

MOLINA HEALTHCARE OF KENTUCKY, INC.
HOSPITAL SERVICES AGREEMENT

SIGNATURE PAGE

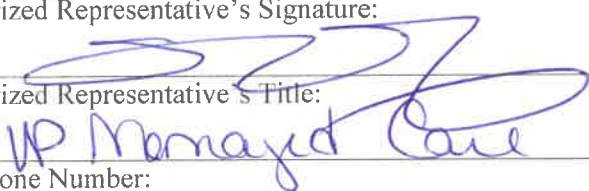
In consideration of the promises and representations stated, the Parties agree as set forth in this Agreement. The Authorized Representative acknowledges, warrants, and represents that the Authorized Representative has the authority and authorization to act on behalf of its Party. The Authorized Representative further acknowledges and represents that he/she received and reviewed this Agreement in its entirety.

The Authorized Representative of Provider acknowledges the Provider Manual was available for review prior to entering into this Agreement and agrees that Provider will comply with the provisions set forth under the Provider Manual section and other applicable provisions related to the Provider Manual in the Agreement.

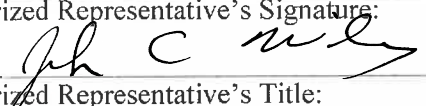
The Authorized Representative for each Party executes this Agreement with the intent to bind the Parties in accordance with this Agreement.

Effective Date of Agreement ("Effective Date"): January 1, 2021

Provider Signature and Information:

Provider's Legal Name ("Provider") – Matching the applicable tax form (i.e. W-9, Line 1): Norton Hospitals, Inc.	
Authorized Representative's Signature: 	Authorized Representative's Name – Printed: Shelley Gast
Authorized Representative's Title: IP Manager	Authorized Representative's Signature Date: 11.14.2020
Telephone Number: 502-272-5335	Fax Number – Official Correspondence:
Mailing Address – Official Correspondence: 1930 Bishop Lane Suite 1017 Louisville KY 40218	Payment Address – If different than Mailing Address: PO Box 776788 Chicago IL 60677-6788
IRS 1099 Address – If different than Mailing Address: See attachment E	Tax ID Number – As listed on corresponding tax form: 61-0703799
NPI – That corresponds to the above Tax ID Number: See attachment E	Email Address – Official Correspondence: shelley.gast@nortonhealthcare.org

Health Plan Signature and Information:

Molina Healthcare of Kentucky, Inc., a Kentucky Corporation ("Health Plan")	
Authorized Representative's Signature: 	Authorized Representative's Name – Printed: John Wiley
Authorized Representative's Title: VP, Network Strategy and Services	Authorized Representative's Countersignature Date: 5/28/2021
Mailing Address – Official Correspondence: Passport Health Plan by Molina Healthcare 5100 Commerce Crossings Dr., Louisville, KY 40229	Email Address – Official Correspondence: Contracting@PassportHealthPlan.com

HOSPITAL SERVICES AGREEMENT

Health Plan and Provider enter into this Agreement as of the Effective Date set forth on the Signature Page of this Agreement. The Provider and Health Plan each are referred to as a “Party” and collectively as the “Parties”.

RECITALS

- A. WHEREAS, Health Plan is a corporation licensed and approved, or is seeking licensure and approval, by required agencies to operate a health care service plan, including without limitation, to issue benefit agreements covering the provision of health care and related services;
- B. WHEREAS, Provider is approved to provide health care or related services and desires to provide services to eligible recipients; and
- C. WHEREAS, the Parties intend by entering into this Agreement they will make health care or related services available to eligible recipients enrolled in various Products or who at a future date will be enrolled in Products covered under this Agreement.

NOW, THEREFORE, in consideration of the promises and representations stated, the Parties agree as follows:

ARTICLE ONE – DEFINITIONS

- 1.1 Capitalized words or phrases in this Agreement have the meaning set forth below, unless Health Plan is required to follow a different definition pursuant to a Law or a Government Program Requirement.
 - a. **Advance Directive** means a Member’s written instruction, recognized under Law, relating to the provision of health care, when the Member is not competent to make a health care decision as determined under Law.
 - b. **Affiliate** means an entity owned or controlled by Health Plan or Molina Healthcare, Inc.
 - c. **Agreement** means this Hospital Services Agreement between Provider and Health Plan and all attachments, exhibits, addenda, amendments, and incorporated documents or materials.
 - d. **Centers for Medicare and Medicaid Services (“CMS”)** means the agency responsible for Medicare and certain parts of Medicaid, CHIP, Medicare-Medicaid Program, and the Health Insurance Marketplace.
 - e. **Claim** means a bill for Covered Services provided by Provider.
 - f. **Clean Claim** means a Claim for Covered Services submitted on an industry standard form, which has no defect, impropriety, lack of required substantiating documentation, or particular circumstance requiring special treatment that prevents timely adjudication of the Claim.
 - g. **Covered Services** mean those health care services and supplies, including Emergency Services, provided to Members that are Medically Necessary and are benefits of a Member’s Product.
 - h. **Cultural Competency Plan** means a plan that ensures Members receive Covered Services in a manner that takes into account, but is not limited to, developmental disabilities, physical disabilities, differential abilities, cultural and ethnic backgrounds, and limited English proficiency.
 - i. **Date of Service** means the date on which Provider provides Covered Services or, for inpatient services, the date the Member is discharged.
 - j. **Downstream Entity** means any party that enters into a written arrangement, acceptable to CMS, with persons or entities involved with the Medicare Advantage, Medicaid, or MMP Products, below the level of the arrangement between Health Plan (or applicant) and Provider. These written arrangements continue down to the level of the ultimate provider for health and administrative services.
 - k. **Emergency Services** mean covered inpatient and outpatient services furnished by a provider who is qualified to furnish the services and the services are needed to evaluate or stabilize an emergency medical condition.
 - l. **Encounter Data** means all data captured during the course of a single health care encounter that specifies: (i) the diagnoses, comorbidities, procedures (therapeutic, rehabilitative, maintenance, or palliative) pharmaceuticals, medical devices, and equipment associated with a Member receiving services during the encounter; (ii) the identification of the Member receiving and the provider providing the health care services during the single encounter; and (iii) a unique and unduplicated identifier for the single encounter.

- m. **Government Contracts** mean those contracts between Health Plan and governmental agencies for the arrangement of health care and related services for Government Programs.
- n. **Government Programs** mean various government sponsored health products in which Health Plan participates.
- o. **Government Program Requirements** mean the requirements of governmental agencies for a Government Program, which includes, but is not limited to, the requirements set forth in the Government Contract.
- p. **Grievance Program** means the procedures established by Health Plan to timely address Member and Provider complaints or grievances.
- q. **Health Insurance Marketplace** means those health insurance products/programs required by Title I of the Patient Protection and Affordable Care Act of 2010 (Pub. L. 111-148), as amended by the Health Care and Education Reconciliation Act of 2010 (Pub. L. 111-152), referred to collectively as the Affordable Care Act, including all implementing statutes and regulations.
- r. **Health Plan** means Molina Healthcare of Kentucky, Inc., a Kentucky Corporation.
- s. **Law** means, without limitation, federal, state, commonwealth, tribal, or local statutes, codes, orders, ordinances, and regulations applicable to this Agreement.
- t. **Medicaid** means the joint federal-state or federal-commonwealth program provided for under Title XIX of the Social Security Act, as amended.
- u. **Medically Necessary or Medical Necessity** means health care services provided to a patient for the purpose of evaluating, diagnosing, or treating an illness, injury, disease, or its symptoms and that are: (i) in accordance with generally accepted standards of medical practice; (ii) appropriate for the symptoms, diagnosis, or treatment of the Member's condition, disease, illness or injury; (iii) not primarily for the convenience of the Member or health care provider; and (iv) not more costly than an alternative service, or site of services, at least as likely to produce equivalent results.
- v. **Medicare Advantage ("MA")** means a program in which private health plans provide health care and related services through a Government Contract with CMS, which is authorized under Title XVIII of the Social Security Act, as amended (otherwise known as "Medicare"). Medicare Advantage also includes Medicare Advantage Special Needs Plans ("MA-SNP").
- w. **Medicare-Medicaid Program ("MMP")** means a program in which private health plans provide health care and related services to beneficiaries eligible for both Medicaid and Medicare through a Government Contract with CMS and the State.
- x. **Member** means a person enrolled in a Product and who is eligible to receive Covered Services.
- y. **Molina Marketplace** means the Products offered and sold by Health Plan under the requirements of the Health Insurance Marketplace.
- z. **Overpayment** means a payment Provider receives, which after applicable reconciliation, Provider is not entitled to receive pursuant to Laws, Government Program Requirements, or this Agreement.
- aa. **Participating Provider** means a healthcare facility or practitioner contracted with and, as applicable, credentialed by Health Plan or Health Plan's designee.
- bb. **Products** mean the various health insurance programs offered by Health Plan to Members in which Provider agrees to be a Participating Provider, identified on Attachment A, Products, and which will include any successors to such Products.
- cc. **Provider** means the entity identified on the Signature Page of this Agreement and includes any person or entity performing Covered Services on behalf of Provider and: (i) is listed on Attachment H, Provider Identification Sheet; and (ii), when applicable, such person or entity has been approved by Health Plan as a Participating Provider. Each entity or person shall be considered an "Individual Provider".
- dd. **Provider Manual** means Health Plan's provider manuals, policies, procedures, documents, educational materials, and, as applicable, Supplemental Materials, setting forth Health Plan's requirements and rules that Provider is required to follow.

