 5.3 Subscription related procedures

### 5.3.1 Location Information acquisition procedures

The support of Location Information acquisition procedures is optional for both the HPSIM and the H(e)NB. However, if implemented, it shall be according to the present clause.

For the purpose of Location Information acquisition, a H(e)NB shall support the PROVIDE LOCAL INFORMATION proactive command as specified below.

An HPSIM and a H(e)NB supporting Location Information acquisition procedure shall support the following mechanism defined in TS 31.111 [20].

- PROVIDE LOCAL INFORMATION, H(e)NB IP address (letter class "v").

Additionally, the HPSIM and H(e)NB may support one or both of the following mechanism defined in TS 31.111 [20].

- PROVIDE LOCAL INFORMATION, H(e)NB surrounding macrocells (letter class "w")
- Geographical Location Reporting (letter class "n").

The support of any other option of the PROVIDE LOCAL INFORMATION proactive command is not required.

The HPSIM retrieves location information using one or a combination of the USAT commands listed above.

The HPSIM shall only require location information after HPSIM initialisation procedure, and at regular intervals as Operator policy requires.

Note : IP address change procedures are defined in TS 32.583 [14] for HNB and in TS 32.593 [15] for HeNB.

---

## 6 Security features

### 6.0 Generic security

The security aspects of H(e)NB are specified in TS 33.320 [2]. Clause 6 of the present document gives information related to security features supported by the HPSIM with respect to user verification and file access conditions.

### 6.1 User verification and file access conditions

The User of the HPSIM is the H(e)NB Hosting Party.

The security architecture as defined in TS 31.101 [3] applies to the HPSIM and UICC with the following definitions and additions:

- The HPSIM application shall use a global key reference PIN1 as specified in TS 31.101 [3].
- The only valid usage qualifier is '08' which means user authentication knowledge based (PIN) as defined in ISO/IEC 7816-4 [6].

In order to restrict the access to the HPSIM, the PIN may be enabled.

## 7 HPSIM commands

### 7.0 Generic commands

The commands specified in TS 31.101 [3] are supported by HPSIM, with the restrictions identified in clause 7 of the present document.

### 7.1 AUTHENTICATE

#### 7.1.1 Command description

The function can be used in the following security context:

- AKA security context during the procedure for authenticating the HPSIM to the Home Network and vice versa when AKA authentication data are available. The function shall be used whenever an AKA context shall be established, i.e. when the terminal receives a challenge from the AKA. A cipher key and an integrity key are calculated. For the execution of the command the HPSIM uses the subscriber authentication key  $K$ , which is stored in the HPSIM. The same AKA security context is used for HNB and H(e)NB authentication.

The function is related to a particular HPSIM and shall not be executable unless the HPSIM application has been selected and activated, and the current directory is the HPSIM ADF or any subdirectory under this ADF and a successful PIN verification procedure has been performed (see clause 6.1).

The HPSIM first computes the anonymity key  $AK = f5_K(RAND)$  and retrieves the sequence number  $SQN = (SQN \oplus AK) \oplus AK$ .

Then the HPSIM computes  $XMAC = f1_K(SQN || RAND || AMF)$  and compares this with the MAC which is included in AUTN. If they are different, the HPSIM abandons the function.

Next the HPSIM verifies that the received sequence number  $SQN$  is previously unused. If it is unused and its value is lower than  $SQN_{MS}$ , it shall still be accepted if it is among the last 32 sequence numbers generated. A possible verification method is described in TS 33.102 [5].

NOTE: This implies that the HPSIM has to keep a list of the last used sequence numbers and the length of the list is at least 32 entries.

If the HPSIM detects the sequence numbers to be invalid, this is considered as a synchronisation failure and the HPSIM abandons the function. In this case the command response is AUTS, where:

- $AUTS = Conc(SQN_{MS}) || MACS$ ;
- $Conc(SQN_{MS}) = SQN_{MS} \oplus f5_K(RAND)$  is the concealed value of the counter  $SQN_{MS}$  in the HPSIM; and
- $MACS = f1_K(SQN_{MS} || RAND || AMF)$  where:
- $RAND$  is the random value received in the current user authentication request;

If the sequence number is considered in the correct range, the HPSIM computes  $RES = f2_K(RAND)$ , the cipher key  $CK = f3_K(RAND)$  and the integrity key  $IK = f4_K(RAND)$  and includes these in the command response. Note that if this is more efficient,  $RES$ ,  $CK$  and  $IK$  could also be computed earlier at any time after receiving  $RAND$ .

