

Neural Architectures for Image, Language, and Speech Processing

Karl Stratos

June 26, 2018

Overview

Feedforward Networks

Need for Specialized Architectures

Convolutional Neural Networks (CNNs)

Recurrent Neural Networks (RNNs)

Long Short-Term Memory Networks (LSTMs)

Example: Bidirectional LSTM Network for POS Tagging

Encoder-Decoder Models

Example: RNN-Based Seq2Seq

Bonus: Connectionist Temporal Classification (CTC)

What's a Neural Network?

What's a Neural Network?

Just a composition of linear/nonlinear functions.

$$f(x) = W^{(L)} \tanh \left(W^{(L-1)} \dots \tanh \left(W^{(1)} x \right) \dots \right)$$

What's a Neural Network?

Just a composition of linear/nonlinear functions.

$$f(x) = W^{(L)} \tanh \left(W^{(L-1)} \dots \tanh \left(W^{(1)} x \right) \dots \right)$$

More like a **paradigm**, not a specific model.

1. **Transform** your input $x \rightarrow f(x)$.
2. Define **loss** between $f(x)$ and the target label y .
3. Train parameters by minimizing the loss.

You've Already Seen Some Neural Networks...

Log-linear model is a neural network with 0 hidden layer and a softmax output layer:

$$p(y|x) := \frac{\exp([Wx]_y)}{\sum_{y'} \exp([Wx]_{y'})} = \text{softmax}_y(Wx)$$

Get W by minimizing $L(W) = -\sum_i \log p(y_i|x_i)$.

You've Already Seen Some Neural Networks...

Log-linear model is a neural network with 0 hidden layer and a softmax output layer:

$$p(y|x) := \frac{\exp([Wx]_y)}{\sum_{y'} \exp([Wx]_{y'})} = \text{softmax}_y(Wx)$$

Get W by minimizing $L(W) = -\sum_i \log p(y_i|x_i)$.

Linear regression is a neural network with 0 hidden layer and the identity output layer:

$$f(x) := Wx$$

Get W by minimizing $L(W) = \sum_i (y_i - f_i(x))^2$.

Feedforward Network

Think: log-linear with extra transformation

Feedforward Network

Think: log-linear with extra transformation

With 1 hidden layer:

$$h^{(1)} = \tanh(W^{(1)}x)$$

$$p(y|x) = \text{softmax}_y(h^{(1)})$$

Feedforward Network

Think: log-linear with extra transformation

With 1 hidden layer:

$$h^{(1)} = \tanh(W^{(1)}x)$$

$$p(y|x) = \text{softmax}_y(h^{(1)})$$

With 2 hidden layers:

$$h^{(1)} = \tanh(W^{(1)}x)$$

$$h^{(2)} = \tanh(W^{(2)}h^{(1)})$$

$$p(y|x) = \text{softmax}_y(h^{(2)})$$

Again, get parameters $W^{(l)}$ by minimizing $-\sum_i \log p(y_i|x_i)$.

Feedforward Network

Think: log-linear with extra transformation

With 1 hidden layer:

$$h^{(1)} = \tanh(W^{(1)}x)$$

$$p(y|x) = \text{softmax}_y(h^{(1)})$$

With 2 hidden layers:

