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R&D Project

# Semantic Segmentation using Resource Efficient Deep Learning

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I, the undersigned below, declare that this work has not previously been submitted to this or any other university and that it is, unless otherwise stated, entirely my own work.

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Date

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# Abstract

Semantic segmentation is a pixel-wise classification problem where a convolutional neural network (CNN) is trained to assign a class to every pixel in an input image. The output produced is a 2D segmentation map through which we can infer what objects are present in the image, their corresponding pixel locations, and object boundaries. The need to classify every pixel calls for dense annotation of every label image. Consequently, creating manual annotations for a new dataset is expensive. Nevertheless, this project creates a limited dataset consisting of 540 images with manual annotations. In order to improve the diversity and robustness of this limited dataset, it is augmented with artificial images. Four different variants of the dataset called ”atWork\_full”, ”atWork\_size\_invariant”, ”atWork\_similar\_shapes”, and ”atWork\_binary” are created based on the similarity in size, shape and color of objects. We show that the dataset variants which combine similar objects lead to higher Mean Intersection Over Union(mIOU).

The DeepLabv3+ segmentation model, in addition to its focus on improving mIOU, also uses MobileNetv2 and Xception networks as network backbones (encoders) to improve resource efficiency. The MobileNetv2 network backbone requires an inference time of 0.98 seconds per image, occupies 8.7 MB disk memory, and achieves a mIOU of 77.47 % on the ”atWork\_full” dataset variant. In contrast, the Xception network backbone achieves 89.63 % percent on the same dataset variant but is less resource efficient as it requires 5.53 seconds inference time and 165.6 MB disk memory. The quantized versions of the two network backbones are shown to be more efficient in terms of disk memory occupied. However, roughly 9 % and 2 % average drop in mIOU across all four dataset variants is observed for the quantized versions of MobileNetv2 and Xception network backbones respectively.

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# 1

## Introduction

In recent years, deep learning has significantly impacted research in the field of computer vision. Variations of Convolutional Neural Network architectures have shown state of the art performance in computer vision tasks such as image classification [19], object detection [30], action recognition [35] and semantic segmentation [11]. A considerable part of this success comes from the supervised learning paradigm through which the networks are trained with labeled samples.

State of the art deep learning techniques in semantic segmentation also make use of the supervised learning paradigm. Semantic segmentation is treated as a pixel-wise classification problem with the goal of assigning a class from a list of desired classes to every pixel in an image. The resultant image splits objects of interest into different regions thereby achieving the intended segmentation into meaningful regions.

Unlike the task of image classification (Figure 1.1a), where the location and boundaries of the desired object in an image are irrelevant, semantic segmentation (Figure 1.1b) requires the neural network to be able to spatially localize desired objects, delineate object boundaries and produce a single channel segmentation map (output image) which is of the same size as the input image.

### 1.1 Motivation

Semantic segmentation provides rich information from image space which could be interpreted to make useful inferences about the real world scene depicted by

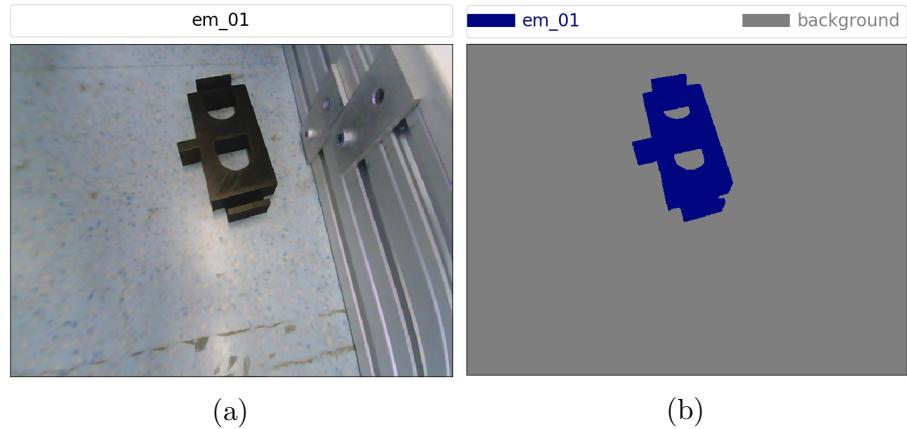


Figure 1.1: (a) In image classification, we get the output ”em\_01”. (b) In semantic segmentation, we get an output image with each pixel labeled, from which we can infer that the image consists of ”em\_01” and ”background.”

the image. We look at three potential applications of semantic segmentation which stand out to show the benefits of information obtained through semantic segmentation.

### 1.1.1 Potential applications

Semantic segmentation can be used in autonomous cars to segment a road scene and extract information such as where the road is, where pedestrians are and so on. Figure 1.2a shows an example of one such road scene segmentation. In robotics, an example application would be the segmentation of an indoor dining room shown in Figure 1.2b. A robot could use this information to identify plates, chairs and so on. In augmented reality, an augmented fox could be placed on a sidewalk to walk a person to his destination as illustrated in Figure 1.2c.

## 1.2 Challenges and Difficulties

In this section, we look at the difficulties posed by the task of semantic segmentation in a deep learning setting.

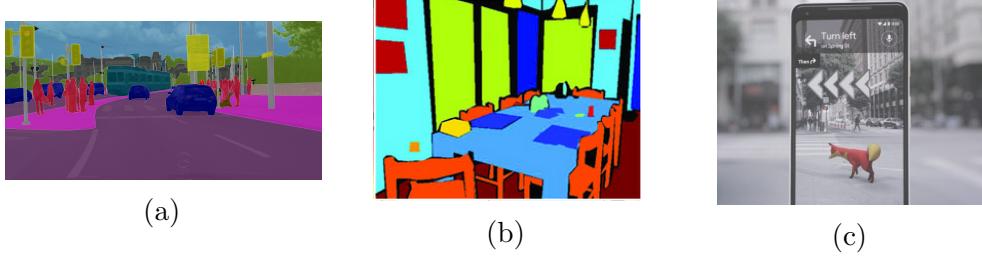


Figure 1.2: (a) Street scene [14], (b) Indoor environment [34], (c) Augmented guide [26].

### 1.2.1 Labeling cost

As semantic segmentation is a pixel-wise classification task, every pixel in the ground truth image needs to be labeled. This labeling can be achieved by first performing accurate object boundary delineation and later annotate each region with the corresponding class. Since objects could have a variety of shapes, this boundary delineation becomes difficult. Object occlusions and image space cluttered with objects further add to this difficulty.

### 1.2.2 Context knowledge

Different features could represent objects in general. In a local context, where only a small region inside an object is considered, different objects might have very similar features. Figures 1.3a and 1.3b illustrate the selection of two local regions within two different objects. By looking into just these selected regions, it is difficult to classify the pixels within the regions as in a local context the two objects are similar. However, when a global context is gathered as illustrated in Figures 1.3c and 1.3d, the two objects become distinct, and it is now possible to classify the pixels within these regions. Therefore, for the task of semantic segmentation, gathering global context is important.

## 1.3 Problem Statement

Existing benchmark datasets are not suitable when the objects needed to be segmented do not exist in them. Therefore, a semantic segmentation dataset

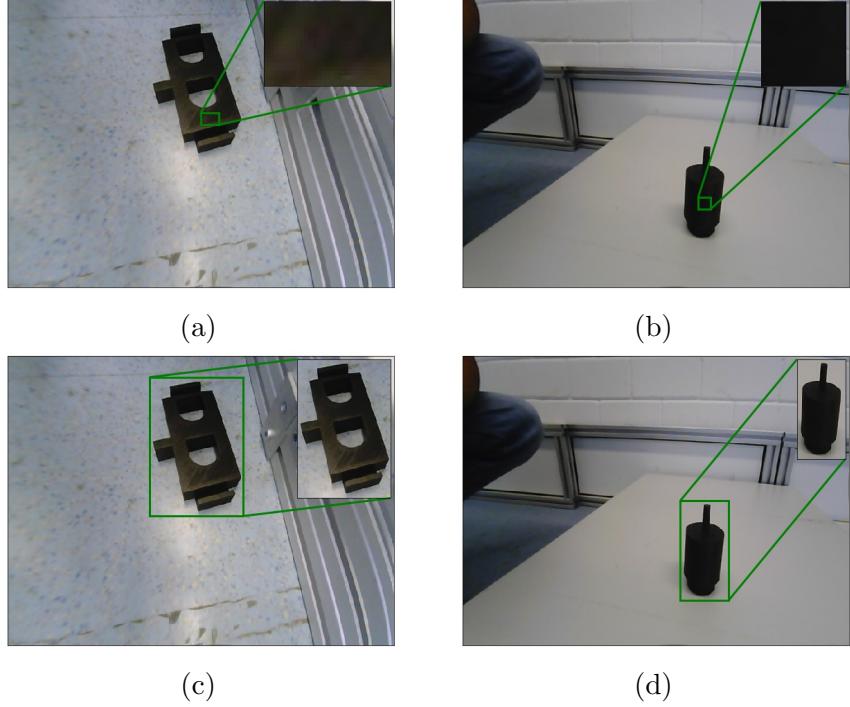


Figure 1.3: Illustration of a local region in (a) "em\_01" and (b) "motor". The two local regions provide local context. Illustration of region containing an entire object shown in (c) "em\_01" and (d) "motor". The regions illustrated in (c) and (d) can be said to provide global context of corresponding objects.

needs to be created for the Robocup @Work objects. However, creating manual annotations for semantic segmentation is time-consuming because of the need to delineate boundaries of @Work objects which have a variety of shapes. Creating manual annotations is possible for only a limited number of images because of this time-consuming nature. Therefore, alternative methods need to be considered to improve the diversity and size of the dataset. One such alternative method is the creation of artificial images and using the existing manual labels to create annotations for these artificial images automatically. It is imperative that these artificial images add diversity to the dataset in terms of object scales, occlusions and so on.

Besides, the semantic segmentation deep learning models selected are required to be resource efficient in terms of both memory and inference time. These models are also required to segment @Work objects with considerable accuracy.

