



5Paisa Capital Limited

Application No. 5*

ACCOUNT OPENING FORM

CLIENT CODE 51224310

CDSL ID 1208250013810365

CLIENT NAME NARAYAN KUMAR DUBEY

INDEX OF DOCUMENTS

SECTION - A - MANDATORY DOCUMENTS

AS PRESCRIBED BY SEBI AND EXCHANGES

Account Opening Form {Pg 1-3}

Part I - KYC form - Document captures the basic information about the constituent and an instruction / checklist.

Part II - Document captures the additional information about the constituent relevant to trading account and an instruction/check list.

Nomination Form for appointing a nominee to the client's account (In case of nomination)

Client Declaration Tariff Sheet {Pg 4}

Document detailing the rate/amount of brokerage and other charges levied on the client for trading and demat account on the stock exchange(s)/ depository

Rights & Obligations of Stock Brokers, Sub-Broker & Clients

{Included in client copy}

Document stating the Rights & Obligations of stock broker / trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet / wireless technology based trading).

Rights & Obligations of Beneficial Owner

& Depository Participant {Included in client copy}

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories.

Risk Disclosure Document (RDD) {Included in client copy}

Document detailing risks associated with dealing in the securities market

Guidance note {Included in client copy}

Document detailing do's and don'ts for trading on exchange, for the education of the investors.

Policies and Procedures {Included in client copy}

Documents describing significant policies and procedures of 5paisa Capital Limited (5paisa) related to Trading Account of the client.

5Paisa Capital Limited is involved in proprietary trading on NSE and BSE.

SECTION-B - VOLUNTARY DOCUMENTS

AS PROVIDED BY STOCK BROKER/ DEPOSITORY PARTICIPANT

Terms & Conditions cum Registration form for receiving SMS Alerts from Depository {Included in client copy}

Terms and Conditions-cum-registration / Modification form for receiving SMS Alerts from CDSL

For Distribution of Securities {Included in client copy}

Terms & Conditions for Distribution of Securities

Mutual Fund Trading Services {Included in client copy}

Terms and Conditions for availing Mutual Fund Service System (MFSS) facility and BSE StAR MUTUAL FUND offered by NSE and BSE respectively

Authorisation Letter {Pg 5}

- A. Running Account Authorisation
- B. Authorisation for Receipt of Contract Notes and Other documents in Digital Mode

Power of Attorney {Pg 6-9}

Voluntary and Limited purpose POA in favour of Broker for operational requirements as per client authorization/instruction. A POA in favour of 5paisa Capital Limited.

Debit Mandate Form NACH/ECS/Direct Debit {Pg 10}

Authorisation to opt/Maintain Regular Demat Account (Non BSDA) {Pg 14}

PLEASE READ THIS DOCUMENT CAREFULLY BEFORE AGREEING TO ITS CONTENTS AND ENSURE ALL THE DETAILS PROVIDED ARE CORRECT.

eSigned document:

☒ Application No. (Signed on both sides)

25.02.2021 11:53:38

☒ Bank Mandate (Signed)

☒ PAN Card Copy (Signed photocopy)

☒ Cheque Leaf (Cancelled original)

☒ Address Proof (Signed photocopy)

☐ Income Proof



Application No.

5*

KNOW YOUR CLIENT (KYC)
Please fill in ENGLISH and in BLOCK LETTERS**IDENTITY DETAILS**