$$h^{(1)} = \tanh(W^{(1)}x)$$

$$h^{(2)} = \tanh(W^{(2)}h^{(1)})$$

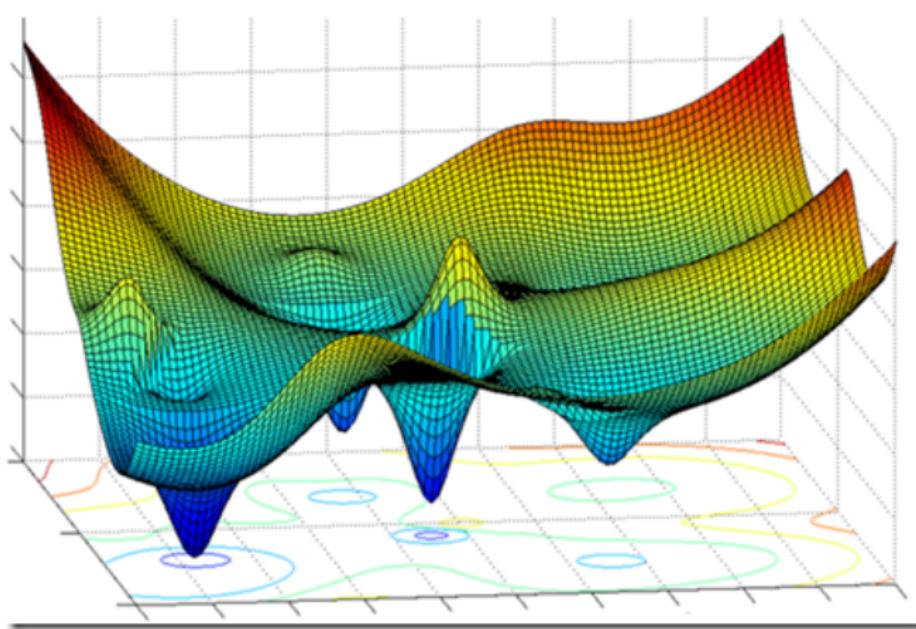
$$p(y|x) = \text{softmax}_y(h^{(2)})$$

Again, get parameters $W^{(l)}$ by minimizing $-\sum_i \log p(y_i|x_i)$.

- ▶ Q. What's the **catch**?

Training = Loss Minimization

We can decrease any continuous loss by following the subgradient.



1. Differentiate the loss wrt. model parameters (backprop)
2. Take a gradient step

Overview

Feedforward Networks

Need for Specialized Architectures

Convolutional Neural Networks (CNNs)

Recurrent Neural Networks (RNNs)

Long Short-Term Memory Networks (LSTMs)

Example: Bidirectional LSTM Network for POS Tagging

Encoder-Decoder Models

Example: RNN-Based Seq2Seq

Bonus: Connectionist Temporal Classification (CTC)

Neural Networks are (Finite-Sample) Universal Learners!

Theorem. (Zhang et al., 2016) Give me **any**

1. Set of n samples $S = \{\mathbf{x}^{(1)} \dots \mathbf{x}^{(n)}\} \subset \mathbb{R}^d$
2. Function $f : S \rightarrow \mathbb{R}$ that assigns some arbitrary value $f(\mathbf{x}^{(i)})$ to each $i = 1 \dots n$

Then I can specify a 1-hidden-layer feedforward network

$C : S \rightarrow \mathbb{R}$ with $2n + d$ parameters such that $C(\mathbf{x}^{(i)}) = f(\mathbf{x}^{(i)})$ for all $i = 1 \dots n$.

Neural Networks are (Finite-Sample) Universal Learners!

Theorem. (Zhang et al., 2016) Give me any

1. Set of n samples $S = \{\mathbf{x}^{(1)} \dots \mathbf{x}^{(n)}\} \subset \mathbb{R}^d$
2. Function $f : S \rightarrow \mathbb{R}$ that assigns some arbitrary value $f(\mathbf{x}^{(i)})$ to each $i = 1 \dots n$

Then I can specify a 1-hidden-layer feedforward network

$C : S \rightarrow \mathbb{R}$ with $2n + d$ parameters such that $C(\mathbf{x}^{(i)}) = f(\mathbf{x}^{(i)})$ for all $i = 1 \dots n$.

Proof.

Define $C(\mathbf{x}) = \mathbf{w}^\top \text{relu}((\mathbf{a}^\top \mathbf{x} \dots \mathbf{a}^\top \mathbf{x}) + \mathbf{b})$ where $\mathbf{w}, \mathbf{b} \in \mathbb{R}^n$ and $\mathbf{a} \in \mathbb{R}^d$ are network parameters. Choose \mathbf{a}, \mathbf{b} so that the matrix $A_{i,j} := [\max \{0, \mathbf{a}^\top \mathbf{x}^{(i)} - b_j\}]$ is triangular. Solve for \mathbf{w} in

$$\begin{bmatrix} f(\mathbf{x}^{(1)}) \\ \vdots \\ f(\mathbf{x}^{(n)}) \end{bmatrix} = A\mathbf{w}$$

So Why Not Use a Simple Feedforward for Everything?