# 2

## State of the Art

In the field of deep learning for computer vision, two distinct lines of research could be observed. One line of research includes the likes of ResNet [19], and Inception [36] which push convolutional neural networks (CNN) deeper and wider. The focus here is to improve the accuracy on the task at hand. Another line of research is more concerned with the practical use of deep learning in embedded or mobile devices. Here, approaches tend to focus on finding the best hyperparameters which require the least resources but yet perform with considerable accuracy. The range of approaches include attempts to design a resource efficient architecture by changing the number of layers and so on, attempts to compress CNNs using techniques like pruning [24] and quantization [40], and attempts to automatically search through the hyperparameter space to find the best hyperparameters by using reinforcement learning [42] and evolutionary algorithms [29].

In this chapter, we review networks which focus on improving accuracy (Section 2.1) and networks which focus on both accuracy and resource efficiency (Section 2.2) on the task of semantic segmentation. We also look into compression techniques called pruning and quantization in Section 2.3.

### 2.1 Improving accuracy

In this section, we look into semantic segmentation networks which focus on improving accuracy.

### 2.1.1 Fully Convolutional Networks

Fully Convolutional Networks [22] extend the success of convolutional neural networks on image classification, to the dense prediction task of semantic segmentation. Fully connected layers of image classification networks are converted to convolutional layers, which enables the prediction of a segmentation map. Convolution and pooling layers downsample the input image to produce feature maps which have a low spatial resolution. These feature maps are then upsampled using transposed convolution layers which use fractional stride to increase spatial resolution. Skip connections, as illustrated in Figure 2.1 are used to combine coarse high layer information with fine low layer information to help refine the predicted segmentation map.

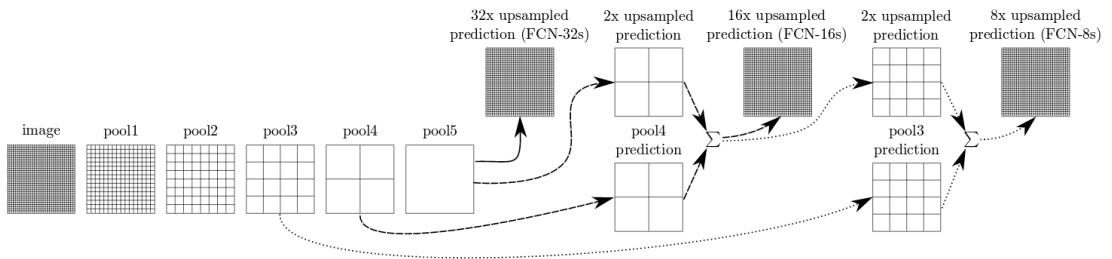


Figure 2.1: This figure illustrates the use of skip connections in Fully Convolutional Networks. Pooling layers downsample the input image. The pool5 layer results in 32 times reduction in spatial resolution of the input image. Three different predictions are shown. In the first, pool5 output is upsampled by 32. In the second, pool5 output is upsampled by 2, added with pool4 output with a skip connection and then upsampled by 16. In the third, pool5 output is upsampled by 2 two times, added with pool3 output using skip connection and then upsampled by 8. This third prediction is experimentally shown to produce finer segmentation maps [22].

## 2.2 Accuracy and resource efficiency

In this section, we look into semantic segmentation networks which consider both accuracy and resource efficiency in terms of memory and inference time. These approaches focus on reducing resource requirements and also improve accuracy.

### 2.2.1 SegNet

SegNet [5] proposed the use of an encoder-decoder architecture for semantic segmentation as shown in Figure 2.2. In the encoder, since all the fully connected layers in the underlying VGG16 network is dropped, the number of parameters reduces from 134 million to 14.7 million. To downsample the input image, the authors use max pooling layers. The indices of values selected during max pooling are saved. In the decoder, the max pooling indices from the corresponding max pooling layer in the encoder are used to upsample the image. The authors state that this way of using max pooling indices in the decoder improves object boundary delineation and can be adapted in any encoder-decoder architecture. After upsampling, convolutional layers with trainable parameters are used in the decoder to refine predictions.

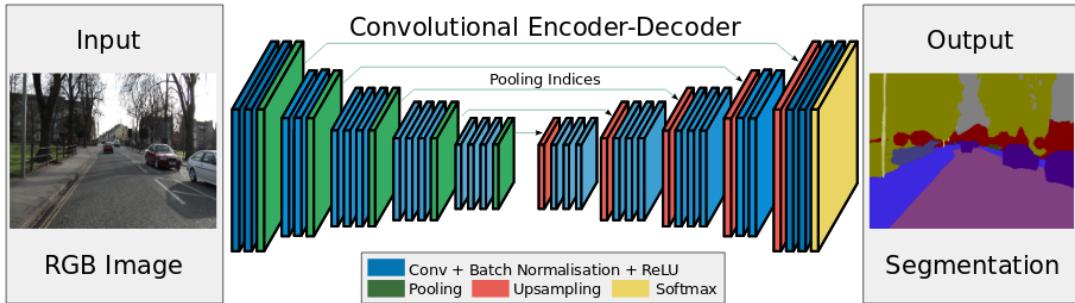


Figure 2.2: This figure illustrates the SegNet architecture. The decoder uses max pooling indices from corresponding encoder max pooling layers for upsampling [5].

### 2.2.2 ENet

ENet [25] is built with the goal of achieving fast inference with high accuracy. ENet also uses an encoder-decoder architecture similar to SegNet. The authors state that the initial layers of the encoder perform expensive computations as they operate on feature maps with high spatial resolution. Optimizing the initial layers will lead to improvements in inference speed. On this regard, ENet encoder heavily downsamples the input feature maps at the initial layers. The ENet initial

block shown in Figure 2.3, which performs early downsampling, downsamples the input image of resolution  $512 \times 512$  and 3 channels to 16 feature maps of resolution  $128 \times 128$ . This block performs  $3 \times 3$  convolution with stride 2 and max pooling with non-overlapping  $2 \times 2$  windows, on the input image whose results are then concatenated. This early downsampling illustrates ENets approach to optimizing initial layers. In addition, unlike SegNet where the decoder has the same number of layers as the encoder, ENet uses a large encoder and a small decoder. The reduced decoder size is based on the notion that the decoder is only required to fine-tune features already gathered by the encoder.

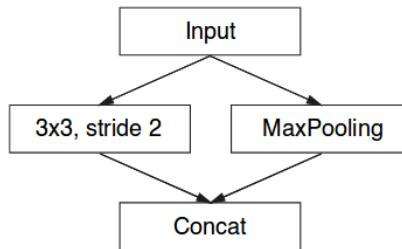


Figure 2.3: The ENet initial block which performs  $3 \times 3$  convolution with stride 2 and max pooling with  $2 \times 2$  non-overlapping windows, to downsample the input image [25].

### 2.2.3 ICNet

ICNet [41] is designed with the intention of obtaining real-time semantic segmentation on high-resolution images. ICNet consists of a cascade of three branches operating on the input image at the original resolution, half of the original resolution and quarter of the original resolution respectively. The outputs of the three branches are fused together by a cascade feature fusion unit leading to fine predictions. Each of the branches is guided during training with the corresponding resolution of ground truth.

The number of layers in the three branches are 50, 17 and 3 respectively where the first branch operates on the lowest input resolution, the second branch operates on half the input resolution, and the third branch operates on the highest input resolution. The three branches result in feature maps which are  $1/32$ ,  $1/16$  and

1/8 times of the original image resolution respectively. A cascade feature fusion unit combines the feature maps of the three branches by using upsampling, dilated convolutions, batch normalization, and sum fusion. The architecture of ICNet is illustrated in Figure 2.4. Overall, by using limited layers on highest resolution input and more layers as input resolution is lowered, computations is reduced when compared to a network with a single branch directly operating on the highest resolution.

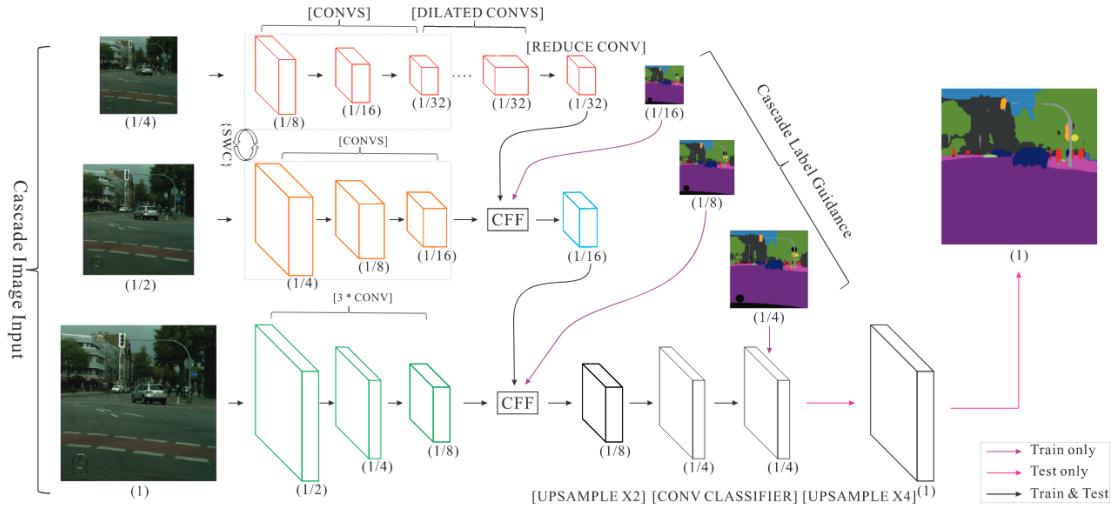


Figure 2.4: Illustration of the image cascade network (ICNet). The number in brackets refers to the ratio of the feature maps resolution to input resolution. CFF refers to the Cascade Feature Fusion unit. SWC refers to sharing weights between the first and the second branch. Cascade label guidance is done only during training and upsampling to the original image resolution is done only during testing [41].

## 2.3 Compressing DCNNs

In this section, we look into approaches which compress Deep Convolutional Neural Networks (DCNN) by reducing the number of parameters or by using low precision calculations. The goal is to reduce resource requirements while retaining the existing accuracy or incurring an acceptable loss in accuracy.