The use of  $AMF$  is HN specific and while processing the command, the content of the  $AMF$  has to be interpreted in the appropriate manner. The  $AMF$  may e.g. be used for support of multiple algorithms or keys or for changing the size of lists, see TS 33.102 [5]. The  $AMF$  contains the EPS AKA indication bit, see TS 33.401 [13]. This bit is not interpreted by HPSIM.

#### 7.1.2 Command parameters and data

*Editor's note : HPSIM does not need to support ODD instruction code.*

Code	Value
CLA	As specified in TS 31.101 [3]
INS	'88'
P1	'00'
P2	See table below
Lc	See below
Data	See below
Le	'00', or maximum length of data expected in response

Parameter P2 specifies the authentication context as follows:

Coding of the reference control P2:

Coding b8-b1	Meaning
'1-----'	Specific reference data (e.g. DF specific/application dependant key)
'-XXXX---'	'0000'
'----XXX'	Authentication context: 001 AKA

All other codings are RFU.

Parameter P1 is used to control the data exchange between the terminal and the UICC as defined in TS 31.101 [3].

Parameter P2 is set to '81'.

Command parameters/data:

Byte(s)	Description	Length
1	Length of RAND (L1)	1
2 to (L1+1)	RAND	L1
(L1+2)	Length of AUTN (L2)	1
(L1+3) to (L1+L2+2)	AUTN	L2

The coding of AUTN is described in TS 33.102 [5]. The most significant bit of RAND is coded on bit 8 of byte 2. The most significant bit of AUTN is coded on bit 8 of byte (L1+3).

Response parameters/data, case 1, command successful:

Byte(s)	Description	Length
1	"Successful 3G authentication" tag = 'DB'	1
2	Length of RES (L3)	1
3 to (L3+2)	RES	L3
(L3+3)	Length of CK (L4)	1
(L3+4) to (L3+L4+3)	CK	L4
(L3+L4+4)	Length of IK (L5)	1
(L3+L4+5) to (L3+L4+L5+4)	IK	L5

The most significant bit of RES is coded on bit 8 of byte 3. The most significant bit of CK is coded on bit 8 of byte (L3+4). The most significant bit of IK is coded on bit 8 of byte (L3+L4+5).

Response parameters/data, case 2, synchronization failure:

Byte(s)	Description	Length
1	"Synchronisation failure" tag = 'DC'	1
2	Length of AUTS (L1)	1
3 to (L1+2)	AUTS	L1

The coding of AUTS is described in TS 33.102 [5]. The most significant bit of AUTS is coded on bit 8 of byte 3.

7.1.3 Status Conditions Returned by the HPSIM

7.1.3.0 Status Condition structure

Status of the card after processing of the command is coded in the status bytes SW1 and SW2. Clause 7.1.3 of the present document specifies coding of the status bytes in the following tables.

7.1.3.1 Security management

SW1	SW2	Error description
'98'	'62'	- Authentication error, incorrect MAC

7.1.3.2 Status Words of the Commands

The following table shows for each command the possible status conditions returned (marked by an asterisk \*).

Commands and status words

Status Words	AUTHENTICATE
90 00	*
91 XX	*
93 00	
98 50	
98 62	*
62 00	*
62 81	
62 82	
62 83	
62 F1	*
62 F3	*
63 CX	
63 F1	*
64 00	*
65 00	*
65 81	*
67 00	*
67 XX – (see note)	*
68 00	*
68 81	*
68 82	*
69 81	
69 82	*
69 83	
69 84	*
69 85	*
69 86	
6A 80	
6A 81	*
6A 82	
6A 83	
6A 86	*
6A 87	
6A 88	*
6B 00	*
6E 00	*
6F 00	*
6F XX – (see note)	*
NOTE: Except SW2 = '00'.	



---

## 8 HPSIM remote management

### 8.1 General functionality

To support HPSIM remote management the H(e)NB and the HPSIM shall support the Profile Download mechanism as specified in TS 31.111 [20] and a subset of USAT functionality that is described in the following clauses.