So Why Not Use a Simple Feedforward for Everything?

Computational reasons

- ▶ For example, using a GIANT feedforward to cover instances of different sizes is clearly inefficient.

So Why Not Use a Simple Feedforward for Everything?

Computational reasons

- ▶ For example, using a GIANT feedforward to cover instances of different sizes is clearly inefficient.

Empirical reasons

- ▶ In principle, we can learn any function.
- ▶ This tells us nothing about *how to get there*. How many samples do we need? How can we find the right parameters?
- ▶ Specializing an architecture to a particular type of computation allows us to incorporate **inductive bias**.
- ▶ “Right” architecture is **absolutely critical** in practice.

Overview

Feedforward Networks

Need for Specialized Architectures

Convolutional Neural Networks (CNNs)

Recurrent Neural Networks (RNNs)

Long Short-Term Memory Networks (LSTMs)

Example: Bidirectional LSTM Network for POS Tagging

Encoder-Decoder Models

Example: RNN-Based Seq2Seq

Bonus: Connectionist Temporal Classification (CTC)

Image = Cube

$X \in \mathbb{R}^{w \times h \times d}$: width w , height h , depth d (e.g., 3 RGB values)



(scene from *Your Name* (2016))

Convolutional Neural Network (CNN)

Think: Slide various types of “filters” across image

Convolutional Neural Network (CNN)

Think: Slide various types of “filters” across image

We say we apply a **filter** $F^i \in \mathbb{R}^{f \times f \times d}$ with **stride** $s \in \mathbb{N}$ and **zero-padding size** $p \in \mathbb{N}$ to an image $X \in \mathbb{R}^{w \times h \times d}$ and obtain a slice $Z^i \in \mathbb{R}^{w' \times h' \times 1}$ where $w' = (w - f + 2p)/s + 1$ and $h' = (h - f + 2p)/s + 1$.

Each entry of Z^i is given by a dot product between F^i and the corresponding **receptive field** in X (with zero-padding):

$$Z_{t,t',1}^i = \sum_{a,b,c} F_{a,b,c}^i \times X_{t+a,t'+b,c}$$

Convolutional Neural Network (CNN)

Think: Slide various types of “filters” across image

We say we apply a **filter** $F^i \in \mathbb{R}^{f \times f \times d}$ with **stride** $s \in \mathbb{N}$ and **zero-padding size** $p \in \mathbb{N}$ to an image $X \in \mathbb{R}^{w \times h \times d}$ and obtain a slice $Z^i \in \mathbb{R}^{w' \times h' \times 1}$ where $w' = (w - f + 2p)/s + 1$ and $h' = (h - f + 2p)/s + 1$.

Each entry of Z^i is given by a dot product between F^i and the corresponding **receptive field** in X (with zero-padding):

$$Z_{t,t',1}^i = \sum_{a,b,c} F_{a,b,c}^i \times X_{t+a,t'+b,c}$$

We use multiple filters and stack the slices: if m filters are used, the output is $Z \in \mathbb{R}^{w' \times h' \times m}$.

An Example ConvNet Architecture

Input: image $X \in \mathbb{R}^{w \times h \times d}$

- ▶ **Convolutional layer:** use m filters to obtain $Z \in \mathbb{R}^{w' \times h' \times m}$.

An Example ConvNet Architecture

Input: image $X \in \mathbb{R}^{w \times h \times d}$

- ▶ **Convolutional layer:** use m filters to obtain $Z \in \mathbb{R}^{w' \times h' \times m}$.
- ▶ **Relu layer:** Apply element-wise relu to Z .

