



CS201 DISCRETE MATHEMATICS FOR COMPUTER SCIENCE

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Zero Knowledge Proofs

- **Problem:** I know that P is true, and I want to convince you of that. I try to present all the facts I know and the inferences from the facts that imply P is true.



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In a *Zero Knowledge Proof*, Alice will prove to Bob that a statement P is **true**. Bob will be completely convinced that P is **true**, but will **not** learn anything as a result of this process. That is, Bob will gain **zero knowledge**.



Applications of ZKPs

- *Protocol design*. A *protocol* is an algorithm for interactive parties to achieve a certain goal. However, in crypto, we often want to design protocols that should achieve security even when one of the parties is “cheating”. Alice can prove in *zero knowledge* that she followed the instructions.



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Proofs that Yield Nothing But their Validity and a Methodology of Cryptographic Protocol Design

(Extended Abstract)

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Applications of ZKPs

- *Identification scheme*. How should Alice prove to Bob that she is who she claimed to be? For example, how to design a control access system to the CSE dept.?



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A direct solution is to have a box on the door and give authorized people a **secret** PIN number. However, a drawback is that the box remains outside all the time and if someone could examine the box, they would perhaps be able to view its memory and extract the secrets keys of all people.



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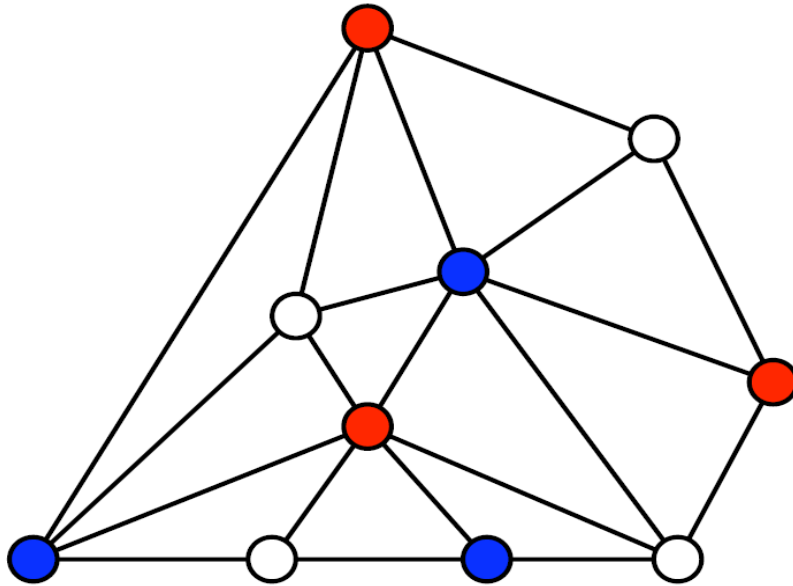
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Ideas using ZKPs:

- Let the box contain an *instance* of a **hard** problem.
- Give the authorized people the *solution* to the instance.
- The authorized people will *prove* to the box that they know the solution in zero knowledge.

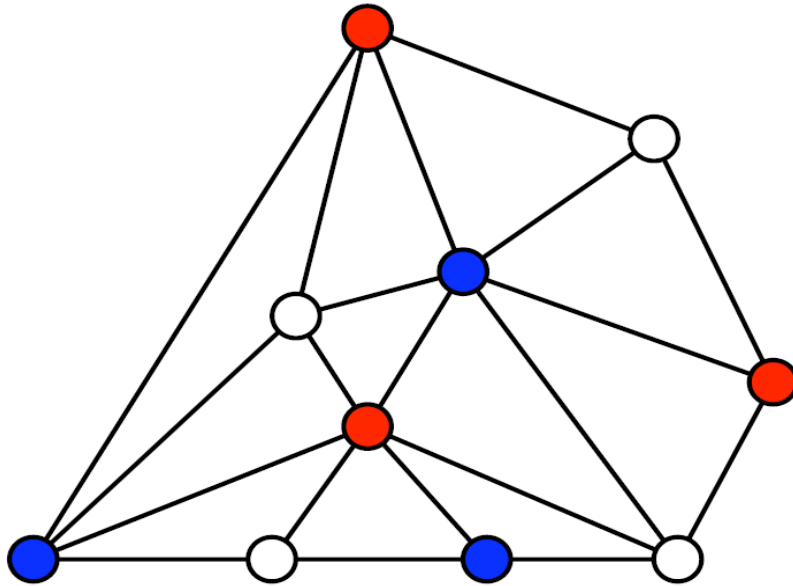


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An Example



- Alice knows how to 3-color a graph: **no** two adjacent vertices have the same color; this is an NPC problem.
 - can **impress** your friends
 - useful for **identification**

An Example

- How can Alice convince Bob that she can 3-color the graph without
 - letting him steal her work?
 - letting him impersonate her?



An Example

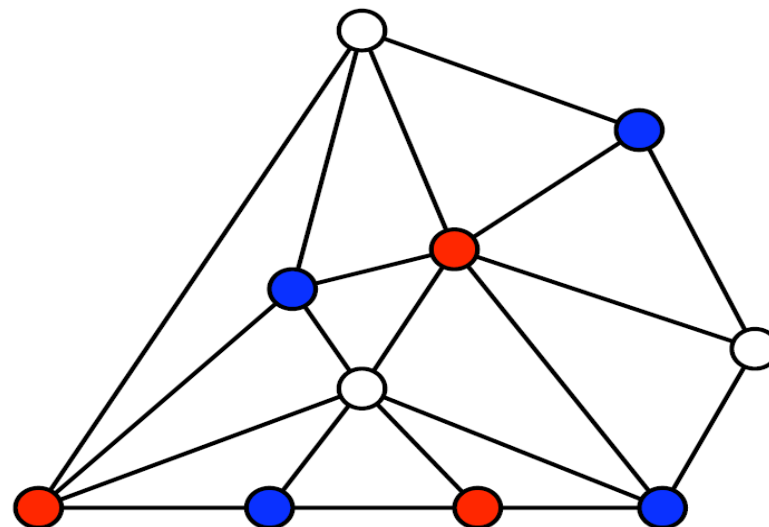
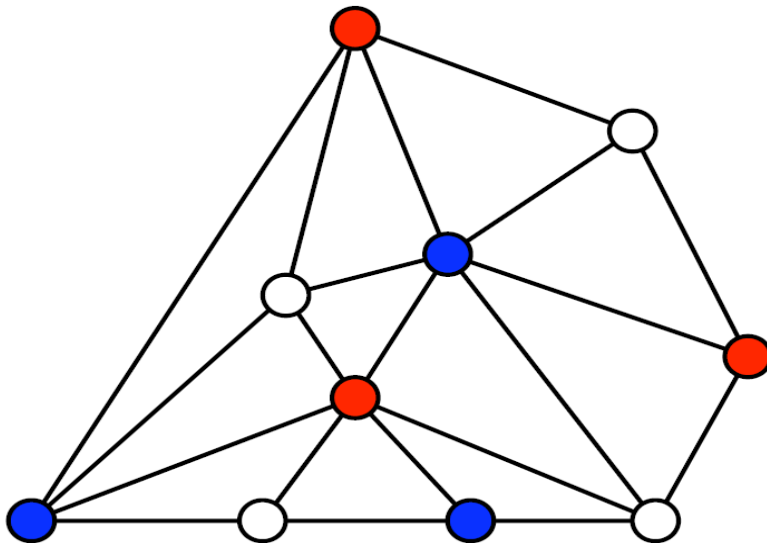
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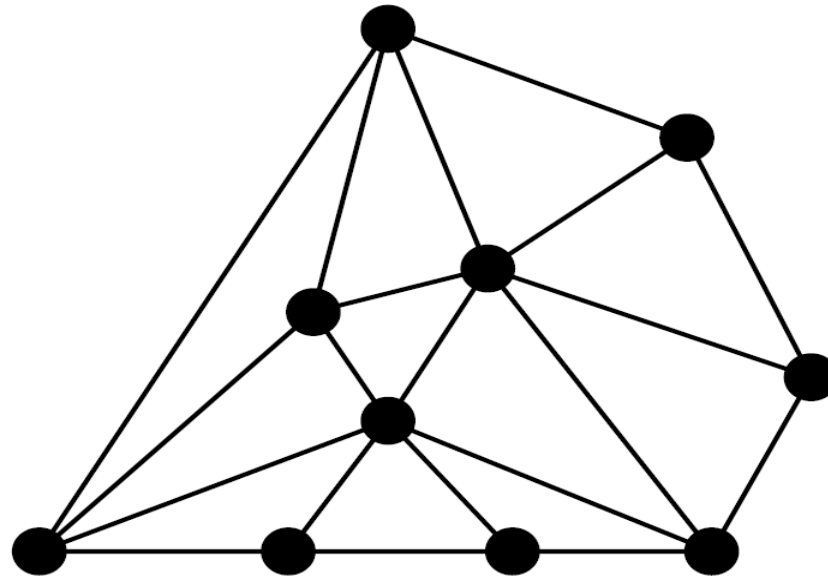
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Alice may **permute** the vertex colors.



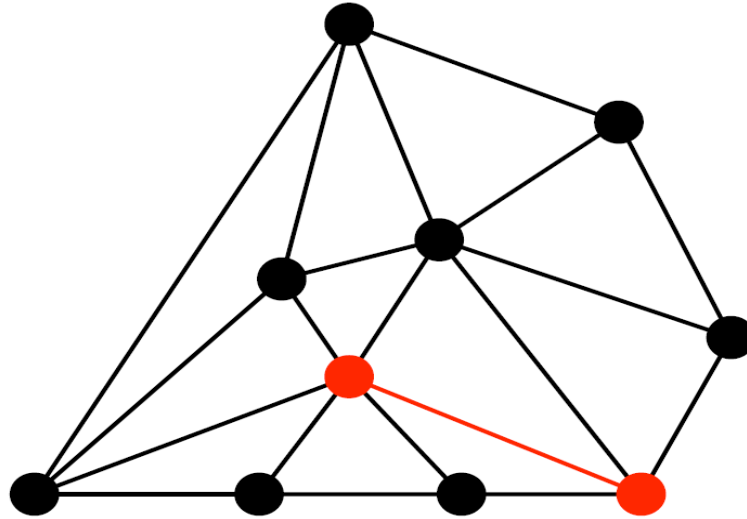
An Example

- Alice then **encrypts** all vertex colors (one key per vertex), and sends the graph to Bob.



An Example

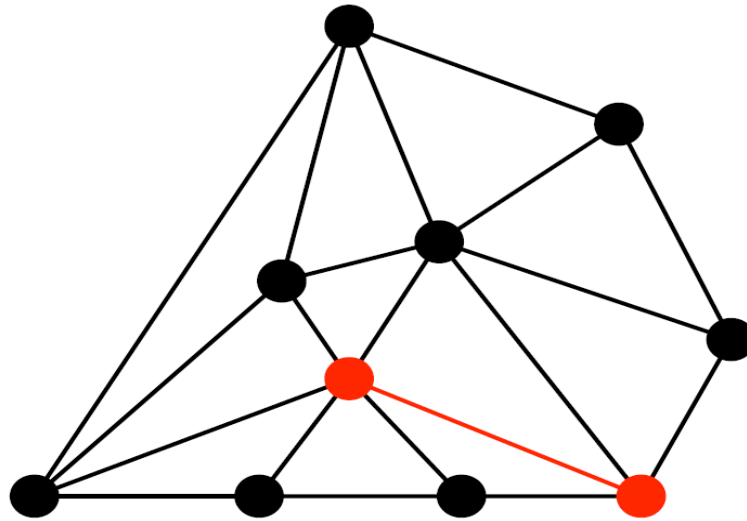
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Bob

An Example

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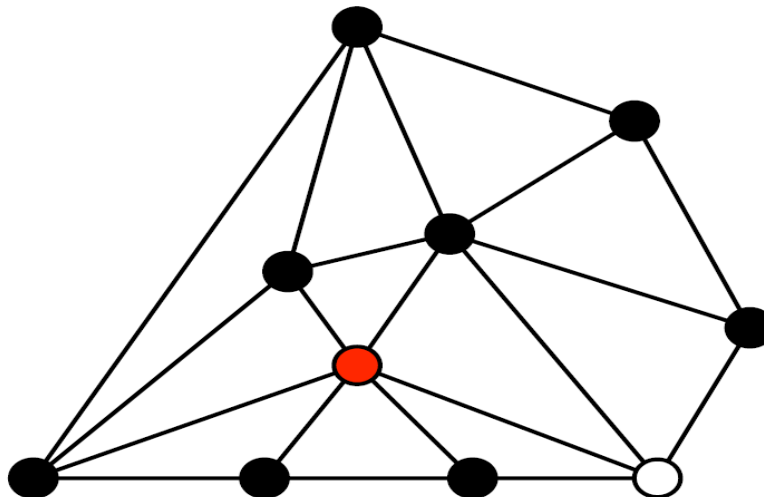


Bob

Alice **reveals** colors of those two keys.



