

# CS201 DISCRETE MATHEMATICS FOR COMPUTER SCIENCE

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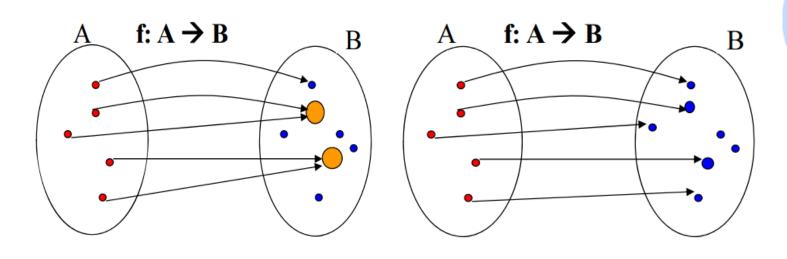
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# Injective (One-to-One) Function

• A function f is called *one-to-one* or *injective*, if and only if f(x) = f(y) implies x = y for all x, y in the domain of f. In this case, f is called an *injection*.

Alternatively: A function is *one-to-one* if and only if  $f(x) \neq f(x)$  whenever  $x \neq y$  (contrapositively

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Not injective

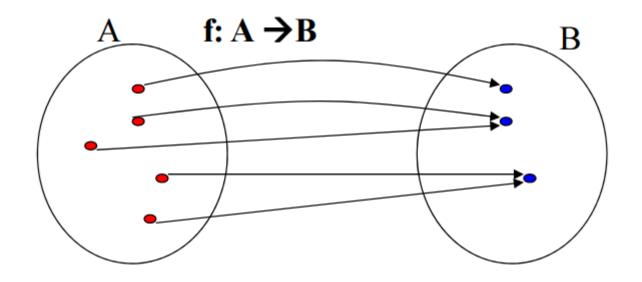
**Injective function** 



# Surjective (Onto) Function

■ A function f is called *onto* or *surjective*, if and only if for every  $b \in B$  there is an element  $a \in A$  such that f(a) = b. In this case, f is called a *surjection*.

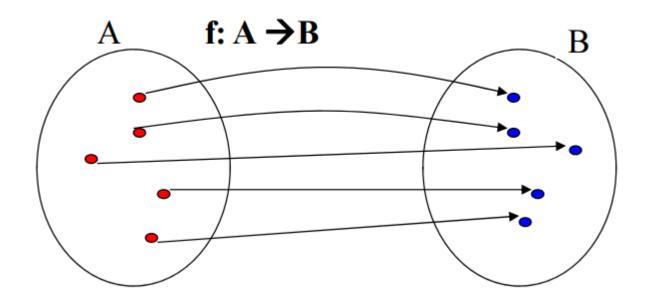
Alternatively: A function is *onto* if and only if all codomain elements are covered (f(A) = B).





# Bijective Function (One-to-One Correspondence)

■ A function *f* is called *bijective*, if and only if it is both one-to-one and onto.





# Bijective Functions

• "For a function  $f: A \rightarrow B$  with |A| = |B| = n, f is one-to-one if and only if f is onto."



# Bijective Functions

- "For a function  $f: A \rightarrow B$  with |A| = |B| = n, f is one-to-one if and only if f is onto."
- "For a function f from A to itself, f is one-to-one if and only if f is onto, where A is infinite."



# Bijective Functions

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- "For a function f from A to itself, f is one-to-one if and only if f is onto, where A is infinite."

#### **Counterexample:**

 $f: \mathbb{Z} \to \mathbb{Z}$ , where f(x) = 2x.

f is one-to-one but not onto

- $-1 \mapsto 2$
- $-2 \mapsto 4$
- $-3 \mapsto 6$
- 3 has no preimage.