- ee. **Quality Improvement Program (“QI Program”)** means the policies and procedures, interventions, and systems, developed by Health Plan for monitoring, assessing, and improving the accessibility, quality, and continuity of care provided to Members.
- ff. **Responsible Entity** means an entity that is financially responsible for certain Covered Services and pays Claims that are part of its financial responsibility.
- gg. **State Children’s Health Insurance Program (“SCHIP” or “CHIP”)** means the program established pursuant to Title XXI of the Social Security Act, as amended.
- hh. **Subcontractor** means an individual or organization, including Downstream Entity, with which Provider contracts for the provision of Covered Services or administrative functions related to the performance of this Agreement. For the avoidance of doubt, a Subcontractor does not include Individual Providers.
- ii. **Underpayment** means a payment Provider did not receive, which after applicable reconciliation, Provider should have received pursuant to Laws, Government Program Requirements, or this Agreement.
- jj. **Utilization Review and Management Program (“UM Program”)** means the policies, procedures, and systems developed by Health Plan for evaluating and monitoring the Medical Necessity, appropriateness, efficacy, or efficiency of core health care benefits and services, procedures or settings and ambulatory review, prospective review, concurrent review, second opinions, care management, discharge planning, or retrospective reviews, including, but not limited to, under-utilization and over-utilization.

ARTICLE TWO – PROVIDER OBLIGATIONS

2.1 Provider Standards.

- a. **Standard of Care.** Provider agrees to provide Covered Services within the scope of Provider’s business. Provider will ensure all services and interactions with Members are at a level of care and competence that equals or exceeds generally accepted and professionally recognized standards of practice, rules, and standards of professional conduct, Laws, and Government Program Requirements.
- b. **Facilities, Equipment, and Personnel.** Provider’s facilities, equipment, personnel, and administrative services will be at a level and quality necessary to perform Provider’s duties under this Agreement and to comply with Laws and Government Program Requirements.
- c. **Prior Authorization.** For a Covered Service that requires prior authorization, Provider will obtain prior authorization from Health Plan before providing such Covered Service. Provider will not have to obtain prior authorizations before providing Emergency Services.
- d. **Use of Participating Providers.** Except in the case of Emergency Services or when Provider obtains prior authorization, Provider will use best efforts to only utilize Participating Providers to provide Covered Services. If a Participating Provider is not available, Provider will notify Health Plan so Health Plan can determine the appropriate provider to perform such services.
- e. **Prescriptions.** When prescribing medications that a Member gets through a pharmacy, Provider will follow Health Plan’s Drug Formulary/Prescription Drug List, and prior authorization and prescription policies. Provider acknowledges the authority of pharmacies to substitute generics or low cost alternative prescriptions for the prescribed medication.
- f. **Provider-Member Communication.** Health Plan encourages open Provider-Member communication regarding Medical Necessity, appropriate treatment, and care. Provider is free to communicate all treatment options to Members regardless of limitations on Covered Services.
- g. **Member Eligibility Verification.** Provider will verify eligibility of Members before providing services unless the situation involves the provision of Emergency Services.
- h. **Availability and Hours of Service.** Provider will ensure Emergency Services and Covered Services related to inpatient hospitalizations are available twenty-four (24) hours a day, seven (7) days a week. Provider will make necessary and appropriate arrangements to ensure the availability of non-emergent Covered Services during Provider’s normal business hours, unless otherwise required by Laws or Government Program Requirements.

- i. **Hospital Admission.** Provider will notify Health Plan within 48 hours or next business day of a Member hospital admission, including any inpatient admission, and when a Member is seen in the emergency department.
 - j. **Notification.** Provider will use best efforts to notify Health Plan within five (5) business days should any disciplinary or other action of any kind be implemented against a Participating Provider or health care professional which results in any suspension, reduction, or modification of hospital privileges. Provider's notification to Health Plan will state actions taken by Provider.
 - k. **Staffing Privileges.** Provider agrees to use its best efforts to arrange staff privileges or other appropriate access for Participating Providers, Health Plan's case management staff, and hospitalist providers who are qualified medical or osteopathic physicians, provided they meet the reasonable standard of practice and credentialing standards established by Provider's medical staff and the bylaws, rules, and regulations of Provider.
- 2.2 **Rights of Members.** Provider will observe, protect, and promote the rights of Members.
- 2.3 **Use of Name and Marketing.** Neither Provider nor Health Plan will use the other Party's name, including, but not limited to, trademarks, service marks, or logos, in advertisements or promotional materials without prior written approval. However, Provider may refer to Health Plan in Provider's listings of participating health plans. Additionally, Health Plan may use Provider's name and related information in: (i) publications to identify Provider as a Participating Provider; and (ii) as may be required to comply with the Laws and Government Program Requirements.
- 2.4 **Non-Discrimination.** Provider will not differentiate or discriminate against individuals based on their status as protected veterans or because of race, color, religion, national origin, ancestry, age, sex, marital status, sexual orientation, physical, sensory or mental handicap, socioeconomic status, or participation in publicly financed programs of health care services. Provider will provide Covered Services in the same location, in the same manner, in accordance with the same standards, and within the same time or availability, regardless of payer.
- 2.5 **Recordkeeping.**
- a. **Maintaining Member Record.** Provider will maintain a medical and billing record ("Record") for each Member to whom Provider provides health care services. The Member's Record will contain all information required by Laws, generally accepted and prevailing professional practices, applicable Government Program Requirements, and Health Plan's policies and procedures. Provider will retain such Record for as long as required by Laws and Government Program Requirements. This section will survive any termination.
 - b. **Confidentiality of Member Record.** Provider will comply with all Laws, including, but not limited to, the Health Insurance Portability and Accountability Act of 1996 ("HIPAA") and the Health Information Technology for Economic and Clinical Health ("HITECH") Act, Health Plan's policies and procedures, and Government Program Requirements regarding privacy and confidentiality. Provider will not disclose or use Member names, addresses, social security numbers, identities, other personal information, treatment modalities, or Record without obtaining appropriate authorization. This section does not affect or limit Provider's obligation to make available the Record, Encounter Data, and information concerning Member care to Health Plan, a governmental agency, or another provider of health care. This section will survive any termination.
 - c. **Delivery of Member Information.** Provider will use best efforts to promptly deliver to Health Plan, upon request or as may be required by Laws, Health Plan's policies and procedures, Government Program Requirements, or third party payers, any information, statistical data, Encounter Data, or Record pertaining to a Member. Provider is responsible for the fees associated with producing the above items. Provider will further give direct access to the items as requested by Health Plan or as required by a governmental agency. Health Plan has the right to withhold compensation from Provider if Provider fails or refuses to give the items to Health Plan promptly. This section will survive any termination.
 - d. **Member Access to Member Record.** Provider will give Members access to Members' Record and other applicable information, in accordance with Laws, Government Program Requirements, and Health Plan's policies and procedures. This section will survive any termination.