### 2.3.1 Pruning CNNs

Pruning is a technique through which redundant weights in a Deep Neural Network are removed. Weights are considered redundant if they do not contribute significantly to the accuracy of the DNN on the task at hand.

In [24], the authors state that the prediction runtime is dominated by convolutional layers and removing entire feature maps will lead to speed up of inference. The CNN to be pruned is fine-tuned on the target task until convergence, after which iterations of pruning and fine tuning are alternated. Pruning is stopped once appropriate trade-off between accuracy and pruning objective such as minimum accuracy required or maximum allowed inference time, is met. The pruning procedure is illustrated in Figure 2.5. As a pruning criterion, an approximation of the change in loss function when a trainable parameter is removed by using first-order Taylor expansion is used. After pruning, a pruning gate is used to determine whether or not a feature map is included during inference.

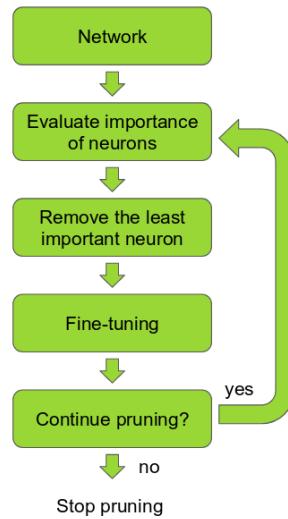


Figure 2.5: This figure illustrates the iterative procedure used for pruning [24].

### 2.3.2 Quantizing CNNs

Quantization is a technique through which the weights and calculations of trained neural networks are replaced with low precision equivalents in order to reduce memory and computation time.

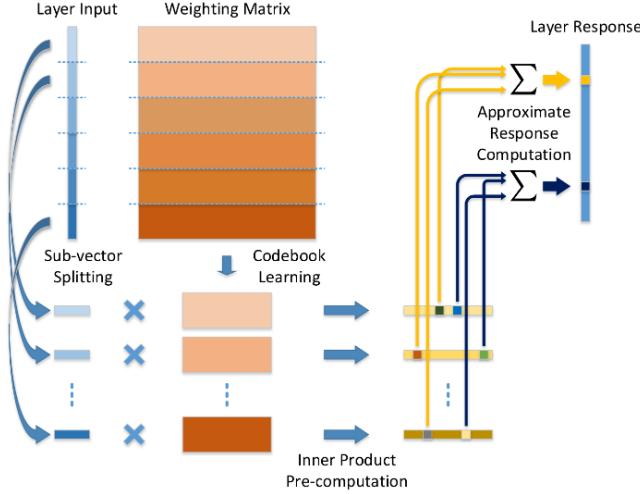


Figure 2.6: This figure illustrates the process of quantization of fully-connected layers. The input to a fully-connected layer is split into sub-vectors. A codebook with codewords is learned for the weighting matrix. The inner product between the sub-vectors and the codewords of the codebook are precomputed which can be used to obtain an approximate layer response [40].

In [40], the authors state that the convolutional layers take the most computation time and the fully-connected layers have the most amount of parameters. To quantize fully-connected layers, the input space to every fully-connected layer is evenly split into  $M$  subspaces. For each of the subspace, a sub-codebook is learned using the sub-vectors within the subspace and the inner product between the sub-vectors and every sub-codeword in the sub-codebook is precomputed and stored in a look-up table. This process of quantizing fully-connected layers is illustrated in Figure 2.6. The precomputed look-up table leads to both reductions in computation time and storage. For convolutional layers, subspaces are created by splitting the filters along the dimension of feature maps. In this case, the precomputed look-up table is created for the inner product between feature maps and sub-vectors.

# 3

## Convolutional Neural Networks and Semantic Segmentation

In this chapter, we look into the basic concepts of neural networks and later, how such concepts have been used for the task of semantic segmentation. In section 3.1 we present an overview of Artificial Neural Networks. In section 3.2 we look into basic concepts underlying Convolutional Neural Networks (CNNs). In section 3.3 we look into how CNNs are adapted for the task of semantic segmentation.

### 3.1 Artificial Neural Networks

Artificial Neural Networks (ANN), inspired by the neural networks in our brain, was designed to learn tasks without explicitly programming descriptive features of the concerned tasks. An ANN is made up of processing units called neurons which performs a non-linear transformation, using an activation function, of the weighted linear combination of inputs. Figure 3.1a illustrates a non-linear model of a neuron. Many such neurons are connected to one another in an ANN resulting in its ability to learn highly non-linear function mappings from input to output space. Figure 3.1b illustrates a multilayer feedforward neural network which is a type of ANN.

### 3.2 Convolutional Neural Networks

Convolutional Neural Networks (CNNs or Conv Nets) are a class of feedforward neural networks which are used in the field of computer vision. Tasks such as image

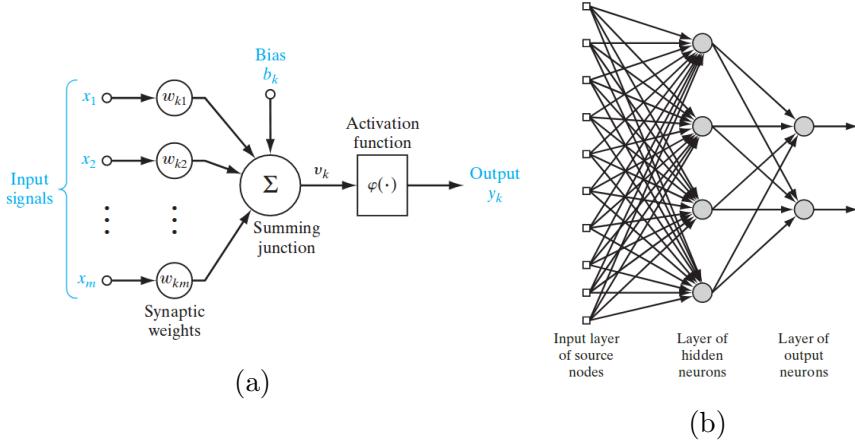


Figure 3.1: (a) A non-linear neuron model.  $v_k = \sum_{i=1}^m w_{ki}x_i$  denotes the local field of the neuron,  $\varphi$  is the activation function and  $y = \varphi(v_k)$  is the output of the neuron. (b) An illustration of a multilayer feedforward neural network with input source nodes, one hidden layer of neurons and an output layer of neurons [18].

classification, object detection, and semantic segmentation make use of CNNs. Unlike the multilayer feedforward neural network shown in Figure 3.1b, CNNs are designed to handle image data which is usually represented as a stack of 2D matrices. A major part of CNNs comprises the use of convolutional layers which substantially reduce the number of parameters in comparison to fully-connected layers.

### 3.2.1 CNN Architecture

The general architecture of a CNN is illustrated in Figure 3.2. A CNN is composed of different layer types such as convolutional layers, pooling layers and fully-connected layers. Each of the layers is looked into in detail in the subsequent sections.

#### Convolutional layer

An RGB image typically consists of height, width and 3 channels representing red, blue and green. In order to better handle this structure of an input image, the neurons in a convolutional layer are arranged in a 3D volume of height, width,

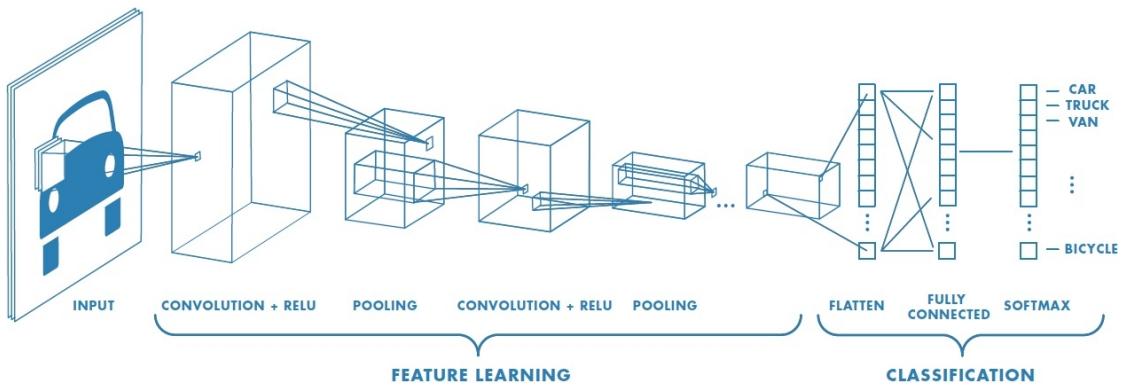


Figure 3.2: This figure illustrates a CNN architecture. A convolution layer convolves on the input image or feature maps followed by an ReLU activation which produces output feature maps. A pooling layer downsamples feature maps. The CNN architecture shown, performs feature learning and classification [4].

and depth. Each neuron is only connected to a small region in the input resulting in "local connectivity". A hyperparameter called receptive field [3] controls the extent of the input region to which a neuron connects. An illustration of the 3D arrangement of neurons can be seen in Figure 3.3. When moving along the depth dimension, each 2D arrangement of values are called filters or kernels.

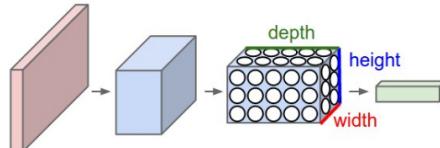


Figure 3.3: Illustration of 3D (height, width, depth) arrangement of neurons in a convolutional layer. The convolutional layer transforms a 3D input volume to a 3D output volume [3].

A convolution filter slides through an input channel, performs convolution and produces output feature maps. A filter looks for specific features like edges and corners, at the input spatial location it convolves over. As an extension, a filter can be seen as a template image looking for a template across the input space. Figure 3.4 illustrates one such filter which looks for vertical lines.

