







### Exercise 3



Keyword Spotting using Dynamic Time Warping (DTW)

Data and Info on Github:

https://github.com/lunactic/PatRec17\_KWS\_Data

Also see slides 27+ from lecture 7



## What to do first



Analyze the data

Preprocessing

Binarization

**Create Word Images** 

Compute some features



# Pre-processing



### Crop

Easiest: bounding box

Polygon as clipping mask

#### Binarization

Otsu, Sauvola, Difference-of-Gaussian



# Keyword Spotting



Digitizing historical manuscripts for cultural heritage preservation

Textual content: searching and browsing scanned page images

Widely unsolved for historical handwriting too many writing styles and languages

Keyword spotting is a "shortcut": identify individual search terms

of Flour, for the two Companies of Rangers; twelve hundred of which to be delivered Captain Ashly and Company, at the Plantation of Charles Sellars - the rest to Captain Cocked Company at Nicholas Reasmers. October 26.



# Query-By-Example



"one-shot learning": provide one example word image

Goal: find similar word images in the manuscript

Usually constrained to a single-writer scenario (sample from the same manuscript)



twelve hundred of which to be captain takey and bompany, charles Sellars - ches bompany at Nicholas Reas October 26.



### Data Set

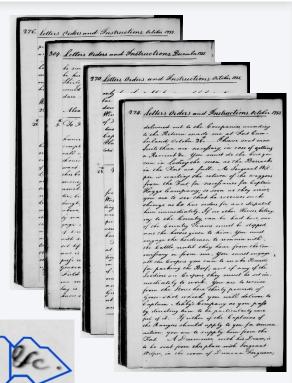


#### WashingtonDB

Letters of George Washington

**Library of Congress** 

18th century, longhand script





## Data



```
/ground-truth/transcription.txt
Character based transcription
```

```
/ground-truth/locations/*.svg
Polygons of word segments
```

```
/images/*.jpg
The page images
```

/task

Splitting into train and validation set

Keywords.txt -> words that are contained in both sets

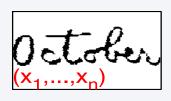


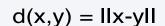
# Exemplary Dissimilarity Approaches

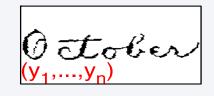
**Global**: extract global features, compute the Euclidean distance between the feature vectors

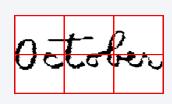
**Grid-based:** extract features for each cell, compute the sum of Euclidean distances over all cells

**Sliding window-based:** extract features for each window, compute the dynamic time warping (DTW) distance between two sequences of feature vectors --> your task!

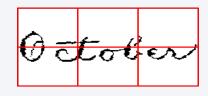


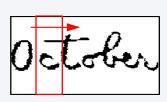




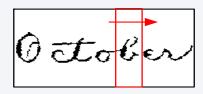


$$d(x,y) = \sum IIx_i - y_iII$$





$$d(x,y) = DTW(x,y)$$





# Dynamic Time Warping (DTW)



Dissimilarity between two feature vector sequences

$$Q = q_1, ..., q_N; q_i \in R^n$$

$$C = c_1, ..., c_M; c_i \in R^n$$

Dynamic time warping aligns two sequences  $(q_i \rightarrow c_j)$ , along a common time axis usually with Euclidean cost:

$$\phi(q_i \to c_j) = ||q_i - c_j|| = \sqrt{\sum_{k=1}^n (q_{i,k} - c_{j,k})^2}$$





## DTW - How To (1)



Non-linear mapping between 2 sequences minimizing the distance between them

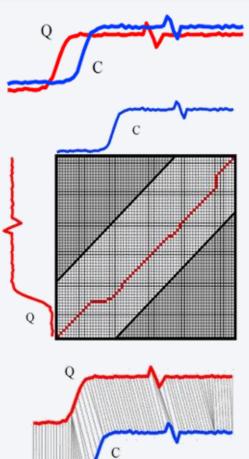
$$Q = q_1, ..., q_N; q_i \in R^n$$

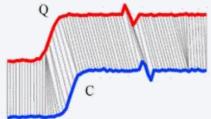
$$C = c_1, ..., c_M; c_i \in \mathbb{R}^n$$

