Solve the following questions. There are 13 questions, for a total of 25 marks.

- 1. (1 mark) In the context of radiative processes, semiconductors can be modeled as _____
 - A. three-level systems
 - B. two-level systems
 - C. four-level systems
 - D. one-level systems
- 2. (1 mark) Which are the fundamental radiative processes proposed by Einstein?
 - A. Absorption, spontaneous emission, and stimulated emission
 - B. Surface recombination, Auger recombination, SRH recombination
 - C. Direct recombination, Auger recombination, SRH recombination
 - D. Absorption, random emission, and forced emission
- 3. (1 mark) Choose the correct rate equation for stimulated emission process.

A.
$$\frac{dN_2}{dt} = A_{21}N_2u(\nu)$$

$$\mathsf{B.} \ \frac{dN_2}{dt} = B_{21} N_2 u(\nu)$$

$${\rm C.}~\frac{dN_2}{dt}=-A_{21}N_2u(\nu)$$

D.
$$\frac{dN_2}{dt} = -B_{21}N_2u(\nu)$$

$$\mathsf{E.} \ \frac{dN_2}{dt} = -B_{21}N_1u(\nu)$$

$$\mathsf{F.} \ \frac{dN_2}{dt} = -A_{21}N_1u(\nu)$$

4. (1 mark) The relation between Einstein's **A** and **B** coefficients is given by _____

A.
$$A_{21} = \frac{8\pi h \nu^3}{c^3} B_{21}$$

B.
$$B_{21} = \frac{8\pi h \nu^3}{c^3} A_{21}$$

C.
$$A_{21} = \frac{c^3}{8\pi h \nu^3} B_{21}$$

D.
$$B_{21} = \frac{8\pi h\nu}{c^3} A_{21}$$

E.
$$A_{21} = \frac{8\pi h \nu}{c^3} B_{21}$$

F.
$$A_{21} = \frac{c^3}{8\pi h \nu} B_{21}$$

- 5. (2 marks) Given below are the two statements.
 - S1: It is difficult to build a blue laser compared to red laser.
 - S2: Spontaneous emission becomes stronger with increasing frequency.
 - A. Statement S1 is true and S2 is false
 - B. Statement S1 is false and S2 is true
 - C. Both Statements S1 and S2 are true. S2 is the correct explanation of S1.
 - D. Statement S1 is true and S2 is true and S2 is not the correct explanation of S1
 - E. Statement S1 and S2 are false
- 6. (1 mark) The radiative and non-radiative lifetimes of an emitter material are given by τ_r and τ_{nr} respectively. In which of the following cases, will the material be an efficient light emitter?
 - A. $\tau_r >> \tau_{nr}$
 - B. $\tau_r = \tau_{nr}$
 - C. $\tau_r << \tau_{nr}$
 - D. $\tau_r > \tau_{nr}$
 - E. $\tau_r < \tau_{nr}$
 - F. Emission efficiency of a material is independent of radiative and non-radiative lifetimes.
- 7. (1 mark) The acronym LASER stands for
 - A. Light Amplification by Spontaneous Emission Radiation
 - B. Light Amplification by Stimulated Emission Radiation
 - C. Light Amplification in SEmiconductor Region
 - D. Light Attenuation in SEmiconductor Region
 - E. Light Attenuation by Stimulated Emission Radiation

- F. Light Attenuation by Spontaneous Emission Radiation
- 8. (2 marks) Which of the following statement(s) is/are true with respect to LASERs?
 - A. They are incoherent sources
 - B. They are coherent sources
 - C. Photon generation is dominated by stimulated emission
 - D. Photon generation is dominated by spontaneous emission
 - E. They are polychromatic sources
 - F. They are monochromatic sources
 - G. They are suitable for lighting applications
 - H. They are suitable for optical communication due to higher bandwidth
- 9. (2 marks) Consider the I-V characteristics of various diodes made up of different materials as shown in the figure 1 below:

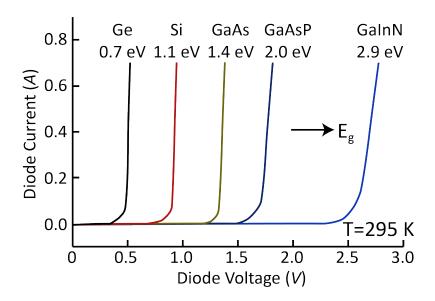


Figure 1: LED diodes I-V characteristics

- (a) (1 mark) Which of the following diodes can be used as LEDs? (Hint: Which are the direct bandgap semiconductors?)
 - A. GaAs, GaAsP
 - B. Si, Ge

- C. GaAs, GaAsP, GaInN
- D. Ge, Si, GaAs
- E. All of them
- (b) (1 mark) Choose the correct statement with respect to the wavelength of light emitted by diodes.
 - **A.** $\lambda_{GaAs} > \lambda_{GaAsP} > \lambda_{GaInN}$
 - B. $\lambda_{GaAs} < \lambda_{GaAsP} < \lambda_{GaInN}$
 - C. $\lambda_{Ge} > \lambda_{Si} > \lambda_{GaAs} > \lambda_{GaAsP} > \lambda_{GaInN}$
 - D. $\lambda_{Ge} < \lambda_{Si} < \lambda_{GaAs} < \lambda_{GaAsP} < \lambda_{GaInN}$
 - E. $\lambda_{GaAs} = \lambda_{GaAsP} = \lambda_{GaInN}$
 - F. $\lambda_{Ge} = \lambda_{Si} = \lambda_{GaAsP} = \lambda_{GaInN}$
- 10. (6 marks) Consider the bandgap-composition graph of III-V compound semiconductors as shown in figure 2 below:

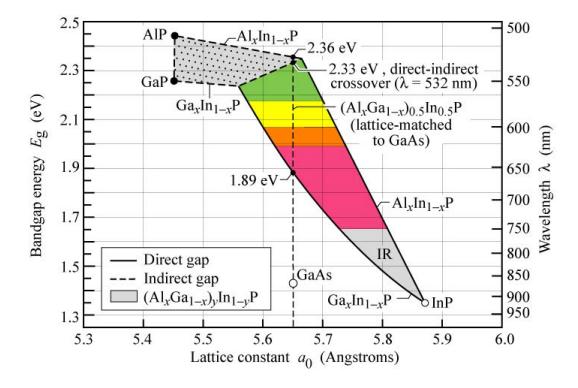


Figure 2: Compound semiconductors (Reproduced from E F Schubert, Light Emitting Diodes, Cambridge University Press)

- (a) (2 marks) A graduate student wants to fabricate a red LED, emitting at wavelength $\lambda=650~nm$.
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Referring to the data given in figure 2, which compound will you suggest him/her to choose?

- A. $Al_x In_{1-x} P$
- B. $Ga_xIn_{1-x}P$
- C. GaAs
- D. InP
- E. $(Al_xGa_{1-x})_{0.5}In_{0.5}P$
- (b) (2 marks) Now, his/her advisor wants to know why he/she chose the given material. Support your answer with proper justification.
 - A. The suggested material is lattice-matched to GaAs substrate and is a direct bandgap semiconductor for $x\sim0.5$.
 - B. The suggested material is lattice-matched to InP substrate and is an indirect bandgap semiconductor for $x\sim0.4$.
 - C. The suggested material is lattice-matched to GaAs substrate and is an indirect bandgap semiconductor for $x\sim0.5$.
 - D. The suggested material is lattice-matched to GaP substrate and is an indirect bandgap semiconductor for $x\sim0.5$.
 - E. GaAs is a direct bandgap semiconductor with emission wavelength $\sim 650~nm$
 - F. InP is a direct bandgap semiconductor with emission wavelength $\sim 650~nm$
- (c) (2 marks) Another graduate student wants to fabricate a yellow LED, emitting at wavelength $\lambda = 575 \ nm$. Referring the data given in figure 2, which compound will you suggest him/her to choose?
 - A. $Al_x In_{1-x} P$
 - B. $Ga_rIn_{1-r}P$
 - C. GaAs
 - D. InP
 - E. $(Al_xGa_{1-x})_{0.5}In_{0.5}P$
- 11. (2 marks) How do you define internal quantum efficiency (IQE) and external quantum efficiency (EQE) with respect to LEDs?
 - $\text{A. IQE} = \frac{\text{No.of photons generated}}{\text{No.of carriers extracted}}, \, \text{EQE} = \frac{\text{No.of photons injected}}{\text{No.of photons generated}}$
 - $B. \ IQE = \frac{\text{No.of photons generated}}{\text{No.of carriers injected}}, \ EQE = \frac{\text{No.of photons extracted}}{\text{No.of photons generated}}$

C.
$$IQE = \frac{\text{No.of photons extracted}}{\text{No.of carriers injected}}$$
, $EQE = \frac{\text{No.of photons generated}}{\text{No.of photons extracted}}$

D. $IQE = \frac{\text{No.of carriers injected}}{\text{No.of photons extracted}}$, $EQE = \frac{\text{No.of photons extracted}}{\text{No.of carriers injected}}$

- 12. (1 mark) What is/are the necessary condition(s) required for lasing to occur?
 - A. Stimulated emission
 - B. III-V semiconductor
 - C. An optical cavity
 - D. Gain media, stimulated emission, and an optical cavity
 - E. Low temperature
 - F. High current
- 13. (4 marks) Consider the structures shown in figure 3 below:

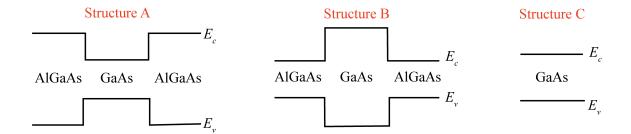


Figure 3: Band diagrams of potential semiconductor lasers

- (a) (2 marks) Referring to structure A, which of the following is/are correct statement(s)?
 - A. Bandgap of AlGaAs is smaller than the bandgap of GaAs
 - B. Bandgap of AlGaAs is larger than the bandgap of GaAs
 - C. Bandgap of AlGaAs is equal to the bandgap of GaAs
 - D. Refractive index of AlGaAs is higher than that of GaAs
 - E. Refractive index of AlGaAs is smaller than that of GaAs
 - F. Refractive index of AlGaAs is equivalent to that of GaAs
- (b) (2 marks) Which structure will you prefer for fabricating a GaAs laser and why?
 - A. Structure A as it confines both electrons and photons, being a double heterostructure

- B. Structure B as it confines both electrons and photons, being a double heterostructure
- C. Structure C as it is easy to fabricate
- D. Structure A and B as they confine both electrons and photons, being double heterostructures
- E. All the structures as they consist of GaAs material