The entire 3D convolution filter slides along the height and width of all channels of the input space looking for features along spatial locations and features across

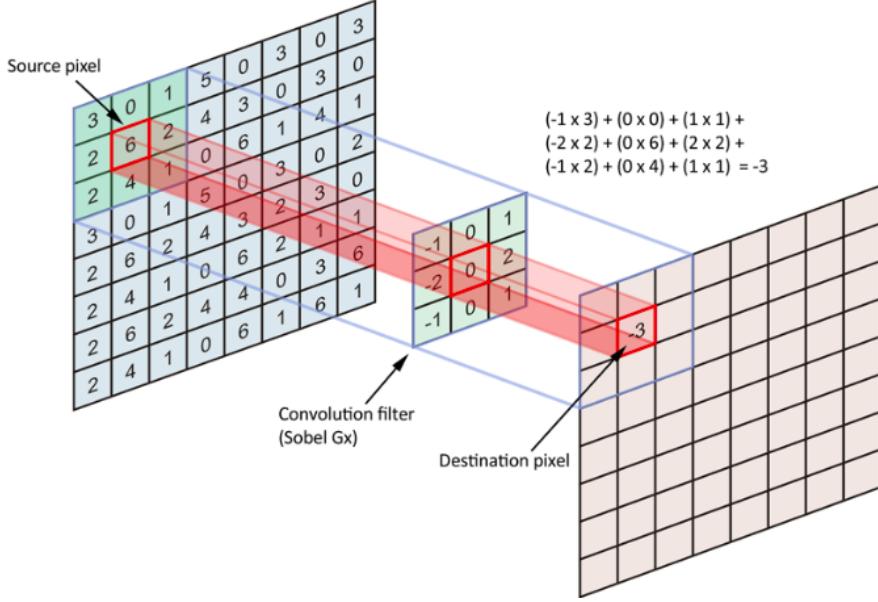


Figure 3.4: This figure shows a Sobel kernel convolving over an input. This kernel looks for vertical edges in the input image. The kernel values are multiplied elementwise with the input locations, and the results are added together to get the value at the location in the output feature map which corresponds to the center of the image locations [13].

input channels. One 3D convolution filter results in a 2D feature map. Multiple such 3D convolutions are used each of which outputs a 2D feature map which are all stacked along the depth dimension to create the output 3D volume. This process is illustrated in Figure 3.5.

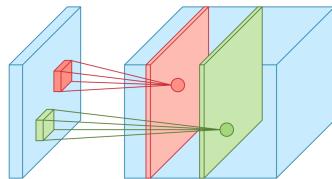


Figure 3.5: Illustration of 2D feature maps produced by two 3D convolution filters which are arranged along the depth dimension [15].

In CNNs, the filters are learned through training the CNN for a specific task such as image classification. Once a CNN is trained, the filters in the lower layers often tend to learn simple features such as edges and corners and filters in the

higher layers learn more abstract features building upon the features learned by filters in the previous layer.

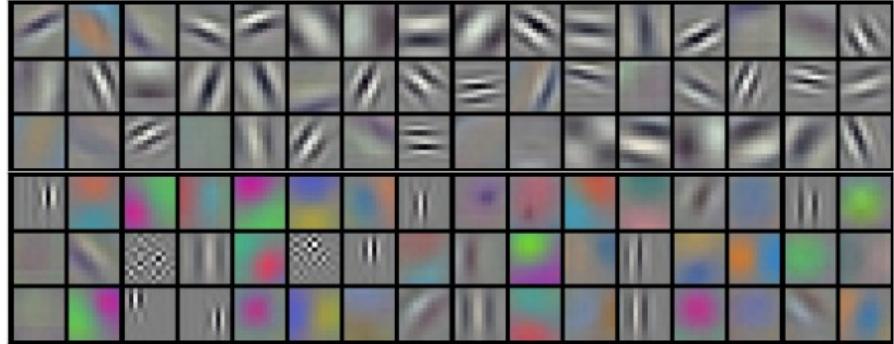


Figure 3.6: Learned filters of AlexNet CNN architecture. The filters have learned to detect features such as lines, blobs and so on [3].

### Pooling layer

A pooling layer is responsible for reducing the spatial size of the feature maps leading to a reduction in the number of trainable parameters in the network. This reduction in trainable parameters leads to reduced chances of overfitting and reduced computational cost. Additionally, pooling makes CNNs invariant to translations in the input image. This induced translation invariance is desired for tasks such as image classification where it is only required to find objects in an input space irrespective of where the object is located within the image.

A pooling filter looks at a particular window in a depth slice (one 2D feature map out of 3D input feature maps) of input feature maps and produces one value as output for the window. Two common pooling types are max pooling and average pooling. The pooling filter outputs the maximum of all values and average of all values for max pooling and average pooling respectively. An illustration of max pooling and reduction in the spatial size of feature maps is shown in Figure 3.7.

### Fully-connected layer

In fully-connected layers, every neuron in a layer is connected to all neurons or input nodes in the previous layer. The hidden layer shown in Figure 3.1b illustrates

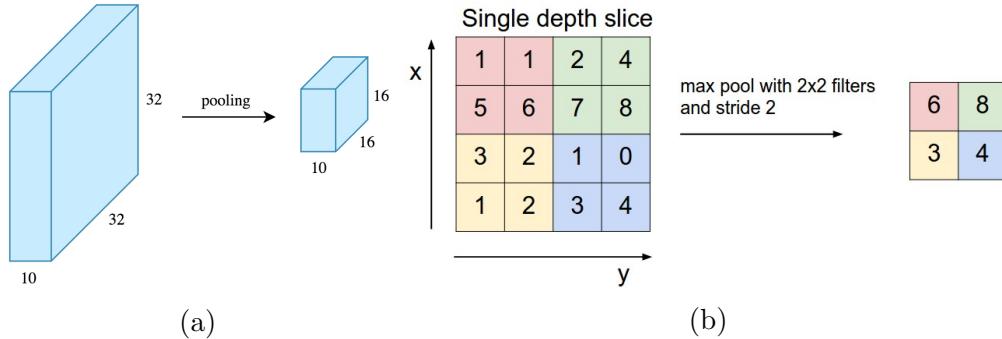


Figure 3.7: (a) Illustration of feature maps being downsampled by pooling [15]. (b) Illustration of max pooling where the pooling filter looks at  $2 \times 2$  input windows. Stride 2 denotes that the window moves two steps when it moves through  $x$  or  $y$ . Each color in the depth slice of input feature maps indicates a different input window and the corresponding color in the output feature map shows the selected max value [3].

a fully-connected layer. In CNNs, the outputs produced by convolutional layers and pooling layers are 3D feature maps whereas a fully-connected layer expects a 1D vector as input. Therefore, 3D feature maps are converted to a 1D vector which is then given as input to fully-connected layers. There are two ways in which this 1D input to fully-connected layers is created in CNNs. One way is to flatten the 3D feature maps directly. For instance, if the 3D feature maps have a dimension of  $7 \times 7 \times 5$ , the values are arranged in the form of a 1D vector with 245 values. In a second way, a technique called global average pooling is used to take the average value out of every depth slice of the 3D feature maps and then create a flattened 1D vector. If the feature map is of dimension  $7 \times 7 \times 5$ , average value out of 5,  $7 \times 7$  depth slice is taken to get  $1 \times 1 \times 5$  feature maps. These feature maps are flattened to create a 1D vector input with 5 values as input to fully-connected layers. The output of fully-connected layers is then fed to a softmax function which converts the output into probability scores for the different classes involved.

### 3.3 CNNs for Semantic Segmentation

The CNN architecture discussed so far, learns to extract features and perform image classification by resulting in a probability score for each class. For instance, for a 10 class classification problem, for every input image, a trained CNN produces

10 values in the range [0,1] each of which provides the probability that the image belongs to the corresponding class. However, semantic segmentation is a dense prediction task which requires a class to be assigned to every pixel in the input image and hence for every input image, the output is expected to be another image of the same spatial size as the input image and contains in every pixel a value which denotes a class. This output is called a segmentation map.

For this reason, two changes are done to the CNN architecture. One is replacing fully-connected layers with convolutional layers, and the other is upsampling the final feature maps produced back to the original input spatial size. Fully-connected layers require a flattened 1D input vector and produce a 1D vector as output. However, semantic segmentation requires a 2D segmentation map as output. Hence, fully-connected layers are replaced with convolutional layers. This replacement has the advantage of reducing the number of trainable parameters as convolutional layers use less trainable parameters in comparison to fully-connected layers, leading to a reduction in the chances of overfitting.

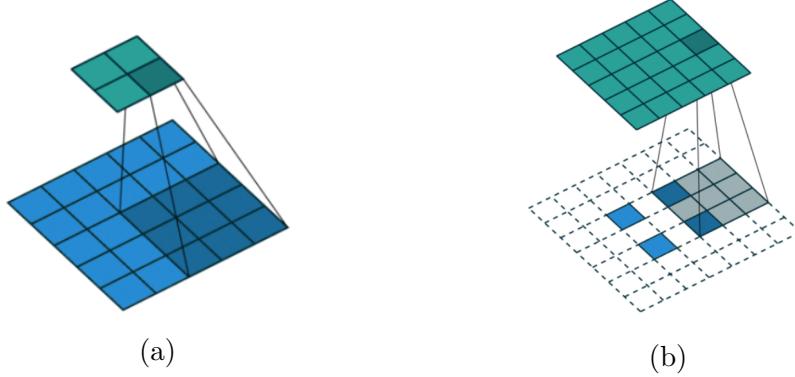


Figure 3.8: (a)  $3 \times 3$  convolution with stride 2 on a  $5 \times 5$  feature map (shown in blue) producing a  $2 \times 2$  feature map (shown in green). (b)  $3 \times 3$  fractionally strided convolution upsampling a  $2 \times 2$  feature map (shown in blue) to get a  $5 \times 5$  feature map (shown in green) [27].

After replacing the fully-connected layers with convolutional layers, we are left with 3D feature maps with reduced spatial size. These 3D feature maps need to be upsampled back to the original input spatial size. One way to do this is to use an interpolation method such as bilinear interpolation to increase the spatial resolution. This is considered as a naive method of upsampling. An alternative would be

to use fractionally strided convolutions (also known as transpose convolution or deconvolution). This type of convolution as shown in Figure 3.8b, pads zeros to the input feature map in a manner which results in the desired upsampling when convolved over. In this example, we see a  $2 \times 2$  feature map upsampled to  $5 \times 5$  feature map by adding a row and a column of zeros in between the two existing rows and columns respectively and also zero padding by 2 around the feature map. A  $3 \times 3$  convolution can now be used to get back a spatial size of  $5 \times 5$ . The advantage of using a fractionally strided convolution is that the parameters of the filter are learned through training instead of using a fixed upsampling method such as interpolation.