#### Two Functions on Real Numbers

■ Let  $f_1$  and  $f_2$  be functions from A to  $\mathbf{R}$ . Then  $f_1 + f_2$  and  $f_1 f_2$  are also functions form A to  $\mathbf{R}$  defined for all  $x \in A$  by

$$(f_1 + f_2)(x) = f_1(x) + f_2(x)$$
  
 $(f_1 f_2)(x) = f_1(x) f_2(x)$ 



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#### **Example**:

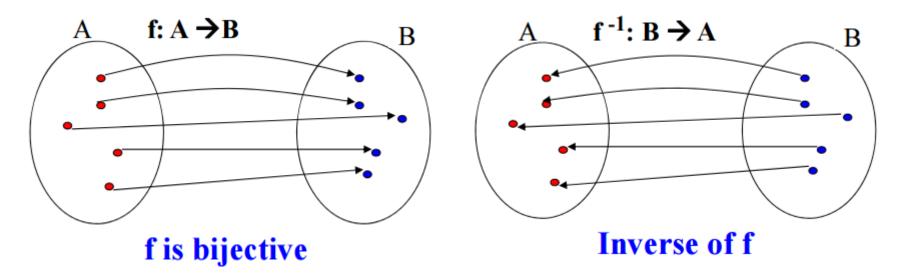
$$f_1 = x - 1$$
 and  $f_2 = x^3 + 1$ 

Then

$$(f_1 + f_2)(x) = x^3 + x$$
  
 $(f_1 f_2)(x) = x^4 - x^3 + x - 1$ 



Let  $f: A \to B$  be a bijection. The *inverse of f* is the function that assigns to an element b belonging to B the unique element a in A such that f(a) = b, denoted by  $f^{-1}$ . Hence,  $f^{-1}(b) = a$  when f(a) = b. In this case, f is called *invertible*.





■ Note: if *f* is not a bijection, it is impossible to define the inverse function of *f*. Why?



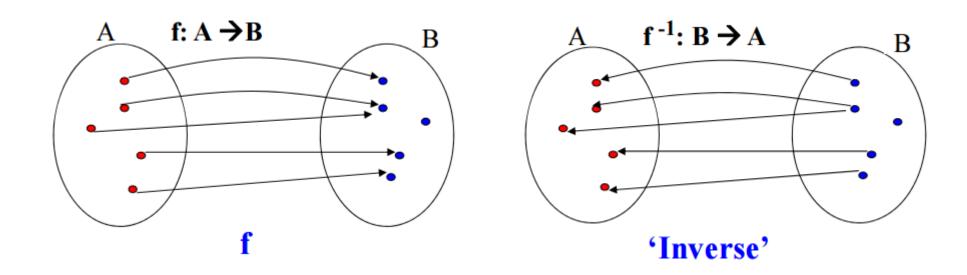
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Assume *f* is not injective:



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### Assume *f* is not injective:



The inverse is not a function: one element of B is mapped to two different elements of A



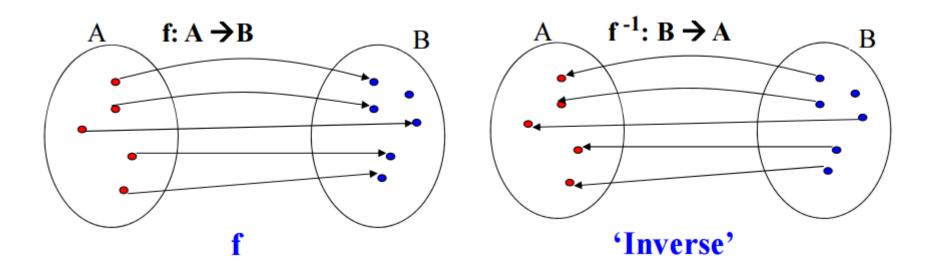
■ Note: if *f* is not a bijection, it is impossible to define the inverse function of *f*. Why?

Assume *f* is not surjective:



Note: if f is not a bijection, it is impossible to define the inverse function of f. Why?

### Assume *f* is not surjective:



The inverse is not a function: one element of B is not assigned an element of A



#### **Example 1**:

 $f: \mathbb{R} \to \mathbb{R}$ , where f(x) = 2x - 1.

What is the inverse function  $f^{-1}$ ?



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$$f^{-1}(x) = (x+1)/2$$



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#### **Example 2**:

 $f: \mathbb{Z} \to \mathbb{Z}$ , where f(x) = 2x - 1.

Is f invertible?