Alice



An Example

- Repeat as much as needed:
 - Alice **permutes** graph coloring
 - Alice **encrypts** all vertices with distinct keys
 - Alice **sends** permuted encrypted colors to Bob
 - Bob picks an edge
 - Alice sends keys for two vertices
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After k repetitions, the probability she fools Bob is $(1 - \frac{1}{|E|})^k$.



An Example

- What does Bob see?
 - randomly-generated keys
 - randomly-generated colors



An Example

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Because Bob could have generated those keys and colors by himself, he learns **nothing** from the graph coloring.



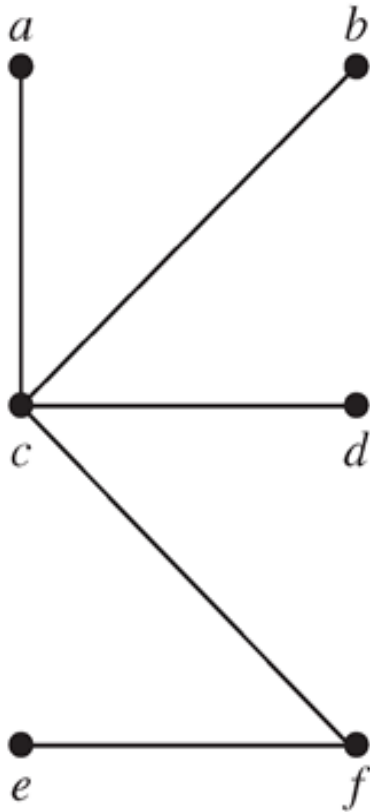
Trees

- **Definition** A *tree* is a connected undirected graph with no simple circuits.



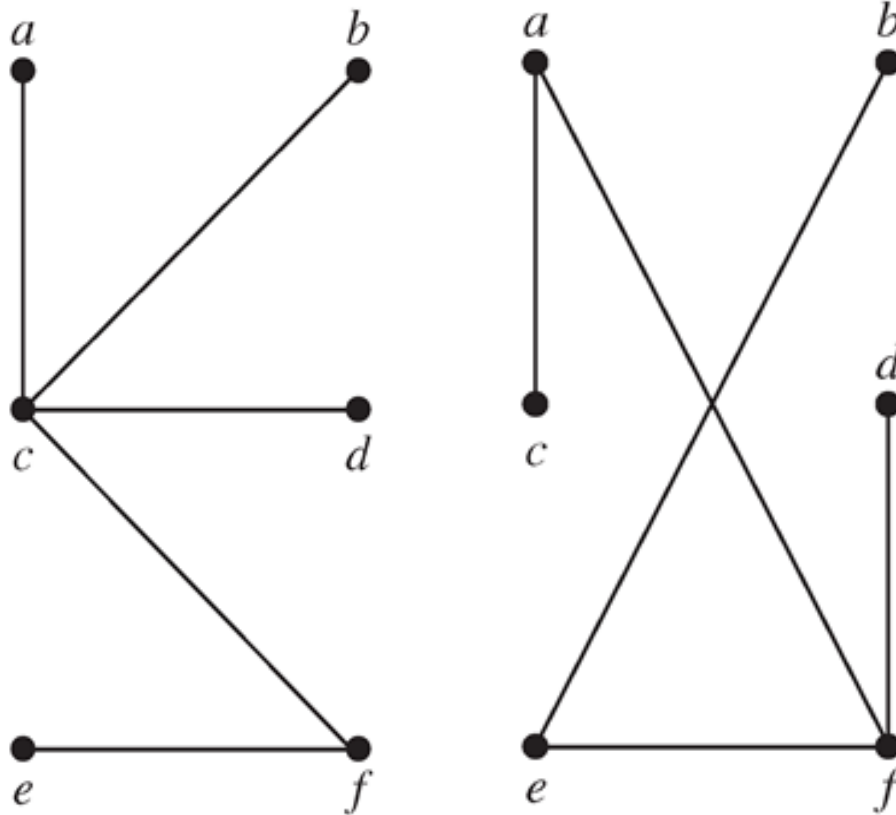
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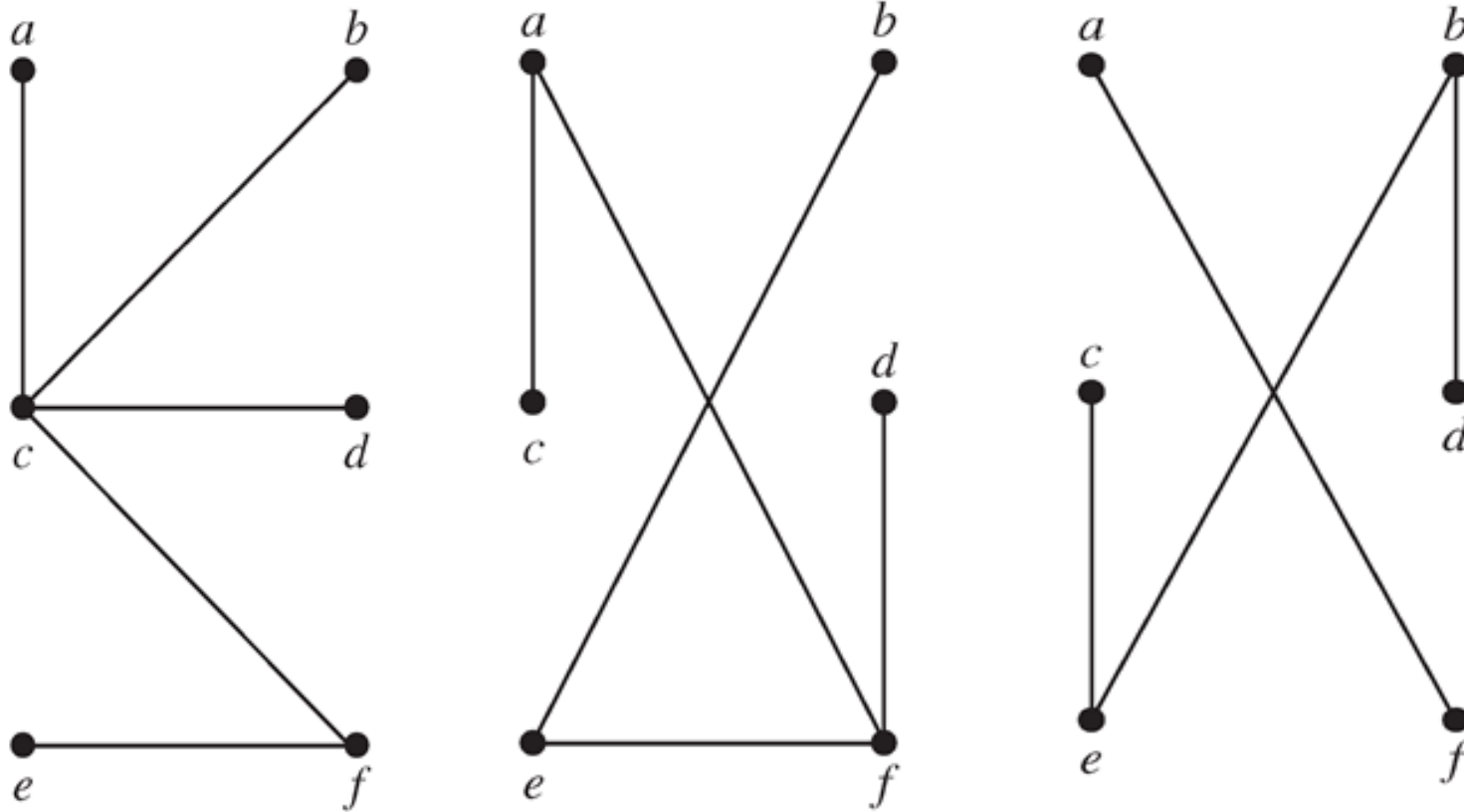
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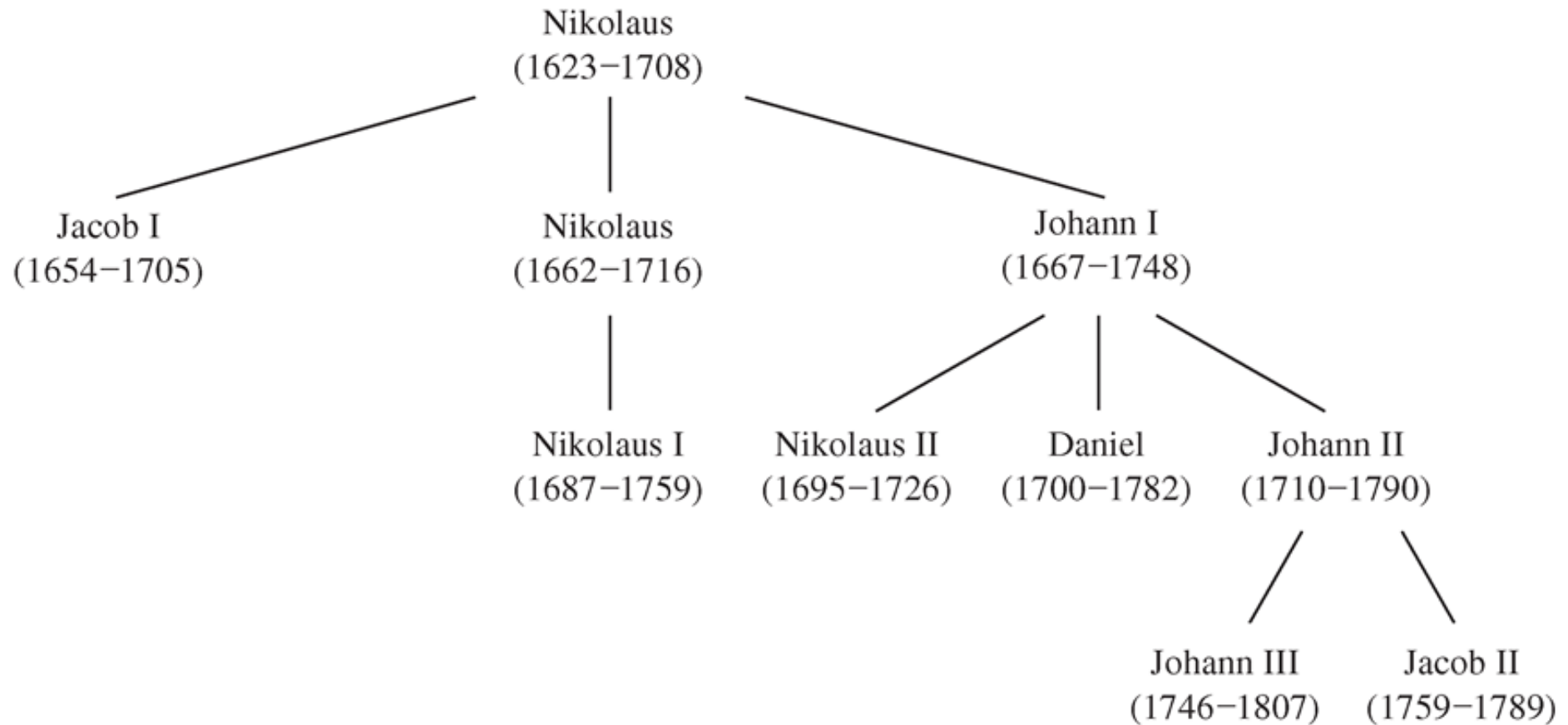
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Two properties of tree: **connected**, **no circuit**



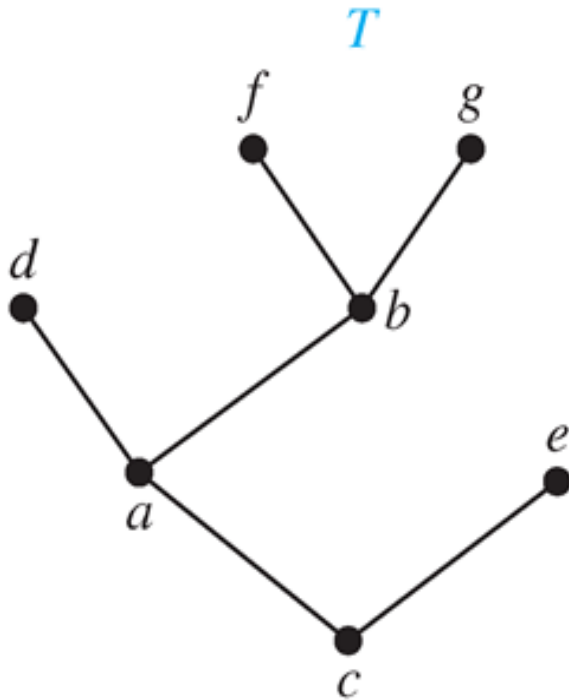
Rooted Trees

- **Definition** A *rooted tree* is a tree in which one vertex has been designated as the **root** and every edge is directed away from the root.