Name of Applicant **MR NARAYAN KUMAR DUBEY**

Maiden Name

Father/ Husband Name **MR BAIJNATH DUBEY**

Mother Name


Date of Birth **Jul 16 1998** Gender **M** Marital Status **UNMARRIED**

Nationality **INDIAN** PAN **CKYPD8567P** Aadhar No

Status **INDIVIDUAL** Sub Status **Individual-Resident Negative Nomination**

Proof of identity **PAN CARD**



 Please sign across photograph

CONTACT DETAILS OF SOLE / FIRST APPLICANT**Permanent Address**

VILL UCHAURI PS NAUBATPUR PATNA

City **BIKRAM** State **BIHAR**

Country **INDIA** Pin **801109**

Proof of Address provided **Validity/Expiry Date**

AADHAAR CARD

Mobile **91 9122329747** Email ID **NARAYAN.K.DUBEY@GMAIL.COM**

Correspondence / Residence Address

VILL UCHAURI PS NAUBATPUR PATNA

City **BIKRAM** State **BIHAR**

Country **INDIA** Pin **801109**

Proof of Address provided **Validity/Expiry Date**

AADHAAR CARD

Residence **Office Tel. No.****DECLARATION**

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place : **BIKRAM**

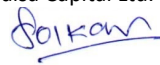
Date : **2021-02-25**

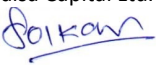
SIGNATURE OF APPLICANT**FOR OFFICE USE ONLY**

5paisa Capital Ltd.

IPV Done ☒ On 2021-02-25

- ☒ (Originals Verified) Self Certified Document copies received
- ☒ (Attested) True copies of documents received Main Intermediary

Seal of the Intermediary should contain
Name: Suraj Suresh Solkar
Designation: Operations Executive
Name of the Organization: 5Paisa Capital Ltd.
Signature: 
Date: 2021-02-25

Seal of the Intermediary should contain
Name: Suraj Suresh Solkar
Designation: Operations Executive
Name of the Organization: 5Paisa Capital Ltd.
Signature: 
Date: 2021-02-25

eSigned document:

NARAYAN KUMAR DUBEY
Client interviewed by **Suraj Suresh Solkar**
25.02.2021 11:53:38

Employee Code **C149780**Designation **Operations Executive**



Application No.

5*

ACCOUNT OPENING FORM

Please fill in ENGLISH and in BLOCK LETTERS

CLIENT DETAILS

Client Name

MR NARAYAN KUMAR DUBEY

PAN

CKYPD8567P

Occupation

STUDENT

Annual Income

0-1Lac

Trading Experience

1Year

Income Proof

I wish to trade in

NSE CASH	9/23
BSE CASH	7/23

NSE FO	4/23
BSE FO	9/23

NSE CD	8/23
MCX	9/23

MF	6/23
BSE	9/23
Currency	

BANK DETAILS

Bank A/C No.

441210110009552

A/C Type

Savings

Bank Name

BANK OF INDIA

Bank Branch

PIPLAWAN

IFSC Code

BKID0004412

MICR Code

800013510

DEPOSITORY ACCOUNT DETAILS (For Trading & DP)

Account Type : Resident Individual

Client Name

FIRST HOLDER

MR NARAYAN KUMAR DUBEY

PAN

CKYPD8567P

Occupation

STUDENT

SECOND HOLDER

THIRD HOLDER

I wish to open Depository Account with CDSL.

Signature

PAST REGULATORY ACTIONS (Last 3 years)(To be hand filled)