An Example ConvNet Architecture

Input: image $X \in \mathbb{R}^{w \times h \times d}$

- ▶ **Convolutional layer:** use m filters to obtain $Z \in \mathbb{R}^{w' \times h' \times m}$.
- ▶ **Relu layer:** Apply element-wise relu to Z .
- ▶ **Max pooling layer:** use 2×2 filter with stride 2 and appropriate zero-padding size to “downsample” Z to $P \in \mathbb{R}^{(w'/2) \times (h'/2) \times m}$.

An Example ConvNet Architecture

Input: image $X \in \mathbb{R}^{w \times h \times d}$

- ▶ **Convolutional layer:** use m filters to obtain $Z \in \mathbb{R}^{w' \times h' \times m}$.
- ▶ **Relu layer:** Apply element-wise relu to Z .
- ▶ **Max pooling layer:** use 2×2 filter with stride 2 and appropriate zero-padding size to “downsample” Z to $P \in \mathbb{R}^{(w'/2) \times (h'/2) \times m}$.
- ▶ **Fully-connected layer:** equivalent to convolutional layer with K giant filters $Q^k \in \mathbb{R}^{(w'/2) \times (h'/2) \times m}$

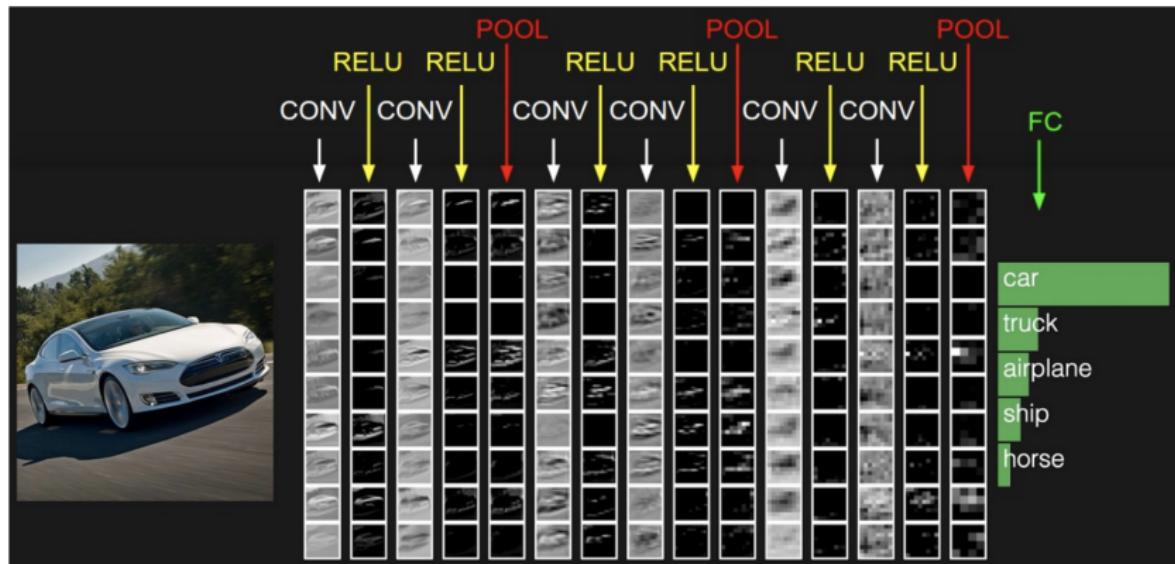
An Example ConvNet Architecture

Input: image $X \in \mathbb{R}^{w \times h \times d}$

- ▶ **Convolutional layer:** use m filters to obtain $Z \in \mathbb{R}^{w' \times h' \times m}$.
- ▶ **Relu layer:** Apply element-wise relu to Z .
- ▶ **Max pooling layer:** use 2×2 filter with stride 2 and appropriate zero-padding size to “downsample” Z to $P \in \mathbb{R}^{(w'/2) \times (h'/2) \times m}$.
- ▶ **Fully-connected layer:** equivalent to convolutional layer with K giant filters $Q^k \in \mathbb{R}^{(w'/2) \times (h'/2) \times m}$