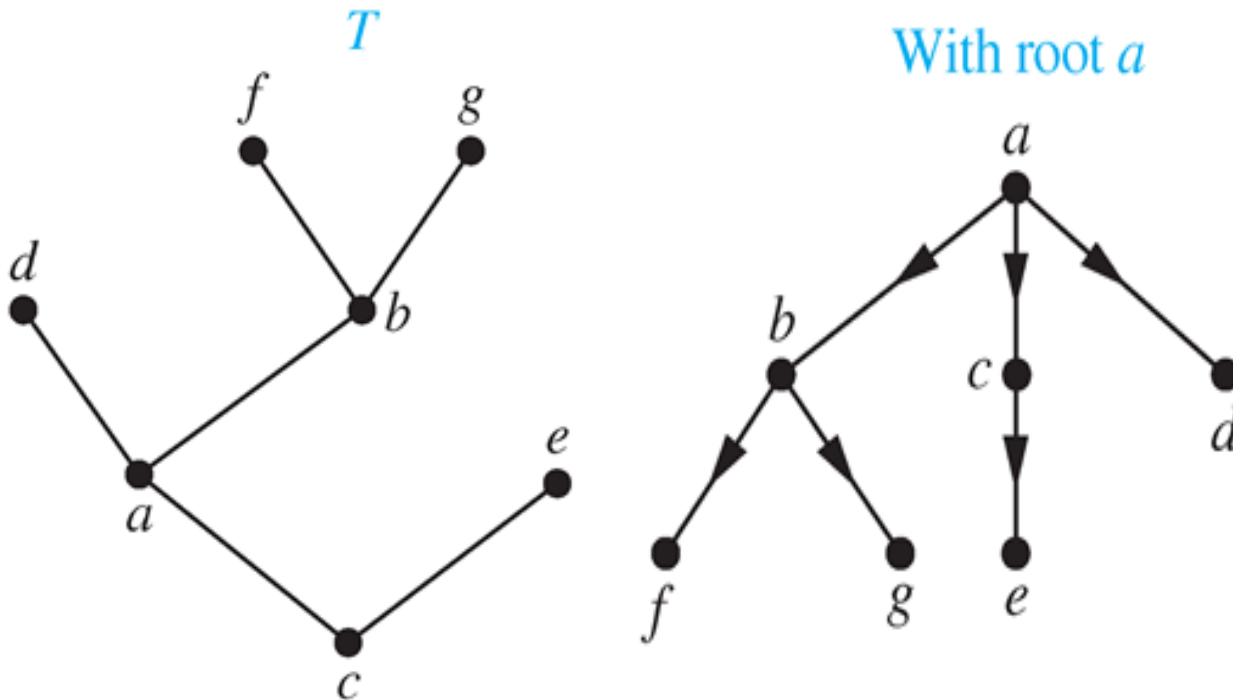
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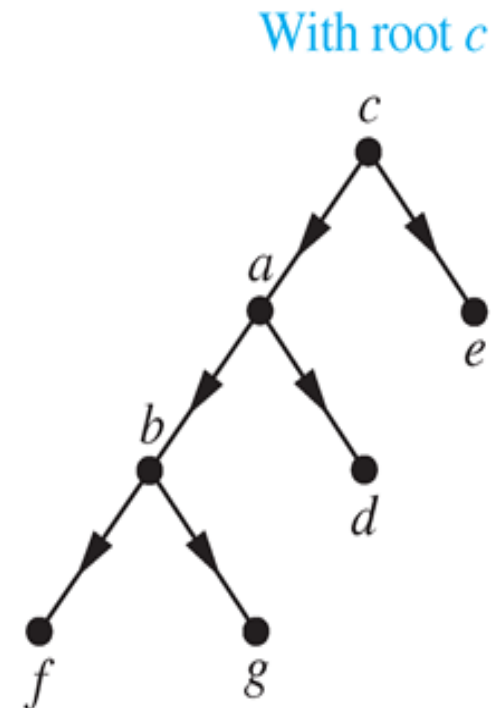
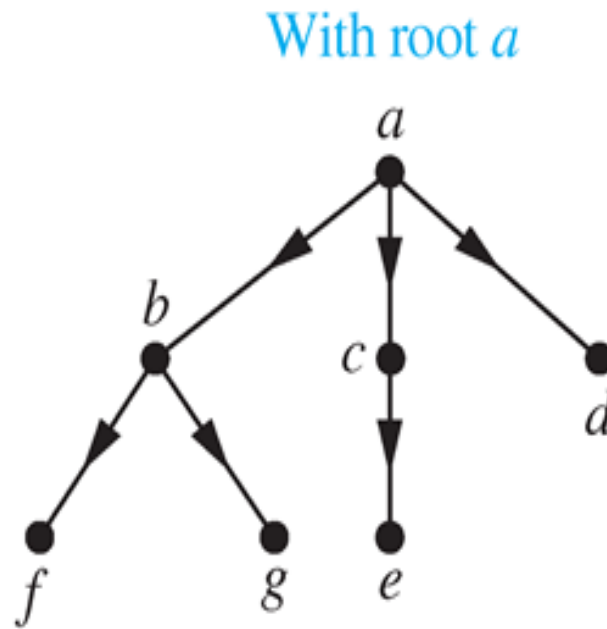
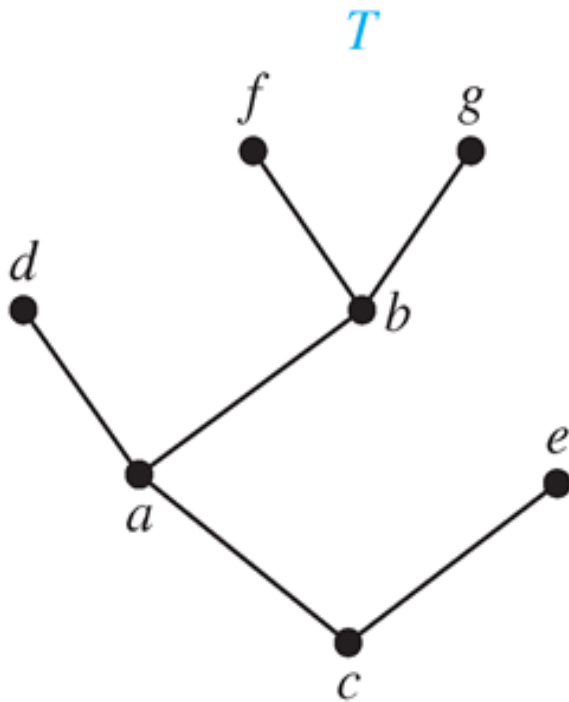
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- *parent, child, sibling*



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- *parent, child, sibling*
ancestor, descendant



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subtree with a as its root: consists of a and its descendants and all edges incident to these descendants



m -Ary Trees

- **Definition** A rooted tree is called an *m -ary tree* if every internal vertex has **no more than** m children. The tree is called a *full m -ary tree* if every internal vertex has **exactly** m children. In particular, an m -ary tree with $m = 2$ is called a *binary tree*.



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left subtree, right subtree



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using $n = mi + 1$ and $n = i + \ell$



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The *height* of a rooted tree is the maximum of the levels of the vertices.

Definition A rooted m -ary tree of height h is *balanced* if all leaves are at levels h or $h - 1$. (differ no greater than 1)



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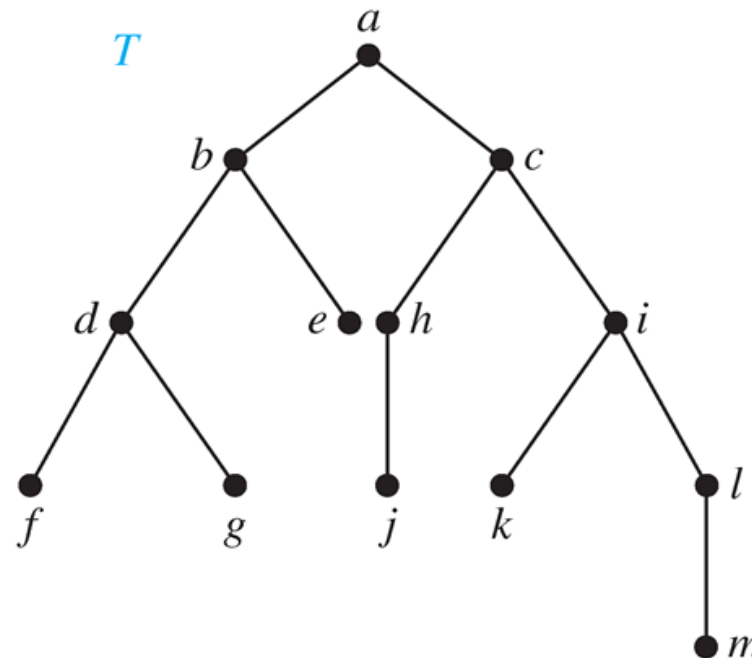
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The three most commonly used traversals are *preorder traversal*, *inorder traversal*, *postorder traversal*.

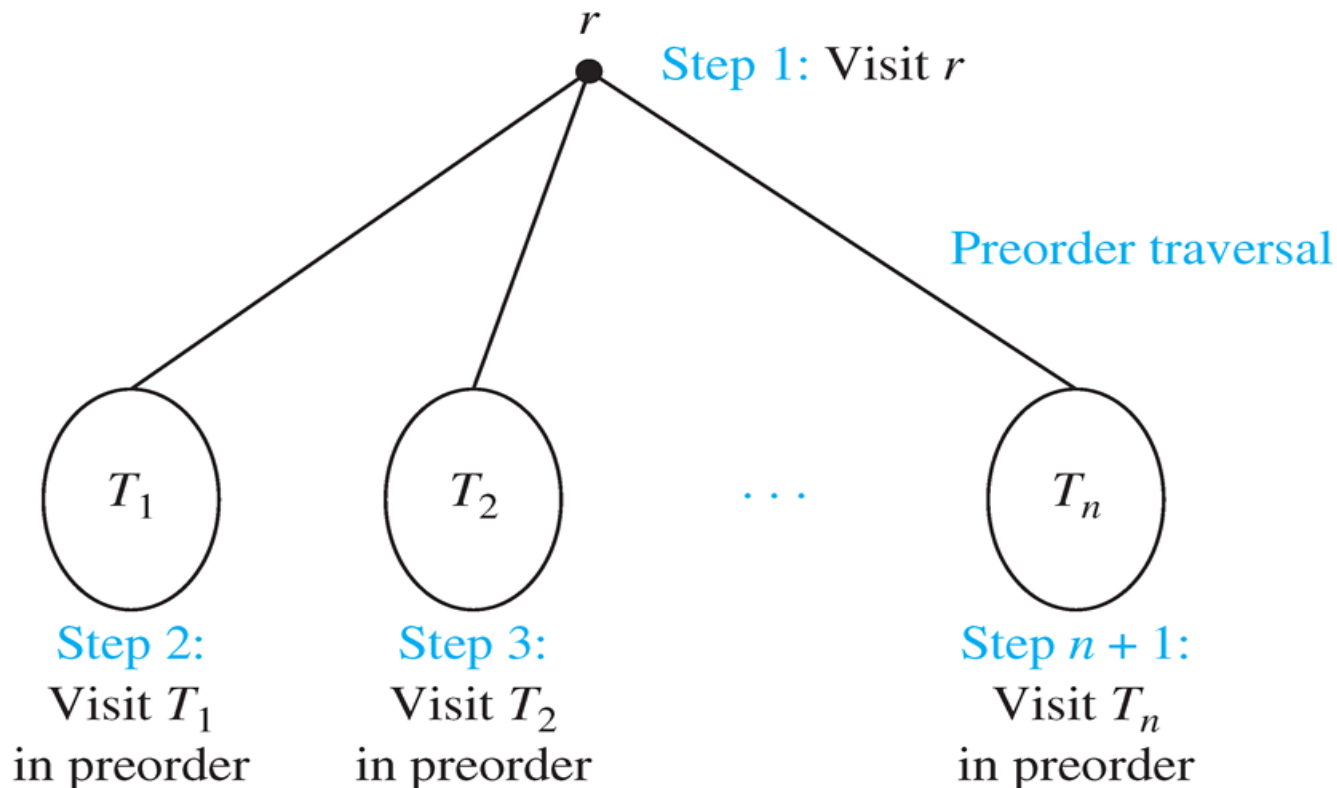


Preorder Traversal

- **Definition** Let T be an ordered rooted tree with root r . If T consists only of r , then r is the *preorder traversal* of T . Otherwise, suppose that T_1, T_2, \dots, T_n are the subtrees of r from left to right in T . The *preorder traversal* begins by *visiting* r , and continues by traversing T_1 in preorder, then T_2 in preorder, and so on, until T_n is traversed in preorder.

