

CS 305 Computer Networks

Chapter 3 Transport Layer (3)

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Chapter 3 outline

3.1 transport-layer services

3.2 multiplexing and demultiplexing

3.3 connectionless transport: UDP

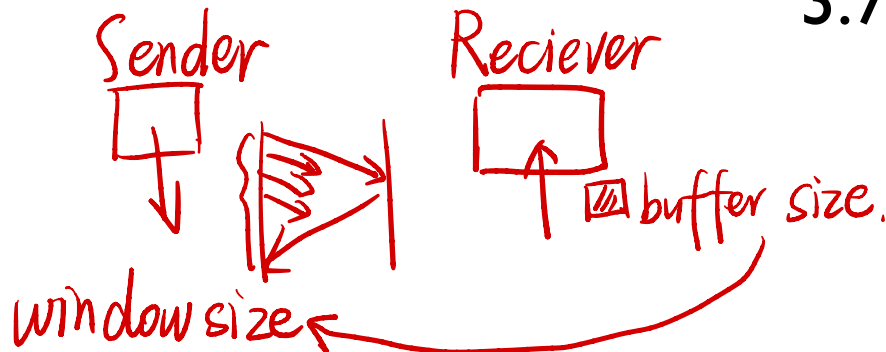
3.4 principles of reliable data transfer

3.5 connection-oriented transport: TCP

- segment structure
- reliable data transfer
- **flow control**
- connection management

3.6 principles of congestion control

3.7 TCP congestion control

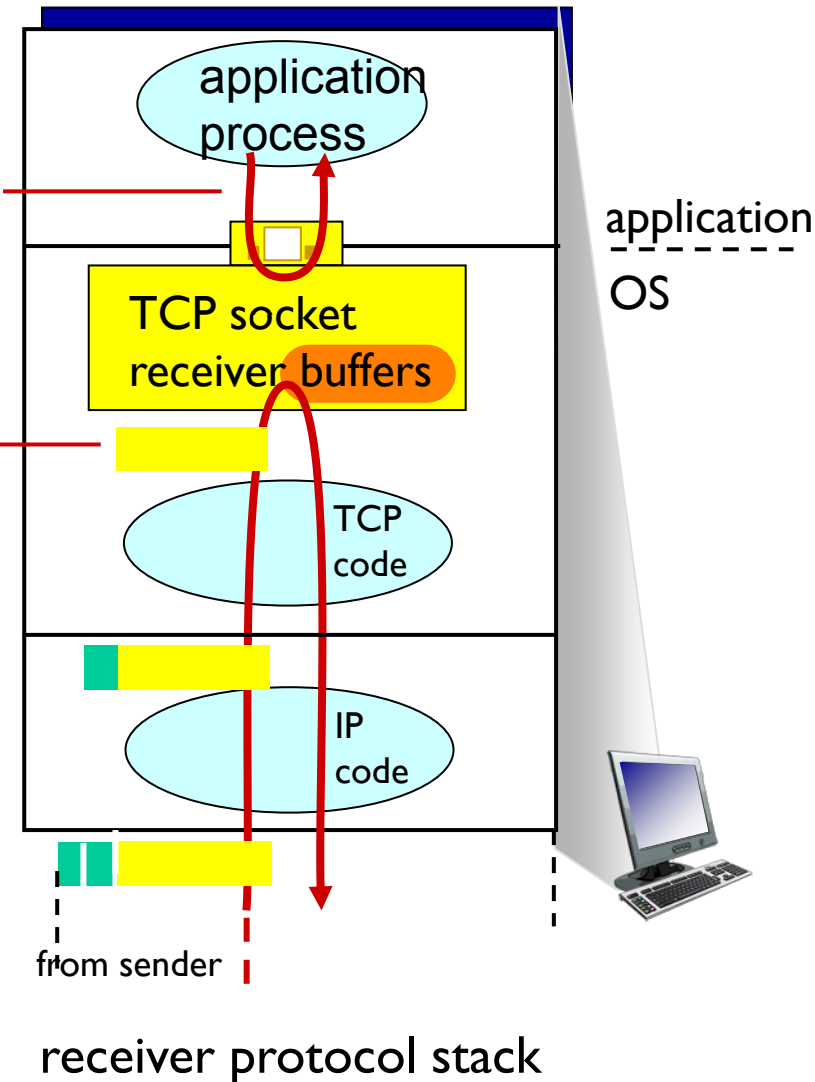


TCP flow control

application may
remove data from
TCP socket buffers

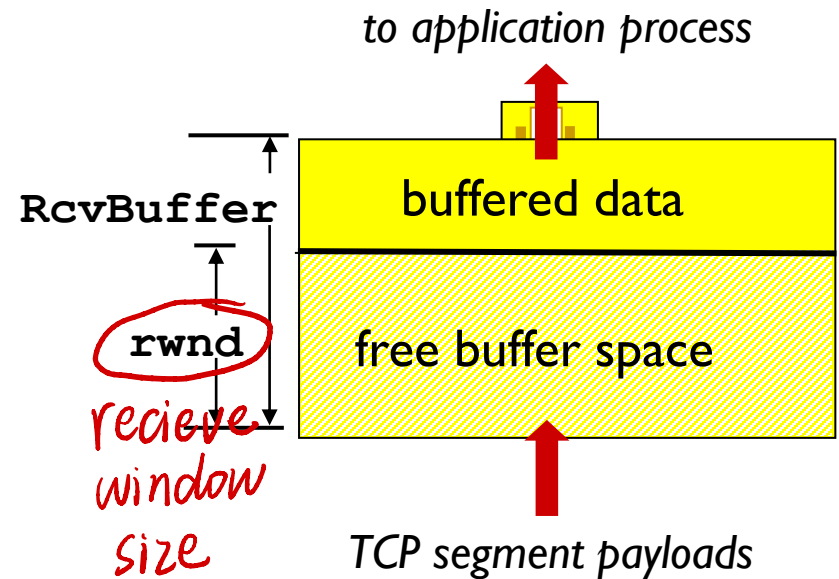
... slower than TCP
receiver is
delivering
(sender is sending)

flow control
receiver controls sender, so
sender won't overflow receiver's
buffer by transmitting too much,
too fast



TCP flow control

- ❖ receiver “advertises” free buffer space by including **rwnd** value in TCP header of receiver-to-sender segments
 - **RcvBuffer** size set via socket options (typical default is 4096 bytes)
 - many operating systems autoadjust **RcvBuffer**
- ❖ sender limits amount of unacked (“in-flight”) data to receiver’s **rwnd** value
- ❖ guarantees receive buffer will not overflow



receiver-side buffering

$$\text{window size} = \min \{ \text{RWD}, \text{CWD} \}$$
 (router)
 ↳ congestion window
 Transport Layer 3-4

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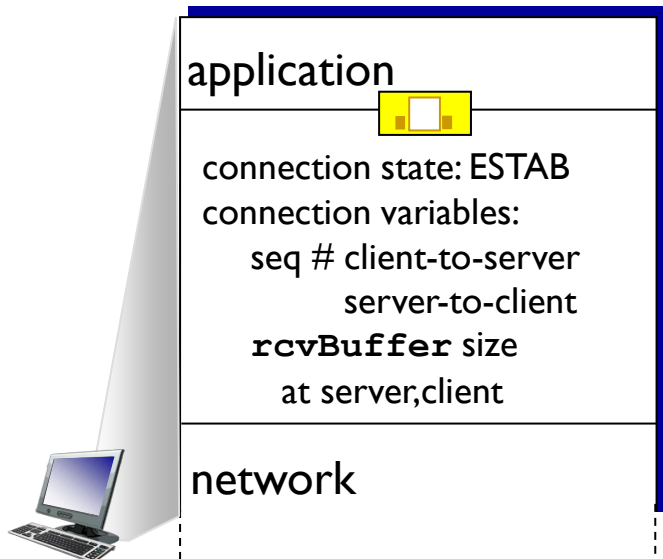
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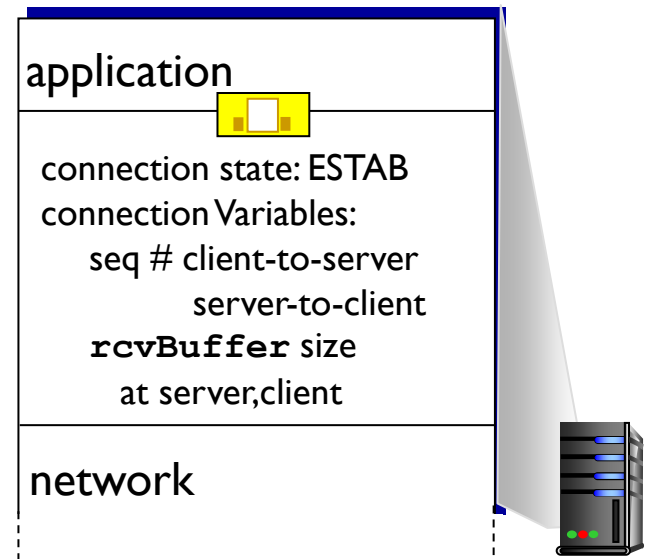
Connection Management

before exchanging data, sender/receiver “handshake”:

- ❖ agree to establish connection (each knowing the other willing to establish connection)
- ❖ agree on connection parameters