# 4

## Methodology

In line with the goal of the project to use resource efficient deep learning in terms of inference time and storage memory, the DeepLab v3+ model with MobileNetv2 [33] and Xception [12] network backbones was chosen. In order to better understand DeepLabv3+, we consider breaking down the architectures of the previous versions of DeepLab leading upto the current version. The different versions of DeepLab are DeepLab [8], DeepLabv2 [9], DeepLabv3 [10] and DeepLabv3+ [11]. Also, we review the quantization method considered for this work.

### 4.1 DeepLab

Fully Convolutional Networks [21] were introduced by Long et al. for the task of semantic segmentation. The predictions obtained with the help of this network were coarse, and the object boundaries were not sufficiently delineated. In order to overcome these difficulties, the authors of DeepLab proposed the use of atrous convolutions and fully-connected Conditional Random Fields (CRF).

Atrous convolutions also called as dilated convolutions, is used to gather a better global context with enlarged field-of-view on the feature maps. An atrous rate "r" determines the field-of-view of an atrous convolution. With an increase in atrous rate, a greater region of a feature map is convolved over which leads to the gathering of more global context. However, it is worth noting that there is no increase in the number of parameters in the convolution filter. Only the

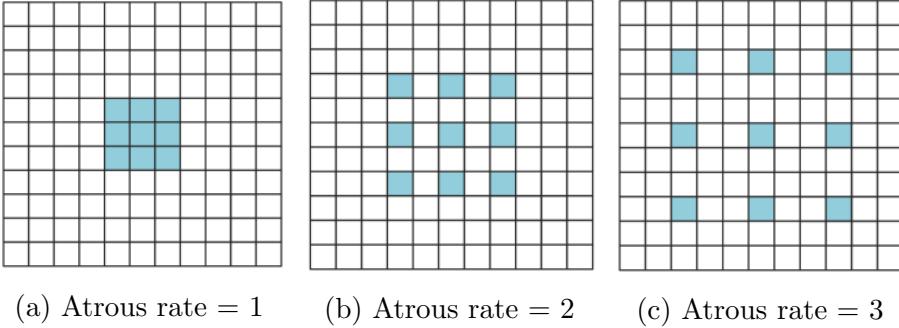


Figure 4.1: Illustration of  $3 \times 3$  atrous convolution with three different atrous rates [17]. The blue squares denote the parameters of the convolution filter. The white grid space represents the input feature map. The field-of-view grows with increase in atrous rate but not the number of parameters.

convolved region in the input feature map changes. Standard convolution is atrous convolution with atrous rate 1. The Figure 4.1 illustrates atrous convolution.

Fully-connected Conditional Random Fields (CRF), is used to post-process the prediction of the segmentation network used in DeepLab, to improve object delineation. Every pixel in the output feature map is connected to every other pixel resulting in pairwise terms. In each pairwise term, based on color and position, the similarity between pixels is determined and a class is assigned for the pixels.

## 4.2 DeepLabv2

In DeepLabv2, Atrous Spatial Pyramid Pooling (ASPP) was used in addition to the existing architecture. The authors also use deeper ResNet network to improve accuracy.

Atrous Spatial Pyramid Pooling, is used to create multiscale feature representations. Atrous convolutions with different atrous rates are applied to the same feature map. The resulting feature maps from each atrous convolution is processed in separate branches in a similar fashion as in DeepLabv1 by using two  $1 \times 1$  convolutions. Each of the branches is then fused together to obtain multiscale information. The ASPP module is illustrated in Figure 4.2. The difference between the ASPP modules of DeepLabv1 and DeepLabv2 is illustrated in Figure 4.3.

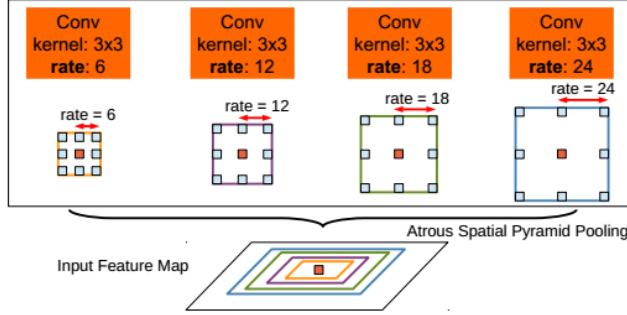


Figure 4.2: Illustration of Atrous Spatial Pyramid Pooling (ASPP). Atrous convolutions with 4 different rates convolve on the same input feature map. The field-of-view of each atrous rate is shown using different colors [9].

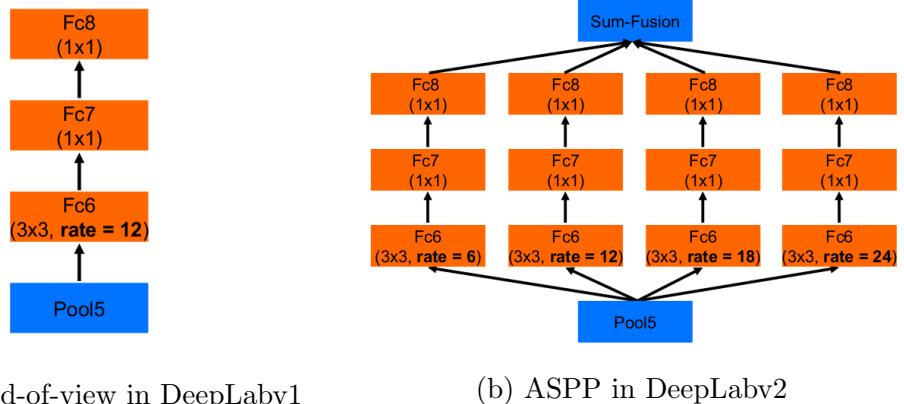


Figure 4.3: Illustration of the difference in architecture between DeepLabv1 and DeepLabv2 [9].

### 4.3 DeepLabv3

In this version of DeepLab, the contributions include improvements to the context module and the use of batch normalization. Batch Normalization is applied to every layer in the context module, and the parameters of the batch normalization layers are trained.

The authors experiment with two different modules to handle context one being a cascade module and the other being an improved version of the ASPP module. The cascade module is formed by repeating the last block from ResNet and replacing convolutions with atrous convolutions. The authors report that

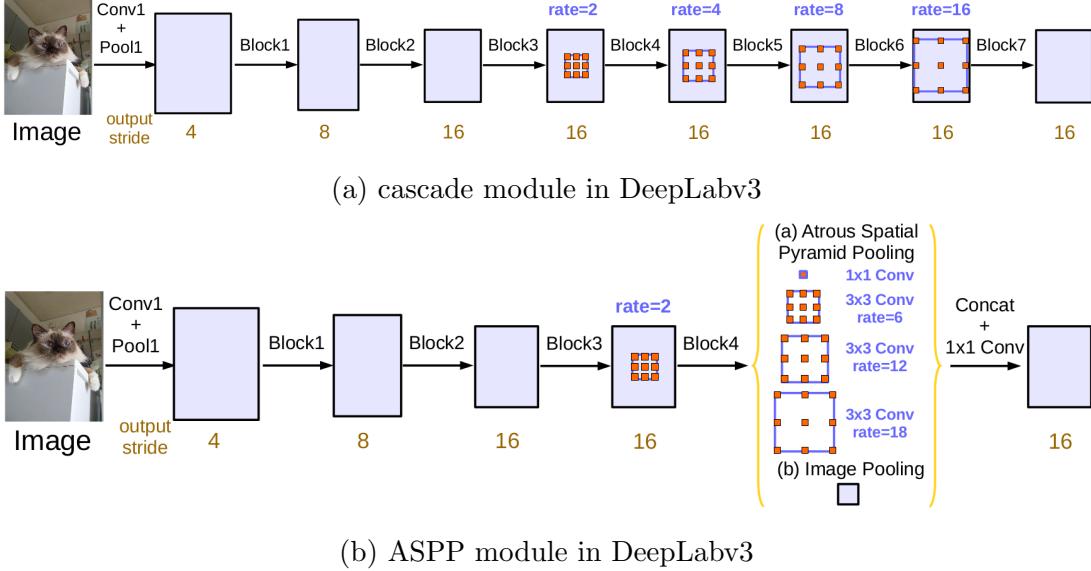


Figure 4.4: Illustration of two different context modules used in deepLabv3 [10].

performing this repetition up to three times improves performance. The cascade module is illustrated in Figure 4.4a. The ASPP module used in DeepLabv3 is similar to the one used in DeepLabv2. However, the difference now is that the ASPP module uses five branches. The first four branches perform  $1 \times 1$  convolution, and three  $3 \times 3$  convolutions with atrous rates 6, 12 and 18. The 5th branch provides image level features by performing global average pooling on the last feature maps of the model. The resulting channels are concatenated and projected to a different channel space using  $1 \times 1$  convolution.

#### 4.4 DeepLabv3+

DeepLabv3+ is designed to combine the ability of ASPP module which can capture rich context information and the ability of encoder-decoder networks which can produce sharp object boundary delineation. Xception, MobileNetv2, and ResNet-101 are used as encoders out of which this project only considers Xception and MobileNetv2 for their resource efficiency. The significant differences in DeepLabv3+ are the use of a decoder, the use of atrous separable convolutions in both the encoder and decoder and the adaption of MobileNetv2 and Xception as network backbones (encoders).