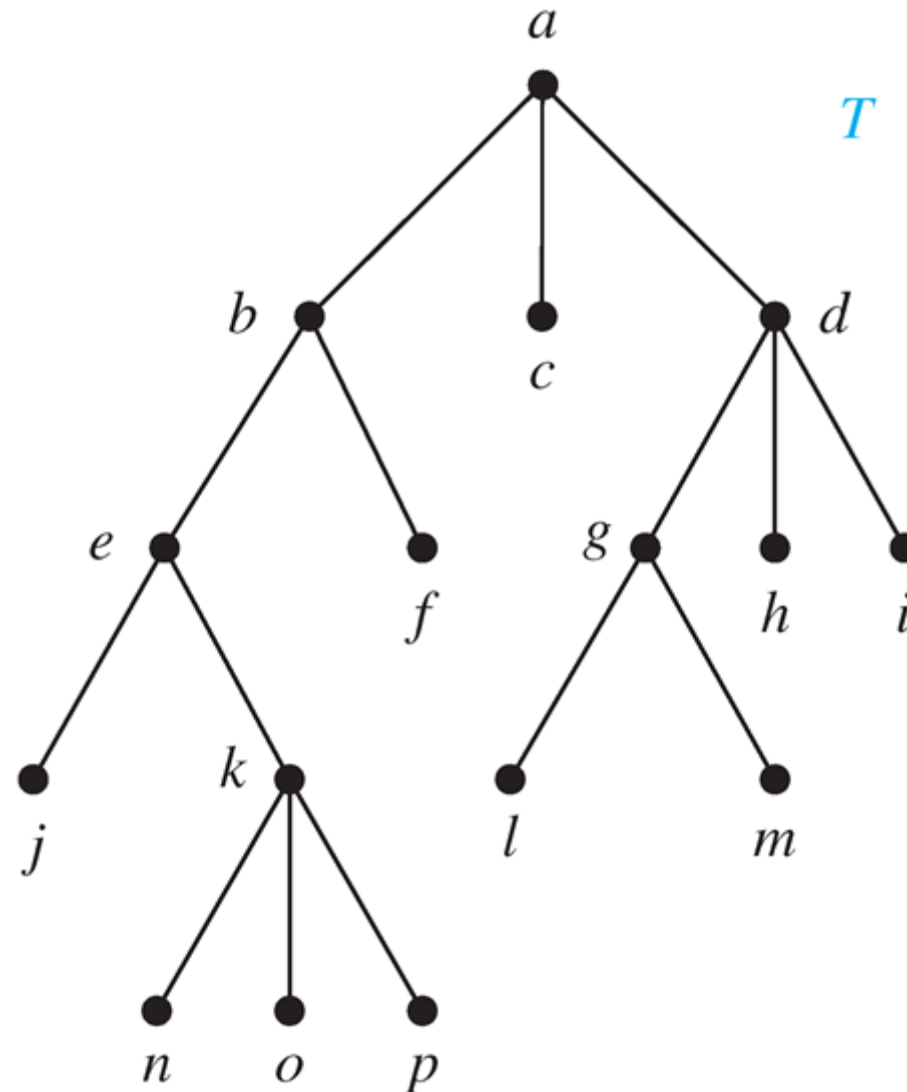
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Preorder Traversal

■ Example



Preorder Traversal

```
procedure preorder (T: ordered rooted tree)
  r := root of T
  list r
  for each child c of r from left to right
    T(c) := subtree with c as root
    preorder(T(c))
```



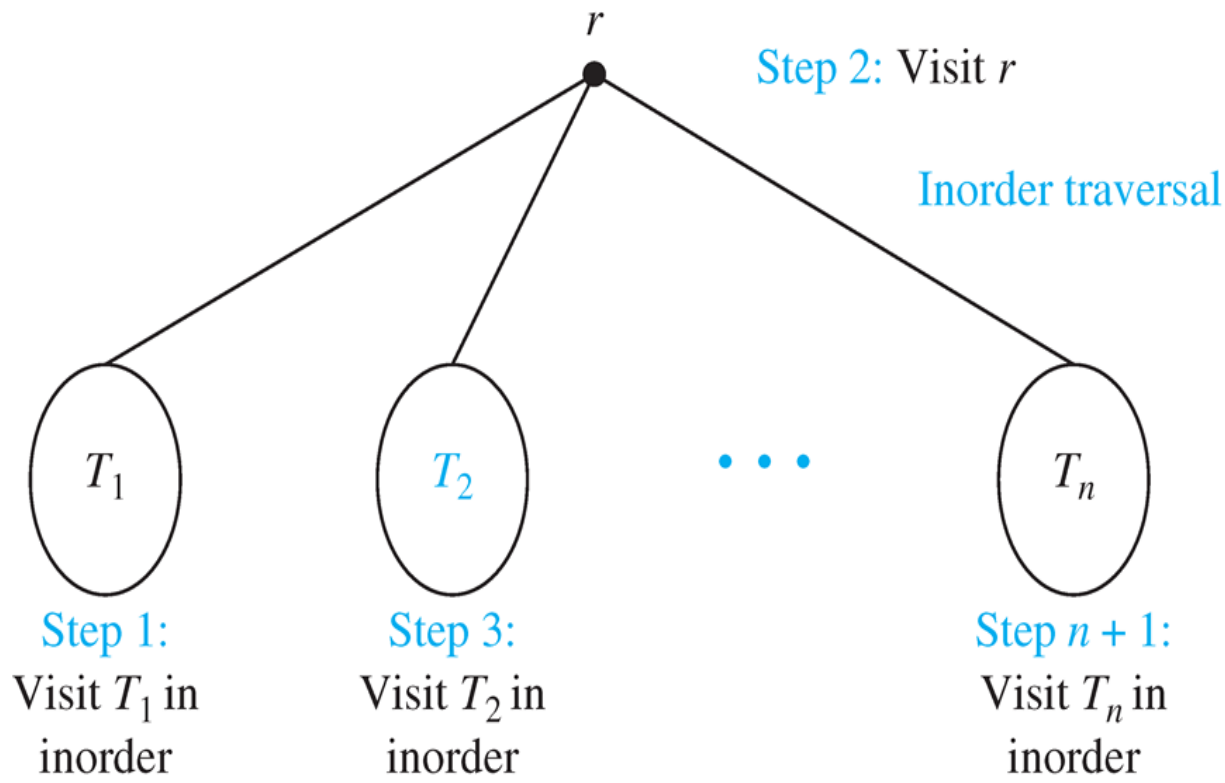
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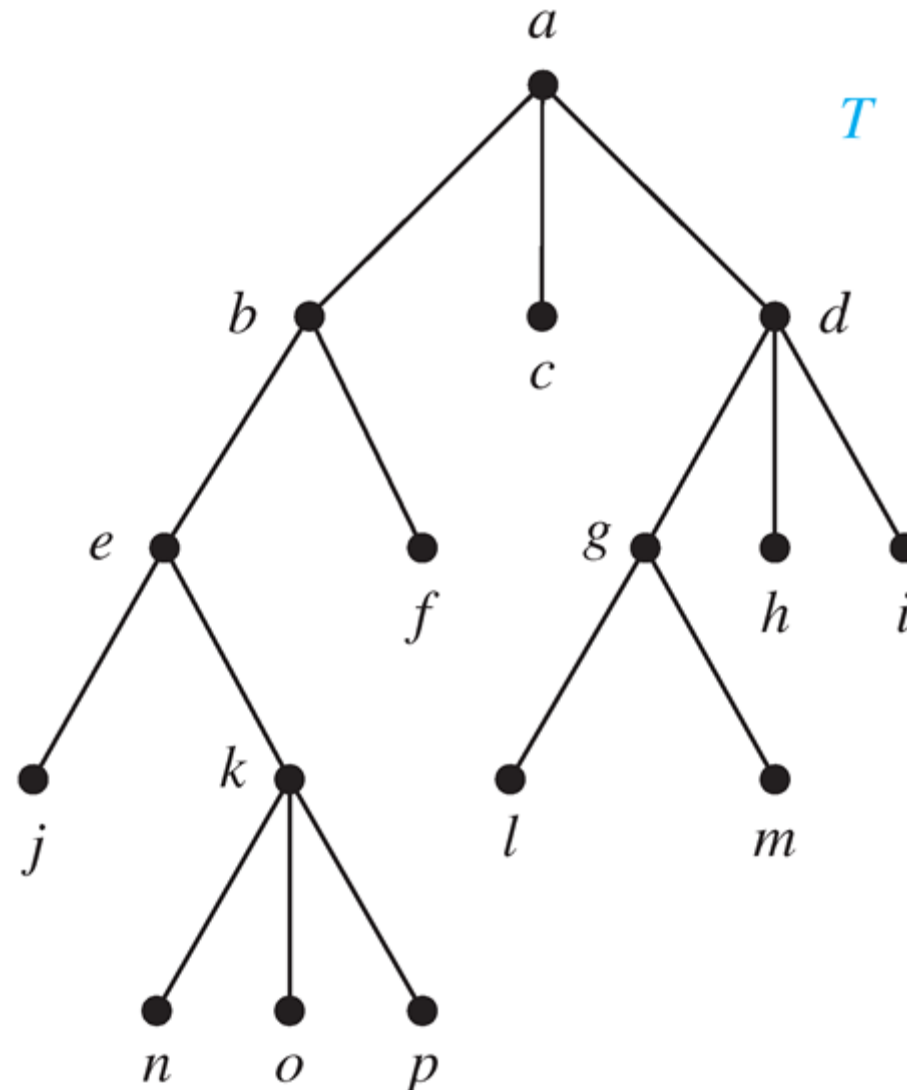
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procedure inorder (T: ordered rooted tree)
  r := root of T
  if r is a leaf then list r
  else
    l := first child of r from left to right
    T(l) := subtree with l as its root
    inorder(T(l))
    list(r)
    for each child c of r from left to right
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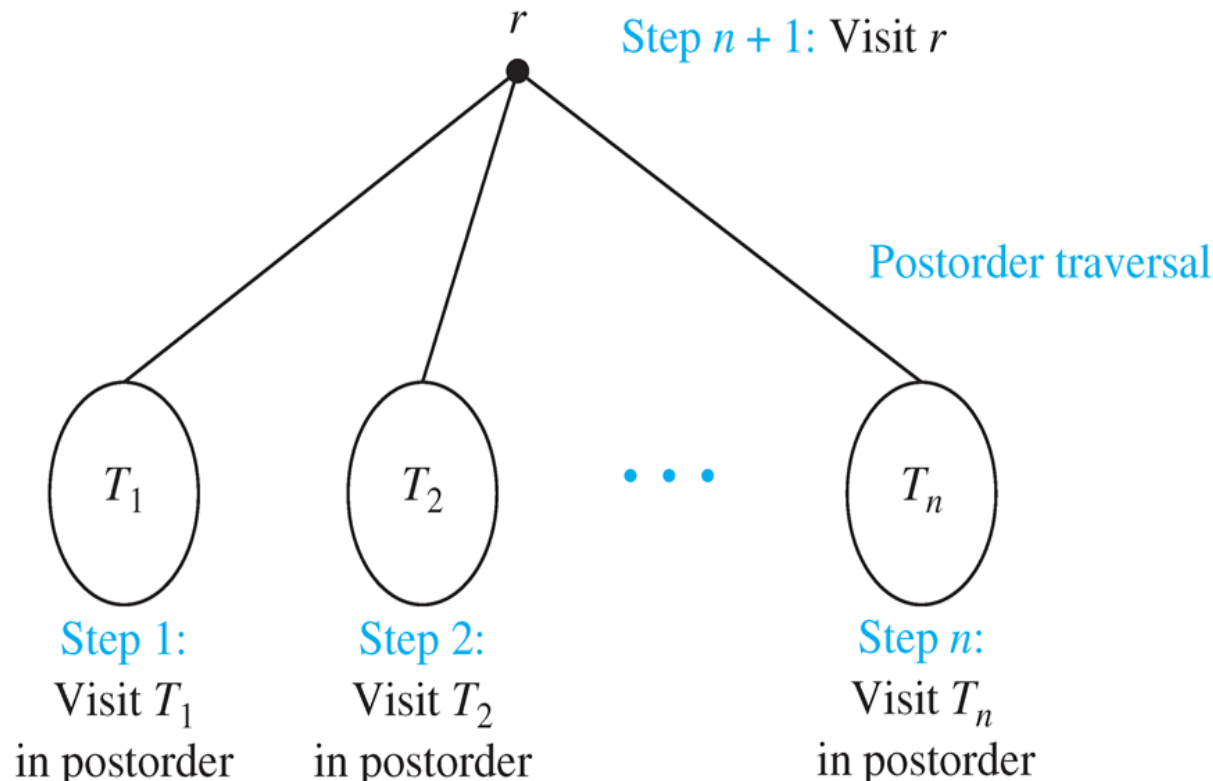
Postorder Traversal