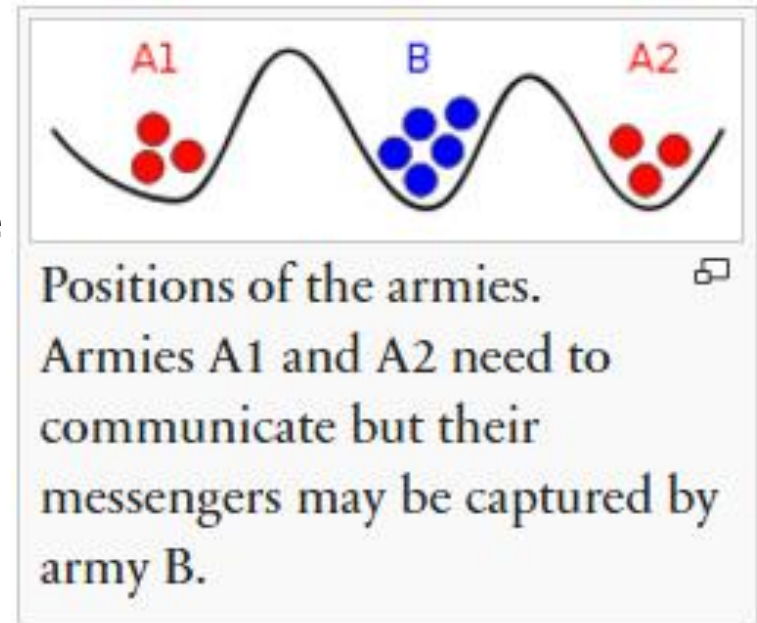
```
Socket clientSocket =  
    newSocket("hostname", "port  
    number");
```



```
Socket connectionSocket =  
    welcomeSocket.accept();
```

Two general's problem

- ❖ A1 and A2 need to attack B simultaneously
- ❖ A1 and A2 should agree on the attack time first
- ❖ Communication between A1 and A2 may be captured by B
- ❖ How can they agree on the attack plan?

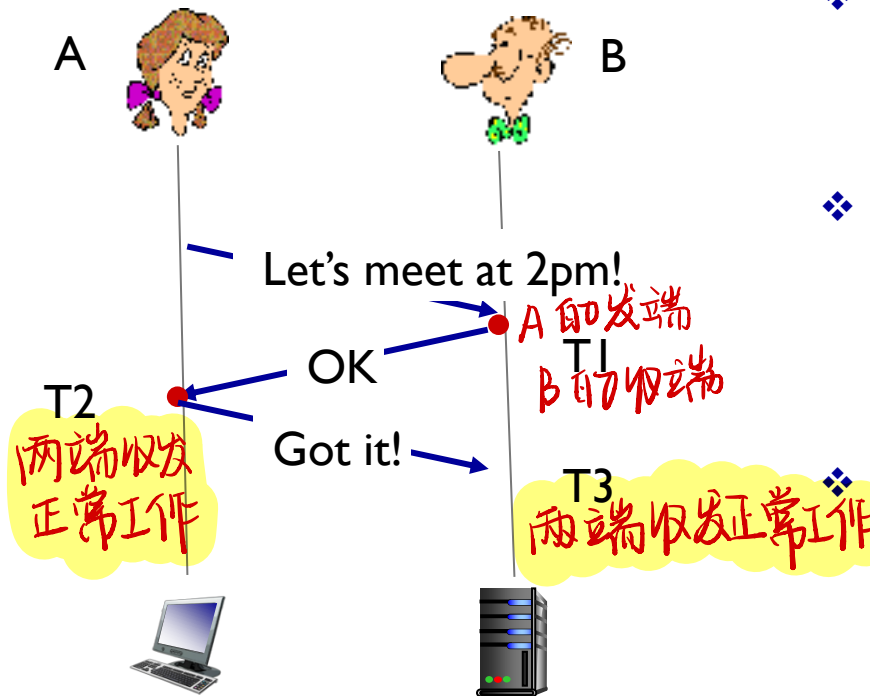


Two general's problem (From wiki)

- ❖ The result is: no matter how many rounds of confirmation are made, no way to guarantee they agreed on the plan.
- ❖ How about A1 and A2 are the radio transceiver?
 - ❖ 3-way handshaking is enough

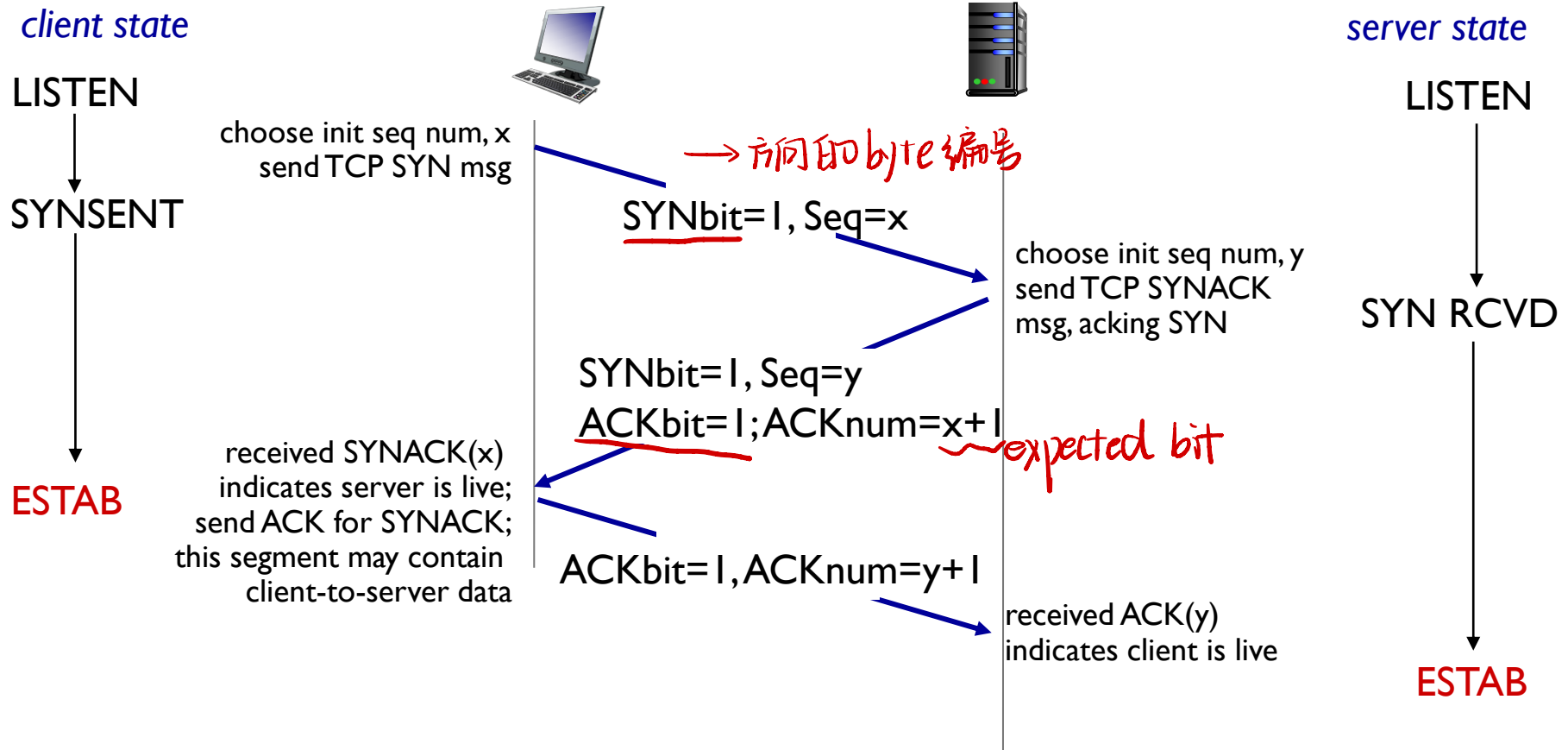
Agreeing to establish a connection

3-way handshake:



- ❖ T1: B knows A's transmitter and B's receiver is OK
- ❖ T2: A knows A's transceiver and B's transceiver is OK, B has no more information than T1
- ❖ T3: Both A and B know their transceiver are OK, they can start the communication!

TCP 3-way handshake



TCP: closing a connection

client state

ESTAB

`clientSocket.close()`

FIN_WAIT_1

can no longer
send but can
receive data

FIN_WAIT_2

wait for server
close

TIMED_WAIT

timed wait
for $2 * \text{max}$
segment lifetime

CLOSED



分别结束两个方向的数据

FINbit=1, seq=x

ACKbit=1; ACKnum=x+1

FINbit=1, seq=y

ACKbit=1; ACKnum=y+1



server state

ESTAB

CLOSE_WAIT

LAST_ACK

CLOSED

can still
send data

can no longer
send data

TCP: closing a connection

- ❖ Four-way handshaking
- ❖ client, server each close their side of connection
 - send TCP segment with FIN bit = 1
- ❖ respond to received FIN with ACK
 - on receiving FIN, ACK can be combined with own FIN
- ❖ Why FIN and ACK can not be sent in one msg as SYNACK in connection establishment?
 - The other side may still have packets need to be sent.
It can not send FIN until the transmission is finished.