Particulars

Name of Authority

MM/YYYY

OTHER TRADING AND DEMAT DETAILS

Name of Broker / Authorized person

Client Code

DP ID

BO A/C Number

1208250013810365

DECLARATION

Politically exposed person

NO

Related to politically exposed person

NO

- I/We wish to receive Electronic Contract Note (ECN) and Electronic Annual Report (EAR) on my email id [Client_EmailID]
- I/We wish to avail the facility of internet trading / wireless technology
- I/We hereby authorize you to receive credits automatically into my/ our Demat Account maintained with 5paisa Capital Limited.
- I/We hereby authorize you to operate the Demat Account through Power of Attorney (PoA) and accordingly will be provided SMS alert facility on my registered mobile number
- I/We hereby authorize you to credit interest / dividend, directly to my bank account details provided above through ECS
- I/We do not wish to receive Delivery Instruction Slip (DIS) since the account will be operated through POA
- I/We hereby request you to send me the DP Account Statement quarterly by email
- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
- I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- I/We confirm having received a copy of the following documents and further confirm having read and understood the contents of these documents:
 - Rights and Obligations of Stock Brokers, Sub-Brokers and Clients as prescribed by SEBI and Exchanges
 - Policies and Procedures applicable to clients.
 - Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories
 - Risk disclosure Document for Capital, Currency, Stock and Commodity Derivatives Segment
 - Guidance Note-Do's and Don'ts for Trading on the Exchange (s) for Investors
- I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.
- I/We further understand that any change in the said documents including the "Policies and Procedures" shall be made available on the website of 5paisa and also intimated to me through circulars in internet trading platform/e-mails. I/We shall keep myself updated of the same.
- I/We agree that the broker shall not be held responsible for any breakdown of the system either due to the fault of the systems of the broker /the exchanges or otherwise.
- I/We agree that I/we will not be compensated by the broker for any loss opportunity viz. notional profits on buy/sell orders which could not be executed due to any reason whatsoever, including but not limited due to time lag in the execution of the order or the speed at which the system of the broker/ the exchanges is operating, any shutting down by the broker of my/our system for any reason or the broker disabling me/us from trading on its system for any reason whatsoever
- The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such account. I/We hereby declare that the details furnished are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/we am/are aware that I/we may be held liable for it.
- I/We hereby confirm that the Email ID and Mobile number provided by me for this account belong to me and should be used for purposes of all communications.
- To register for EASI, please visit www.cdslindia.com. EASI allows a BO to view his ISIN balances, transactions and the value of the portfolio online.
- I/We confirm that details provided by me/us are true and correct. I/We have invested or desirous to invest in the Scheme(s) Mutual Fund under Direct Plan and agree to comply with the terms and conditions of the Scheme related documents.
- I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned RIA.

SOLE / FIRST HOLDER**SECOND HOLDER****THIRD HOLDER**

Name

MR NARAYAN KUMAR DUBEY

Signature

Version : 1

3

eSigned document:

NARAYAN KUMAR DUBEY

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TARIFF SHEET

Trading Account Processing Charges

FREE	Account opening fee
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Broking Charges

	Rate
Cash	Rs. 20 per order
Equity Futures	
Equity Options	
Currency & Commodity Derivatives	
Auction Charges	As per 5paisa Auction process criteria

*Subject to Regulatory Caps

Depository Charges

A/c Maintenance Charges	Rs.25/month
Credit Charges	NIL
Debit Charges#	Rs. 12.50/- per transaction per scrip

Rs.50/- per transaction per scrip in case of margin transfer. These charges would be debited to the trading ledger on a weekly basis and a bill will be sent every month.

Other Charges

	Standard charges
Pledge creation	Rs. 50
Pledge closure	--
DEMAT - Per certificate	Rs. 15
REMAT - Per certificate OR Per 100 Units/shares (Whichever is higher)	Rs. 15
Conversion of MF units/ Destatmentisation Per SOA	Rs.15
Reconversion of MF units to SOA or Redemption/Restatmentisation Per SOA	Rs. 15
Postal Charges per request shall be charged separately	Rs. 40
Rejection/Failure per entry	--