N-by-M matrix, where (ith, jth) element alignment between points  $q_i$  and  $c_j$ 

$$d(q_i, c_j) = \sqrt{(q_i - c_j)^2}$$

Find the best match: retrieve a path through the matrix that minimizes the total cumulative distance







## DTW - How To (2)



```
Start from (1,1) and end in (n,m)
At each step, increase i, j, or both
   (never go back)
   Jumping not allowed!
                                       S
Sum distances in the path
     i, j++
           i, j++
```



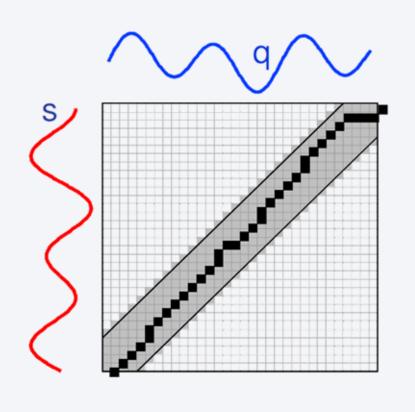
# DTW – Computational Efficiency



#### Sakoe-Chiba Band: Reduce the number of paths to consider

Excludes abnormal edit paths
Speeds up the computation
Sequences of same length

	7				43	24	17
<b>%</b> ←	3			7	11	8	8
	4		6	9	18	8	7
	5	10	5	11	18	7	
	2	1	2	2	3		
	1	1	5	6			
	DTW	2	3	2	1	3	4
q							





### Features for DTW



#### Normalize

- Image dimensions (scale to same size, e.g. 100 px x 100 px)
   → same-length sequence
- Feature vectors (e.g.  $\frac{x_i \mu}{\sigma}$ )

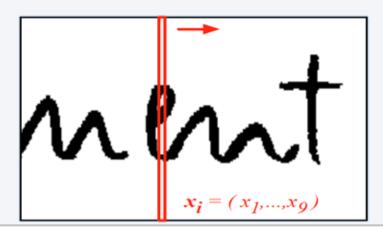


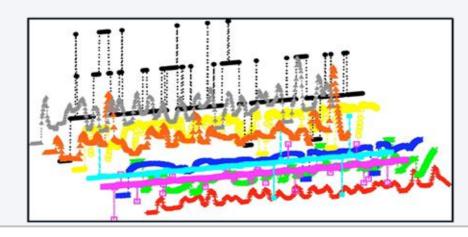
# Features for DTW – Suggestions ... $\triangle$



#### Sliding window (suggestion: width 1 px, offset 1px)

- Lower contour (LC)
- Upper contour (UC)
- # b/w transitions
- Fraction of black px in the window
- Fraction of black px between LC and UC
- Gradient: difference LC<sub>i</sub>, UC<sub>i</sub> to LC<sub>i+1</sub>, UC<sub>i+1</sub>







### Evaluation: Recall – Precision



#### Retrieval-Task: two main questions

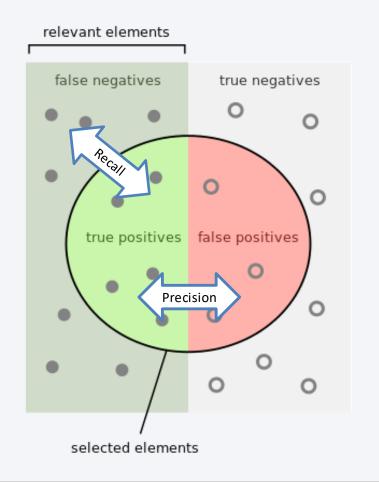
How many selected items are relevant?

$$Precision = \frac{TP}{TP + FI}$$

How many of the relevant are selected?



$$Recall = \frac{TP}{TP + FN}$$





### Recall-Precision Curve / AP



#### For image, each threshold, compute the

- True Positives (TP)
- False Positives (FP)
- False Negatives (FN)

Recall = 
$$\frac{TP}{TP + FN}$$
 = True Positive Rate (TPR)

$$Precision = \frac{TP}{TP + FP}$$

#### **Average Precision (AP)**

Area under the Recall-Precision curve