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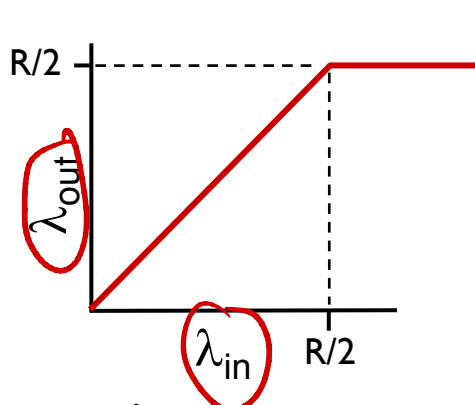
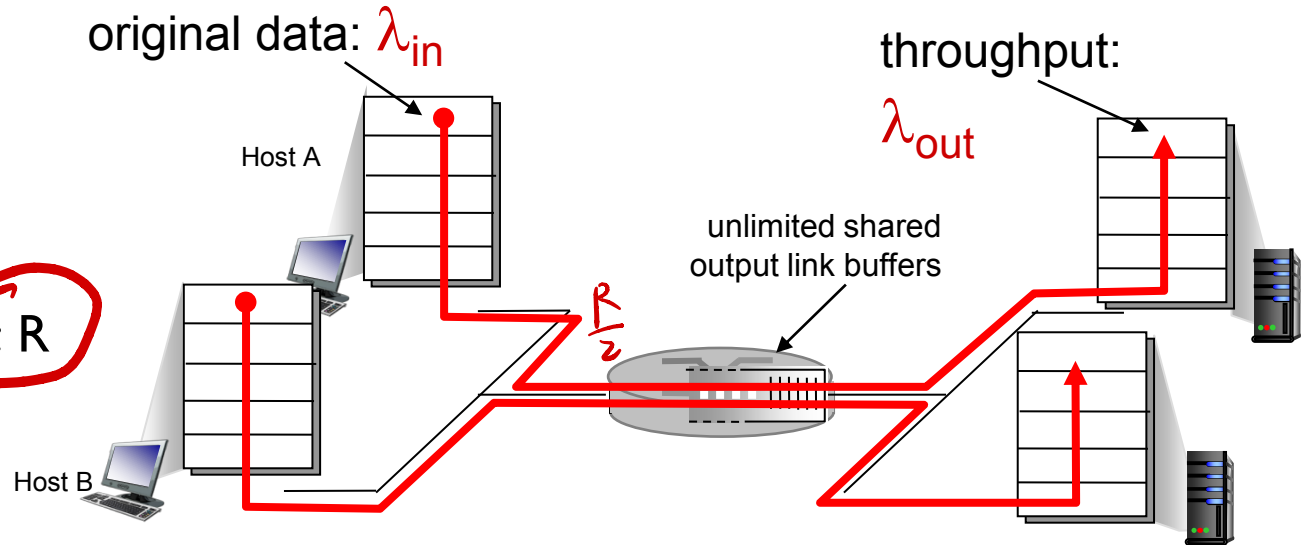
Principles of congestion control

congestion:

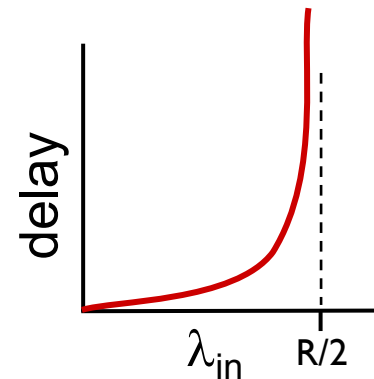
- ❖ informally: “too many sources sending too much data too fast for *network* to handle”
- ❖ different from flow control!
- ❖ manifestations:
 - lost packets (buffer overflow at routers)
 - long delays (queueing in router buffers)
- ❖ a top-10 problem!

Causes/costs of congestion: scenario I

- ❖ two senders, two receivers
- ❖ one router, infinite buffers
- ❖ output link capacity: R
- ❖ no retransmission



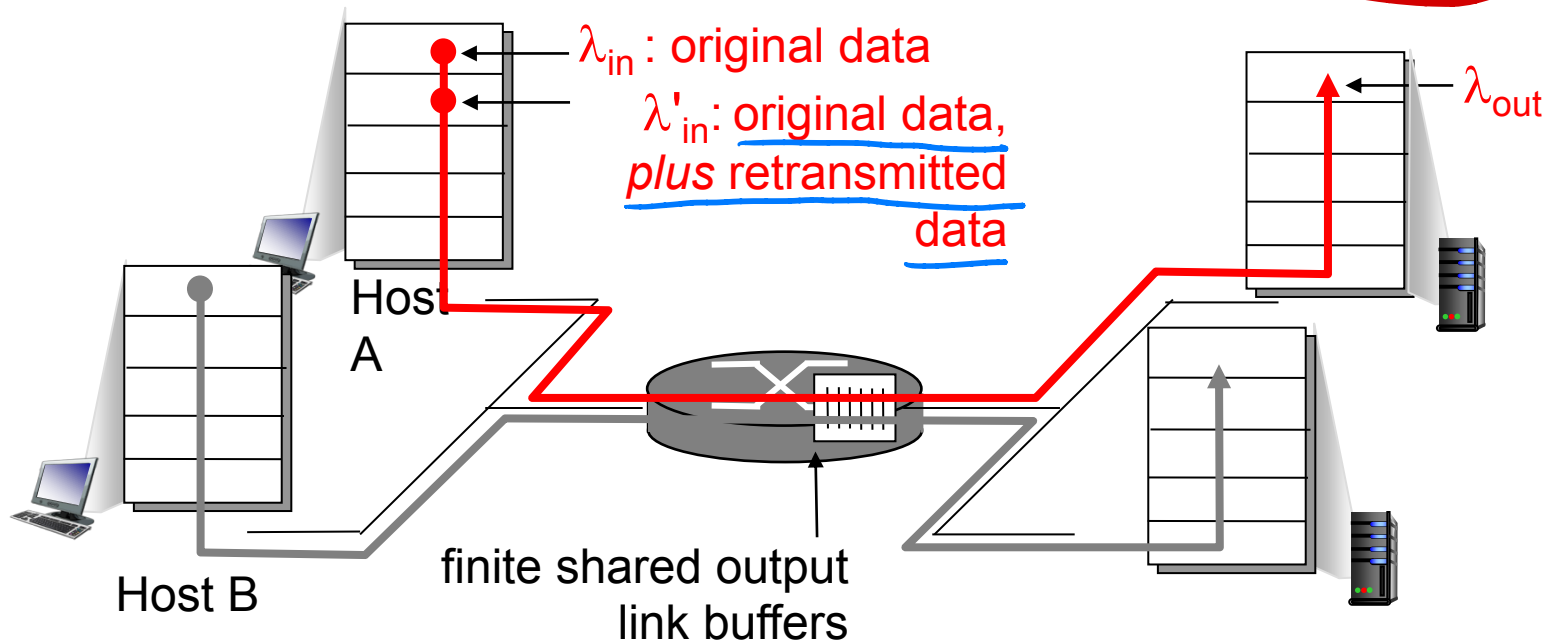
- ❖ maximum per-connection throughput: $R/2$



- ❖ large delays as arrival rate, λ_{in} , approaches capacity

Causes/costs of congestion: scenario 2

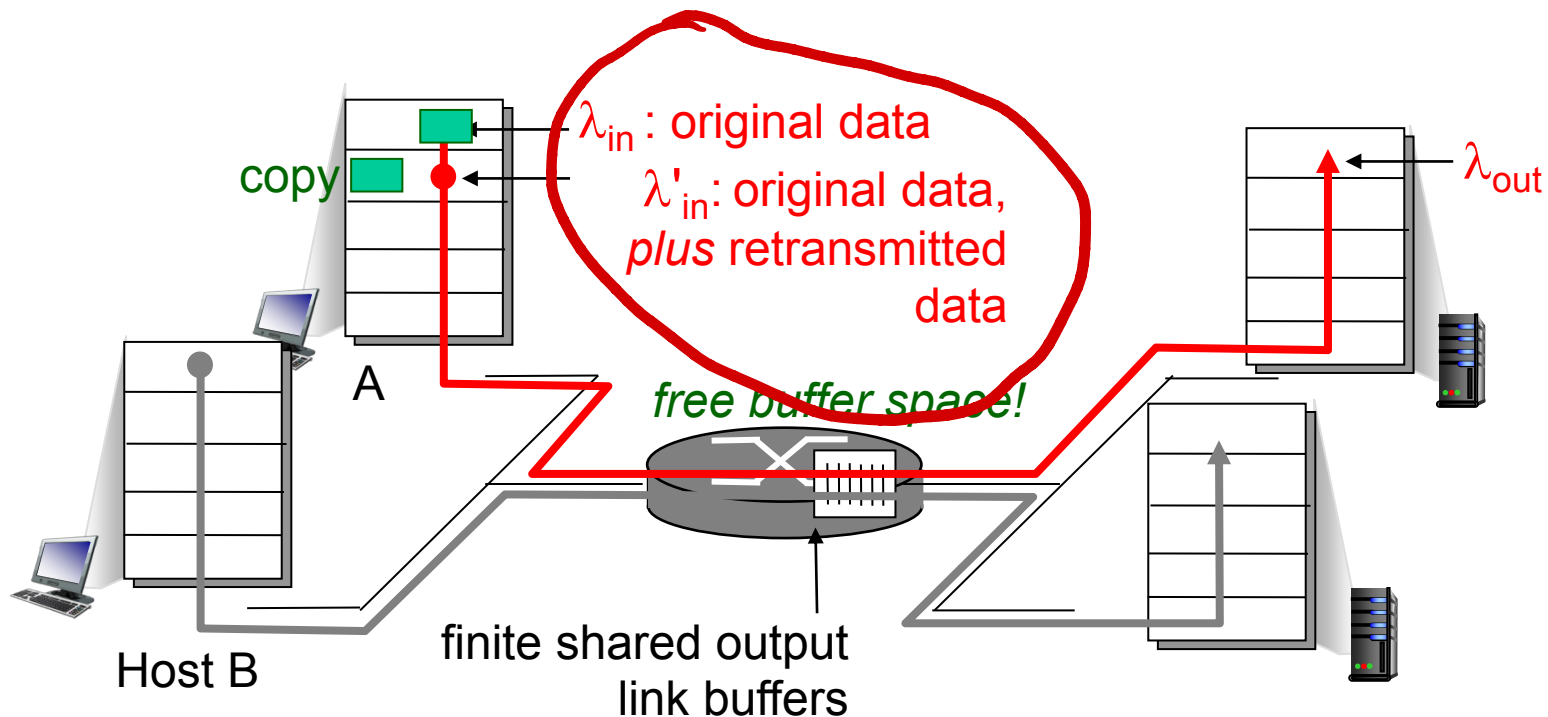
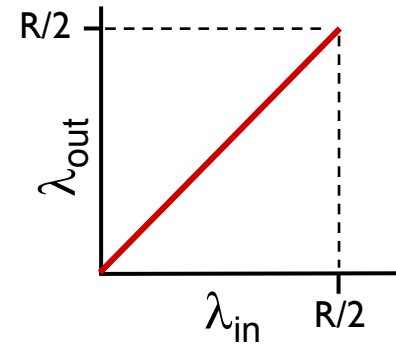
- ❖ one router, finite buffers
- ❖ sender retransmission of timed-out packet
 - application-layer input = application-layer output: $\lambda_{in} = \lambda_{out}$
 - transport-layer input includes *retransmissions* : $\lambda'_{in} \geq \lambda_{in}$