2.6 Program Participation.

- a. **Participation in Grievance Program.** Provider will participate in and comply with Health Plan's Grievance Program, and will cooperate with Health Plan in identifying, processing, and resolving Member grievances, complaints, or inquiries.
- b. **Participation in Quality Improvement Program.** Provider will participate in and comply with Health Plan's QI Program, and will cooperate in conducting peer reviews and audits of care provided by Provider.
- c. **Participation in Utilization Review and Management Program.** Provider will participate in and comply with Health Plan's UM Program. Provider will cooperate with Health Plan in audits to identify, confirm, and assess utilization levels of Covered Services.
- d. **Participation in Credentialing.** Provider will participate in and comply with Health Plan's credentialing and re-credentialing program established by Health Plan in accordance with Laws and Government Program Requirements. Provider must be credentialed by Health Plan or Health Plan's designee, and as provided in applicable Law and Government Program Requirements, before providing Covered Services and must remain credentialed throughout the term of the Agreement to continue to be eligible to provide Covered Services. Provider will promptly notify Health Plan in writing of any change in the information submitted or relied upon by Provider to achieve or maintain credentialed status.
- e. **Health Education/Training.** Provider will use best efforts to participate in and comply with Health Plan's provider education and training efforts, which includes the Cultural Competency Plan and such standards, policies, and procedures as may be necessary for Health Plan to comply with Laws and Government Program Requirements.

2.7 **Provider Manual.** Provider will comply with the Provider Manual, which is incorporated by reference into this Agreement and may be unilaterally amended from time to time by Health Plan. Provider acknowledges the Provider Manual is available to Provider at Health Plan's website. A physical copy of the Provider Manual is available upon request.

2.8 **Supplemental Materials.** Health Plan may periodically issue bulletins or other written materials in order to supplement the Provider Manual or to give additional instruction, guidance, or information ("Supplemental Materials"). Health Plan may issue Supplemental Materials in an electronic format, which includes, but is not limited to, posting on Health Plan's interactive web-portal, and a physical copy is available upon request. Supplemental Materials become binding upon Provider as of the effective date indicated on the Supplemental Materials or, if applicable, the effective date will be determined in accordance with this Agreement.

2.9 **Health Plan's Electronic Processes and Initiatives.** Provider will use best efforts to participate in and comply with Health Plan's electronic processes and initiatives, including, but not limited to, electronic submission of prior authorization, electronic claims filing, electronic data interchange ("EDI"), electronic remittance advice, electronic fund transfers, and registration and use of Health Plan's interactive web-portal.

2.10 **Information Reporting and Changes.** Provider will deliver to Health Plan a complete and accurate list of its business/practice/facility locations and, as applicable, a list of the Individual Providers that it uses to provide Covered Services, together with specific information required for administration. Provider will also deliver monthly updates related specifically to provider, facility, and business/practice location changes that occurred in the previous month. The information includes, but is not limited to, the information required by Health Plan to produce provider directories. If Provider does not deliver such information, Health Plan will use the last information received from Provider. Notwithstanding the above, if a Law or Government Program Requirement requires the delivery of information described in this section in another manner or different timeframe, Provider will notify Health Plan in accordance with the Law or Government Program Requirement. Health Plan also reserves the right to request such information at any time.

2.11 Standing.

- a. **Requirements.** Provider represents it has the appropriate approvals, including, but not limited to, applicable licenses, certifications, registrations, and permits to provide Covered Services in accordance with Laws and Government Program Requirements. Provider will deliver evidence of any approvals to Health Plan upon request. Provider will maintain such approvals in good standing, free of disciplinary action, and in unrestricted

status. Provider will promptly notify Health Plan of changes in its status, including, but not limited to, disciplinary action taken or proposed by any agency responsible for oversight of Provider.

- b. **Unrestricted Status.** Provider represents to its best knowledge, information, and belief, neither it, nor any of its employees, temporary employees, volunteers, consultants, members of its board of directors, officers, or contractors (collectively, "Personnel") have been excluded from participation in the Medicare Program, any state, commonwealth, or the District of Columbia's Medicaid Program, or any other federal health care program (collectively "Federal Health Care Program"). Provider agrees that it must check the Department of Health and Human Services Office of Inspector General List of Excluded Individuals and Entities, the System for Award Management, any other list maintained by a state, commonwealth, or federal government, and every state, commonwealth, and the District of Columbia's Medicaid exclusion lists to determine whether Provider or any of its Personnel have been excluded from participation in any Federal Health Care Program. These databases must be checked for any new Personnel and thereafter not less than monthly. Provider will notify Health Plan immediately in writing if Provider determines that Provider or any of its Personnel are suspended or excluded from any Federal Health Care Program. Provider agrees that it is subject to 2 CFR Part 376 and will require its Personnel to agree that they are subject to 2 CFR Part 376. If a governmental agency imposes a penalty, sanction, or other monetary adjustment or withhold due to Provider's non-compliance with this provision or any payments were made to Provider while under non-compliance with this provision, Health Plan may collect the amount: (i) by offsetting from amounts due to Provider; or (ii) Health Plan may issue a recoupment letter and Provider agrees it will remit funds pursuant to the terms of the recoupment letter. If required, such offset or recoupment will be done in a manner that is compliant with Laws and Government Program Requirements. This section will survive any termination.
 - c. **Legal Actions.** Provider will give prompt written notice to Health Plan of: (i) a legal claim asserted against it by a Member and of the judgment, settlement, or compromise of the claim specific to this payor; (ii) a criminal investigation or proceeding against Provider; (iii) a conviction for crimes involving moral turpitude or felonies; and (iv) a civil claim that may jeopardize Provider's financial soundness. This section will survive any termination.
 - d. **Liability Insurance.** Provider will maintain general and professional liability insurance in coverage amounts appropriate for the size and nature of Provider's facility and health care activities, and in compliance with Laws and Government Program Requirements. This section will survive any termination.
- 2.12 **Non-Solicitation of Members.** Provider will not solicit or encourage Members to select another health plan nor will Health plan solicit or encourage member to select another Provider
- 2.13 **Laws and Government Program Requirements.**
- a. **Compliance with Laws and Government Program Requirements.** Provider will comply with Laws that are applicable to this Agreement. Provider acknowledges Health Plan entered into Government Contracts and Provider will comply with the applicable Government Program Requirements that must be satisfied under this Agreement. Upon written request, Health Plan will give Provider a redacted copy of applicable Government Contracts.
 - b. **Fraud and Abuse Reporting.** Provider will comply with Laws and Government Program Requirements related to fraud, waste, and abuse. Provider will establish and maintain policies and procedures for identifying and investigating fraud, waste, and abuse. In the event Provider discovers an occurrence of fraud, waste, or abuse, Provider will promptly notify Health Plan. Provider will participate in investigations conducted by Health Plan or by a governmental agency. This section will survive any termination.
 - c. **Advance Directive.** Provider will comply with Laws and Government Program Requirements related to Advance Directives.
 - d. **Ownership Disclosure Information.** If applicable, Provider must disclose to Health Plan the name and address of each person, entity, or business with an ownership or control interest in the disclosing entity before the Effective Date and throughout the term of this Agreement. Provider or disclosing entity must also disclose to Health Plan whether any person, entity, or business with an ownership or control interest in the disclosing entity are related to another as spouse, parent, child, or sibling before the Effective Date and throughout the term of this Agreement. Furthermore, there must be disclosure of the name of any other disclosing entity in which a

person with an ownership or controlling interest in the Provider or disclosing entity also has an ownership or control interest.

- 2.14 **Reciprocity Agreements.** Provider will cooperate with Affiliates and agrees to ensure reciprocity of health care services to Affiliate's enrollees. For Affiliate enrollees, Provider will be compensated for Clean Claims that are determined to be payable in accordance with Laws and Government Program Requirements. If there is not a Law or Government Program Requirement governing reimbursement, Provider will be compensated at the rates set forth in this Agreement. Provider will follow the hold harmless provisions of this Agreement for Affiliate's enrollees.