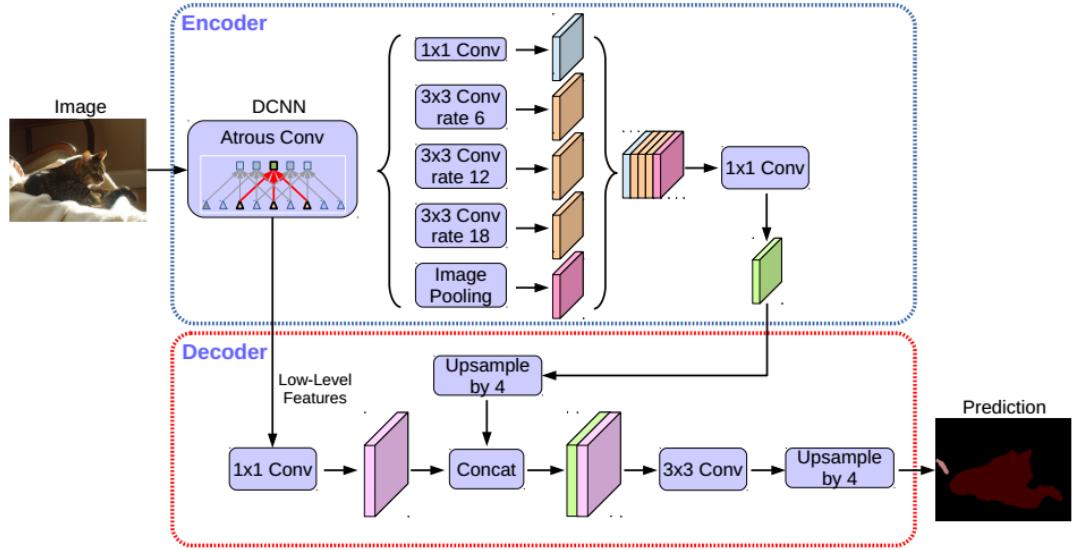


Figure 4.5: An illustration of DeepLabv3+ architecture. The encoder extracts features at different scales and the decoder refines object boundary delineation [11].

The authors call the ratio of input resolution to the output resolution before global average pooling as the output stride. DeepLabv3 is designed to have an output stride of 16. In order to obtain a prediction which is of the same spatial size as the input image, upsampling the encoder feature maps by a factor of 16 using bilinear interpolation is done. The authors consider this method of upsampling as a naive decoder module. Instead of this naive approach, the authors propose the use of a better decoder module. The final encoder features are first upsampled by a factor of 4 and are concatenated with the low-level features from the encoder with same dimensions. The number of channels in the low-level features is first reduced using a  $1 \times 1$  convolution. A  $3 \times 3$  convolution convolves over this concatenated features to refine the features and is later followed by an upsampling of 4 to lead to the final prediction. The architecture of DeepLabv3+ is depicted in Figure 4.5.

The MobileNetv2 and Xception encoders make use of depthwise separable convolutions to improve resource efficiency. Section 4.5 and Section 4.6 provide details regarding MobileNetv2 and Xception architectures respectively. In DeepLabv3+, the authors use atrous convolution instead of depthwise convolution in depthwise separable convolution. The authors call this type of convolution as atrous separa-

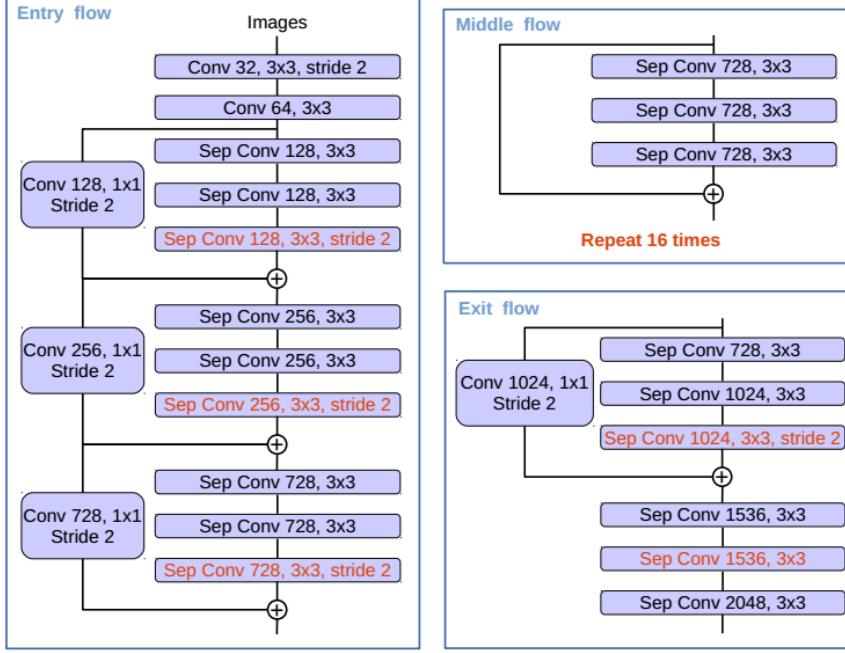


Figure 4.6: Modified Xception architecture used as encoder in DeepLabv3+. The max pooling operations in the original Xception architecture are replaced with depthwise separable convolutions. Batch normalization and ReLU activation is applied after each  $3 \times 3$  depthwise convolution [11].

ble convolution and state that these convolutions can be used to extract feature maps at arbitrary resolution. The authors use a modified version of the Xception architecture as depicted in Figure 4.6 as one of the network backbones.

## 4.5 MobileNetv2

The MobileNetv2 architecture is designed to work on mobile and embedded devices where computational resources are limited. The authors state that their main contribution is the use of a novel layer module called the inverted residual with linear bottleneck.

Depthwise separable convolutions, known for its efficiency, is used in this work. Standard convolution layers are replaced with two layers where the first layer performs depthwise convolution and the second layer performs pointwise convolution. A depthwise convolution layer uses a single filter per input channel to perform convolution. The pointwise convolution layer consists of  $1 \times 1$  convolutions

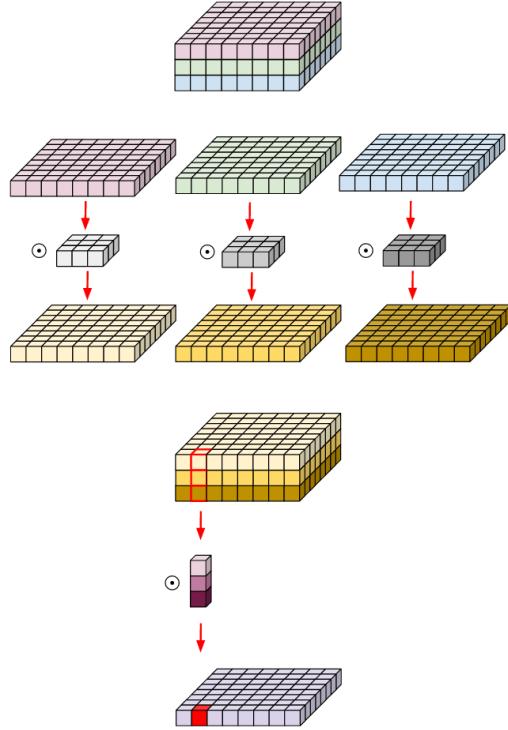


Figure 4.7: This figure shows an illustration of depthwise separable convolution with 3 input channels and 1 output channel. The first row shows the three input channels. The next three rows illustrate three separate  $3 \times 3$  convolutions convolving over one of the input channels. The fifth row shows the resulting feature maps of the three convolutions being stacked upon each other. Rows 2 to 5 together is the depthwise convolution. The sixth row shows a pointwise convolution convolving over the output of depthwise convolution to get the final output channel [6].

which perform weighted linear combination of the output channels of the depthwise convolution layer and projects them to a new channel space. This factorization of standard convolution layer into two separate depthwise and pointwise layers leads to roughly  $k^2$  times reduction in computation cost where  $k$  is the kernel size of the convolutional filter. Figure 4.7 illustrates depthwise convolution. In this case, pointwise convolution performs dimensionality reduction as the number of output channels is less than the number of input channels. However, if more than 3 pointwise convolutions are used, the dimensionality of output channels can be increased.

In the original residual block [19], first a  $1 \times 1$  convolution is used to reduce the

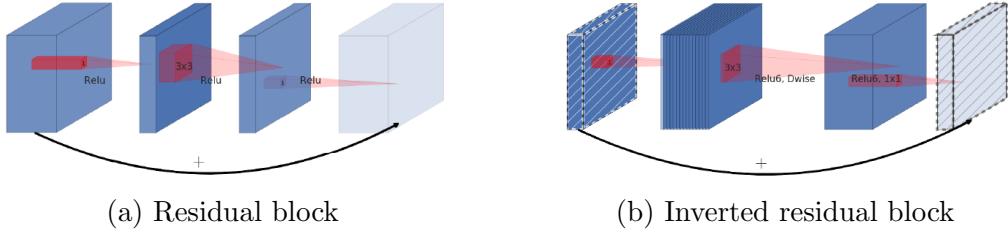


Figure 4.8: Illustration of (a) the original residual block, (b) the inverted residual block used by MobileNetv2 [33].

number of channels. On this reduced number of channels  $3 \times 3$  standard convolution is done which is followed by a  $1 \times 1$  convolution which now expands the feature maps to have the same number of channels as the input to the block. A skip connection is then introduced through which the input channels is added to the output channels of the residual block. The skip connection provides a layer with access to earlier activations and also helps prevent the vanishing gradients problem.

The authors of MobileNetv2 propose the use of inverted residual block which takes advantage of depthwise separable convolutions. This block consists of a narrow bottleneck layer followed by a  $1 \times 1$  convolution which performs expansion of the number of channels. Depthwise convolution is performed on the expanded input channels followed by a pointwise convolution which brings down the number of channels to create the next bottleneck layer. A skip connection is then added between the input bottleneck and the output bottleneck. The original and inverted residual blocks are depicted in Figure 4.8. The authors use linear activation in the bottleneck layers and hypothesize that it is better than nonlinear activation.

This hypothesis is based on the notion that the ReLU activation layer used, leads to information loss due to loss of values less than 0. However, loss of too much information due to the induced nonlinearity by the activation can be prevented by the use of linear activation in the bottleneck layer. The authors show that a linear activation is empirically better than a nonlinear activation in the bottleneck layer.

The inverted residual block has less number of parameters than the residual block and with linear bottleneck is shown to achieve state of the art performance. The inverted residual block is visualized in the architecture of MobileNetv2 in Figure 4.9.