Causes/costs of congestion: scenario 2

idealization: perfect knowledge

- ❖ sender sends only when router buffers available

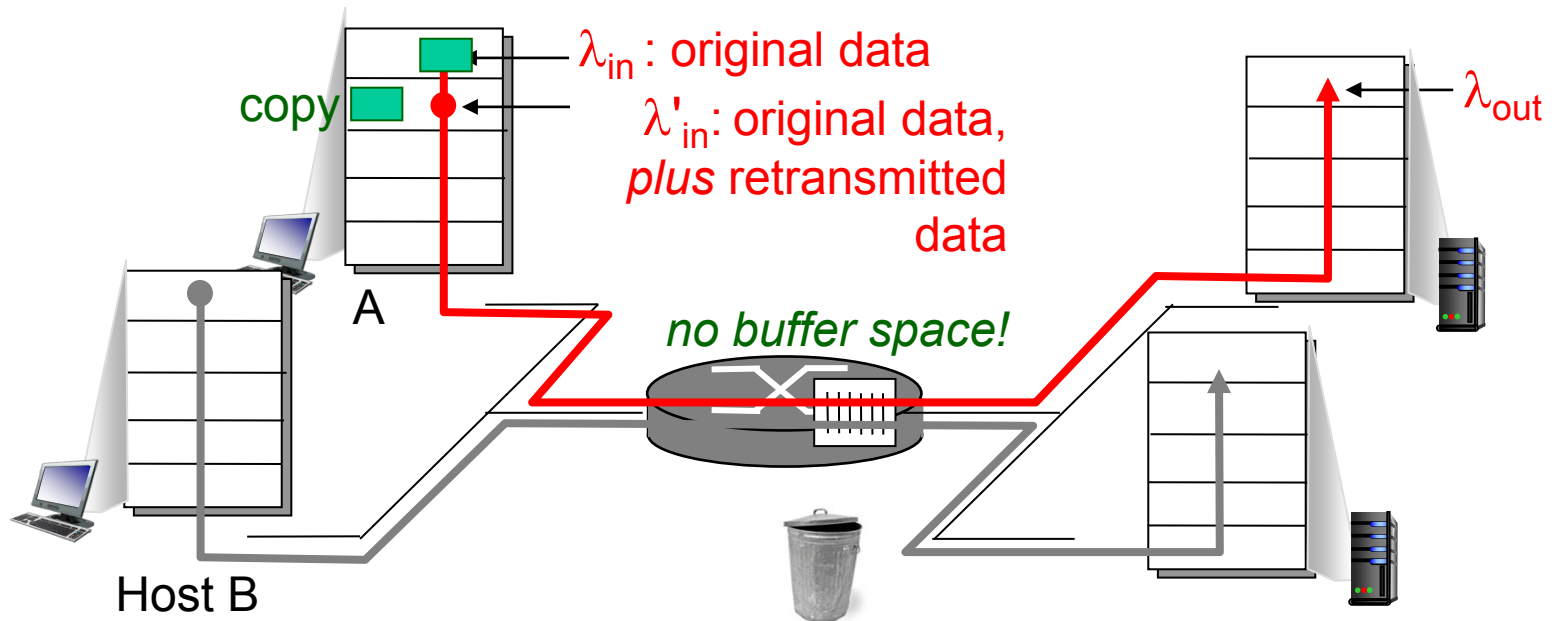


Causes/costs of congestion: scenario 2

Idealization: known loss

packets can be lost,
dropped at router due
to full buffers

- ❖ sender only resends if
packet *known* to be lost

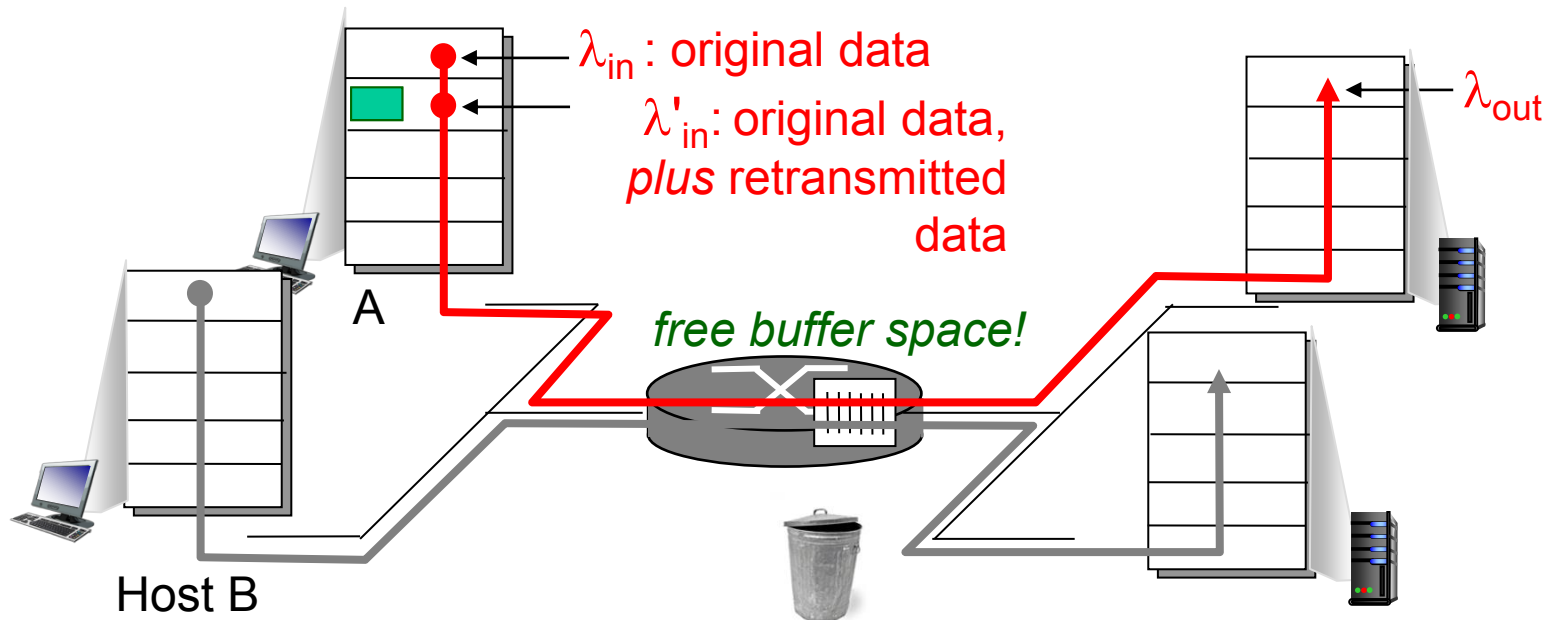
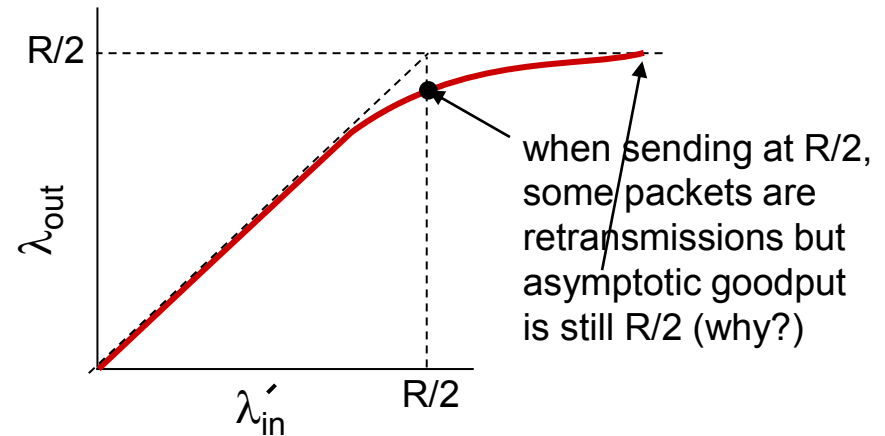