ARTICLE THREE – HEALTH PLAN'S OBLIGATIONS

- 3.1 **Member Eligibility Determination.** Health Plan will maintain data on Member eligibility and enrollment. Health Plan will promptly verify Member eligibility at the request of Provider.
- 3.2 **Prior Authorization Review.** Health Plan will respond with a determination on a prior authorization request in accordance with the time frames governed by Laws and Government Program Requirements after receiving all necessary information from Provider.
- 3.3 **Medical Necessity Determination.** Health Plan's determination with regard to Medical Necessity, including, but not limited to, determinations of level of care and length of stay, will govern, subject to applicable Law and Government Program Requirements. The primary concern with respect to Medical Necessity determinations is the interest of the Member.
- 3.4 **Member Services.** Health Plan will provide services to Members, including, but not limited to, assisting Members in selecting a primary care physician, processing Member complaints and grievances, informing Members of Health Plan's policies and procedures, providing Members with membership cards, providing Members with information about Health Plan, and providing Members with access to Health Plan's Provider Directory.
- 3.5 **Provider Services.** Health Plan will make available a provider services department that, among other Health Plan duties, is available to assist Provider with questions about this Agreement.
- 3.6 **Corrective Action.** Health Plan and governmental agencies routinely monitor the level, manner, and quality of Covered Services provided as well as Provider's compliance with this Agreement. If a deficiency is identified, the Parties will meet and confer in good faith to discuss the potential solutions to address the deficiency. Provider is not entitled to a corrective action plan prior to any termination.

ARTICLE FOUR - CLAIMS PAYMENT

- 4.1 **Claims.** Provider will promptly submit to Health Plan Claims for Covered Services in a standard form that is acceptable to Health Plan. Provider is not eligible for payment on Claims submitted after one hundred and eighty (180) days from the Date of Service or date of discharge; whichever is later, unless Health Plan is required to follow a different timeframe pursuant to a Law or Government Program Requirement. When Health Plan is the secondary payer, Provider is not eligible for payment for Claims submitted after one hundred eighty (180) days from the date the primary payer adjudicated the Claim, unless Health Plan is required to follow a different timeframe pursuant to a Law or Government Program Requirement. Provider will include all medical records pertaining to the Claim if requested by Health Plan and as may be required by Health Plan's policies and procedures.
- 4.2 **Compensation.** Health Plan will pay Provider for Clean Claims for Covered Services, that are determined to be payable, in accordance with Laws, Government Program Requirements, and this Agreement. Health Plan will make such payment within thirty (30) days, unless otherwise required by Laws or Government Program Requirements. Provider agrees to accept such payments, applicable co-payments, co-insurances, deductibles, and coordination of benefits collections as payment in full for Covered Services. Provider's failure to comply with the terms of this Agreement may result in non-payment to Provider.
- 4.3 **Co-payments and Deductibles.** Provider is responsible for collection of co-payments, co-insurances, and deductibles, if any.
- 4.4 **Member Hold Harmless.** Provider agrees in no event, including, but not limited to, non-payment, insolvency, or breach of this Agreement by Health Plan, will Provider bill, charge, collect a deposit from, seek remuneration or reimbursement from, or have any recourse against a Member or person acting on Member's behalf, for Covered Services provided pursuant to this Agreement. This does not prohibit Provider from collecting co-payments, co-insurances, or deductibles as specifically provided in the Member's evidence of coverage or fees for non-Covered

Services. This section will survive any termination, regardless of the reason for the termination, including insolvency of Health Plan.

- 4.5 **Coordination of Benefits.** Health Plan is a secondary payer where another payer is primary payer. Provider will make reasonable inquiry of Members to learn if Member has health insurance or health benefits other than from Health Plan, or is entitled to payment by a third party under any other insurance or plan of any type. Provider will promptly notify Health Plan of said entitlement. In the event a coordination of benefits occurs, Provider will be compensated in an amount equal to the allowable Clean Claim less the amount paid by other health plans, insurance carriers, and payers, not to exceed the amount specified in the Compensation Schedule of this Agreement.
- 4.6 **Offset.** In the event of an Overpayment, Health Plan may collect the amount: (i) by offsetting from amounts due Provider; or (ii) Health Plan may issue a recoupment letter and Provider will remit funds pursuant to the terms of the recoupment letter. If required, the offset or recoupment will be done in a manner that is compliant with Laws and Government Program Requirements but no more than 18 months after payment date unless otherwise required by Laws and Government Program Requirements. As a material condition to Health Plan's obligations under this Agreement, Provider agrees the offset and recoupment rights set forth in this Agreement will be deemed to be and to constitute rights of offset and recoupment authorized under Law or in equity to the maximum extent legally permissible. Such rights will not be subject to any requirement of prior or other approval from a court or other governmental agency that may now or hereafter have jurisdiction over Health Plan or Provider. This section will survive any termination.
- 4.7 **Underpayment.** If Provider believes that there has been an Underpayment, Health Plan will reprocess requests for payment if Provider submits a request for an appeal or adjustment with Health Plan within eighteen (18) months from the date of Health Plan's payment or explanation of payment unless otherwise required by Laws and Government Program Requirements. In the event of an Underpayment, Health Plan will remit such amount to Provider.
- 4.8 **Claim Review.** Claims will be reviewed and paid in accordance with industry standard billing and payment rules, including, but not limited to, Uniform Billing ("UB") manual and editor, Current Procedural Terminology ("CPT") and Healthcare Common Procedure Coding System ("HCPCS"), federal and state/commonwealth billing and payment rules, National Correct Coding Initiatives ("NCCI") Edits, and Federal Drug Administration ("FDA") definitions and determinations of designated implantable devices and implantable orthopedic devices. Furthermore, Provider acknowledges Health Plan's right to conduct Medical Necessity reviews and apply clinical practice standards to determine appropriate payment. Payment may exclude certain items not billed in accordance with industry standard billing and payment rules or that do not meet Medical Necessity criteria. This section will survive any termination.
- 4.9 **Claim Auditing.** Provider agrees Health Plan may conduct post-payment billing audits. Provider will cooperate with Health Plan's audits and Health Plan will follow Provider's policy for itemized bill review as set forth in Provider's policy entitled; Norton Healthcare Financial Audit Policy of Patient Accounts ("Policy"). Provider will make reasonable efforts to notify Health Plan thirty (30) days prior to any material update to the Policy.
- 4.10 **Financially Responsible Entity Payments.** If Provider provides Covered Services that are the responsibility of a Responsible Entity, Provider will look solely to the Responsible Entity for payment of such Covered Services. Pursuant to Health Plan's contract with Responsible Entity, Responsible Entity is to compensate Provider at the rate set forth in Provider's contract with Responsible Entity. If Responsible Entity and Provider do not have a contract or have not agreed to compensation terms, Provider will be reimbursed, as determined by Provider and Responsible Entity, at: (i) one hundred percent (100%) of the governing rates provided by Law specific to the Member's Product in place on the Date of Service; or (ii) at the rates set forth in this Agreement specific to the Member's Product in place on the Date of Service. Except as specifically stated in this section, Provider agrees that the compensation provisions of this Agreement will be binding upon Provider and that Provider will follow the hold harmless provisions of this Agreement.
- 4.11 **Timely Submission of Encounter Data.** Provider understands Health Plan may have certain contractual reporting obligations that require timely submission of Encounter Data. If a Clean Claim does not contain the necessary Encounter Data, Provider will work with Health plan to use best efforts to submit Encounter Data to Health Plan. This section will survive any termination.