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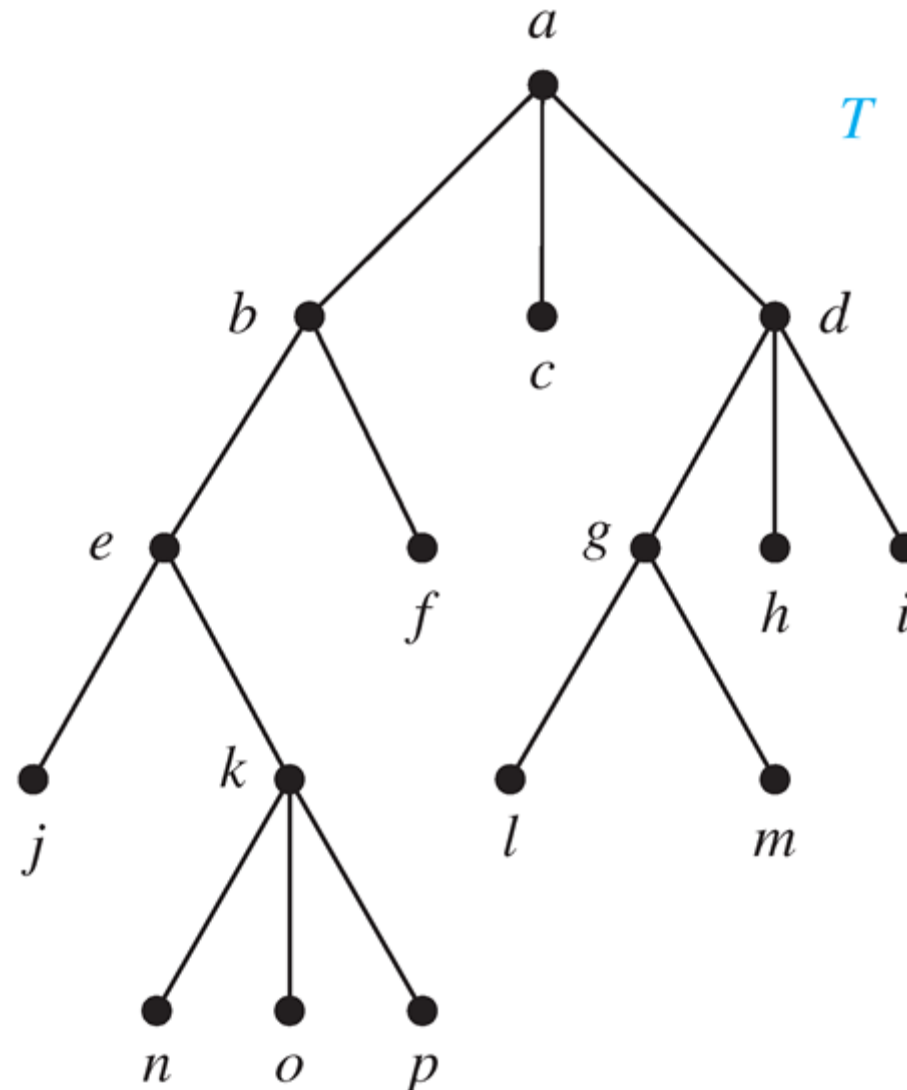
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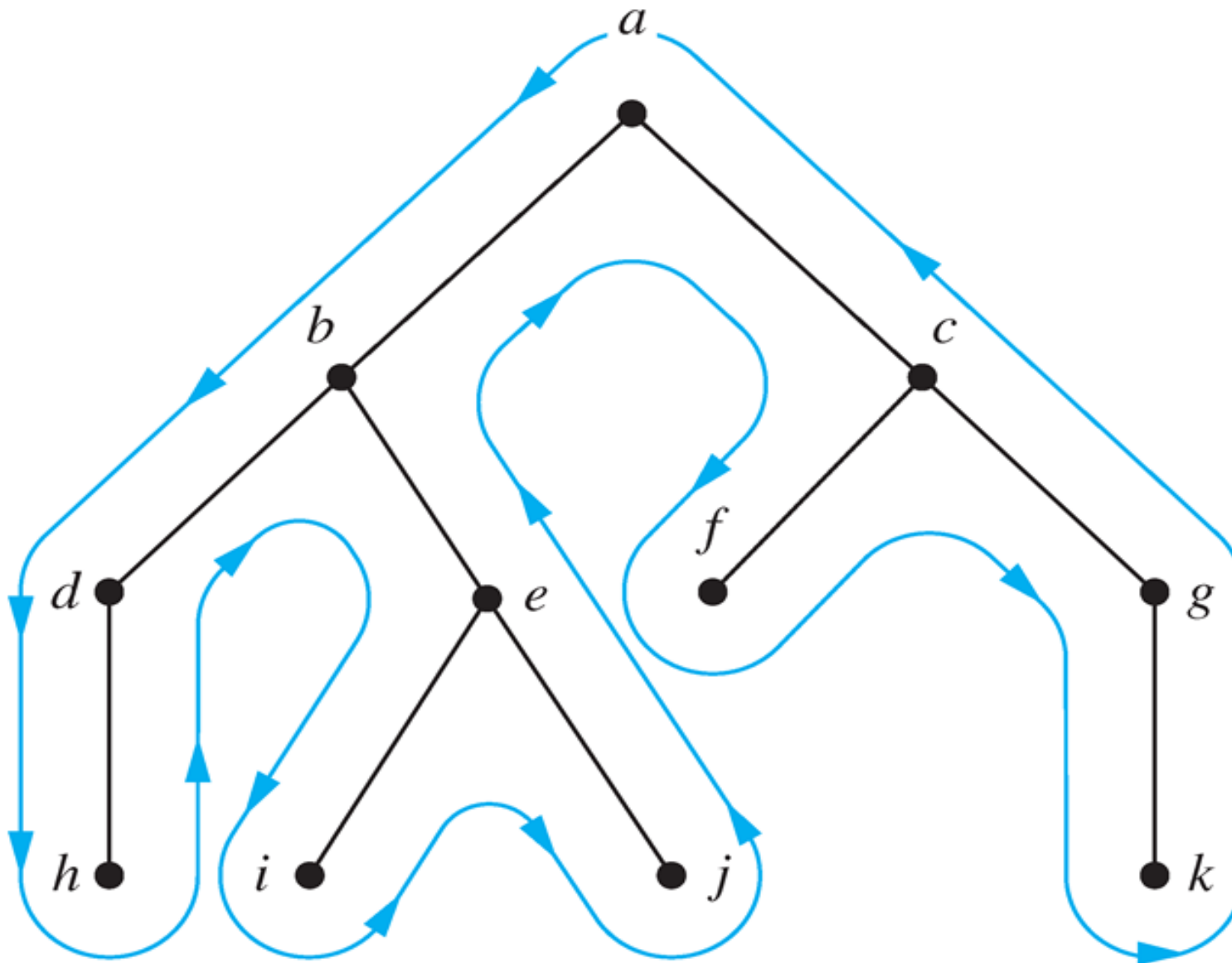


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list  $r$ 
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Preorder, Inorder, Postorder Traversal



Expression Trees

- Complex expressions can be represented using **ordered rooted trees**



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Example

consider the expression $((x + y) \uparrow 2) + ((x - 4)/3)$

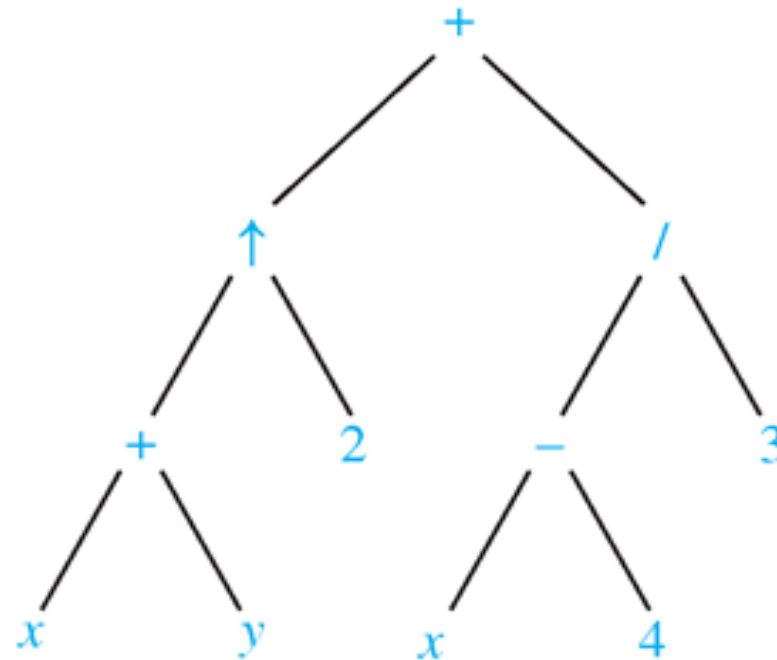


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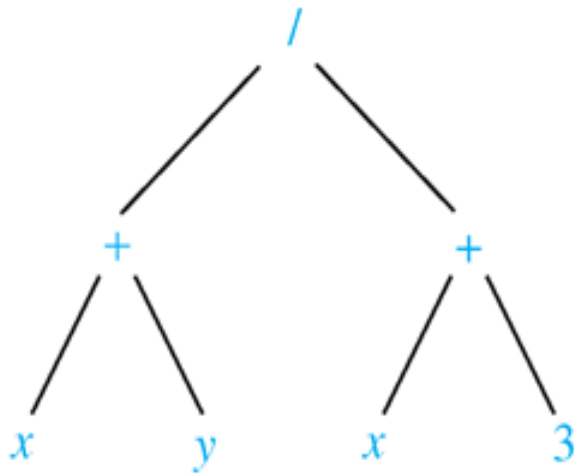
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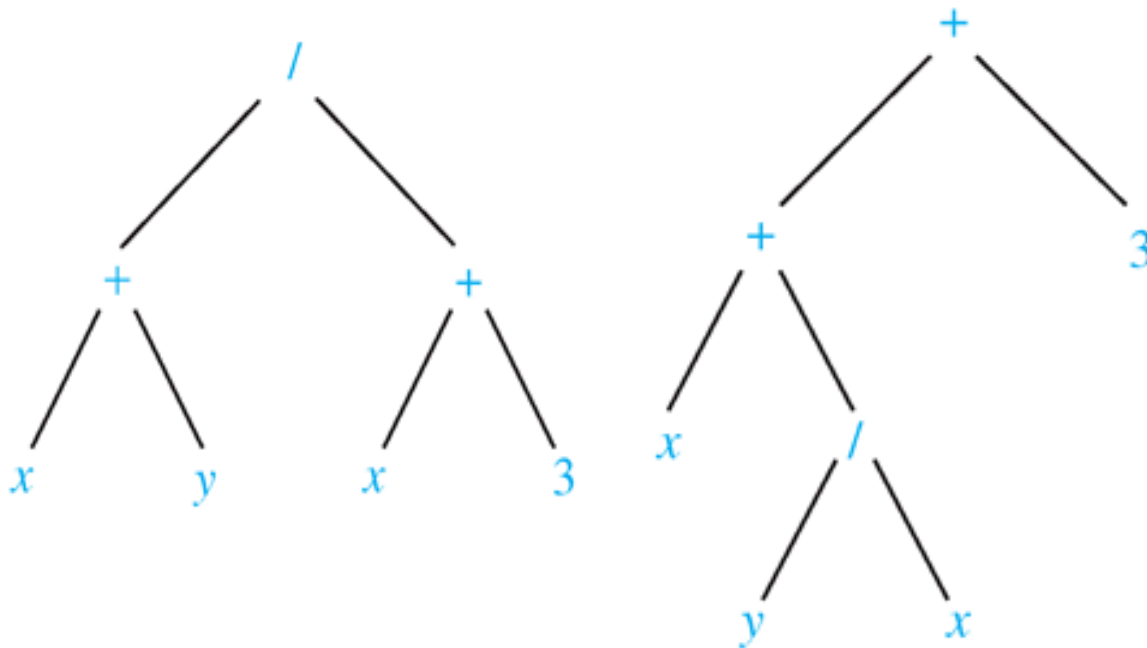
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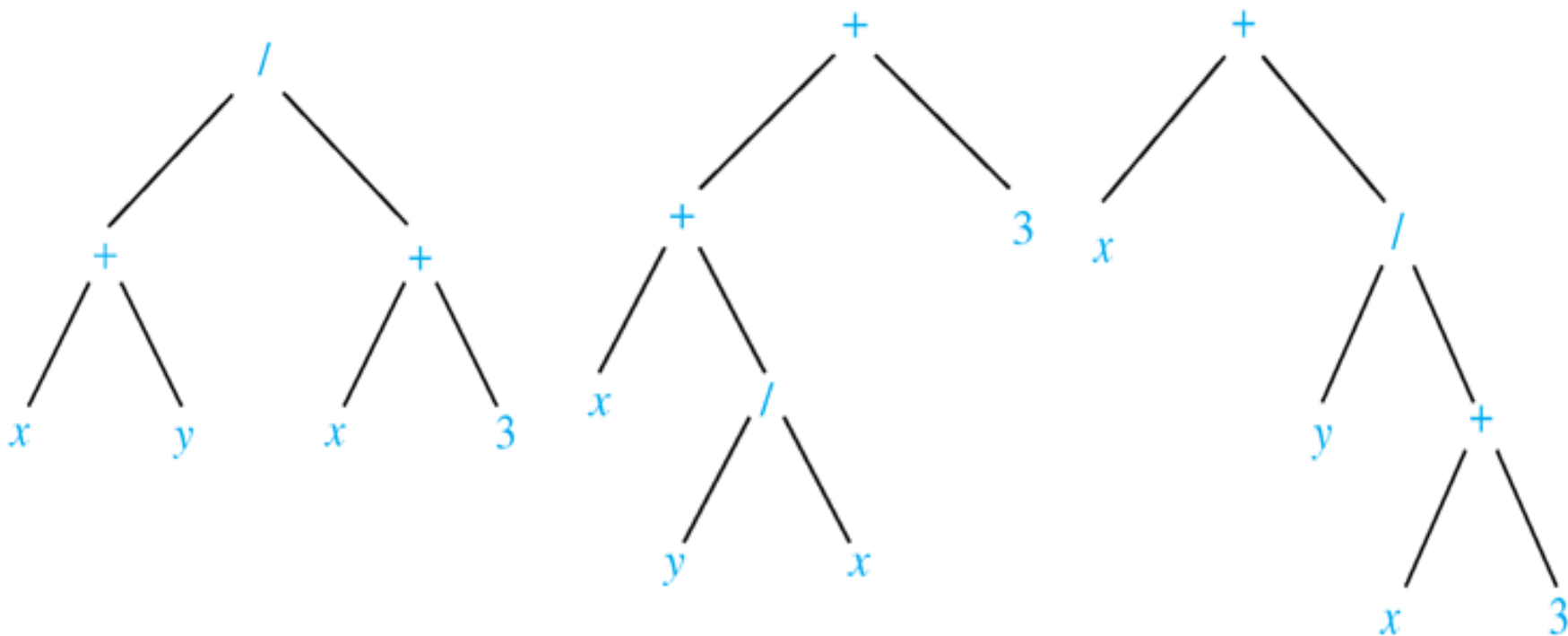
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Operators *precede* their operands in the *prefix notation*.
Parentheses are *not* needed as the representation is unambiguous.