Causes/costs of congestion: scenario 2

Idealization: **known loss**

packets can be lost,
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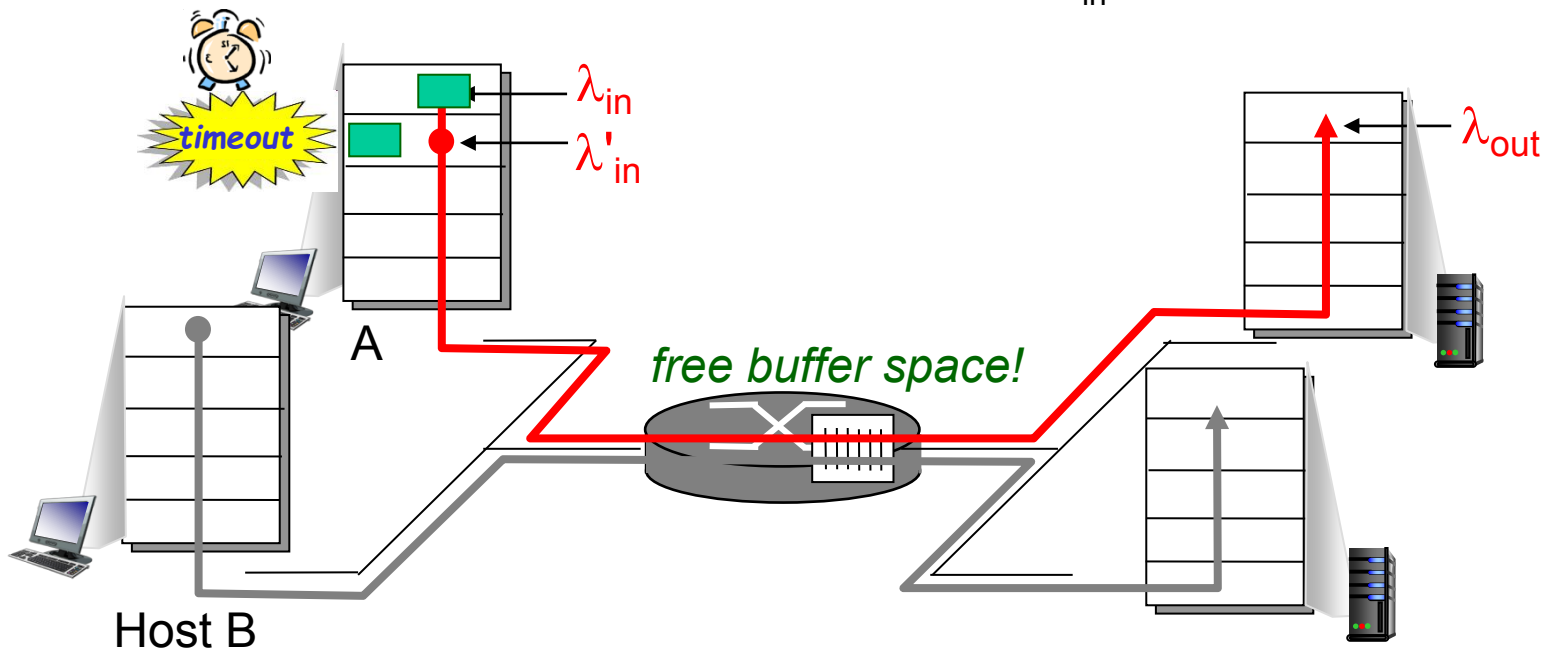
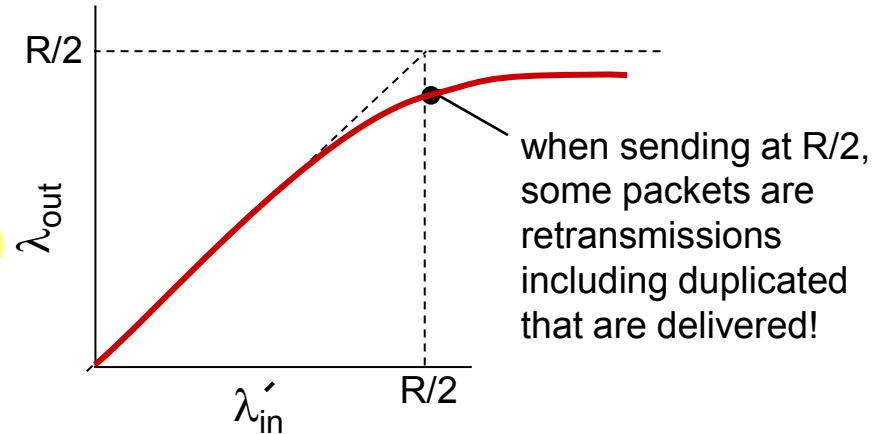
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Causes/costs of congestion: scenario 2

Realistic: duplicates

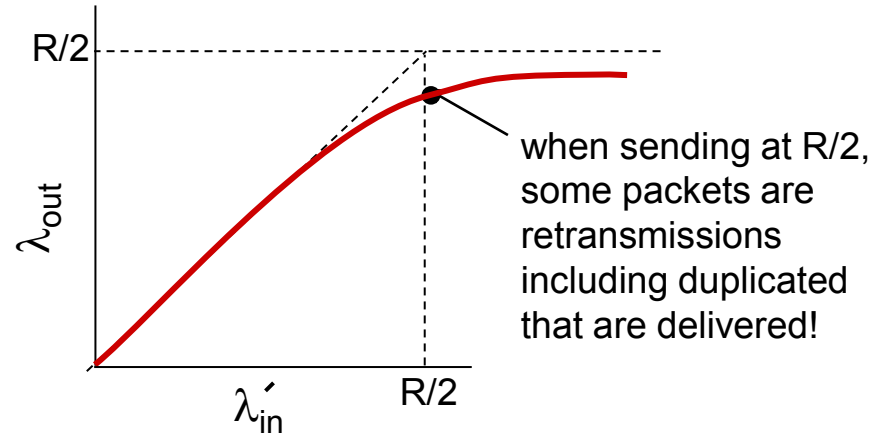
- ❖ packets can be lost, dropped at router due to full buffers
- ❖ sender times out prematurely, sending *two* copies, both of which are delivered



Causes/costs of congestion: scenario 2

Realistic: *duplicates*

- ❖ packets can be lost, dropped at router due to full buffers
- ❖ sender times out prematurely, sending *two* copies, both of which are delivered



“costs” of congestion:

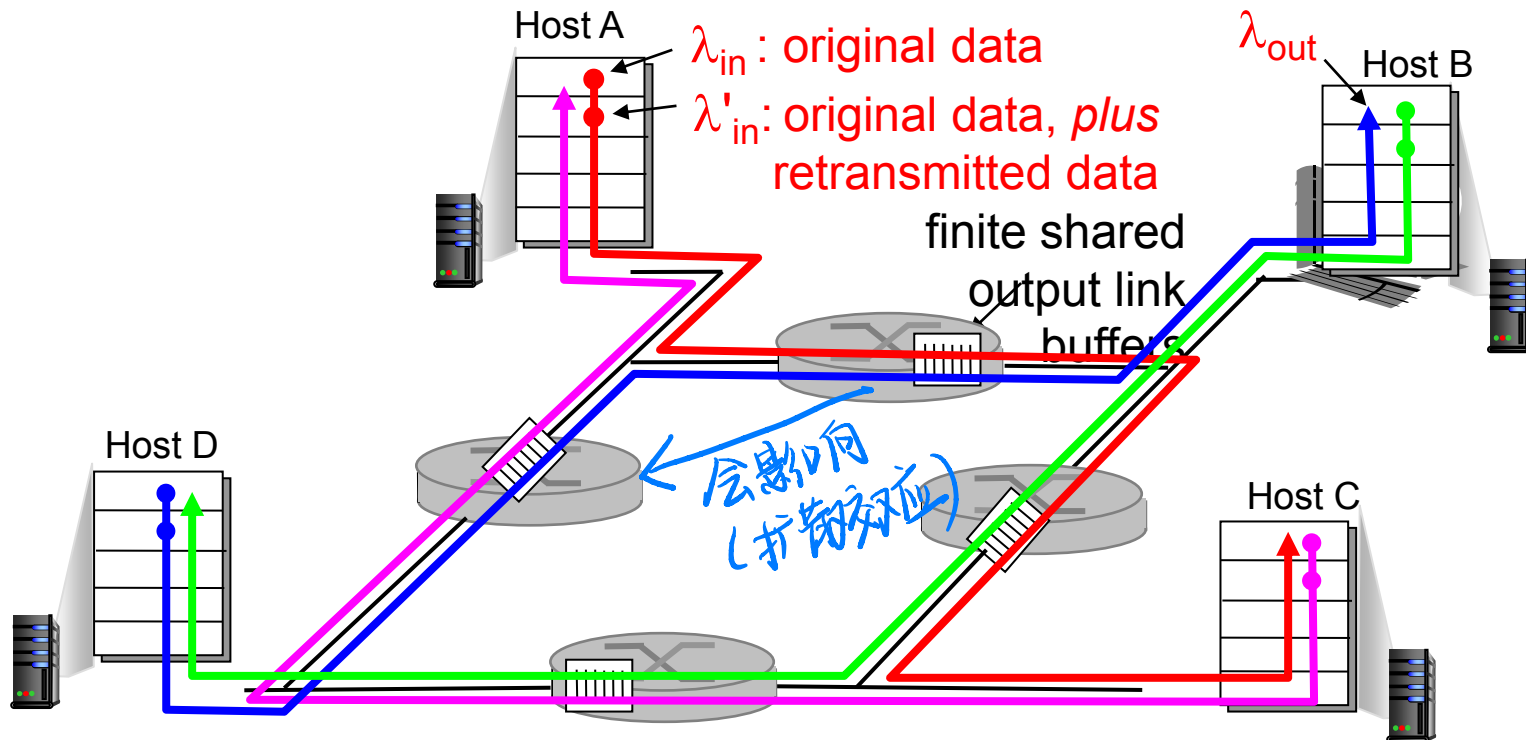
- ❖ more work (retrans) for given “goodput”
- ❖ unneeded retransmissions: link carries multiple copies of pkt
 - decreasing goodput