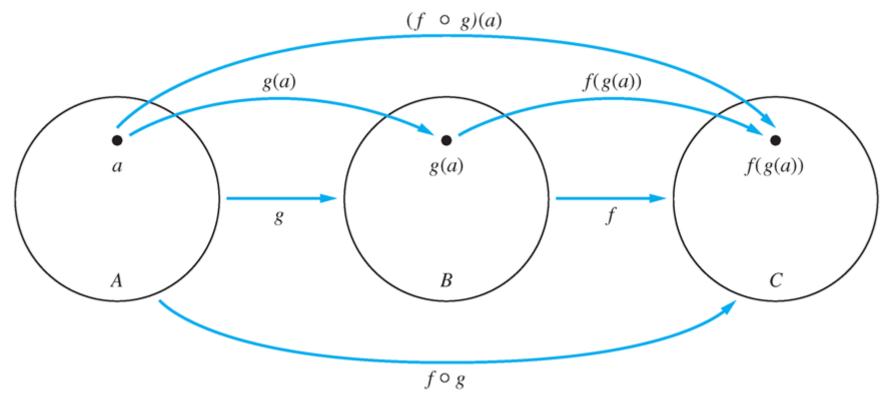
No, since f is not onto.



Let f be a function from B to C and let g be a function from A to B. The composition of the functions f and g, denoted by  $f \circ g$ , is defined by  $(f \circ g)(x) = f(g(x))$ .



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#### **Example 1**:

```
Let A=\{1,2,3\} and B=\{a,b,c,d\}. g:A\to A f:A\to B 1\mapsto 3 1\mapsto b 2\mapsto 1 2\mapsto a 3\mapsto 2 3\mapsto d What is f\circ g?
```



#### **Example 1**:

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Let A = \{1, 2, 3\} and B = \{a, b, c, d\}.

g: A \rightarrow A f: A \rightarrow B

1 \mapsto 3 1 \mapsto b

2 \mapsto 1 2 \mapsto a

3 \mapsto 2 3 \mapsto d
```

What is  $f \circ g$ ?

$$f \circ g : A \rightarrow B$$
  
 $1 \mapsto d$   
 $2 \mapsto b$   
 $3 \mapsto a$ 



#### **Example 2**:

```
Let f : \mathbb{Z} \to \mathbb{Z} and g : \mathbb{Z} \to \mathbb{Z}, where f(x) = 2x and g(x) = x^2.
```

What are  $g \circ f$  and  $f \circ g$ ?



#### **Example 2**:

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**Note**: In general, the order of composition matters.



■ Suppose that f is a bijection from A to B. Then  $f \circ f^{-1} = I_B$  and  $f^{-1} \circ f = I_A$ , Since

$$(f^{-1} \circ f)(a) = f^{-1}(f(a)) = f^{-1}(b) = a$$
  
 $(f \circ f^{-1})(b) = f(f^{-1}(b)) = f(a) = b,$ 

where  $I_A$ ,  $I_B$  denote the *identity functions* on the sets A and B, respectively.



- The *floor function* assigns a real number x the largest integer that is  $\leq x$ , denoted by  $\lfloor x \rfloor$ .
- The *ceiling function* assigns a real number x the smallest integer that is  $\ge x$ , denoted by  $\lceil x \rceil$ .



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# **TABLE 1** Useful Properties of the Floor and Ceiling Functions.

(n is an integer, x is a real number)

(1a) 
$$\lfloor x \rfloor = n$$
 if and only if  $n \le x < n + 1$ 

(1b) 
$$\lceil x \rceil = n$$
 if and only if  $n - 1 < x \le n$ 

(1c) 
$$\lfloor x \rfloor = n$$
 if and only if  $x - 1 < n \le x$ 

(1d) 
$$\lceil x \rceil = n$$
 if and only if  $x \le n < x + 1$ 

$$(2) \quad x - 1 < \lfloor x \rfloor \le x \le \lceil x \rceil < x + 1$$

$$(3a) \quad \lfloor -x \rfloor = -\lceil x \rceil$$

(3b) 
$$\lceil -x \rceil = -\lfloor x \rfloor$$

$$(4a) \quad \lfloor x + n \rfloor = \lfloor x \rfloor + n$$

(4b) 
$$\lceil x + n \rceil = \lceil x \rceil + n$$



Ex. 1: Prove or disprove that if x is a real number, then  $\lfloor 2x \rfloor = \lfloor x \rfloor + \lfloor x + \frac{1}{2} \rfloor$ .

Ex. 2: Prove or disprove that  $\lceil x + y \rceil = \lceil x \rceil + \lceil y \rceil$  for all real numbers x and y.



Ex. 1: Prove or disprove that if x is a real number, then  $\lfloor 2x \rfloor = \lfloor x \rfloor + \lfloor x + \frac{1}{2} \rfloor$ . proof by cases

Ex. 2: Prove or disprove that  $\lceil x + y \rceil = \lceil x \rceil + \lceil y \rceil$  for all real numbers x and y.

proof by finding a counterexample

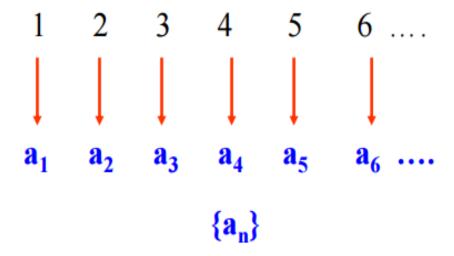
■ The factorial function  $f: \mathbb{N} \to \mathbb{Z}^+$  is the product of the first n positive integers when n is a nonnegative integer, denoted by f(n) = n!.



■ A sequence is a function from a subset of the set of integers (typically the set  $\{0,1,2,\ldots\}$  or  $\{1,2,3,\ldots\}$  to a set S. We use the notation  $a_n$  to denote the image of the integer n. ( $\{a_n\}$  represents the ordered list  $a_1, a_2, a_3, \ldots$ )



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#### 1.1 Basic Concepts and Notation

In general, a sequence is an ordered list of elements from a set S. Formally, a finite sequence with elements over S is a function from the index set  $\{0, 1, ..., N-1\}$  to S for some integer  $N \geq 0$ , and N is called the length of the sequence. An infinite sequence with elements over S is a function from the integer group  $\mathbb{Z}$  to S, and a semi-infinite sequence with elements over S is a function from the semi-group  $\{0, 1, ...\}$  to S. If the set S is a finite field  $\mathbb{F}_q$  with q elements, we say that the sequence is a q-ary sequence over  $\mathbb{F}_q$ . In particular, if  $S = \mathrm{GF}(2)$ , the sequence is called a binary sequence.

For a sequence  $\mathbf{s} = (s_i)_{i \geq 0}$ , if there exist integers r > 0 and  $u \geq 0$  such that

$$s_{i+r} = s_i \quad \text{for all } i \ge u,$$
 (1.1)

the sequence is said to be *ultimately periodic* with parameters (r, u), and r is called a period of the sequence s. The smallest number r satisfying (1.1) is called the *least period* 





#### Examples:

 $\Rightarrow a_n = n^2$ , where n = 1, 2, 3, ...  $\Rightarrow a_n = (-1)^n$ , where n = 0, 1, 2, ... $\Rightarrow a_n = 2^n$ , where n = 0, 1, 2, ...



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An arithmetic progression is a sequence of the form  $a, a+d, a+2d, a+3d, \ldots, a+nd, \ldots$ , where the initial term a and common difference d are real numbers.



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#### **Example**:

$$\diamond a_n = -1 + 4n$$
, where  $n = 0, 1, 2, 3, ...$ 



A geometric progression is a sequence of the form  $a, ar, ar^2, \ldots, ar^n, \ldots$ , where the *initial term a* and the *common ratio r* are real numbers.



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# Recursively Defined Sequences

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#### **Examples**:

```
\Rightarrow a_n = a_{n-1} + 2 assuming a_0 = 1, for n \ge 1
\Rightarrow f_n = f_{n-1} + f_{n-2} for n = 2, 3, 4, ... (Fibonacci sequence)
```



### Summations

■ The summation of the terms of a sequence is

$$\sum_{j=m}^n a_j = a_m + a_{m+1} + \cdots + a_n$$

The variable j is referred to as the index of summation and the choice of the letter j is arbitrary.

- ⋄ m is the lower limit
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$$\sum_{j=1}^{n} (ax_j + by_j) = a \sum_{j=1}^{n} x_j + b \sum_{j=1}^{n} y_j$$
$$\sum_{i=1}^{m} \sum_{j=1}^{n} a_i b_j = \sum_{i=1}^{m} a_i \sum_{j=1}^{n} b_j$$



### Summations

■ The sum of the first n terms of the arithmetic progression  $a, a + d, a + 2d, \ldots, a + nd$  is

$$S = \sum_{j=0}^{n} (a+jd) = (n+1)a + d\sum_{j=0}^{n} j = (n+1)a + d\frac{n(n+1)}{2}$$

■ The sum of the first n terms of the geometric progression  $a, ar, ar^2, \ldots, ar^k$  is

$$S = \sum_{j=0}^{n} (ar^{j}) = a \sum_{j=0}^{n} r^{j} = a \frac{r^{n+1} - 1}{r - 1}$$



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$$\sum_{k=0}^{\infty} kx^{k-1} = \frac{1}{(1-x)^2}$$



# Some Useful Summation Formulas

TABLE 2 Some Useful Summation Formulae.		
Sum	Closed Form	
$\sum_{k=0}^{n} ar^k \ (r \neq 0)$	$\frac{ar^{n+1}-a}{r-1}, r \neq 1$	
$\sum_{k=1}^{n} k$	$\frac{n(n+1)}{2}$	
$\sum_{k=1}^{n} k^2$	$\frac{n(n+1)(2n+1)}{6}$	
$\sum_{k=1}^{n} k^3$	$\frac{n^2(n+1)^2}{4}$	
$\sum_{k=0}^{\infty} x^k,  x  < 1$	$\frac{1}{1-x}$	
$\sum_{k=1}^{\infty} kx^{k-1},  x  < 1$	$\frac{1}{(1-x)^2}$	



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# Cardinality of Sets

- Recall: the cardinality of a finite set is defined by the number of the elements in the set.
- The sets A and B have the same cardinality if there is a one-to-one correspondence between elements in A and B.
- If there is a one-to-one function from A to B, the cardinality of A is less than or the same as the cardinality of B, denoted by  $|A| \le |B|$ . Moreover, when  $|A| \le |B|$  and A and B have different cardinalities, we say that the cardinality of A is less than the cardinality of B, denoted by |A| < |B|.



A set that is either finite or has the same cardinality as the set of positive integers Z<sup>+</sup> is called *countable*. A set that is **not countable** is called *uncountable*.



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♦ The elements of the set can be enumerated and listed.



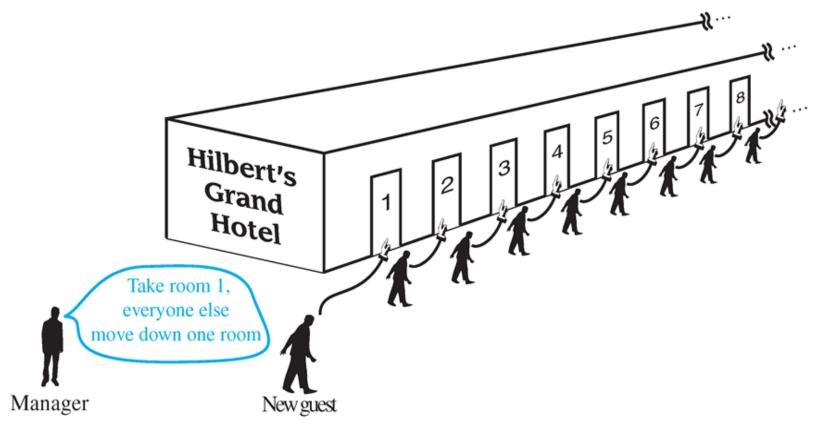
### Hilbert's Grand Hotel

■ The Grand Hotel has **countably infinite number of rooms**, each occupied by a guest. We can always accommodate a new guest at this hotel. How is this possible?



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Define a function  $f: x \mapsto 2x - 2$ . This is a bijection!

one-to-one Why?

onto Why?



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Using the definition: Is there a **bijection**  $f: \mathbf{Z}^+ \to A$ ?

Define a function  $f: x \mapsto 2x - 2$ . This is a bijection!

one-to-one Why?

if 
$$2x - 2 = 2y - 2$$
, then  $x = y$ 

onto Why?

 $\forall x \in A$ , (x+2)/2 is the preimage in  $\mathbf{Z}^+$ 



**Example 2 (Theorem)** 

The set of integers **Z** is countable.



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#### **Solution:**

We can list a sequence:

$$0, 1, -1, 2, -2, 3, -3, \dots$$

or define a bijection from  $\mathbf{Z}^+$  to  $\mathbf{Z}$ :

- when n is even: f(n) = n/2
- when *n* is odd: f(n) = -(n-1)/2



Example 3 (Theorem)

The set of (positive) rational numbers is countable.



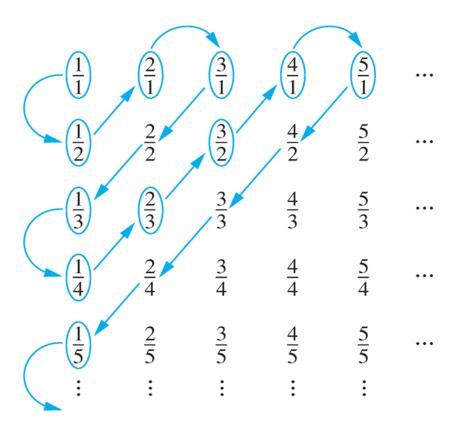
### Example 3 (Theorem)

The set of (positive) rational numbers is countable.

#### **Solution:**

Constructing the list: first list p/q with p+q=2, next list p/q with p+q=3, and so on.

$$1, 1/2, 2, 3, 1/3, 1/4, 2/3, \dots$$





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The set of finite strings S over a finite alphabet A is countably infinite. (Assume an alphabetical ordering of symbols in A)



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The set of finite strings S over a finite alphabet A is countably infinite. (Assume an alphabetical ordering of symbols in A)

#### **Solution:**

We show that the strings can be listed in a sequence. First list

- (i) all the strings of length 0 in alphabetical order.
- (ii) then all the strings of length 1 in lexicographic order.
- (iii) and so on.

This implies a bijection from  $\mathbf{Z}^+$  to S.



## **Example 5**

The set of all Java programs is countable.



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#### **Solution:**

Let S be the set of strings constructed from the characters which may appear in a Java program. Use the ordering from the previous example. Take each string in turn

- feed the string into a Java compiler
- if the complier says YES, this is a syntactically correct Java program, we add this program to the list
  - we move on to the next string

In this way, we construct a bijection from  $\mathbf{Z}^+$  to the set of Java programs.



#### Theorem

The set of real numbers  $\mathbf{R}$  is uncountable.



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#### **Proof by contradiction:**

Assume that **R** is countable. Then every subset of **R** is countable (why?), in particular, the interval from 0 to 1 is countable. This implies that the elements of this set can be listed as  $r_1, r_2, r_3, \ldots$ , where