- Document handling charges amounting to Rs 100/- shall be leviable for customers who intend to receive documents like contract notes and other related documents additionally in Physical mode.
- Inter-settlement / CUSA to pool / Collateral to pool and MTF to pool transfer would be charged at Rs 12.50 per transaction per scrip (excluding GST and applicable taxes). The charges would be debited to the trading ledger on a weekly basis.
- No Annual maintenance charges shall be payable for the demat account where no trades have been executed by the customer in his account under any segment opted by him.
- Demat Maintenance charges amounting to Rs 45/- shall be charged only for the month where the customer has placed atleast one trade in his account in any segment. The charges for the said month shall be debited immediately on execution of the first trade during the month.
- For customers availing our Call Center services which are equipped with modern technology, there will be a nominal charge of Rs 100/ call (exclusive of GST and other taxes, if applicable).
- Value of the transaction will be in accordance with rates provided by Depository.
- Statutory and other levies being charges related to the execution and/or settlement of transactions shall be separately chargeable as in force from time to time.
- Brokerage is also applicable in case of expiry of futures and exercise and assignment of option contracts @ Rs.50/- per trade.
- Payment gateway charges on actual basis per transaction as charged by the payment gateway vendor to 5paisa Capital Limited shall be payable by the customer.
- Charges levied by the regulatory authorities for availing of E-Sign facilities shall be paid by the customer on actual basis.
- Demat charges shall be applicable for MF transactions. Processing of Direct Mutual fund transactions will be charged at Rs. 20 exclusive of GST.
- Charges quoted above are for the services listed and any services availed other than the ones listed above will be charged separately.
- The above charges are exclusive of GST, Securities Transaction Tax, other charges and stamp duty, if applicable and the same shall be charged to the Client's account on actual basis.
- Customer is required to maintain a minimum balance of Rs 450/- in his/her ledger account.
- *Charges/Service standards are subject to revision at sole discretion of 5paisa Capital Ltd after due intimation to the customer over E-mail / SMS / such other notification as may be. Management also reserves the discretion to partly/fully waive any charges and the right to freeze, discontinue or suspend any account, if required.

I/ We have understood and accepted the Schedule of Charges as stated here in above.

SOLE / FIRST HOLDER

SIGNATURE

eSigned document:

NARAYAN KUMAR DUBEY

25.02.2021 11:53:38

Version : 1

Suraj Suresh Solkar

SECOND HOLDER

Official Signature :

THIRD HOLDER

AUTHORIZATION LETTER**Running Account Authorisation to Spaisa Capital Limited**

- a) I would like to keep securities purchased by me with you as collateral for giving me exposure limits in my trading account/margin obligation. I authorize you not to transfer shares/securities purchased by me to my demat beneficiary account and keep them with yourselves. I also authorise you to pledge these shares/securities with the Clearing Member/ Exchange/Custodian, at your discretion. In case any of the shares/securities are sold in subsequent settlements, you may take this as a standing instruction to adjust against my previous purchase positions in any of Exchanges.
- b) Whenever I require delivery of any shares/securities, I shall send you a request. I understand that such payout of securities will be made subject to your risk management policies.
- c) In case there is a debit balance in my account, you are authorized to sell at any point of time the shares/ securities held by me/ held on my behalf, at your sole discretion. Any profit or loss made on such transactions will be to my account as it would have occurred on normal purchase/sale made by me.
- d) I also agree to pay the balance amount, if any after deducting credit of sale of shares. I also request you not to issue cheques /make payments settlement wise unless specifically demanded by me, as payin and payout are very close and therefore exchange of cheque becomes unnecessary paper work.
- e) I/We understand and agree that no interest will be payable to me/us on the amounts or securities so retained with you.
- f) I further authorise you to set off/ adjust any of my debits/dues in any segment of any Exchange with credits of any other segment(s) of any Exchange(s) in my account within the period of settlement of my account (Securities and Funds) as opted by me.
- g) The Securities lying in client beneficiary account on my/our behalf and/or credit balances lying in my/our ledger account of any segments of any Exchanges can be considered as margin for the any segments of any Exchanges.
- h) I/We understand that this authorization shall remain valid until revoked by me/us. I/we undertake to clear all my dues arising during the validity of this authorization else the member shall recover all my/our dues in my/our ledger till date. I also undertake to clear all my dues to you before serving revocation of this authorisation else the member will recover all my dues in my ledger till date and then effect the revocation.
- i) **I /We further wish to have settlement of my account (funds and securities):- (Tick any one option) : ☒ Once in Quarter [] Once in Month**
 I /We understand that settlement amount shall be subject to retention of requisite securities/ funds towards outstanding obligations and margins in my account calculated in the manner specified by SEBI/ Exchange and details mentioned in the "Statement of Account" at the time of settlement. I /We authorise you to send the statement of account on funds and securities as on the date of settlement to my internet trading account or e-mail id registered with you. I /We understand that I /We can obtain a copy of the same from any of your Branch offices.
 I /We understand and authorize that you will not release the payout in case the balance after necessary retention is up to Rs10000/- or any other sum as specified by Exchanges from time to time in any of the quarters, till my revocation in writing as I /We will be doing regularly trading with you. I/We also undertake to bring any dispute arising from Statement of Accounts or settlement so made to your notice within seven working days from the date of receipt of funds/securities or Statements as the case may be. So kindly maintain my/our account as a running account basis for funds and securities.