Prefix expressions are evaluated by working *from right to left*. When we encounter an operator, we perform the operation with *the two operands to the right*.



Prefix Notation

■ Example

+ - * 2 3 5 / ↑ 2 3 4



Prefix Notation

■ Example

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$$\begin{array}{ccccccccccc} + & - & * & 2 & 3 & 5 & / & \uparrow & 2 & 3 & 4 \\ & & & & & & & \underbrace{} & & & \\ & & & & & & & 2 \uparrow 3 = 8 & & & \end{array}$$

$$\begin{array}{ccccccccccc} + & - & * & 2 & 3 & 5 & / & 8 & 4 \\ & & & & & & \underbrace{} & & & & \\ & & & & & & 8 / 4 = 2 & & & & \end{array}$$

$$\begin{array}{ccccccccccc} + & - & * & 2 & 3 & 5 & 2 \\ & & \underbrace{} & & & & & & & & \\ & & 2 * 3 = 6 & & & & & & & & \end{array}$$

$$\begin{array}{ccccccccccc} + & - & 6 & 5 & 2 \\ & \underbrace{} & & & & & & & & & \\ & 6 - 5 = 1 & & & & & & & & & \end{array}$$

$$\begin{array}{ccccccc} + & 1 & 2 \\ \underbrace{} & & & & & & \\ 1 + 2 = 3 & & & & & & \end{array}$$



Postfix Notation

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Operators **follow** their operands in the **postfix notation**.
Parentheses are **not** needed as the representation is **unambiguous**.

Postfix expressions are evaluated by working **from left to right**. When we encounter an operator, we perform the operation with **the two operands to the left**.



Postfix Notation

■ Example

7 2 3 * - 4 ↑ 9 3 / +



Postfix Notation

■ Example

$$7\ 2\ 3\ * \ -\ 4\ \uparrow\ 9\ 3\ /\ +$$
$$7 \quad 2 \quad 3 \quad * \quad - \quad 4 \quad \uparrow \quad 9 \quad 3 \quad / \quad +$$

$$2 * 3 = 6$$

7 6 - 4 ↑ 9 3 / +

$$7 - 6 = 1$$

$$\underbrace{1 \quad 4 \quad \uparrow \quad 9 \quad 3}_{\text{1000s}}$$

$$1^4 = 1$$

$$\begin{array}{r} 193 \\ \hline \end{array} +$$

$$9 / 3 = 3$$

$$\begin{array}{r} 1 \quad 3 \quad + \\ \hline \end{array}$$

$$1 + 3 = 4$$



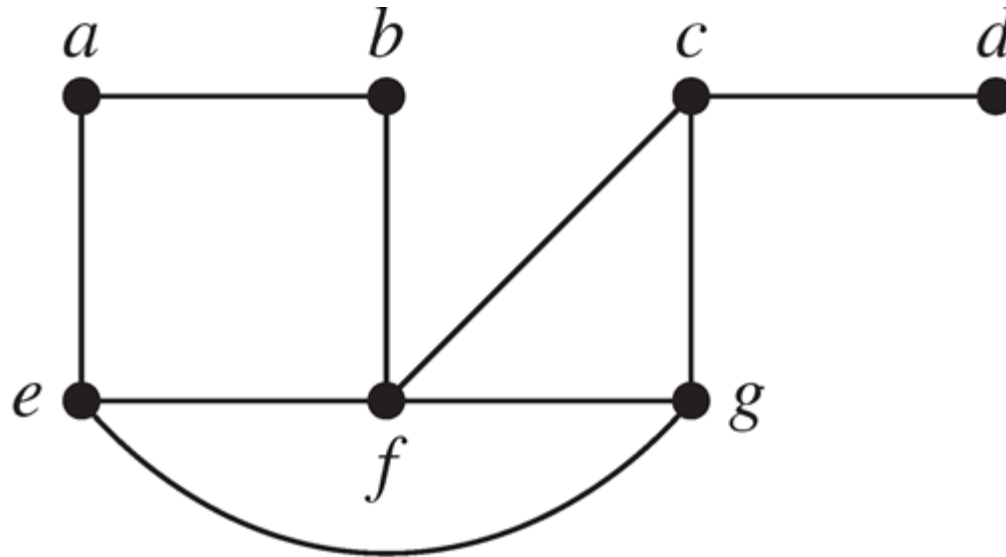
Spanning Trees

- **Definition** Let G be a simple graph. A *spanning tree* of G is a subgraph of G that is a tree containing every vertex of G .



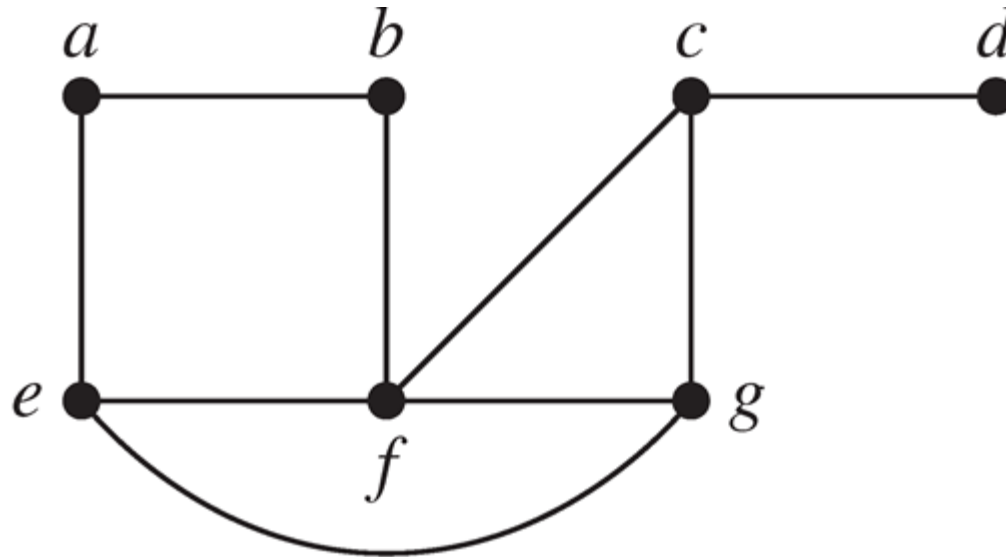
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remove edges to avoid circuits

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- **Theorem** A simple graph is **connected** if and only if it has a **spanning tree**.



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Proof

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easy



Depth-First Search

- We can find **spanning trees** by **removing edges from simple circuits**.



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But, this is **inefficient**, since **simple circuits** should be identified **first**.



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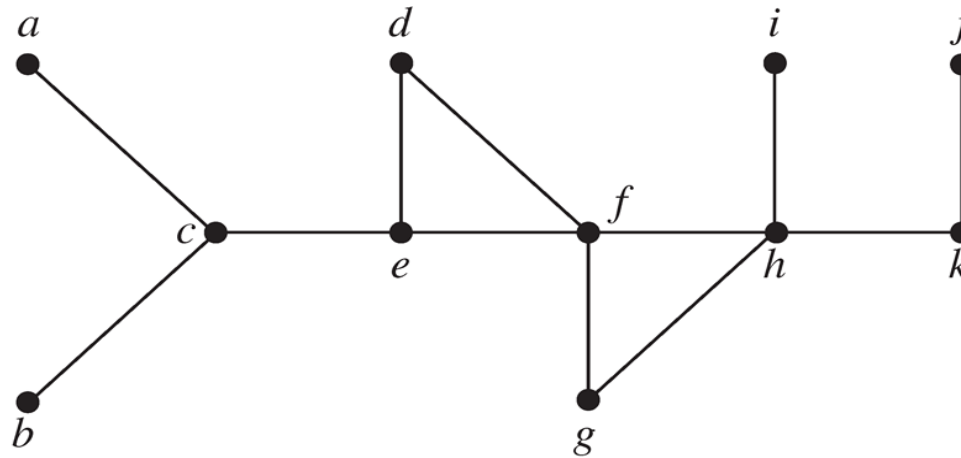
Instead, we build up **spanning trees** by **successively adding edges**.

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- ◇ Form a path by **successively adding vertices and edges**. Continue adding to this path **as long as possible**.
- ◇ If the path goes through all vertices of the graph, **the tree is a spanning tree**.
- ◇ Otherwise, **move back to some vertex** to repeat this procedure (*backtracking*)



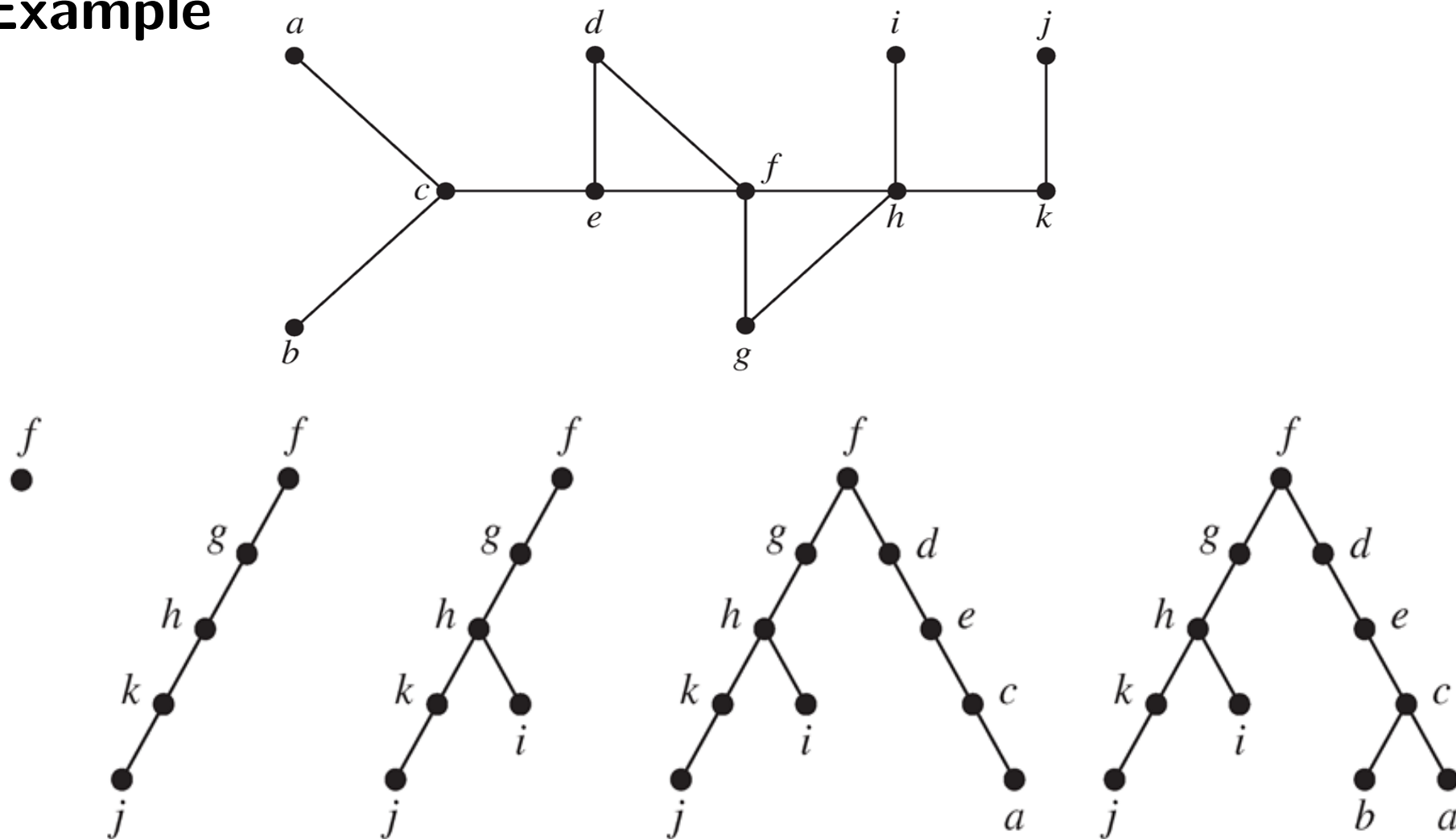
Depth-First Search

■ Example



Depth-First Search

■ Example



Depth-First Search Algorithm

```
procedure DFS( $G$ : connected graph with vertices  $v_1, v_2, \dots, v_n$ )  
 $T :=$  tree consisting only of the vertex  $v_1$   
visit( $v_1$ )
```

```
procedure visit( $v$ : vertex of  $G$ )  
for each vertex  $w$  adjacent to  $v$  and not yet in  $T$   
    add vertex  $w$  and edge  $\{v, w\}$  to  $T$   
    visit( $w$ )
```



Depth-First Search Algorithm

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procedure visit(v: vertex of G)  
for each vertex w adjacent to v and not yet in T  
    add vertex w and edge  $\{v, w\}$  to T  
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```

time complexity: $O(e)$



Breadth-First Search

- This is the **second** algorithm that we build up **spanning trees** by **successively adding edges**.