Causes/costs of congestion: scenario 3

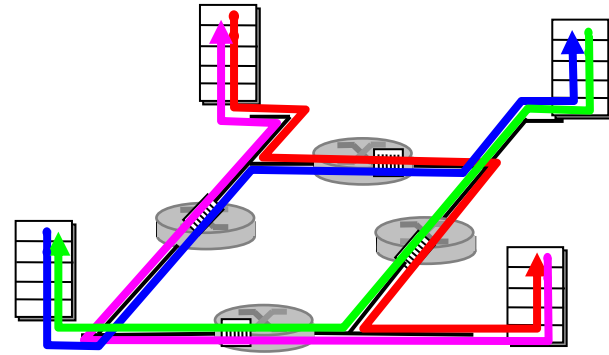
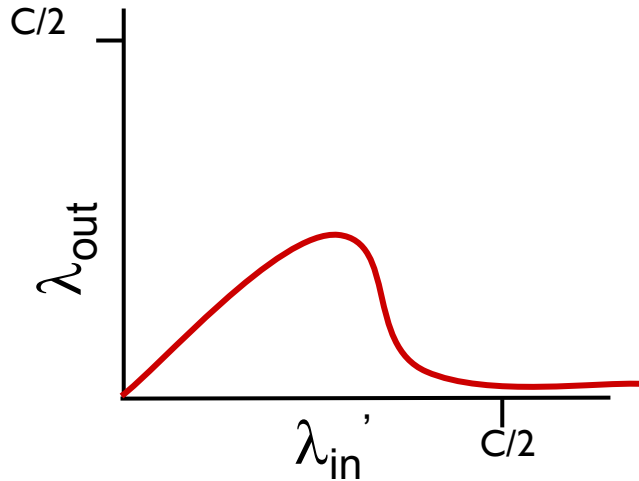
- ❖ four senders
- ❖ multihop paths
- ❖ timeout/retransmit

Q: what happens as λ_{in} and λ'_{in} increase ?

A: as red λ'_{in} increases, all arriving blue pkts at upper queue are dropped, blue throughput $\rightarrow 0$



Causes/costs of congestion: scenario 3



another “cost” of congestion:

- ❖ when packet dropped, any “upstream transmission capacity used for that packet was wasted!

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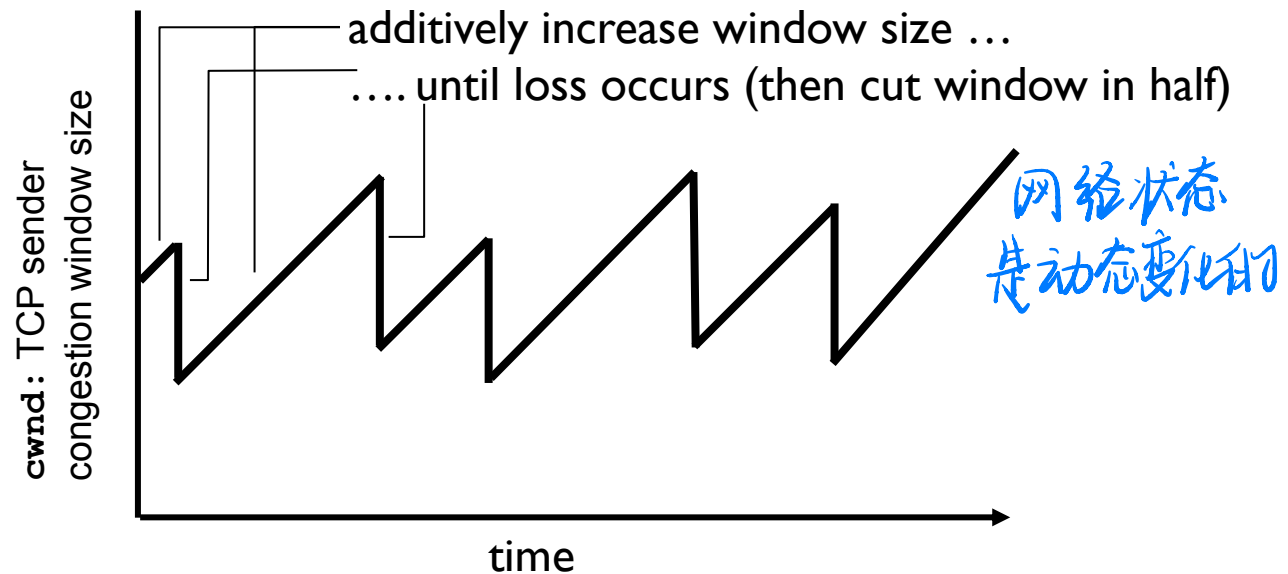
3.7 TCP congestion control



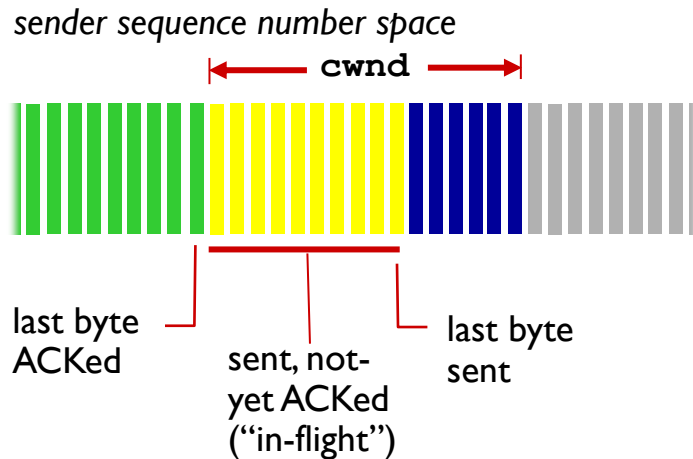
TCP congestion control: additive increase multiplicative decrease

- ❖ *approach*: sender increases transmission rate (window size), probing for usable bandwidth, until loss occurs
 - *additive increase*: increase **cwnd** by **1 MSS** every **RTT** until loss detected
 - *multiplicative decrease*: cut **cwnd** in **half** after loss

AIMD saw tooth behavior: probing for bandwidth



TCP Congestion Control: details



- ❖ sender limits transmission:

$$\text{LastByteSent} - \text{LastByteAcked} \leq \text{cwnd}$$

- ❖ **cwnd** is dynamic, function of perceived network congestion

TCP sending rate:

- ❖ *roughly*: send cwnd bytes, wait RTT for ACKS, then send more bytes

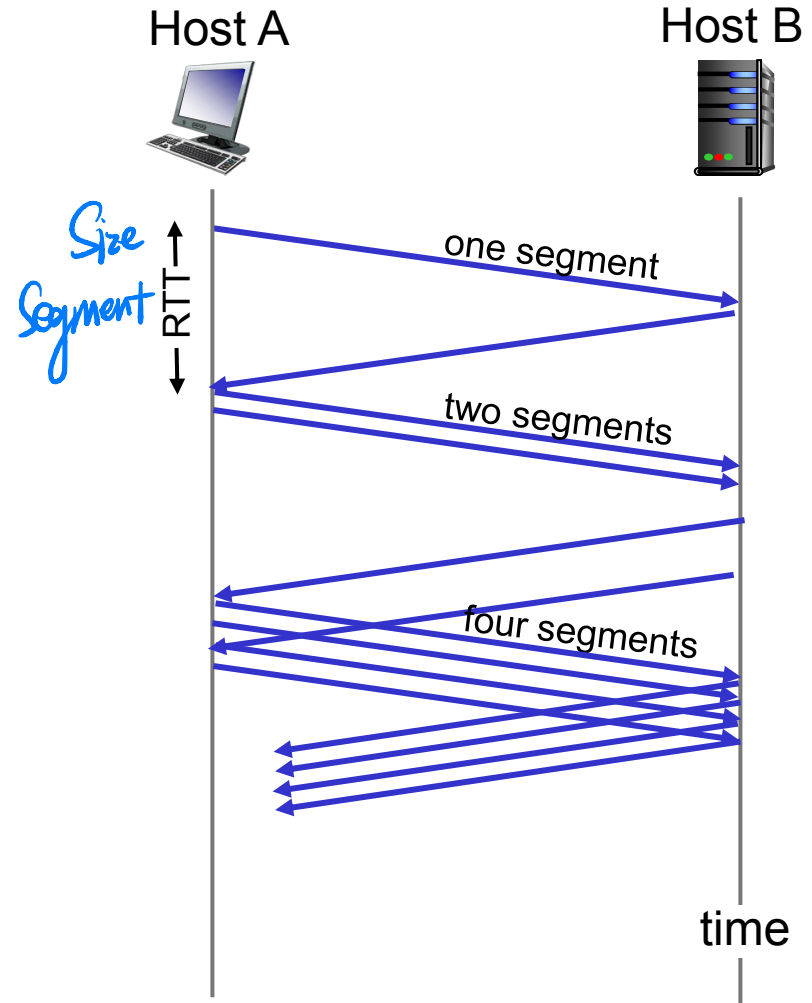
$$\text{rate} \approx \frac{\text{cwnd}}{\text{RTT}} \text{ bytes/sec}$$

TCP Slow Start

- ❖ when connection begins, increase rate exponentially until first loss event:

- initially $cwnd = 1$ MSS
- double $cwnd$ every RTT
- done by incrementing $cwnd$ for every ACK received