AUTHORISATION FOR RECEIPT OF CONTRACT NOTES AND OTHER DOCUMENTS IN DIGITAL MODE

I/We hereby consent to receive from Spaisa Capital Limited the digital contract notes, bills, ledgers, transaction statements, demat statement of accounts/ holding statement (s)/bills/other statements, notices, circulars, amendments and such other correspondence documents, records by whatever name called in electronic form duly authenticated by means of a digital signature as specified in the Information Technology Act 2000 and the rules made thereunder to my below mentioned email id (said email id). E-mail Id: NARAYAN.K.DUBEY@GMAIL.COM I/We further hereby agree that the Spaisa Capital Limited. shall fulfill the legal obligation, if the above documents are sent electronically to the said e-mail id and I/We am/are aware that I/we will not receive the statements / documents in paper form. In this regard, I/we further confirm and agree that:

- (i) The above is my/ our personal E-mail id. The same has been created by me/us and not by someone else. I/We shall take all necessary steps to ensure confidentiality and the secrecy of the login and password of the abovementioned e-mail account. Spaisa Capital Limited shall not be liable to or responsible for any breach of secrecy. I/We am/are aware that the statements/ documents may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised. I/We will be solely responsible for the security of the information provided on my/ our aforesaid e-mail id.
- (ii) E-mails sent to any of the above mentioned e-mail accounts, which have not bounced back, shall be deemed to be duly delivered to me/Us. I am aware that non-receipt of bounced email notification by the member shall amount to delivery of the Contract Note and other documents to the above mentioned email ID (s).
- (iii) Spaisa Capital Limited shall not take cognizance of out-of-office/ out of station auto replies and I/We shall be deemed to have received such electronic mails.
- (iv) Such Statements shall be deemed to have been delivered on the day when the e-mail is sent by Spaisa Capital Limited.
- (v) Spaisa Capital Limited shall not be liable or responsible for any Statement received from frauds or impostors or any consequences thereof.
- (vi) Spaisa Capital Limited shall not be liable for any problem, which arises at my/our computer network because of my/our receiving any Statement from Spaisa Capital Limited.
- (vii) I/We shall inform Spaisa Capital Limited by way of a letter in writing duly signed by me or inform through internet platform if there is any change in the Email given above.
- (viii) I/We undertake to check the Statement and bring the discrepancies to your notice within the time period as specified under the relevant agreements entered into with Spaisa Capital Limited. My/Our non-verification or not accessing the Statement on regular basis shall not be a reason for disputing the same at any time.

I/We shall immediately inform SPAISA CAPITAL LIMITED about change in email address, if any. I/We further agree that SPAISA CAPITAL LIMITED will not be responsible for non receipt of documents sent via electronic delivery due to change in/incorrect email address/correspondence address as mentioned or any other reason which inter alia include technical reasons or malfunction of my/our computer system/server/internet connection etc. Further I/We agree and undertake to indemnify SPAISA CAPITAL LIMITED and its group companies, that they will not be held liable/ responsible for any losses, claims damages, cost and liabilities etc. caused to me/us due to misuse of this information or pursuant to any litigation cases, complaints, actions, etc that may arise in future on account of my/ our above authorization request.