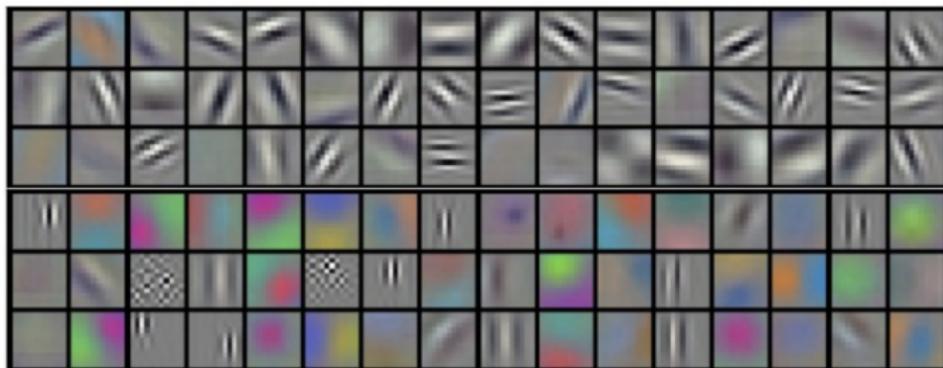
Output: vector $v \in \mathbb{R}^{1 \times 1 \times K}$ used for K -way classification of X

In Practice, Many Such Layers



<http://cs231n.github.io/convolutional-networks>

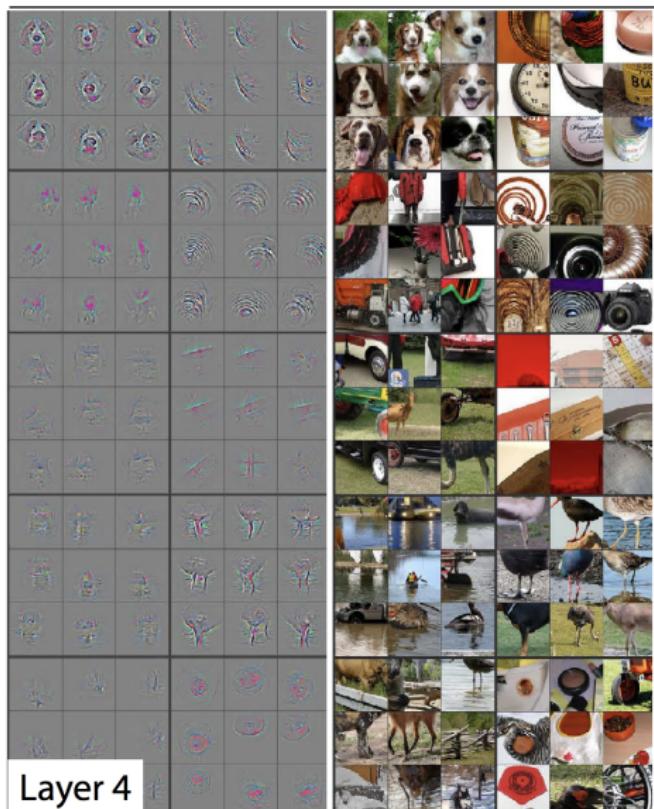
Filters Correspond to Patterns



<http://cs231n.github.io/convolutional-networks>

Filters at Lower Layer vs Higher Layer

Zeiler and Fergus (2013)



Vanishing/Exploding Gradient Problem in Deep Networks

$$h^l = \text{Conv}(\text{Conv}(\text{Conv}(\text{Conv}(\text{Conv}(\cdots(x)\cdots)))))$$

ResNet (He et al., 2015): at each layer l ,

$$h^l = \text{Conv}(h^{l-1}) + h^{l-1}$$

Overview

Feedforward Networks

Need for Specialized Architectures

Convolutional Neural Networks (CNNs)

Recurrent Neural Networks (RNNs)

Long Short-Term Memory Networks (LSTMs)

Example: Bidirectional LSTM Network for POS Tagging

Encoder-Decoder Models

Example: RNN-Based Seq2Seq

Bonus: Connectionist Temporal Classification (CTC)