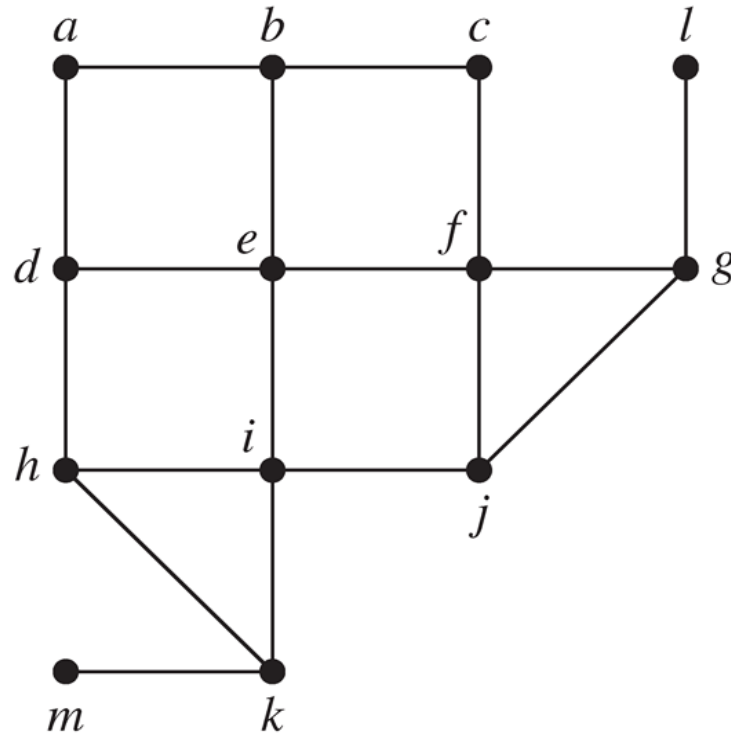
Breadth-First Search

- This is the **second** algorithm that we build up **spanning trees** by **successively adding edges**.
 - ◇ First arbitrarily choose a vertex of the graph as the root.
 - ◇ Form a path by **adding all edges incident to this vertex and the other endpoint of each of these edges**
 - ◇ For each vertex added at the **previous level**, **add edge incident to this vertex**, as long as it does **not** produce a simple circuit.
 - ◇ Continue in this manner until **all vertices have been added**.



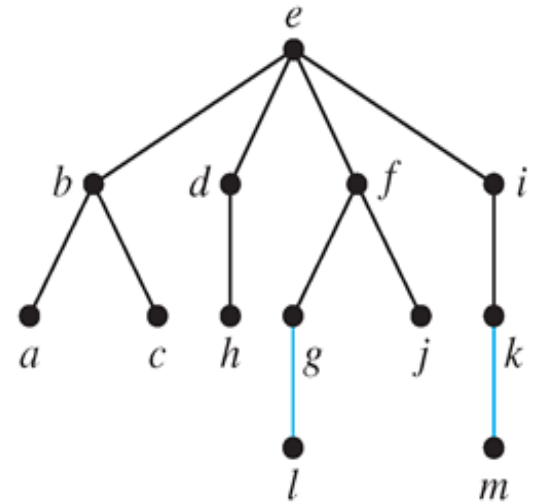
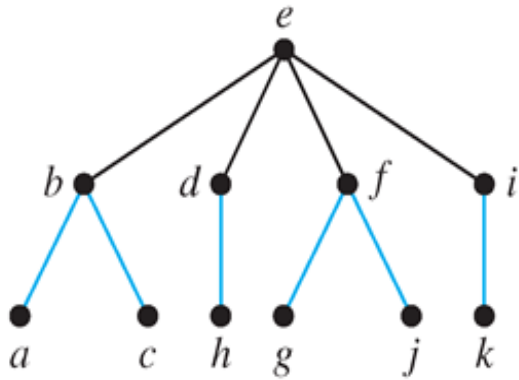
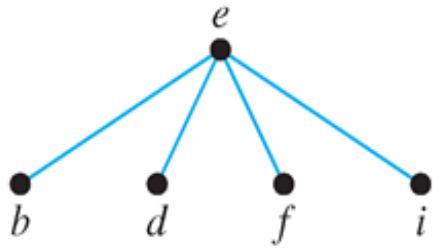
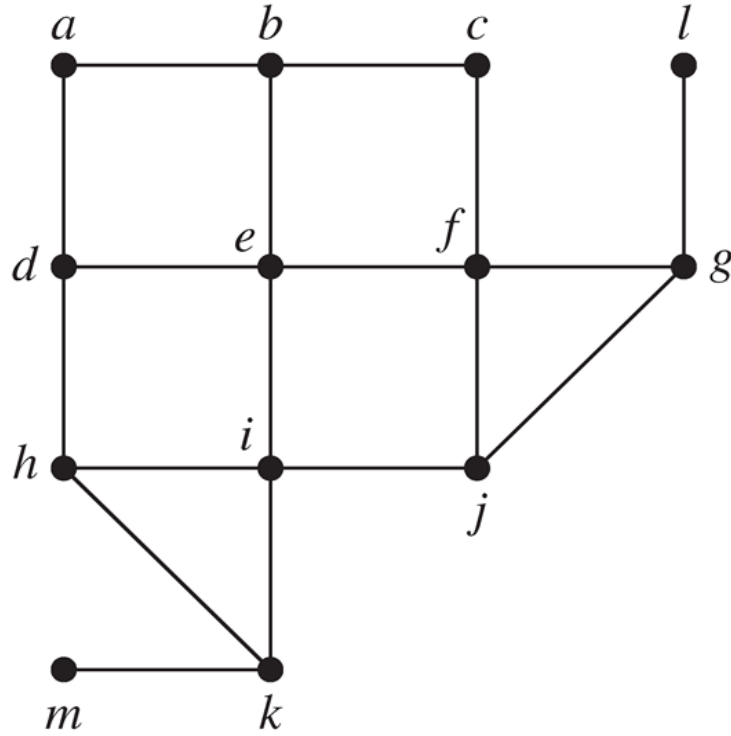
Breadth-First Search

■ Example



Breadth-First Search

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Breadth-First Search

```
procedure BFS(G: connected graph with vertices  $v_1, v_2, \dots, v_n$ )  
   $T :=$  tree consisting only of the vertex  $v_1$   
   $L :=$  empty list visit( $v_1$ )  
  put  $v_1$  in the list  $L$  of unprocessed vertices  
  while  $L$  is not empty  
    remove the first vertex,  $v$ , from  $L$   
    for each neighbor  $w$  of  $v$   
      if  $w$  is not in  $L$  and not in  $T$  then  
        add  $w$  to the end of the list  $L$   
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Breadth-First Search

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Applications of DFS, BFS

- find paths, circuits, connected components, cut vertices, ...



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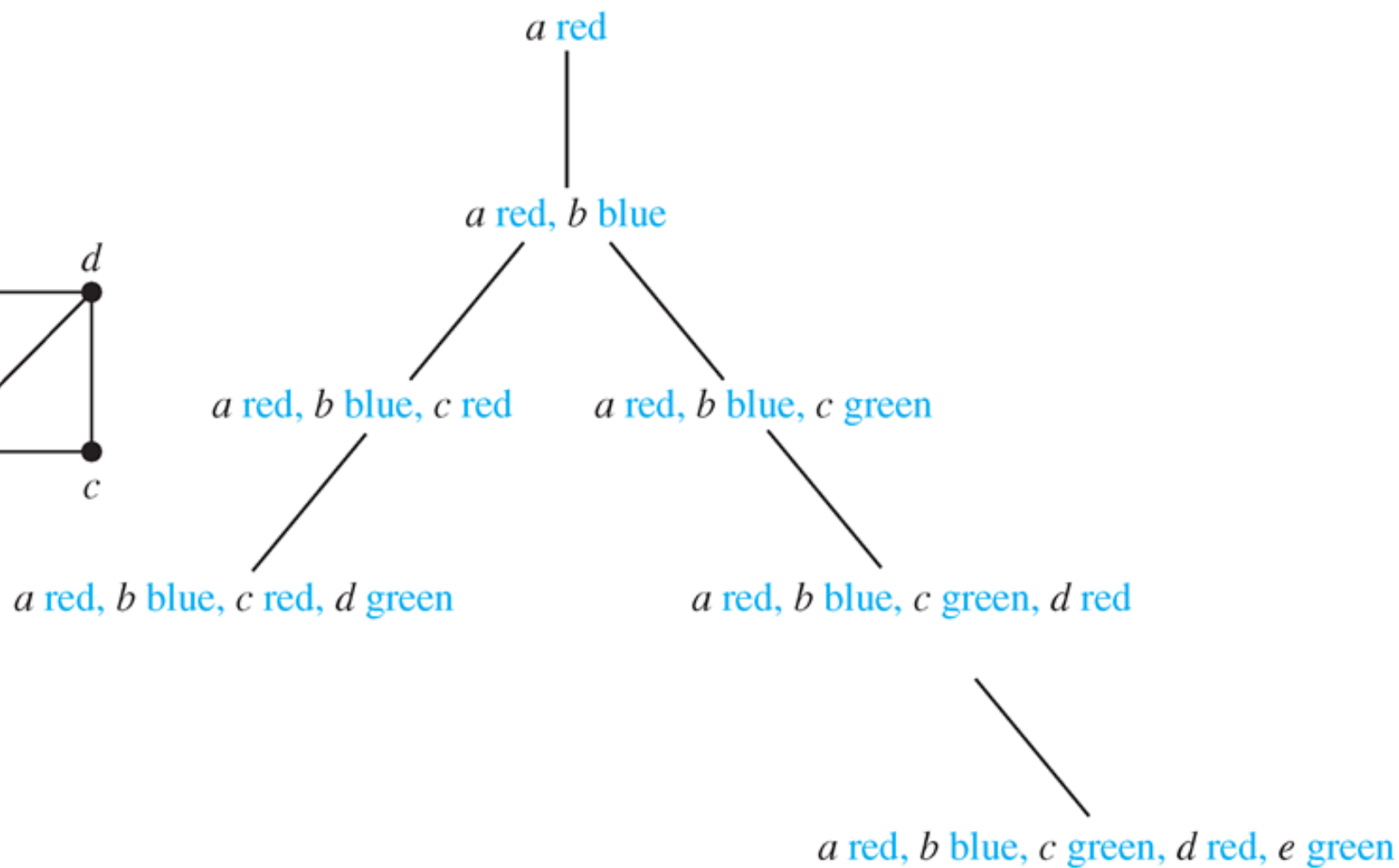
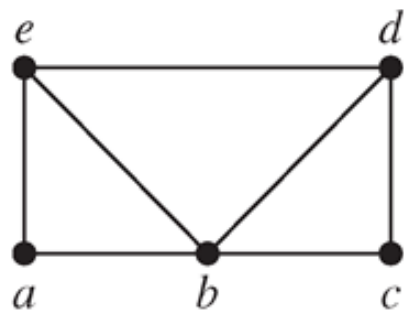


Applications of DFS, BFS

- find paths, circuits, connected components, cut vertices, ...
- find shortest paths, determine whether bipartite, ...
- graph coloring, sums of subsets, ...



Applications of DFS, BFS

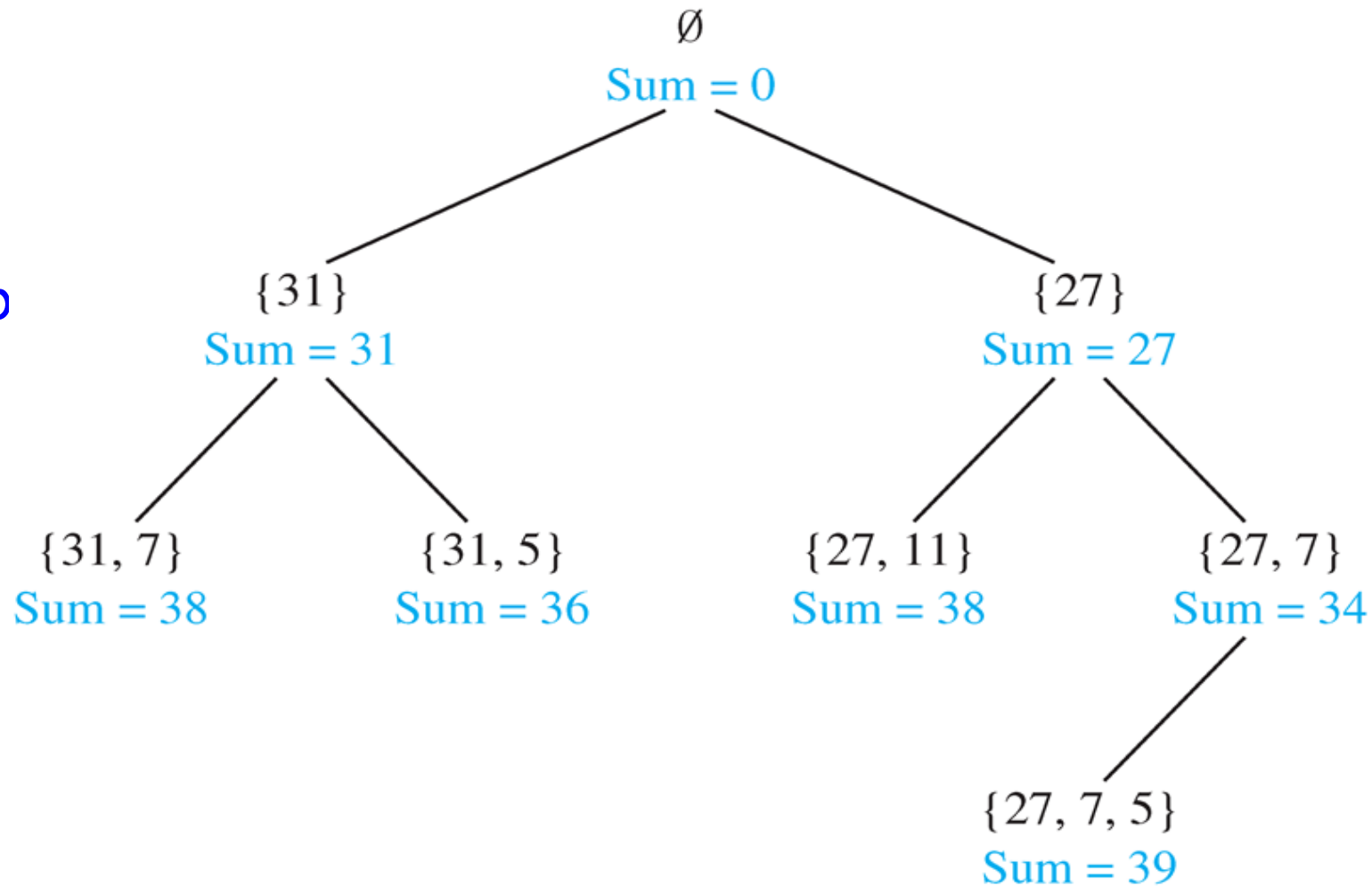


Applications of DFS, BFS

- find paths, circuits, connected components, cut vertices, ...

find

graph



find a subset of $\{31, 27, 15, 11, 7, 5\}$ with the sum 39



Minimum Spanning Trees

- **Definition** A *minimum spanning tree* in a connected weighted graph is a spanning tree that has the **smallest possible sum of weights** of its edges.



Minimum Spanning Trees

- **Definition** A *minimum spanning tree* in a connected weighted graph is a spanning tree that has the **smallest possible sum of weights** of its edges.

two **greedy algorithms**: Prim's Algorithm, Kruscal's Algorithm



Prim's Algorithm

ALGORITHM 1 Prim's Algorithm.