Declaration

I/We confirm having received a hard copy of the following documents and further confirm having read and understood the contents of these documents.
 SMS Alerts Facility by depository : I/ We consent to CDSL providing to the service provider such information pertaining to account/ transactions in my/ our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number. I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/We further undertake to pay fee/ charges as may be levied by the depository from time to time. I/ We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

Terms & Conditions For Distribution of Securities.

Terms and Conditions for availing Mutual Fund Service System (MFSS) facility and BSE Star MUTUALFUND offered by NSE and BSE respectively.

Terms and Condition for availing systematic investment in Mutual fund/Securities

The above declaration has been read and understood by me/us. I/We am/are aware of the risk involved in dispensing with the physical contract note and do

NARAYAN KUMAR DUBEY

25.02.2021 11:53:38

SOLE / FIRST HOLDER

SECOND HOLDER

THIRD HOLDER

Signature









Application No. 5*

**AUTHORIZATION TO OPT/MAINTAIN REGULAR DEMAT ACCOUNT
(NON BSDA)**

Please fill in ENGLISH and in BLOCK LETTERS

To 5paisa Capital Limited,

Date:

DP ID:

Mumbai:

Dear Sir / Madam,

CDSP - DP ID	1	2	0	8	2	5	0	0	1	3	8	1	0	3	6	5
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Keeping in view my past and proposed transaction and holding, I would like to continue / avail normal demat account.

I have also noted that being a normal demat account (Non BSDA), normal AMC as per the company's demat tariff would be applicable. The tariff for normal demat account (NON BSDA) are already provided and agreed under tariff sheet in KYC Form and changed through intimation by the company from time to time.

Terms and Conditions

1. I/we hereby confirm and understand that by providing this authorization we specifically waive of the right to assess my demat account as BSDA account even if applicable by eligibility.
2. Salient Features of BSDA are kept on www.5paisa.com. Click here for salient features of BSDA account. I/we hereby confirm to have read and understood the salient features of BSDA account.
3. I/ we confirm and understand that my demat account would be charged and treated as normal demat account (NON BSDA) until opted otherwise by a written communication to 5Paisa.

SOLE / FIRST HOLDER**SECOND HOLDER****THIRD HOLDER****Name**

MR NARAYAN KUMAR DUBEY

Signature

Place: BIKRAM

Version: 1

Signed document:

NARAYAN KUMAR DUBEY

25.02.2021 11:53:38

Consent/ Authorization to avail Margin Trading with 5paisa Capital Limited

Date: _____

To **5paisa Capital Limited**,
 1st Floor, IIFL House, Sun Infotech Park
 Road No 16V, Plot No. B-23,
 Thane Industrial Area, Wagle Estate,
 Thane – 400 604

- I/we am / are interested in activating my/our account for avail margin trading funding with 5paisa Capital Limited. I/we hereby authorize you to activate my/our account for the above mentioned funding as per my consent.
- I/we agree and understand to the terms and conditions of Margin Trading Funding provided by 5paisa Capital Limited. I/we do hereby agree to be bind by such provisions as outlined in these documents and act in accordance with the same while availing the margin trading funding. I/we hereby undertake to refer to the updated policies and procedure as posted on 5paisa website and abide by the same.
- I/we confirm and agree to receive all the communication such as confirmation of orders/trades, margin calls, decisions/calls to liquidate the collateral/ positions / security on my registered email address or registered mobile number provided with 5paisa Capital Limited send electronically by 5paisa Capital Limited.
- I/we hereby agree to that the margin funding shall carry interest at 18 % per annum payable weekly.
- I/we understand that by availing the funding to trade under Margin Trading, i/we authorize 5paisa Capital Limited to treat all my/our trade in group I securities, which are not covered by 100 % margin (i.e Cash in ledger) as trades under Margin Trading Funding and accordingly i/we authorize 5paisa capital limited to report all such trades to the exchange(s) on next day as Margin Trading Trades.