ARTICLE FIVE – TERM AND TERMINATION

- 5.1 **Term.** This Agreement will commence on the effective date indicated on Agreement and will continue for one year. this Agreement will automatically term unless both parties agree by mutual written consent to continue.
- 5.2 **Termination without Cause.** This Agreement, an individual Product, may be terminated without cause at any time by either Party by giving at least ninety (90) days prior written notice to the other Party.
- 5.3 **Termination with Cause.** In the event of a breach of a material provision of this Agreement, the Party claiming the breach will give the other Party written notice of termination setting forth the facts underlying its claim that the other Party breached this Agreement. The Party receiving the notice of termination will have thirty (30) days from the date of receipt of such notice to remedy or cure the claimed breach to the satisfaction of the other Party. During this thirty (30) day period, the Parties agree to meet as reasonably necessary and to confer in an attempt to resolve the claimed breach. If the Party receiving the notice of termination has not remedied or cured the breach within such thirty (30) day period, the Party who delivered the notice of termination has the right to immediately terminate this Agreement, or an individual Product or an Individual Provider under this Agreement, upon expiration of the thirty (30) day period. Notwithstanding the forgoing, either Party may immediately terminate this Agreement, an individual Product, or an Individual Provider under this Agreement, without providing the other Party the opportunity to cure a material breach should the terminating Party reasonably believe the material breach of this Agreement to be non-curable.
- 5.4 **Immediate Termination.** Notwithstanding any other provision of this Agreement, this Agreement, an individual Product, or an Individual Provider under this Agreement, may immediately be terminated upon written notice to the other Party in the event any of the following occurs:
- a. Provider's license or any other approval needed to provide Covered Services is limited, suspended, or revoked or a disciplinary proceeding is commenced against Provider by a governmental or accrediting agency;
 - b. Either Party fails to maintain adequate levels of insurance;
 - c. Provider has not or is unable to comply with Health Plan's credentialing requirements, including, but not limited to, having or maintaining credentialing status;
 - d. Either Party becomes insolvent or files a petition to declare bankruptcy or for reorganization under the bankruptcy laws of the United States, or a trustee in bankruptcy or receiver for Provider or Health Plan is appointed by appropriate authority;
 - e. If Provider is capitated or participating in another risk-sharing compensation methodology and Health Plan determines Provider is financially incapable of bearing capitation or other applicable risk-sharing compensation methodology;
 - f. Health Plan reasonably determines that Provider's facility or equipment is insufficient to provide Covered Services;
 - g. Either Party is excluded from participation in state, commonwealth, or federal health care programs;
 - h. Provider is terminated as a provider by any state, commonwealth, or federal health care program;
 - i. Either Party engages in fraud or deception, or permits fraud or deception by another in connection with each Party's obligations under this Agreement;
 - j. Health Plan reasonably determines that Covered Services are not being properly provided, or arranged for by Provider, and such failure poses a threat to Members' health and safety;
 - k. Provider violates any Law;
 - l. Provider fails to satisfy the terms of a corrective action plan; or
 - m. Termination is required by a governmental agency.
 - n. Health Plan changes a policy or procedure that reduces Provider's reimbursement without prior notice unless such reduction is due to a change required by a Law or Government Program Requirement.
- 5.5 **Notice to Members.** In the event of any termination, Health Plan will give reasonable advance notice to Members who are currently receiving care in accordance with Laws and Government Program Requirements.

- 5.6 **Transfer Upon Termination.** In the event of any termination, Health Plan may transfer Members to another provider. As well as Provider may assist patients in transfer to another Health Plan

ARTICLE SIX – GENERAL PROVISIONS

- 6.1 **Indemnification.** Each Party will indemnify and hold harmless the other Party and its officers, directors, shareholders, employees, agents, and representatives from any and all liabilities, losses, damages, claims, and expenses of any kind, including costs and attorneys' fees, which result from the duties and obligations of the indemnifying Party or its officers, directors, shareholders, employees, agents, and representatives under this Agreement.
- 6.2 **Relationship of the Parties.** Nothing contained in this Agreement is intended to create, nor will it be construed to create, any relationship between the Parties other than that of independent parties contracting with each other solely for the purpose of effectuating this Agreement. This Agreement is not intended to create a relationship of agency, representation, joint venture, or employment between the Parties. Nothing herein contained will prevent the Parties from entering into similar arrangements with other parties. Each Party will maintain separate and independent management and will be responsible for its own operations. Nothing contained in this Agreement is intended to create, nor will it be construed to create, any right in any third party to enforce this Agreement.
- 6.3 **Governing Law.** The laws of the Commonwealth of Kentucky will govern this Agreement to the extent such laws are not deemed preempted by federal laws.
- 6.4 **Entire Agreement.** This Agreement, including attachments, addenda, amendments, Supplemental Materials, and incorporated documents or materials, contains the entire agreement between the Parties relating to the rights granted and obligations imposed by this Agreement. Any prior agreements, promises, negotiations, or representations, either oral or written, between the Parties and relating to the subject matter of this Agreement, are of no force or effect.
- 6.5 **Severability.** If a term, provision, covenant, or condition of this Agreement is held by a court of competent jurisdiction to be invalid, void, or unenforceable, the remaining provisions will remain in full force and effect and will in no way be affected, impaired, or invalidated as a result of such decision.
- 6.6 **Headings and Construction.** The headings in this Agreement are for reference purposes only and are not considered a part of this Agreement in construing or interpreting its provisions. It is the Parties' desire that if a provision of this Agreement is determined to be ambiguous, then the rule of construction that such provision is construed against its drafter will not apply to the interpretation of the ambiguous provision. The following rules of construction apply to this Agreement: (i) the word "day" means calendar day unless otherwise specified; (ii) the term "business day" means Monday through Friday, except federal holidays; (iii) all words used in this Agreement will be construed to be of such gender or number as circumstances require; (iv) references to specific statutes, regulations, rules or forms, such as CMS-1500, include subsequent amendments or successors to them; and (v) references to any government department or agency include any successor departments or agencies.
- 6.7 **Non-exclusivity.** This Agreement will not be construed to be an exclusive Agreement between the Parties. Nor will it be deemed to be an Agreement requiring Health Plan to refer Members to Provider.
- 6.8 **Amendments.**
- a. **Regulatory Amendments.** Health Plan may immediately amend this Agreement to maintain consistency or compliance with applicable policy, directive, Law, or Government Program Requirement at any time and without Provider's consent. Such regulatory amendment will be binding upon Provider.
 - b. **Non-Regulatory Amendments.** Notwithstanding the Regulatory Amendments section, Health Plan may otherwise amend this Agreement upon thirty (30) days prior written notice to Provider. If Provider does not deliver a written disapproval to such amendment within the thirty (30) day period, the amendment will be deemed accepted by and binding upon Provider. If Health Plan receives a written disapproval within the thirty (30) day period, the Parties agree to meet and confer in good faith to determine if a revised amendment can be accepted by and binding upon the Parties. However, Health Plan may not amend or change policies to this agreement unilaterally that will affect lessor reimbursement unless directed by state law or government.
- 6.9 **Delegation or Subcontract.** Upon request from Health Plan, Provider will use best efforts to submit to Health Plan a list identifying each of Provider's Subcontractors and a description of the services the Subcontractor provides.

Provider can subcontract with anyone that Provider deems necessary to take care of or assist in the needs of our patients. Provider will ensure that its Subcontractors comply with the applicable term of this Agreement.

6.10 **Assignment.** Neither party may assign or transfer, in whole or in part this agreement without the others consent.

6.11 **Dispute Resolution.**

- a. **Meet and Confer.** Any claim or controversy arising out of or in connection with this Agreement will first be resolved, to the extent possible, via "Meet and Confer". The Meet and Confer will begin when one Party delivers written notice to the other that it intends to arbitrate a dispute and the basis for its belief that it will prevail in arbitration. After providing notice of the intent to arbitrate, the Meet and Confer will be held as an informal face-to-face meeting held in good faith between appropriate representatives of the Parties and at least one (1) person authorized to settle outstanding claims and pending arbitration matters. The Parties will commence the face-to-face portion of the Meet and Confer within forty-five (45) days of receiving notice of an intent to arbitrate or service of an arbitration demand. Such face-to-face Meet and Confer discussion will occur at a time and location agreed to by the Parties (within the forty-five (45) days) and if both Parties agree that more face-to-face discussions would be beneficial, the Parties can agree to have more than one (1) in person settlement discussion or a combination of in person, phone meetings and exchange of correspondence.
- b. **Binding Arbitration.** The Parties agree that any dispute not resolved via Meet and Confer will be settled in binding arbitration administered by Judicial Arbitration and Mediation Services ("JAMS"), or if mutually agreed upon, pursuant to another agreed upon Alternative Dispute Resolution ("ADR") provider in accordance with that ADR provider's Commercial Arbitration Rules, in Louisville, Kentucky. However, matters that primarily involve Provider's professional competence or conduct i.e., malpractice, professional negligence, or wrongful death will not be eligible for arbitration.

Any arbitration in which the total amount disputed by one Party is equal to or exceeds one million dollars (\$1,000,000.00) will be resolved by a panel of three (3) arbitrators. In the event a panel of three (3) arbitrators will be used, the claimant will select one (1) arbitrator; the respondent will select one (1) arbitrator; and the two (2) arbitrators selected by the claimant and respondent will select the third arbitrator whose determination will be final and binding on the Parties. If possible, each arbitrator will be an attorney with at least fifteen (15) years of experience, including at least five (5) years of experience in managed health care.