Language/Speech = Sequence

$x_1 \dots x_N \in \mathbb{R}^d$: each x_i is a d -dimensional embedding of the i -th input

- ▶ Text: x_i is a word embedding (to be also learned)
- ▶ Speech: x_i is an acoustic feature vector

Two properties of this type of data

1. Length N not fixed
2. Typically processed from left to right (most of the time)

Recurrent Neural Network (RNN)

Think: HMM (or Kalman filter) with extra transformation

Recurrent Neural Network (RNN)

Think: HMM (or Kalman filter) with extra transformation

Input: sequence $x_1 \dots x_N \in \mathbb{R}^d$

- ▶ For $i = 1 \dots N$,

$$h_i = \tanh(Wx_i + V\textcolor{red}{h_{i-1}})$$

Output: sequence $h_1 \dots h_N \in \mathbb{R}^{d'}$

RNN \approx Deep Feedforward

Unroll the expression for the last output vector h_N :

$$h_N = \tanh \left(Wx_N + V \left(\cdots + V \tanh \left(Wx_1 + Vh_0 \right) \cdots \right) \right)$$

It's just a deep "feedforward network" with one important difference: **parameters are reused**

- ▶ (V, W) are applied N times

Training: do backprop on this unrolled network, update parameters

Overview

Feedforward Networks

Need for Specialized Architectures

Convolutional Neural Networks (CNNs)

Recurrent Neural Networks (RNNs)

Long Short-Term Memory Networks (LSTMs)

Example: Bidirectional LSTM Network for POS Tagging

Encoder-Decoder Models

Example: RNN-Based Seq2Seq

Bonus: Connectionist Temporal Classification (CTC)

LSTM

- ▶ RNN produces a sequence of output vectors

$$x_1 \dots x_N \quad \longrightarrow \quad h_1 \dots h_N$$

- ▶ LSTM produces “memory cell vectors” along with output

$$x_1 \dots x_N \quad \longrightarrow \quad c_1 \dots c_N, \quad h_1 \dots h_N$$

- ▶ These $c_1 \dots c_N$ enable the network to keep or drop information from previous states.

LSTM: Details

At each time step i ,

- ▶ Compute a *masking vector* for the memory cell:

$$q_i = \sigma(U^q x + V^q \textcolor{red}{h_{i-1}} + W^i \textcolor{blue}{c_{i-1}}) \in [0, 1]^{d'}$$

LSTM: Details

At each time step i ,

- ▶ Compute a *masking vector* for the memory cell:

$$q_i = \sigma(U^q x + V^q \textcolor{red}{h_{i-1}} + W^i \textcolor{blue}{c_{i-1}}) \in [0, 1]^{d'}$$

- ▶ Use q_i to keep/forget dimensions in previous memory cell:

$$\textcolor{blue}{c}_i = (1 - q_i) \odot \textcolor{blue}{c_{i-1}} + q_i \odot \tanh(U^c x + V^c \textcolor{red}{h_{i-1}})$$

LSTM: Details

At each time step i ,

- ▶ Compute a *masking vector* for the memory cell:

$$q_i = \sigma(U^q x + V^q \textcolor{red}{h_{i-1}} + W^i \textcolor{blue}{c_{i-1}}) \in [0, 1]^{d'}$$

- ▶ Use q_i to keep/forget dimensions in previous memory cell:

$$\textcolor{blue}{c}_i = (1 - q_i) \odot \textcolor{blue}{c_{i-1}} + q_i \odot \tanh(U^c x + V^c \textcolor{red}{h_{i-1}})$$

- ▶ Compute *another masking vector* for the output:

$$o_i = \sigma(U^o x + V^o \textcolor{red}{h_{i-1}} + W^o \textcolor{blue}{c}_i) \in [0, 1]^{d'}$$

LSTM: Details

At each time step i ,

- ▶ Compute a *masking vector* for the memory cell:

$$q_i = \sigma(U^q x + V^q \textcolor{red}{h}_{i-1} + W^i \textcolor{blue}{c}_{i-1}) \in [0, 1]^{d'}$$