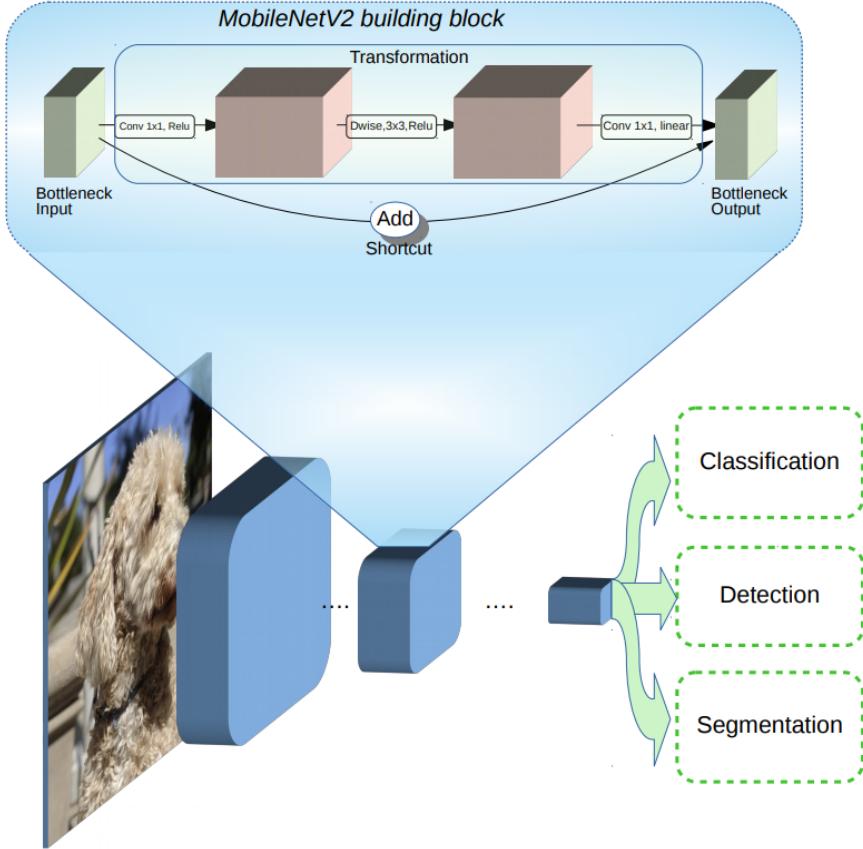


Figure 4.9: The building block of MobileNetv2. The compressed representation obtained could be used for tasks such as image classification, object detection and semantic segmentation [32].

## 4.6 Xception

The Xception architecture is derived based on two hypothesis made by the authors. One hypothesis is based on the inception architecture called the inception hypothesis and the other is a stronger version of this inception hypothesis. Standard convolution layers have 3D convolution kernels which map both spatial correlations and cross-channel correlations at the same time. Here spatial correlations are obtained by mapping correlations across the height and the width of every channel separately. Cross-channel correlations are obtained at every spatial location across the height and width of the channels, from each of the channels in the input.

In the Inception architecture, an inception module consists of 4 branches

operating on the same input feature maps. The different branches of the inceptionv3 module are shown in Figure 4.10a. In the second branch with  $1 \times 1$  convolution followed by a  $3 \times 3$  convolution, the  $1 \times 1$  convolution calculates the cross-channel correlation between the input channels and performs dimensionality reduction by reducing the number of input channels. Next, the  $3 \times 3$  convolution is a standard convolution which looks for both spatial and cross-channel correlations in this reduced input channel space. Since this inception module has produced state of the art classification results, the authors hypothesize that the cross-channel correlations and spatial correlations are decoupled and can be mapped separately. This is evidently based on the fact that the inception module partially handles spatial and cross-channel correlations in a decoupled fashion by using a  $1 \times 1$  convolution followed by a  $3 \times 3$  convolution.

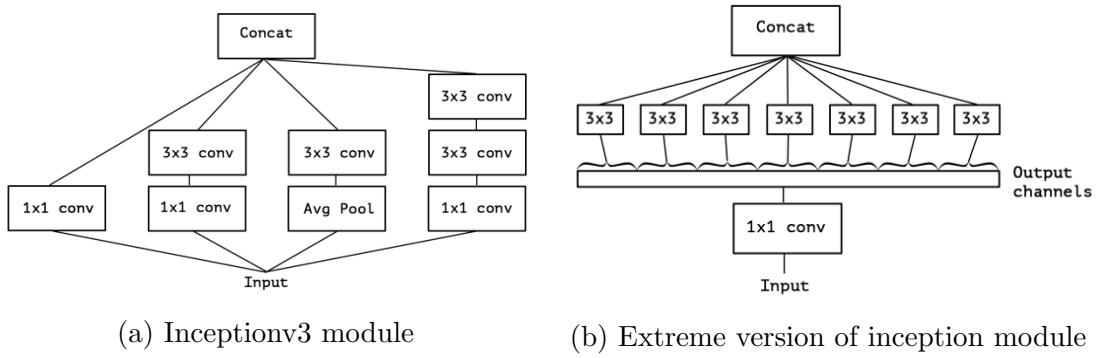


Figure 4.10: Illustration of the inception v3 module and an extreme version of the inception module. In the extreme version, each of the  $3 \times 3$  convolution operates on a single output channel of  $1 \times 1$  convolution [12].

Based on this hypothesis, the authors propose that the two correlations can be mapped entirely independent of each other leading to a stronger hypothesis. An illustration of this stronger hypothesis can be seen in Figure 4.10b. The Xception network is based on this stronger hypothesis and is named "Xception" as the architecture is an extreme version of inception. The complete decoupling of the two correlations could be obtained using depthwise separable convolution, as evidently, this type of convolution first performs depthwise convolution which handles the spatial correlation and then performs pointwise convolution which handles cross-channel correlation.

The authors show that the use of depthwise separable convolutions enables the Xception architecture to achieve a marginally better top-1 and top-5 accuracy than the Inception V3 architecture despite the fact that Xception has less number of parameters. This experimental observation suggests that Xception is resource efficient and is also powerful in terms of "learning capacity."

## 4.7 Quantization

This work makes use of the quantization method available in tensorflow source repository <sup>1</sup>. "eightbit" mode is used to obtain a quantized tensorflow inference graph. The blog in [39] describes this quantization method.

Neural networks, in general, learn features which are robust to noise in the input image such as changes in illumination. As an extension, it is possible to speculate that loss of precision induced by low-precision calculations is ignored by a neural network as another source of noise. High precision calculation, though necessary to handle gradient propagation during training, might not be necessary during inference. Quantizing neural network calculations to low-precision could, therefore, be a way to reduce computation cost without much loss in accuracy in order to facilitate usage on an embedded device. More specifically, quantizing calculations to eight-bit calculations would make a neural network faster and reduce storage requirements on embedded devices.

The quantization algorithm replaces common operations such as convolution, activation functions and pooling operations with equivalent quantized operations. The input data is converted from float to eight-bit precision by adding a subgraph as illustrated in Figure 4.11b. The algorithm also identifies sequences of quantized operations and removes subgraphs which perform redundant conversion as illustrated in Figure 4.11a. The overall maximum and minimum possible values of weights and activation tensors are represented by the lowest and highest quantized values respectively which is 0 and 255 for eight bit. The rest of the values of the tensors are then represented within the range of eight bits. This format of quantization brings certain advantages such as the ability to represent arbitrary ranges and negative numbers, and the linear spread of values facilitates multiplication.

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<sup>1</sup>[https://github.com/tensorflow/tensorflow/blob/master/tensorflow/tools/quantization/quantize\\_graph.py](https://github.com/tensorflow/tensorflow/blob/master/tensorflow/tools/quantization/quantize_graph.py)

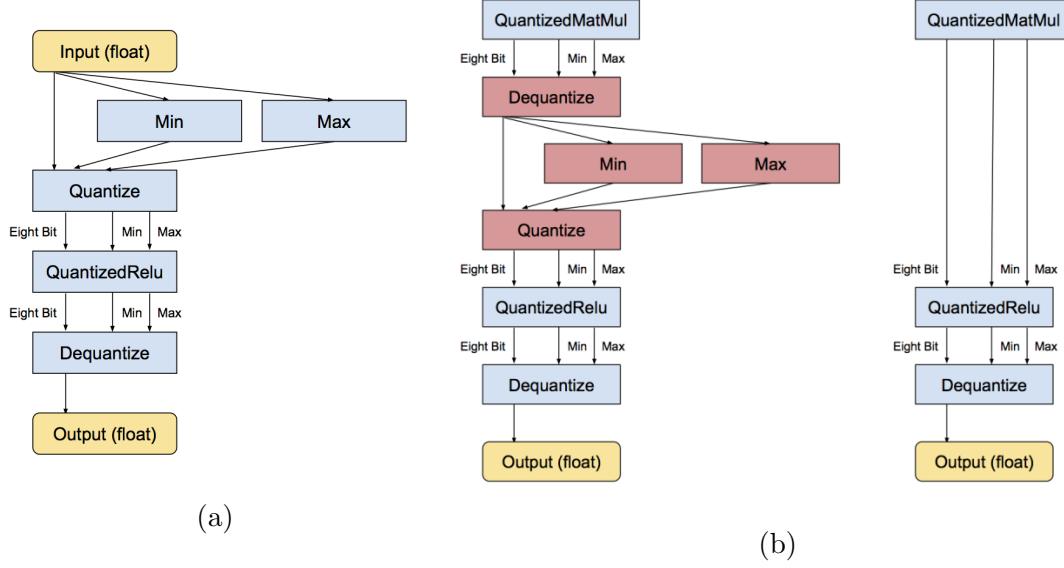


Figure 4.11: This figure shows illustrations of the quantization procedure available in tensorflow source. (a) Replacing an operation with a subgraph which performs quantization, corresponding quantized operation and dequantization. (b) The figure on the left shows a redundant subgraph (indicated in red) in between two quantized operations and the figure on the right shows the graph obtained after removing the redundant subgraph [39].

The minimum and maximum values of a weight matrix are determined by the "QuantizeDownAndShrinkRange" operator which analyzes and rescales the weight matrix to eight-bit in a manner that the range of eight bit values is used effectively.