I/we understand and acknowledge that 5paisa Capital Limited would consider entire clear ledger credit balance in normal trading ledger for adjustment against the margin trading funding trades (Ledger) and would levy the interest on the net debit balance in the MTF Ledger.

- **Commodities Segment**

I/We the undersigned have taken cognizance of circulars issued by SEBI / Commodity Exchanges from time to time on the guidelines for calculation of net open positions permitted in any commodity and I/We hereby undertake to comply with the same. I/We hereby declare and undertake that I/we will not exceed the position limits prescribed from time to time by Commodity Exchanges or SEBI and such position limits will be calculated in accordance with the circulars on position limits as modified from time to time. I/We undertake to inform you and keep you informed if I / any of our partners / directors / karta / trustee or any of the partnership firms/companies / HUFs / Trusts in which I or any of above such person is a partner / director / karta / trustee, takes or holds any position in any commodity forward contract / commodity derivative on Exchanges through you or through any other member(s) or Exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of Exchanges as modified from time to time. I/We confirm that you have agreed to enter order in commodity forward contracts / commodity derivatives for me / us as your clients on Commodity Exchanges only on the basis of our above assurances and undertaking. I/We further undertake to bear any liable/penalty/charges levied by Commodity Exchanges / SEBI.

Signature of the Client

eSigned document:

NARAYAN KUMAR DUBEY
 Signature
 25.02.2021 11:53:38

SOLE / FIRST HOLDER

SECOND HOLDER

THIRD HOLDER


Version : 1



आयकर विभाग
Income Tax Department

PAN VERIFICATION RECORD

Permanent Account Number

CKYPD8567P

Name NARAYAN KUMAR DUBEY

Gender MALE

DOB 16/07/1998

Verified On 25/02/2021 11:32:14



DigiLocker
Verified

Digitally Signed On:
25/02/2021 11:32:14 IST



Note:

1. This PAN data is verified by DigiLocker (<https://digilocker.gov.in>) as per data provided by the issuing authority, Income Tax Department, Govt. of India.
2. This digitally signed verification document is valid as per the IT Act 2000 when used electronically.

5PAISA CAPITAL LIMITED

PAN VERIFIED

Employee Code : C149780

Employee Name : Suraj Suresh Solkar

Signature : Solkar Date : 2021-02-25

5PAISA CAPITAL LIMITED

In person verification done by

Employee Code : C149780

Employee Name : Suraj Suresh Solkar

Designation : Operations Executive

Signature of Employee : Solkar

Date : 2021-02-25

5PAISA CAPITAL LIMITED

VERIFIED WITH ORIGINAL

Employee Code : C149780

Employee Name : Suraj Suresh Solkar

Signature : Solkar Date : 2021-02-25

eSigned document:

NARAYAN KUMAR DUBEY

25.02.2021 11:53:38



भारत सरकार

Government of India



नारायण कुमार दुबे

Narayan Kumar Dubey

जन्म तिथि/ DOB: 16/07/1998

पुरुष / MALE



8230 4451 2048

मेरा आधार, मेरी पहचान



भारतीय विशिष्ट पहचान प्राधिकरण

Unique Identification Authority of India

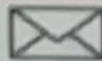
पता:

आत्मज: बैजनाथ दुबे, ग्राम - उचौरी,
थाना - नौबतपुर, उचाउरी, पटना,
बिहार - 801109

Address:

S/O: Baijnath Dubey, vill -
uchauri, p.s - naubatpur,
Uchauri, Patna,
Bihar - 801109

8230 4451 2048



help@uidai.gov.in

5PAISA CAPITAL LIMITED
VERIFIED WITH ORIGINAL

Employee Code : C149780

Employee Name : Suraj Suresh Solkar

Signature :