An HPSIM shall support "Additional TERMINAL PROFILE after UICC activation" as defined in TS 31.111 [20] and allow the H(e)NB to send multiple Terminal Profile downloads.

### 8.2 Remote application and file management

HPSIM remote management shall use RAM/RFM over HTTP mechanism described in TS 31.115 [18], TS 31.116 [19].

### 8.3 Bearer Independent Protocol

The H(e)NB shall support BIP in UICC client mode, and indicate it in TERMINAL PROFILE command as specified in TS 31.111 [20]. After HPSIM management procedures, the UICC shall open a BIP channel in UICC client mode and send a polling message to a remote server for registration.

### 8.4 Proactive Polling

The H(e)NB shall support the proactive polling mechanism defined in TS 31.101 [3].

### 8.5 Polling a remote server

It is assumed that the UICC will send a polling message to a remote server at regular intervals, in order to check for updates. The UICC will send a TIMER MANAGEMENT command with appropriate value, in order to be informed when the next polling message shall be sent.

---

## Annex A (informative): EF changes via remote management or USAT application

This annex defines if changing the content of an EF by the network (e.g. remote management) or by a USAT Application is advisable. Updating of certain Efs remotely could result in unpredictable behaviour of the H(e)NB; these are marked "Caution" in the table below. Certain Efs are marked "No"; under no circumstances should remote changes of these Efs be considered.

File identification	Description	Change advised
'2F00'	Application directory	Caution
'2F05'	Preferred languages	Yes
'2F06'	Access rule reference	Caution
'2FE2'	ICC identification	No
'6F06'	Access rule reference (under ADF <sub>HPSIM</sub> )	Caution
'6F07'	IMSI	Caution
'6FAD'	Administrative Data	Caution

---

## Annex B (informative): Suggested content of the Efs at pre-personalization

If Efs have an unassigned value, it may not be clear from the main text what this value should be. This annex suggests values in these cases.

File Identification	Description	Value
'2F00'	Application directory	Card issuer / operator dependent
'2F05'	Preferred languages	'FF..FF'
'2F06'	Access rule reference	Card issuer / operator dependent
'2FE2'	ICC identification	Card issuer / operator dependent
'6F06'	Access rule reference (under ADF <sub>HPSIM</sub> )	Card issuer / operator dependent
'6F07'	IMSI	Operator dependent
'6FAD'	Administrative Data	Operator dependant

---

# Annex C (informative): List of SFI values

This annex lists SFI values assigned in the present document.

---

## C.1 List of SFI Values at the HPSIM ADF Level

File Identification	SFI	Description
'6F06'	'06'	Access Rule Reference
'6F07'	'07'	IMSI
'6FAD'	'03'	Administrative Data

All other SFI values are reserved for future use.

## Annex D (informative): Change history

Change history							
Date	Meeting	TDoc	CR	R ev	Cat	Subject/Comment	New version
2012-06	CT-56	CP-120405				Specification approved at TSG CT. First publication as v11.0.0	11.0.0
2012-07	-	-				Correction of formatting errors noticed at CT-56 (removal of hanging paragraphs). Indication of correct letter classes for proactive commands mentioned in clause 5.3.1	11.0.1
2012-09	CT-57	CP-120621	001			Correction of reference to ASN.1 coding specification	11.1.0
2013-12	CT-62	CP-130790	002	1		Correction to delete a duplicate reference	11.2.0
2014-10	SA-65					Automatic upgrade to Rel-12	12.0.0
2015-03	CT-67	CP-150157	004	1		Allocation of 3G application code for the HPSIM	12.1.0
2015-12	SA-70					Automatic upgrade to Rel-13	13.0.0
2017-03	SA-75	-	-	-		Automatic Upgrade to Rel-14	14.0.0
2018-07	SA-80					Automatic Upgrade to Rel-15	15.0.0
2020-07	CT#88e	-	-	-		Update to Rel-16 version (MCC)	16.0.0
2020-09	CT#89	CP-202130	0005	1		Update of spec. reference	16.1.0
2022-04	-	-	-	-	-	Update to Rel-17 version (MCC)	17.0.0
2024-03	-	-	-	-	-	Update to Rel-18 version (MCC)	18.0.0