Any arbitration in which the total amount disputed by one Party is equal to or exceeds five hundred thousand dollars (\$500,000.00), but less than one million dollars (\$1,000,000.00), the claimant and respondent will each select a single arbitrator and the two (2) arbitrators selected by the claimant and respondent will select a single arbitrator who will be responsible for the arbitration proceedings ("Selected Arbitrator"). Each Party can strike no more than one (1) Selected Arbitrator. The Selected Arbitrator will be an attorney with at least fifteen (15) years of experience, including at least five (5) years of experience in managed health care.

Any arbitration in which the total amount disputed by one Party is less than five hundred thousand dollars (\$500,000.00) will be resolved by a single arbitrator. In the event a single arbitrator is used, the arbitrator will be an attorney with at least fifteen (15) years of experience, including at least five (5) years of experience in managed health care.

Civil discovery for use in such arbitration may be conducted in accordance with federal rules of civil procedure and federal evidence code, except where the Parties agree otherwise. The arbitrator selected will have the power to enforce the rights, remedies, duties, liabilities, and obligations of discovery by the imposition of the same terms, conditions, and penalties as can be imposed in like circumstances in a civil action by a court in the same jurisdiction. The provisions of federal rules of civil procedure concerning the right to discovery and the use of depositions in arbitration are incorporated herein by reference and made applicable to this Agreement.

However, in any arbitration in which the total amount disputed by one Party is less than one million dollars (\$1,000,000.00) the Parties agree that each Party will have the right to take no more than three (3) depositions of individuals or entities, excluding deposition of expert witnesses, and the Parties agree to exchange copies of all exhibits and demonstrative evidence to be used at the arbitration prior to the arbitration as deemed appropriate by the arbitrator. The Parties agree that in any arbitration in which the total amount disputed by one Party is less than five hundred thousand dollars (\$500,000.00) each Party will have the right to take no more than one (1) deposition of individuals or entities and one (1) expert witness, and the Parties agree to exchange copies of all exhibits and demonstrative evidence to be used at the arbitration prior to the arbitration as deemed

appropriate by the arbitrator. Regardless of the amount in dispute, rebuttal and impeachment evidence need not be exchanged until presented at the arbitration hearing.

The arbitrator will have no authority to give a remedy or award damages that would not be available to such prevailing Party in a court of law, nor will the arbitrator have the authority to award punitive or liquidated damages. The arbitrator will deliver a written reasoned decision within thirty (30) days of the close of arbitration, unless an alternate agreement is made during the arbitration. The Parties agree to accept any decision by the arbitrator, which is grounded in applicable law, as a final determination of the matter in dispute, and judgment on the award rendered by the arbitrator may be entered in any court having jurisdiction. The award may be reviewed, vacated, or modified pursuant to the Federal Arbitration Act ("FAA"), 9 USC sections 9-11. Grounds for vacating an award, include where the award was procured by corruption, fraud, or undue means, and where the arbitrators were guilty of misconduct, exceeded their powers, evident material miscalculation, evident material mistake, imperfect(ions) in (a) matter of form not affecting the merits, and where a decision is not grounded in applicable law. When a decision is not grounded in applicable law, any Party will have the right to appeal the decision in addition to those rights to vacate or appeal already existing pursuant to the FAA or applicable state or commonwealth arbitration laws. Any such appeal may be made to a court having jurisdiction over the Parties or the dispute. Notice of intent to Appeal based on failure to render a decision grounded in law must be given to the other Party within fifteen (15) days after the decision is communicated to the Parties; and the appeal must be formally initiated by filing in court within thirty (30) days after the decision is communicated to the Parties. If a court decides it will not hear an appeal because it deems appeals from arbitration not subject to appeal, there is no right for any additional appeal in any other venue.

Each Party shall bear its own costs and expenses, including its own attorneys' fees, and shall bear an equal share of the arbitrator and administrative fees of arbitration. The Parties agree that one or the other may request a court reporter transcribe the entire proceeding, in which case the Parties will split the cost of the court reporter, but each may elect to purchase or forego purchasing a transcript.

Arbitration must be initiated within one (1) year of the earlier of the date the claim or controversy arose, was discovered, or should have been discovered with reasonable diligence; otherwise it will be deemed waived. The use of binding arbitration will not preclude a request for equitable and injunctive relief made to a court of appropriate jurisdiction.

6.12 Notice.

- a. **Delivery.** All notices required or permitted by this Agreement, except for Supplemental Materials, will be in writing and delivered: (i) in person; (ii) by U.S. Postal Service ("USPS") registered, certified, or express mail with postage prepaid; (iii) by overnight courier that guarantees next day delivery; (iv) by facsimile transmission; or (v) by email. Notice is deemed given: (i) on the date of personal delivery; (ii) on the second day after the postmark date for USPS registered, certified, or express mail with postage prepaid; (iii) on the date of delivery shown by overnight courier; or (iv) on the date of transmission for facsimile or email.
- b. **Addresses.** The mailing address, email address, and facsimile number set forth under the Signature Page will be the particular Party's information for delivery of notice. Each Party may change its information through written notice in compliance with this section without amending this Agreement. Notice will be sent to the attention of the Authorized Representative.

6.13 **Waiver.** A failure or delay of a Party to exercise or enforce any provision of this Agreement will not be deemed a waiver of any right of that Party. Any waiver must be specific, in writing, and executed by the Parties.

6.14 **Execution in Counterparts and Duplicates.** This Agreement may be executed in counterparts, each of which will be deemed an original, but all of which together will constitute one and the same instrument. The Parties agree facsimile signatures, pdf signatures, photocopied signatures, electronic signatures, or signatures scanned and sent via email will have the same effect as original signatures.

6.15 **Conflict with Health Plan Product.** Nothing in this Agreement modifies any benefits, terms, or conditions contained in the Member's Product. In the event of a conflict between this Agreement and any benefits, terms, or conditions of a Product, the benefits, terms, and conditions contained in the Member's Product will govern.

6.16 **Force Majeure.** Neither Party will be liable or deemed to be in default for any delay or failure to perform any duty under this Agreement resulting directly or indirectly, from acts of God, civil or military authority, acts of a public

enemy, war, accident, fire, explosion, earthquake, flood, strikes by either Party's employees, or any other similar cause beyond the reasonable control of such Party if it is determined that such Party: (i) used the efforts a reasonable person would during a force majeure event to perform its duties under this Agreement; and (ii) the Party's inability to perform its duties during the force majeure event is not due to its failure to take measures to protect itself against the force majeure event.

- 6.17 **Confidentiality.** Any information disclosed by either Party in fulfillment of its duties under this Agreement, including, but not limited to, health care information, compensation rates, and the terms of the Agreement, will be kept confidential. Information provided to Provider, including, but not limited to, Member lists, QI Program, certification/credentialing criteria, compensation rates, and any other administrative protocols or procedures of Health Plan, is the proprietary property of Health Plan and will be kept confidential. Provider will not disclose or release such material to a third party without the written consent of Health Plan. This section will survive any termination.
- 6.18 **Adjustments.** If a governmental agency imposes a penalty, sanction, or other monetary adjustment or withhold due to Provider's non-compliance with this Agreement, Health Plan will be able to collect the amount imposed on or withheld from Health Plan. Health Plan may collect the amount: (i) by offsetting from amounts due to Provider; or (ii) Health Plan may issue a recoupment letter and Provider will remit funds pursuant to the terms of the recoupment letter. If required, such offset or recoupment will be done in a manner that is compliant with Laws and Government Program Requirements. This section will survive any termination.
- 6.19 **Expenses.** Unless otherwise specifically stated in the Agreement, all costs and expenses incurred in connection with this Agreement will be paid by the Party incurring the cost or expense.

ATTACHMENT A

Products

Provider's participation in the Medicaid Product listed below is contingent upon Health Plan executing a Government Contract with the appropriate governmental agency. Provider agrees to participate in the Medicaid Product on the date it becomes operational for Health Plan under its Government Contract and Provider shall be bound to the terms of this Agreement. For all other Products, Provider's participation in each Product listed below is contingent upon the Product being offered by the appropriate governmental agency and upon Health Plan executing a Government Contract with the appropriate governmental agency. Subject to applicable Laws and Government Program Requirements, Provider agrees to participate in each Product on the date it becomes operational for Health Plan under its Government Contract and Provider shall be bound to the terms of this Agreement.

- 1.1 **Medicaid** – including, but not limited to, Kentucky HEALTH, and any other Medicaid or CHIP programs offered by the Commonwealth of Kentucky.