```
-r_1 = 0.d_{11}d_{12}d_{13}d_{14}\cdots
-r_2 = 0.d_{21}d_{22}d_{23}d_{24}\cdots
-r_3 = 0.d_{31}d_{32}d_{33}d_{34}\cdots
all d_{ii} \in \{0, 1, 2, \dots, 9\}.
```



#### Theorem

The set of real numbers  $\mathbf{R}$  is uncountable.

#### **Proof by contradiction:**

We want to show that not all real numbers in the interval between 0 and 1 are in this list.

Form a new number called  $r = 0.d_1d_2d_3d_4\cdots$ , where  $d_i = 2$  if  $d_{ii} \neq 2$ , and  $d_i = 3$  if  $d_{ii} = 2$ .



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We want to show that not all real numbers in the interval between 0 and 1 are in this list.

Form a new number called  $r = 0.d_1d_2d_3d_4\cdots$ , where  $d_i = 2$  if  $d_{ii} \neq 2$ , and  $d_i = 3$  if  $d_{ii} = 2$ .

Example: suppos	se $r1 = 0.75243$	d1 = 2
	r2 = 0.524310	d2 = 3
	r3 = 0.131257	d3 = 2
	r4 = 0.9363633	d4 = 2
	rt = 0.23222222	dt = 3



#### Theorem

The set of real numbers  $\mathbf{R}$  is uncountable.

#### **Proof by contradiction:**

We claim that r is different from each number in the list.

Each expansion is unique, if we exclude an infinite string of 9's. r and  $r_i$  differ in the i-th decimal place for all i.



## Next Lecture

complexity ...