- ❖ summary: initial rate is slow but ramps up exponentially fast



TCP: detecting, reacting to loss

- ❖ loss indicated by timeout:
 - `cwnd` set to 1 MSS;
 - window then grows exponentially (as in slow start) to threshold, then grows linearly
- ❖ loss indicated by 3 duplicate ACKs: TCP RENO
 - dup ACKs indicate network capable of delivering some segments
 - `cwnd` is cut in half window then grows linearly
- ❖ TCP Tahoe always sets `cwnd` to 1 (timeout or 3 duplicate acks)

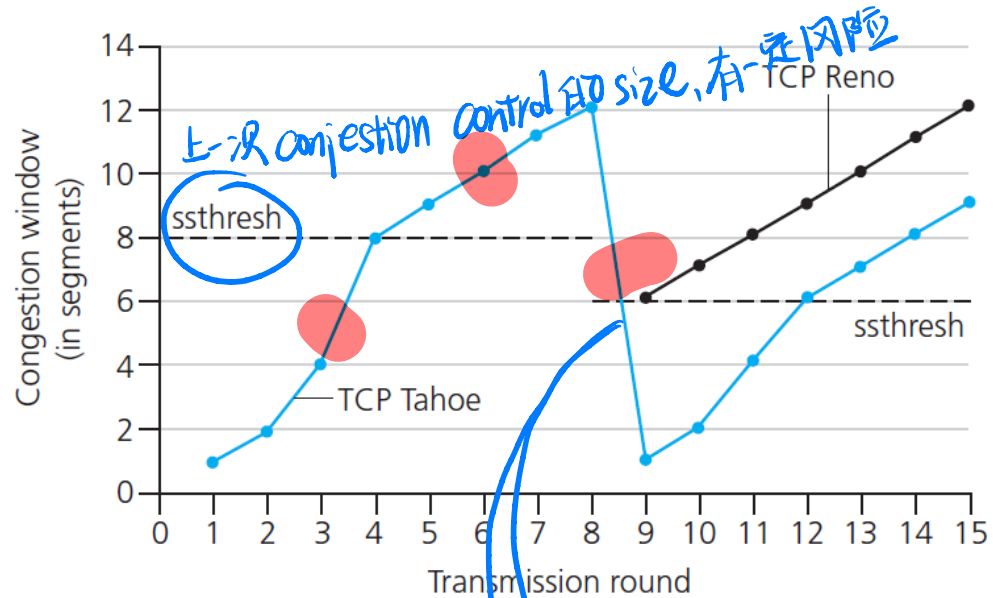
TCP: switching from slow start to CA

Q: when should the exponential increase switch to linear?

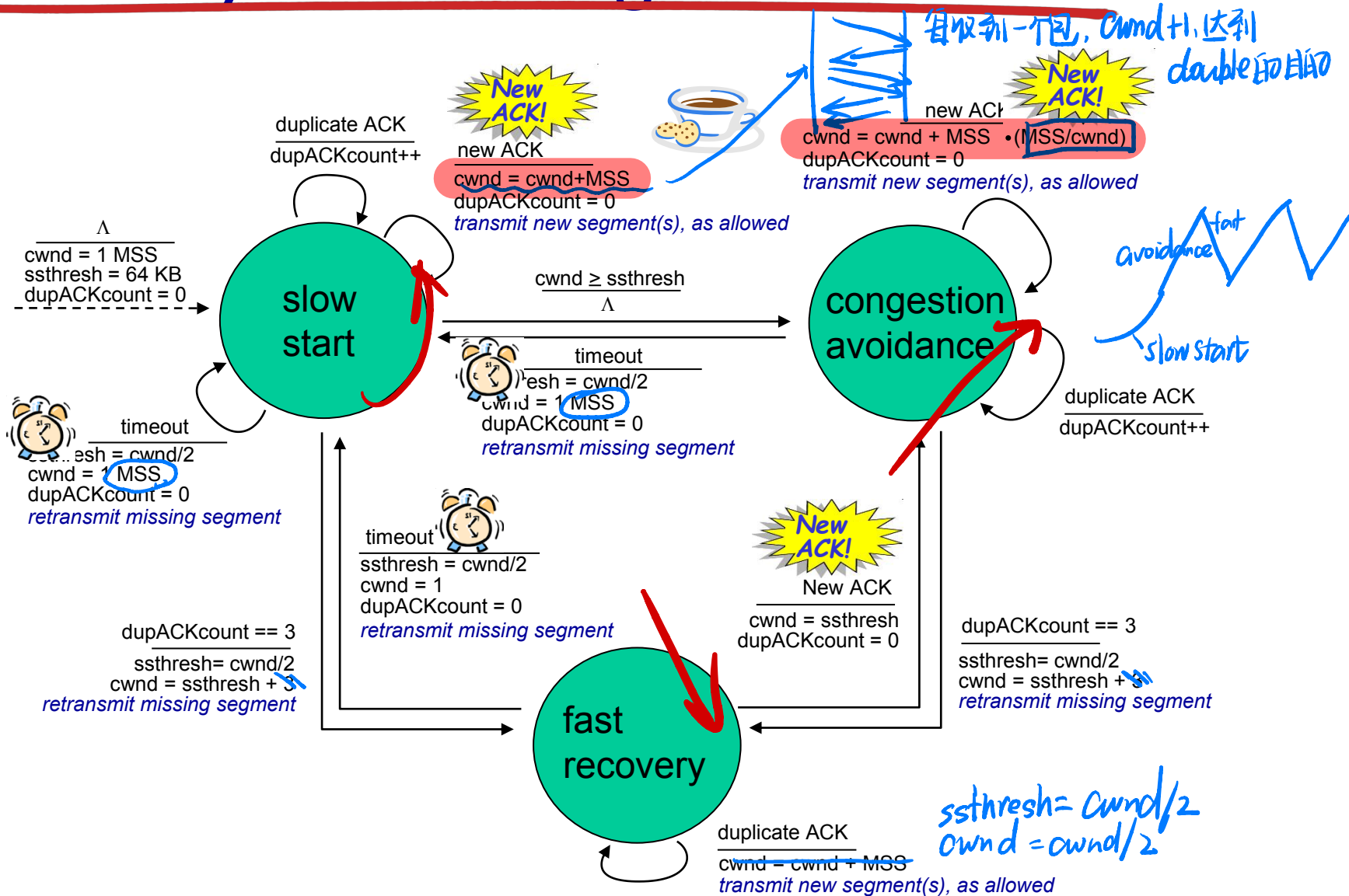
A: when **cwnd** gets to 1/2 of its value before timeout.

Implementation:

- ❖ variable **ssthresh**
- ❖ on loss event, **ssthresh** is set to 1/2 of **cwnd** just before loss event



Summary: TCP Congestion Control

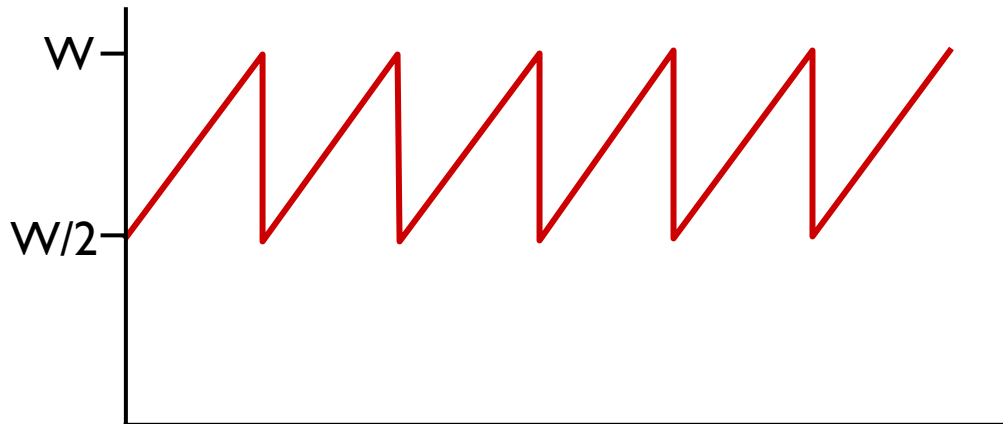


TCP throughput

- ❖ avg. TCP thruput as function of window size, RTT?
 - ignore slow start, assume always data to send
- ❖ W : window size (measured in bytes) where loss occurs
 - avg. window size (# in-flight bytes) is $\frac{3}{4} W$
 - avg. thruput is $\frac{3}{4}W$ per RTT

$$\text{avg TCP thruput} = \frac{3}{4} \frac{W}{RTT} \text{ bytes/sec}$$

$$\frac{W}{RTT}$$



TCP Futures: TCP over “long, fat pipes”

- ❖ example: 1500 byte segments, 100ms RTT, want 10 Gbps throughput
- ❖ requires $W = 83,333$ in-flight segments
- ❖ throughput in terms of segment loss probability, L [Mathis 1997]:

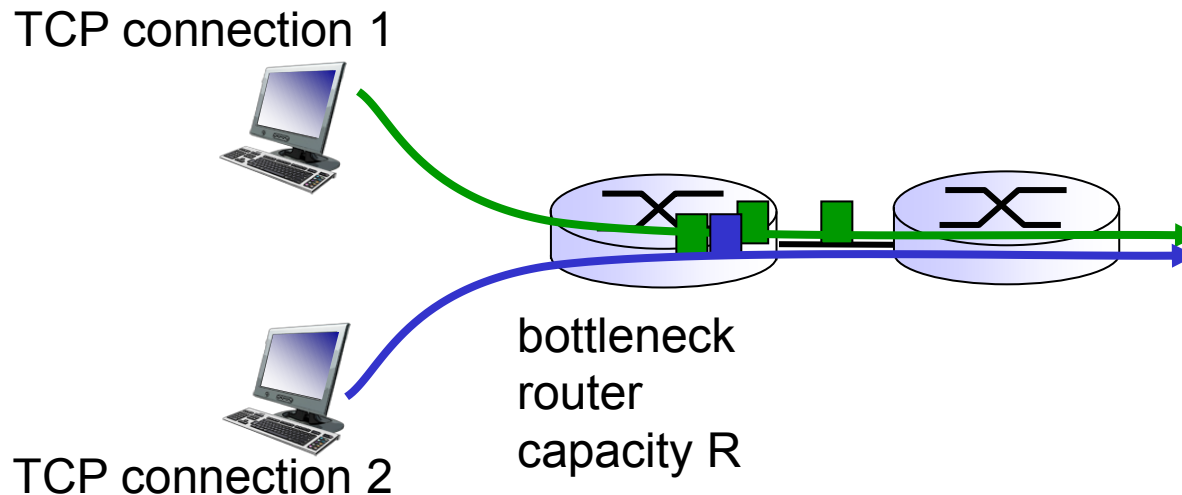
$$\text{TCP throughput} = \frac{1.22 \cdot \text{MSS}}{\text{RTT} \sqrt{L}}$$

→ to achieve 10 Gbps throughput, need a loss rate of $L = 2 \cdot 10^{-10}$ — *a very small loss rate!*

- ❖ new versions of TCP for high-speed

TCP Fairness

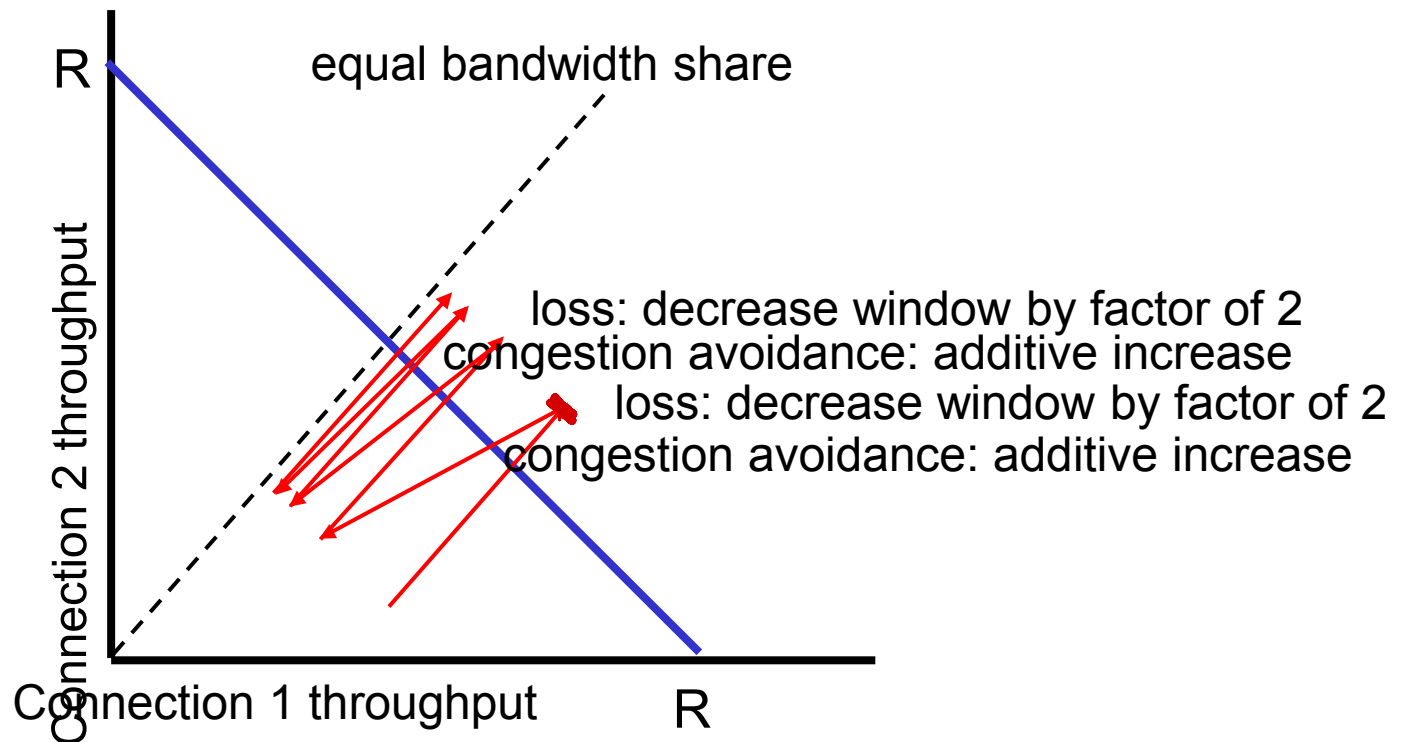
fairness goal: if K TCP sessions share same bottleneck link of bandwidth R , each should have average rate of R/K



Why is TCP fair?

two competing sessions:

- ❖ additive increase gives slope of 1, as throughput increases
- ❖ multiplicative decrease decreases throughput proportionally



Fairness (more)

Fairness and UDP

- ❖ multimedia apps often do not use TCP
 - do not want rate throttled by congestion control
- ❖ instead use UDP:
 - send audio/video at constant rate, tolerate packet loss

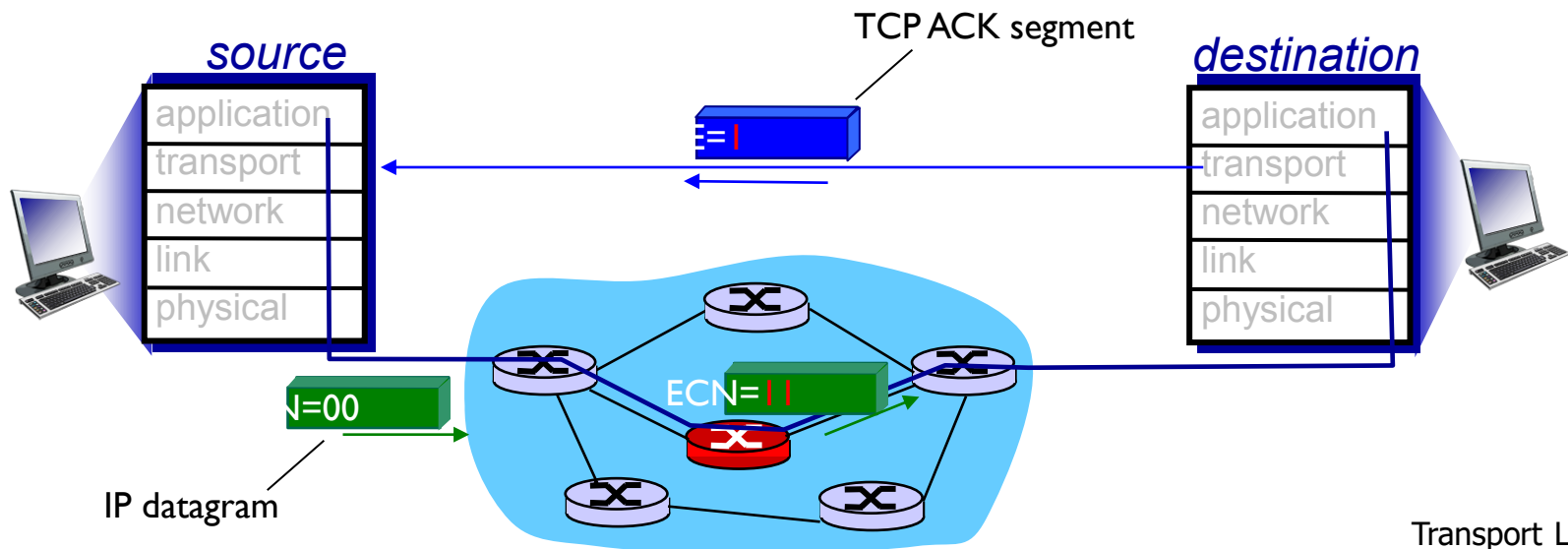
Fairness, parallel TCP connections

- ❖ application can open multiple parallel connections between two hosts
- ❖ web browsers do this
- ❖ e.g., link of rate R with 9 existing connections:
 - new app asks for 1 TCP, gets rate $R/10$
 - new app asks for 11 TCPs, gets $R/2$

Explicit Congestion Notification (ECN)

network-assisted congestion control:

- two bits in IP header (ToS field) marked *by network router* to indicate congestion
- congestion indication carried to receiving host
- receiver (seeing congestion indication in IP datagram)) sets ECE bit on receiver-to-sender ACK segment to notify sender of congestion



Chapter 3: summary

- ❖ principles behind transport layer services:
 - multiplexing, demultiplexing
 - reliable data transfer
 - flow control
 - congestion control
 - ❖ instantiation, implementation in the Internet
 - UDP
 - TCP
 - ❖ Many more transport layer protocols:
 - DCCP
 - QUIC
 - DCTCP...
 - Better vs. good enough
- next:
- ❖ leaving the network “edge” (application, transport layers)
 - ❖ into the network “core”

Midterm

- ❖ Nov. 7, 10am-12pm, liyuan building 1, 101, 201, 203 Closed-book
- ❖ Content covered: Chapter 1- Chapter 3
- ❖ Q and A session: Nov. 5, 10-11pm, CoE building 443b
- ❖ No lecture at 10:20am on Nov. 8