ATTACHMENT B
Compensation Schedule

1.1 Compensation for Medicaid

- a. **Inpatient Covered Services.** Health Plan agrees to compensate Provider on a fee-for-service basis for inpatient Covered Services provided under the Medicaid Product that are determined by Health Plan to be payable and submitted on a Clean Claim, less any applicable amounts paid or to be paid by other liable third parties and the Member for cost sharing, including, but not limited to, co-payments, deductibles, or co-insurances, if any, at an amount equivalent to one-hundred percent (100%) of the Medicare Severity Diagnosis Related Group (“MS-DRG”) for the Date of Service.
 - i. **Norton Children’s Hospital - Louisville.** Notwithstanding Section 1.1, a., of this Attachment, for services billed under Provider’s Norton Children’s Hospital downtown Louisville, Kentucky service location, Health Plan agrees to compensate Provider on a fee-for-service basis for inpatient Covered Services provided under the Medicaid Product that are determined by Health Plan to be payable and submitted on a Clean Claim, less any applicable amounts paid or to be paid by other liable third parties and the Member for cost sharing, including, but not limited to, co-payments, deductibles, or co-insurances, if any, at an amount equivalent to one-hundred and five percent (105%) of the MS-DRG for the Date of Service.
 - ii. **General.** Provider represents that per applicable Law and Government Program Requirements that Provider is eligible to receive Intensity Operating Allowance (“IOA”) Payments. Calculations of the IOA Payments are based on the methodology utilized by Provider and approved by The Kentucky Cabinet for Health and Family Services, Department for Medicaid Services (“Department”) and are subject to applicable Laws and Government Program Requirements. Information needed to support the operation of this section related to charges, expenses, and statistics will be generated and provided by Provider to Health Plan. Information needed to support the operation of this section related to Medicaid days, charges, and payments will be generated and provided by Health Plan to Provider. The cost report will be filed with the Health Plan within thirty (30) days of when the cost report is due to CMS. Payments due to a Party will be made by the owing Party within forty-five (45) days from the receipt of the cost report. During such forty-five (45) day period, the Parties agree to meet and confer to resolve any issues related to the cost report if an issue is raised by either Party.
- b. **Outpatient Covered Services.** Health Plan agrees to compensate Provider on a fee-for-service basis for outpatient Covered Services provided under the Medicaid Product that are determined by Health Plan to be payable and submitted on a Clean Claim, less any applicable amounts paid or to be paid by other liable third parties and the Member for cost sharing, including, but not limited to, co-payments, deductibles, or co-insurances, if any, at (20%) of Provider’s billed charges.
 - i. **Laboratory.** Notwithstanding Section 1.1, b., of this Attachment, for outpatient laboratory Covered Service (defined in this section to only include those services billed with the Current Procedural Terminology codes of 80000 through 89999), Health Plan agrees to compensate Provider on a fee-for-service basis for laboratory Covered Services provided under the Medicaid Product that are determined by Health Plan to be payable and submitted on a Clean Claim, less any applicable amounts paid or to be paid by other liable third parties and the Member for cost sharing, including, but not limited to, co-payments, deductibles, or co-insurances, if any, at an amount equivalent to the Medicaid Fee-For-Service Program allowable payment rate set forth by the Commonwealth of Kentucky, subject to any retrospective adjustments. If there is code, but no payment rate, Health Plan agrees to compensate Provider on a fee-for-service basis for laboratory Covered Services provided under the Medicaid Product that are determined by Health Plan to be payable and submitted on a Clean Claim, less any applicable amounts paid or to be paid by other liable third parties and the Member for cost sharing, including, but not limited to, co-payments, deductibles, or co-insurances, if any, at (20%) of Provider’s billed charges. Payment for Laboratory Covered Services will be considered final and are not subject to cost settlement under Section 1.1, b.ii.

- ii. **General.** Outpatient Covered Services, excluding laboratory services as defined above, will be paid on an interim basis as set forth in this Section 1.1. b. At the close of each of Provider's fiscal year, Provider will, in accordance with Medicare's cost report filing timeline, prepare a cost report detailing the actual cost for Covered Services provided to Members. Except as stated in the foregoing sentence, this cost report will otherwise comply with the Department's cost report filing requirements and will be subject to applicable Laws and Government Program Requirements. Settlement will be made to bring the Health Plan payments equal to 101% of those costs as noted in the cost report. The cost report will also serve as the basis for adjusting the interim rate each year. The cost report will be filed with the Health Plan within thirty (30) days of when the cost report is due to CMS. Payments due to a Party will be made by the owing Party within forty-five (45) days from the receipt of the cost report. During such forty-five (45) day period, the Parties agree to meet and confer to resolve any issues related to the cost report if an issue is raised by either Party.

ATTACHMENT C
Commonwealth of Kentucky Required Provisions
Commonwealth Laws

This attachment sets forth applicable Commonwealth Laws or other provisions necessary to reflect compliance with Commonwealth Laws. This attachment will be automatically modified to conform to subsequent changes to Law. All provisions of the Agreement not specifically modified by this attachment remain unchanged and will control. In the event of a conflict between this attachment and any other provision in the Agreement, the provisions in this attachment will control. Capitalized terms used in this attachment will have the same meaning ascribed to them in the Agreement unless otherwise set forth in this attachment. Any purported modification or any provision in this attachment that is inconsistent with Law will not be effective and will be interpreted in a manner that is consistent with the applicable Law. For the avoidance of doubt, this attachment does not apply to the Medicare Advantage Product or the Medicare-Medicaid Product to the extent such Products are preempted by Federal Law.

1.1 Definitions

- a. **Clean Claim** means “a properly completed billing instrument, paper or Electronic, including the required Health Claim Attachments, submitted in the applicable forms. A clean claim from an institutional provider shall consist of: (i) the UB-92 data set or its successor submitted on the designated paper or Electronic format as adopted by the NUBC; (ii) entries stated as mandatory by the NUBC; and (iii) any state-designated data requirements determined and approved by the Kentucky State Uniform Billing Committee and included in the UB-92 billing manual effective at the time of service. A Clean Claim for dentists shall consist of the form and data set approved by the American Dental Association. A Clean Claim for pharmacists shall consist of a universal claim form and data set approved by the National Council on Prescription Drug Programs. A Clean Claim for all other providers shall consist of the HCFA 1500 data set or its successor submitted on the designated paper or Electronic format as adopted by the National Uniform Claims Committee.
- b. **Electronic** or **Electronically** means electronic mail, computerized files, communications, or transmittals by way of technology having electrical, digital, magnetic, wireless, optical, electromagnetic, or similar capabilities.
- c. **Health Claim Attachments** means medical information from a covered person's medical record required by the insurer containing medical information relating to the diagnosis, the treatment, or services rendered to the covered person and as may be required pursuant to Kentucky Revised Statutes 304.17A-720.

1.2 Hold Harmless and Continuity of Care.

- a. Provider may not, under any circumstance, including: (i) non-payment of moneys due to the Providers by Health Plan; (ii) insolvency of Health Plan; or (iii) breach of the Agreement, bill, charge, collect a deposit, seek compensation, remuneration, or reimbursement from, or have any recourse against Member, dependent of Member, or any persons acting on their behalf, for services provided in accordance with the Agreement. This provision shall not prohibit collection of deductible amounts, copayment amounts, coinsurance amounts, and amounts for non-Covered Services.
- b. If the Agreement is terminates for any reason, other than a quality of care issue or fraud, the Provider shall continue to provide Covered Services and Health Plan shall continue to reimburse Provider in accordance with the Agreement until Member or the dependent of the Member is discharged from an inpatient facility, or the active course of treatment is completed, whichever time is greater, and in the case of a pregnant woman, services shall continue to be provided through the end of the post-partum period if the pregnant woman is in her fourth or later month of pregnancy at the time the Agreement is terminated.
- c. Sections 1.1 a. and b will survive any termination of the Agreement.

- 1.3 Payment or Fee Schedules.** Health Plan will, upon request of Provide, make available to Provider, when contracting or renewing an existing contract with Provider, the payment or fee schedules or other information sufficient to enable Provider to determine the manner and amount of payments under the contract for the Provider's services prior to the final execution or renewal of the contract and shall provide any change in such schedules at least ninety (90) days prior to the effective date of the amendment pursuant to KRS 304.17A-577.