- ▶ Use q_i to keep/forget dimensions in previous memory cell:

$$\textcolor{blue}{c}_i = (1 - q_i) \odot \textcolor{blue}{c}_{i-1} + q_i \odot \tanh(U^c x + V^c \textcolor{red}{h}_{i-1})$$

- ▶ Compute *another masking vector* for the output:

$$o_i = \sigma(U^o x + V^o \textcolor{red}{h}_{i-1} + W^o \textcolor{blue}{c}_i) \in [0, 1]^{d'}$$

- ▶ Use o_i to keep/forget dimensions in current memory cell:

$$\textcolor{red}{h}_i = o_i \odot \tanh(\textcolor{blue}{c}_i)$$

Overview

Feedforward Networks

Need for Specialized Architectures

Convolutional Neural Networks (CNNs)

Recurrent Neural Networks (RNNs)

Long Short-Term Memory Networks (LSTMs)

Example: Bidirectional LSTM Network for POS Tagging

Encoder-Decoder Models

Example: RNN-Based Seq2Seq

Bonus: Connectionist Temporal Classification (CTC)

Build Your Network

Model Parameters

- ▶ Embedding e_c for each character type c
- ▶ 2 LSTMs (forward/backward) at character level
- ▶ Embedding e_w for each word type w
- ▶ 2 LSTMs (forward/backward) at word level
- ▶ Feedforward network (U, V) at the output

the dog saw the cat

1. Character-Level LSTMs

Forward character-level LSTM

$$e_{\text{d}} \ e_{\text{o}} \ e_{\text{g}} \longrightarrow f_1^c \ f_2^c \ f_3^c \in \mathbb{R}^{d_c}$$

Backward character-level LSTM

$$e_{\text{g}} \ e_{\text{o}} \ e_{\text{d}} \longrightarrow b_1^c \ b_2^c \ b_3^c \in \mathbb{R}^{d_c}$$

Get a character-aware encoding of **dog**:

$$x_{\text{dog}} = \begin{bmatrix} f_3^c \\ b_3^c \\ e_{\text{dog}} \end{bmatrix} \in \mathbb{R}^{2d_c + d_w}$$

2. Word-Level LSTMs

Forward word-level LSTM

$$x_{\text{the}} \ x_{\text{dog}} \ x_{\text{saw}} \ x_{\text{the}} \ x_{\text{cat}} \longrightarrow f_1^w \ f_2^w \ f_3^w \ f_4^w \ f_5^w \in \mathbb{R}^d$$

Backward word-level LSTM

$$x_{\text{cat}} \ x_{\text{the}} \ x_{\text{saw}} \ x_{\text{dog}} \ x_{\text{the}} \longrightarrow b_1^w \ b_2^w \ b_3^w \ b_4^w \ b_5^w \in \mathbb{R}^d$$

Get a sentence-aware encoding of **dog**:

$$z_{\text{dog}} = \begin{bmatrix} f_2^w \\ b_4^w \end{bmatrix} \in \mathbb{R}^{2d}$$

3. Feedforward

The final vector $h_{\text{dog}} \in \mathbb{R}^m$ has **dimension equal to the the number of tag types** m , computed by feedforward

$$h_{\text{dog}} = V \tanh(U z_{\text{dog}})$$

Think

$[h_{\text{dog}}]_N \approx \text{score of tag } N \text{ for dog}$

Greedy Model

Define distribution over tags at each position as:

$$p(\mathbb{N}|\text{dog}) = \text{softmax}_{\mathbb{N}}(h_{\text{dog}})$$

Given tagged words $(x^{(1)}, y^{(1)}) \dots (x^{(n)}, y^{(n)})$, minimize the loss

$$L(\Theta) = - \sum_{i=1}^m \log p(y^{(i)}|x^{(i)})$$

References

- ▶ CNN tutorial:
<http://cs231n.github.io/convolutional-networks/>
- ▶ LSTM tutorial: <http://colah.github.io/posts/2015-08-Understanding-LSTMs/>