Date : 2021-02-25

eSigned document:

NARAYAN KUMAR DUBEY

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सावधान

घोखेबाजों से देश में ही नहीं अथवा विदेश से मिलने वाले फर्जी प्रस्ताव / संदेश / एसएमएस जैसे लॉटरी विजेता, सस्ती फंड प्रस्ताव, नौकरी के प्रस्ताव, छात्रवृत्ति के प्रस्ताव, उत्पन्न वीसा के प्रस्ताव, विदेशी प्रतिष्ठित विश्वविद्यालयों में प्रवेश के प्रस्ताव और ऐसे ही अन्य प्रकार के फर्जी प्रस्तावों से सावधान रहें।

WARNING

Beware of fictitious offers, messages/ SMS about lottery winnings, cheap Fund Offers, employment offers, scholarship offers, offer of emigration visas, offer of admission to reputed universities abroad and similar such offers from fraudsters within the country or from abroad.

Mob:- 9122329747

बैंक नाम: इंडिया	PIPLAWAN	Occupation :	AGRICULTURE AND ALLIED AC	Bank of India
Br. Address :	PIPLAWAN, P. O. NAUBATPUR,	Address :	S/O- BAIJ NATH DUBEY, VILL- UCHAU	
	BIHAR, PIPLAWAN (DIST.PATNA), 80109		PO- MAHAPUR, PS- NAUBATPUR, PATN	
Br. Tel. :	06135-244837		PIPLAWAN (DIST.PATNA)	801109
Br. Email :	Piplawan.Patna@bankofindia.co.in		BIHAR	INDIA
IFSC Code :	BKID0004412	Operational Inst:	SELF	
MICR Code :	800013510	Nomination :	Not Regd.	
Customer Id :	171921817	A/C Open Dt.:	16-07-2016	
Account No. :	441210110009552	Scheme Desc:	SAVINGS BANK GENERAL	
Name :	I. NARAYAN KUMAR DUBEY, S/O- BAIJ NAT	Scheme Code:	S8101	
		Spl. Charge Code:	NO CONCESSIONS IN CHARGES	

For your queries / enquiry

Toll free no. of our call center: 1800220229, 18001031906

Grievance Redress Officer, ZO:

Grievance Redress Officer, Branch: 06135-244837

This pass book is system generated and does not require any initials

5PAISA CAPITAL LIMITED
VERIFIED WITH ORIGINAL

Employee Code : C149780

Employee Name : Suraj Suresh Solkar

Signature :

Date : 2021-02-25

eSigned document:

NARAYAN KUMAR DUBEY

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Narayan K. Dubey

eSigned document:
NARAYAN KUMAR DUBEY
25.02.2021 11:53:38

5PAISA CAPITAL LIMITED
VERIFIED WITH ORIGINAL

Employee Code : C149780

Employee Name : Suraj Suresh Solkar

Signature :  Date : 2021-02-25

Signature match as per our records

Application No.

5*

Specific authorization / consent to 5paisa Capital Limited

This is with reference to my consent to open trading account and demat account with 5paisa Capital Limited. In this regards I/We hereby confirm to have understood and agreed to following:

- 1) I wish to trade in BSE CASH, MF, NSE CASH.
- 2) I/We wish to open Depository account with CDSL.
- 3) I/We have agreed with tariff sheet and terms and conditions contained therein.
- 4) I/We have agreed to Running Account Authorisation to 5paisa Capital Limited.
- 5) I/We have agreed to provide authorization for receipt of contract notes and other documents in digital mode.
- 6) I/We have agreed to provide Consent / authorization to avail Margin Trading with 5paisa Capital Limited.

eSigned document:

NARAYAN KUMAR DUBEY

25.02.2021 11:53:38

SOLE / FIRST HOLDER

SECOND HOLDER

THIRD HOLDER

Signature

 20/20





Version : 1