- 1.4 **Subcontractor.** If Provider enters into any subcontract agreement with another provider to provide their licensed health care services to Members, dependent of the Member, where the subcontracted provider will bill the managed care plan or subscriber or enrollee directly for the subcontracted services, the subcontract agreement must meet all requirements of KRS 304.17A-527 and all such subcontract agreements shall be filed with the commissioner in accordance with this subsection.
- 1.5 **Material Change.**
- a. For the purposes of this section, capitalized words or phrases will have the meaning set forth below.
 - i. **Material Change** means a change to a contract, the occurrence and timing of which is not otherwise clearly identified in the contract, that decreases the health care provider's payment or compensation or changes the administrative procedures in a way that may reasonably be expected to significantly increase the provider's administrative expense, and includes any changes to provider network requirements, or inclusion in any new or modified insurance products.
 - ii. **Real-Time Communication** means any mode of telecommunications in which all users can exchange information instantly or with negligible latency and includes the use of traditional telephone, mobile telephone, teleconferencing, and videoconferencing.
 - b. If Health Plan makes any Material Change to the Agreement, for any Products that are not preempted by federal Law, Health Plan shall provide Provider with at least ninety (90) days' notice of the Material Change. The notice of a Material Change required under this section shall: (i) provide the proposed effective date of the change; (ii) include a description of the Material Change; (iii) include a statement that the participating provider has the option to either accept or reject the proposed material change in accordance with this section; (iv) provide the name, business address, telephone number, and electronic mail address of a representative of the insurer to discuss the Material Change, if requested by the participating provider; (v) provide notice of the opportunity for a meeting using Real-Time Communication to discuss the proposed changes if requested by the participating provider (If requested by Provider, the opportunity to communicate to discuss the proposed changes may occur via electronic mail instead of Real-Time Communication); and (vi) provide notice that upon three (3) Material Changes in a twelve (12) month period, Provider may request a copy of the contract with Material Changes consolidated into it. Provision of the copy of the Agreement by the Health Plan shall be for informational purposes only and shall have no effect on the terms and conditions of the Agreement.
 - c. If a Material Change relates to Provider's inclusion in any new or modified insurance products, or proposes changes to the Provider's membership networks: (i) the Material Change shall only take effect upon the acceptance of the Provider, evidenced by a written signature; and (ii) the notice of the proposed Material Change shall be sent by certified mail, return receipt requested.
 - d. For any other Material Change not addressed in Sections 1.5 c:
 - i. (i) The Material Change shall take effect on the date provided in the notice unless Provider objects to the change in accordance with this paragraph; (ii). a participating provider who objects under this paragraph shall do so in writing and the written protest shall be delivered to Health Plan within thirty (30) days of the Provider's receipt of notice of the proposed Material Change; (iii) within thirty (30) days following Health Plan's receipt of the written objection, Health Plan and Provider shall confer in an effort to reach an agreement on the proposed change or any counterproposals offered by Provider; and (iv) if Health Plan and Provider fail to reach an agreement during the thirty (30) day negotiation period described in subparagraph "iii". of this paragraph, then thirty (30) days shall be allowed for the Parties to unwind their relationship, provide notice to patients and other affected parties, and terminate the Agreement pursuant to its original terms; and
 - ii. The notice of proposed Material Change shall be sent in an orange-colored envelope with the phrase "ATTENTION! CONTRACT AMENDMENT ENCLOSED!" in no less than fourteen (14) point boldface Times New Roman font printed on the front of the envelope. This color of envelope shall be used for the sole purpose of communicating proposed Material Changes and shall not be used for other types of communication from a Health Plan.
 - e. If Health Plan makes a change to this Agreement that changes an existing prior authorization, precertification, notification, or referral program, or changes an edit program or specific edits, the insurer shall provide notice of the change to Provider at least fifteen (15) days prior to the change.

- f. Any notice required to be mailed pursuant to this Section shall be sent to Provider's point of contact, as set forth in the Agreement. If no point of contact is set forth in the Agreement, Health Plan shall send the requisite notice to the Provider's place of business addressed to the Provider.

ATTACHMENT D
Medicaid and CHIP
Laws and Government Program Requirements

This attachment sets forth applicable Laws and Government Program Requirements, or other provisions necessary to reflect compliance for the Medicaid and CHIP Products. This attachment will be automatically modified to conform to subsequent changes to Laws or Government Program Requirements. All provisions of the Agreement not specifically modified by this attachment remain unchanged and will control. In the event of a conflict between this attachment and any other provision in the Agreement, the provisions in this attachment will control for the Medicaid and CHIP Products. Capitalized terms used in this attachment will have the same meaning ascribed to them in the Agreement unless otherwise set forth in this attachment. Any purported modification or any provision in this attachment that is inconsistent with a Law or Government Program Requirement will not be effective and will be interpreted in a manner that is consistent with the applicable Law and Government Program Requirement. This attachment only applies to Medicaid and CHIP Products.

[Placeholder, to be populated at a later date.]

ATTACHMENT E
Provider Identification Sheet

When Provider includes multiple entities that may bill Health Plan with different Tax Identification Numbers ("TIN"), Provider must supply each Legal Name and TIN. Each Legal Name and TIN must exactly match the corresponding tax form (i.e. W-9) that Provider supplies to Health Plan in order for Provider to be eligible to receive compensation under this Agreement.

Legal Name – Matching the applicable tax form (i.e. W-9, Line 1) Norton Hospitals, Inc.
Tax ID Number – As listed on corresponding tax form 61-0703799
NPI – That corresponds to the Tax ID Number 1982609442 - Norton Children's Hospital
IRS 1099 Address – If different than Mailing Address PO Box 776788 Chicago, IL 60677-6788

Legal Name – Matching the applicable tax form (i.e. W-9, Line 1) Norton Hospitals, Inc.
Tax ID Number – As listed on corresponding tax form 61-0703799
NPI – That corresponds to the Tax ID Number 1891799227 - Norton Hospital
IRS 1099 Address – If different than Mailing Address PO Box 776788 Chicago, IL 60677-6788

Legal Name – Matching the applicable tax form (i.e. W-9, Line 1) Norton Hospitals, Inc.
Tax ID Number – As listed on corresponding tax form 61-0703799
NPI – That corresponds to the Tax ID Number 1952307027 - Norton Audubon Hospital
IRS 1099 Address – If different than Mailing Address PO Box 776788 Chicago, IL 60677-6788

Legal Name – Matching the applicable tax form (i.e. W-9, Line 1) Norton Hospitals, Inc.
Tax ID Number – As listed on corresponding tax form 61-0703799
NPI – That corresponds to the Tax ID Number 1447388335 - Norton Brownsboro Hospital
IRS 1099 Address – If different than Mailing Address PO Box 776788 Chicago, IL 60677-6788

Use of continuation pages is acceptable to collect additional information

ATTACHMENT E
Provider Identification Sheet

When Provider includes multiple entities that may bill Health Plan with different Tax Identification Numbers ("TIN"), Provider must supply each Legal Name and TIN. Each Legal Name and TIN must exactly match the corresponding tax form (i.e. W-9) that Provider supplies to Health Plan in order for Provider to be eligible to receive compensation under this Agreement.

Legal Name – Matching the applicable tax form (i.e. W-9, Line 1) Norton Hospitals, Inc.
Tax ID Number – As listed on corresponding tax form 61-0703799
NPI – That corresponds to the Tax ID Number 1831195908 - Norton Women's and Children's Hospital
IRS 1099 Address – If different than Mailing Address PO Box 776788 Chicago, IL 60677-6788

Legal Name – Matching the applicable tax form (i.e. W-9, Line 1) Clinical Associates, Inc.
Tax ID Number – As listed on corresponding tax form 61-0981098
NPI – That corresponds to the Tax ID Number 1831357797
IRS 1099 Address – If different than Mailing Address PO Box 538359 Atlanta, GA 30353-8359

Legal Name – Matching the applicable tax form (i.e. W-9, Line 1)
Tax ID Number – As listed on corresponding tax form
NPI – That corresponds to the Tax ID Number
IRS 1099 Address – If different than Mailing Address

Legal Name – Matching the applicable tax form (i.e. W-9, Line 1)
Tax ID Number – As listed on corresponding tax form
NPI – That corresponds to the Tax ID Number
IRS 1099 Address – If different than Mailing Address