```
procedure Prim( $G$ : weighted connected undirected graph with  $n$  vertices)  
   $T :=$  a minimum-weight edge  
  for  $i := 1$  to  $n - 2$   
     $e :=$  an edge of minimum weight incident to a vertex in  $T$  and not forming a  
      simple circuit in  $T$  if added to  $T$   
     $T := T$  with  $e$  added  
  return  $T$  { $T$  is a minimum spanning tree of  $G$ }
```



Prim's Algorithm

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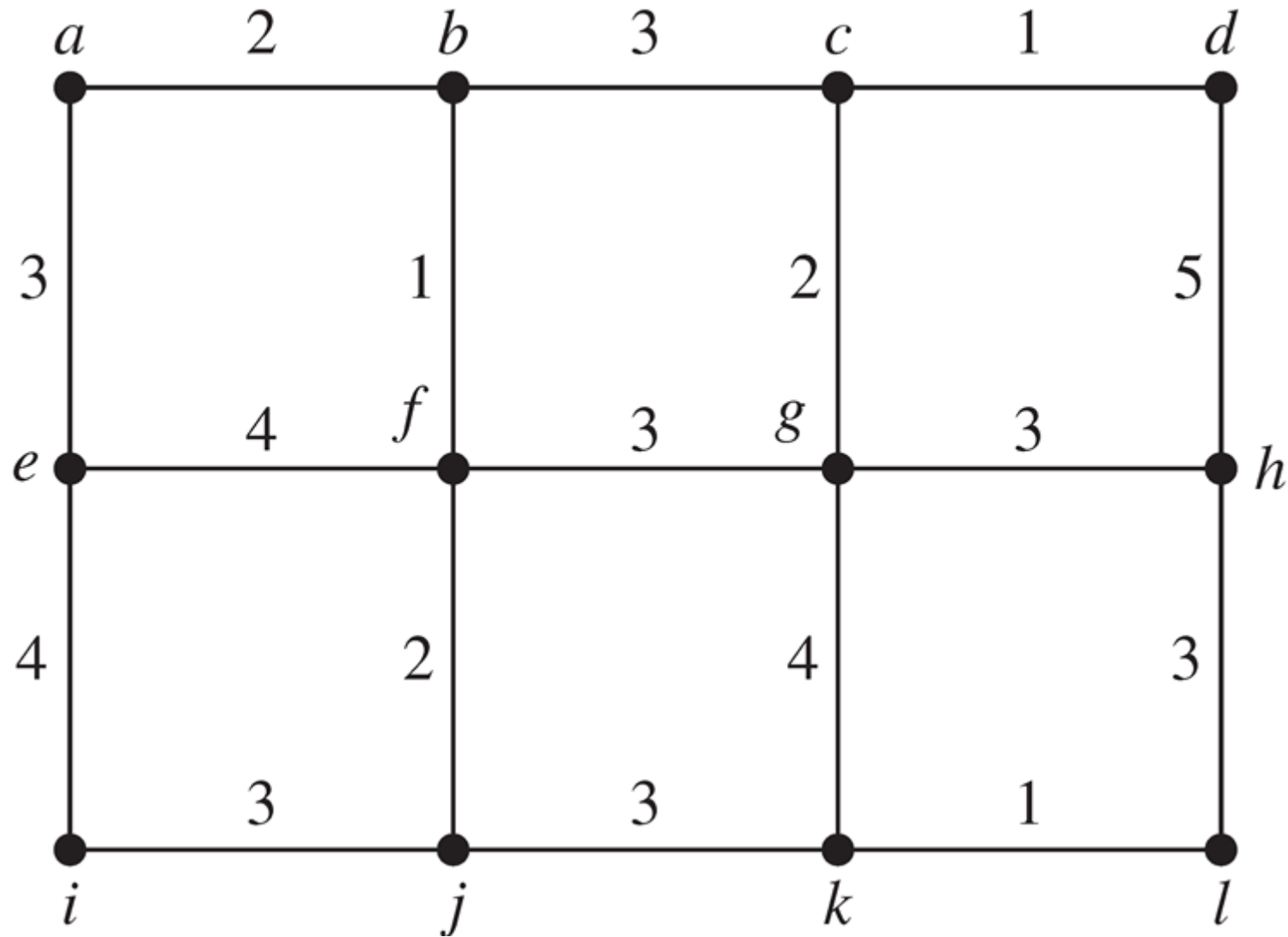
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time complexity: $e \log v$



Prim's Algorithm

■ Example



Kruskal's Algorithm

ALGORITHM 2 Kruskal's Algorithm.

```
procedure Kruskal( $G$ : weighted connected undirected graph with  $n$  vertices)  
 $T$  := empty graph  
for  $i$  := 1 to  $n - 1$   
     $e$  := any edge in  $G$  with smallest weight that does not form a simple circuit  
        when added to  $T$   
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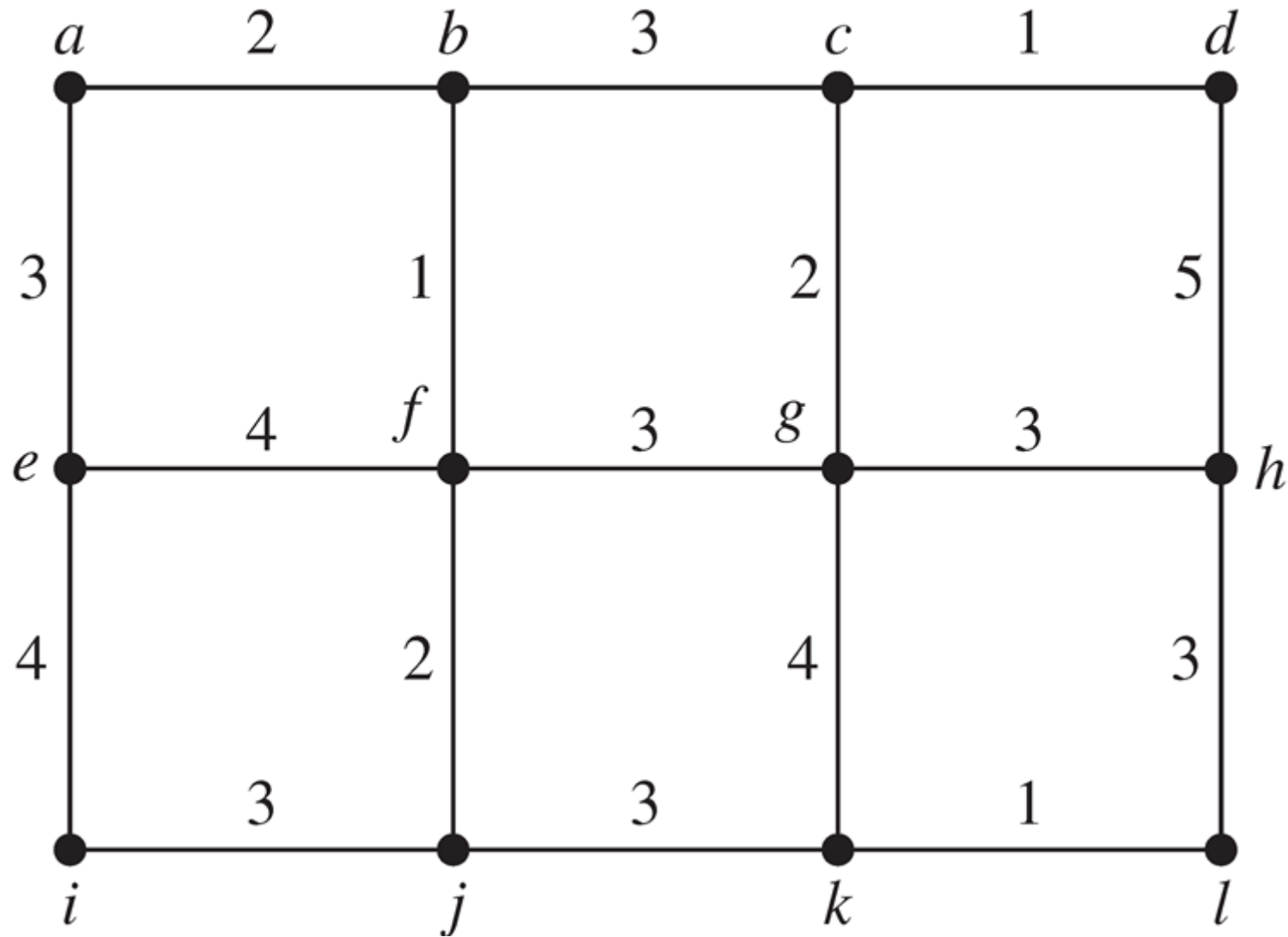
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see *CLRS / Algorithm Design*, J. Kleinberg, E. Tardos



Kruskal's Algorithm

■ Example



Next Lecture

- course review ...