# 5

## Dataset creation

### 5.1 Overview of the dataset

Since semantic segmentation using deep learning is framed as a pixel-wise classification task, an image of dimensions  $H \times W \times C$  requires a ground truth of dimensions  $H \times W$ , where  $H$  and  $W$  are the height and width of the image in the dataset having  $C$  number of channels.

The scope of the dataset is to include objects associated to RoboCup @Work. The selected 18 objects are shown in Figure 5.1.

A total of 30 images were taken for each object by placing them individually on 3 different backgrounds. This lead to a total of 540 images which were to be manually labeled. Since every pixel of the images needs to be labeled, the process of manual annotation would be time-consuming. Therefore, a decision was made to first annotate the 540 images and later decide whether more images could be taken based on the effort required for annotation.

Details regarding the labeling tools and the description of the labeling process can be found in Appendix A.



Figure 5.1: 18 different objects required in the dataset.

## 5.2 Artificial image generation algorithm

### 5.2.1 Motivation

- Manually labeling 540 images with the described labeling process in Appendix A takes roughly 2160 minutes (roughly 4 minutes per image) which is equivalent to around 4 working days. Hence, creating a large dataset with manual labeling is not feasible.
- Taking images in a variety of real-world backgrounds is also time-consuming.
- Labeling images with multiple objects would take an even longer time.

These drawbacks could be overcome by randomly placing objects on a variety of different background images automatically. A new code was written as part of this work to generate such artificial images and is called the artificial image generation algorithm.

### 5.2.2 Process of artificial image generation

The artificial image generation algorithm requires that every image provided must have just one object. The algorithm can be used in two modes named "Generate artificial images" and "Save visuals." The first mode can be used to generate artificial images and if required save visualizations of labels. The second mode can be used to save visualizations of labels alone. Under the first mode, the entire process can be divided into 7 broad steps:

- 1 **External interface:** An interface to obtain possible parameters to control the generation process. These parameters are obtained through argparse command line and are called generator options.
- 2 **Get backgrounds and data:** Fetch all the backgrounds, images and corresponding labels from the provided background, image and label paths respectively. First, all the images in the backgrounds path are read. Then, all available images in the label path is read and the corresponding image files

in the provided image path is read. The images in the image path must have the same name as that of the corresponding labels but can be of a different format. The default image format is ".jpg."

- 3 **Get object details:** Fetch details regarding every object and its different scales. The details include information regarding object locations in an image, object values, label values, the object name, points in pixel space denoting a bounding rectangle around the object, and object area. The scales for every object is determined at random. Scaled objects which are too small or too big as determined by generator options are removed.
- 4 **Generate augmenter list:** Every element in the augmenter list denotes an artificial image and contains information including the chosen background image, the number of objects to place in the artificial image, which objects from the object details list are selected, and locations in pixel space where the selected objects need to be placed. In this stage, elements which are cluttered with too many objects are removed as determined by the generator options.
- 5 **Generate artificial images:** Based on every element in the augmenter list, artificial images and corresponding labels are generated. Every element is taken one by one. The selected objects are placed on the selected background in the corresponding specified location, one by one. The resultant artificial image and semantic label are saved in the directory specified using generator options. Additionally, object detection labels, semantic masks, and visualization previews can be saved by configuring generator options.
- 6 **Visualize results:** The generated images and labels are visualized to verify the generation process.
- 7 **Save results:** The obtained resultant artificial images, corresponding labels, and generated visualizations are saved.

Under the second mode, steps 3 to 6 in the above process is skipped. Step 2 fetches also the object detection labels in addition to the image and semantic

labels. Step 7 saves the visualizations of the read image, semantic labels and object detection labels.

### 5.2.3 Generator options

A number of arguments can be configured to control the generation process. The configuration of generator options is possible through the command line. Description of the generator options is provided in Table 5.1 and further details can be found in Appendix A.

### 5.2.4 Sample results

Sample results of the artificial image generation algorithm can be seen in Figure 5.2. These images are generated using different backgrounds and hence the dataset is referred to as the variety of backgrounds dataset. The bounding box in the label visualization image represents the object detection label, and the different colors of the segmentation labels denote different label values. The colors were chosen in such a way that they are as distinct from each other as possible [37].

### 5.2.5 Downloading background images

Different background images were used for the artificial image generation process. Since a large number of backgrounds were required, manual download was time-consuming. Hence, the "google-images-download" [38] script was used to auto download images. The search keywords used to obtain the background images are listed in Appendix A. From the downloaded images, the required number of images for each dataset split were selected. For the white backgrounds dataset (described in Section 5.3.3), many different search keywords were tried because many of the downloaded images did not contain sufficient white regions. Images which do not have a spatial resolution of  $480 \times 640$  were rescaled to this resolution.

Generator options	Description
<b>mode</b>	1: Generate artificial images; 2: Save visuals.
<b>image_dimension</b>	Dimension of the real images.
<b>num_scales</b>	Number of scales including original object scale.
<b>backgrounds_path</b>	Path to directory where the background images are located.
<b>image_path</b>	Path to directory where real images are located.
<b>label_path</b>	Path to directory where labels are located.
<b>obj_det_label_path</b>	Path to directory where the object detection csv labels are located.
<b>real_img_type</b>	The format of the real image.
<b>min_obj_area</b>	Minimum area in percentage allowed for an object in image space.
<b>max_obj_area</b>	Maximum area in percentage allowed for an object in image space.
<b>save_label_preview</b>	Save image+label in a single image for preview.
<b>save_obj_det_label</b>	Save object detection labels in csv files.
<b>save_mask</b>	Save images showing the segmentation mask.
<b>save_overlay</b>	Save segmentation label overlaid on image.
<b>overlay_opacity</b>	Opacity of the label on the overlaid image.
<b>image_save_path</b>	Path where the generated artificial image needs to be saved.
<b>label_save_path</b>	Path where the generated segmentation label needs to be saved.
<b>preview_save_path</b>	Path where preview image needs to be saved.
<b>obj_det_save_path</b>	Path where object detection labels needs to be saved.
<b>mask_save_path</b>	Path where segmentation masks needs to be saved.
<b>overlay_save_path</b>	Path where overlaid images needs to be saved.
<b>start_index</b>	Index from which image and label names should start.
<b>name_format</b>	The format for image file names.
<b>remove_clutter</b>	Remove images cluttered with objects.
<b>num_images</b>	Number of artificial images to generate.
<b>max_objects</b>	Maximum number of objects allowed in an image.
<b>num_regenerate</b>	Number of regeneration attempts of removed object details.
<b>min_distance</b>	Minimum pixel distance required between two objects.
<b>max_occupied_area</b>	Maximum object occupancy area allowed.
<b>scale_ranges</b>	Can be used to change the zoom range of specific objects.

Table 5.1: Description of generator options.

## 5.2. Artificial image generation algorithm



Figure 5.2: Sample results produced by the artificial image generation algorithm for the variety of backgrounds dataset. In each row, the image on the left shows the generated artificial image and the image on the right shows a visualization of the semantic segmentation label and object detection label. At the top of every label visualization image, the objects in the image and their corresponding colors in the visualization are indicated.

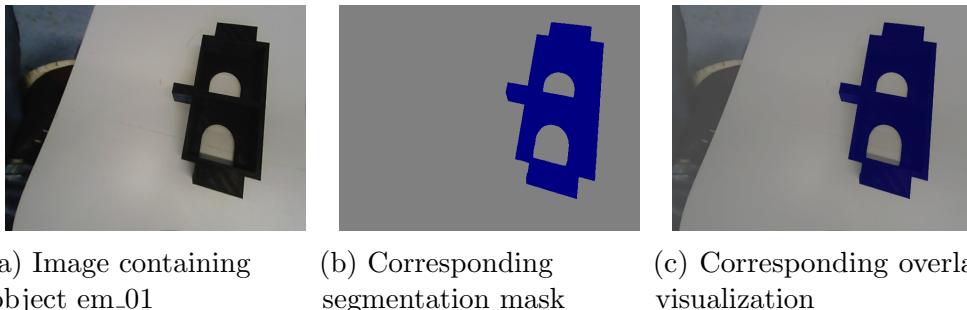


Figure 5.3: This figure shows examples of two different types of visualization, "mask" and "overlay". The third type "preview" can be seen in figure 5.2

### 5.2.6 Notable features of the artificial image generator

In this section, certain features of the artificial image generator which are noteworthy are listed.

- The generator automatically creates object detection labels in addition to semantic labels. The object detection labels are obtained by finding the rectangle points which describe a bounding rectangle around the semantic labels.
- The generated artificial images and labels can be visualized in three different ways. A preview which shows the image alongside the generated labels. A mask image which shows the different classes as different colors. An overlay image in which the generated labels are overlaid on top of the corresponding generated images. The opacity of overlay can be configured through generator options. Examples of visualizations can be seen in Figure 5.3 and in Figure 5.2.

### 5.2.7 Artificial images for each dataset split

The real images are split into training, validation and test sets. Real images in each of these sets are used to generate artificial images for the corresponding set. This ensures that the final training, validation and test sets are different from each other. The default scale range of [0.24, 1.2] is retained for all objects except

for the "distance\_tube." The scale range of "distance\_tube" was set to [1.1, 2.0] for generating training artificial images and to [0.6, 1.2] for generating validation and test artificial images. These changes in scale range of "distance\_tube" is done because the lower limit of 0.24 in the default scale range zooms down "distance\_tube" in a manner that it is no longer perceivable.

### 5.3 Creation of dataset variants

Different variants of the dataset are created based on the properties of the objects in the dataset, and the type of background images used for the generation of artificial images.

#### 5.3.1 Motivation

Looking into the objects present in the dataset, it is apparent that some objects are similar in certain aspects. For instance, the objects "m20" and "m30" are very similar to each other except that "m30" is bigger in size and has a slightly different color. Because of the similarities existing among objects, the segmentation model could face certain difficulties as listed below:

- **Inability to distinguish size:** The segmentation model is given no information regarding the positions of the camera or the object in the real-world. If camera extrinsic calibration information is available to the segmentation model, the model could learn to distinguish different sizes. However, such information is not available. Also, the objects in the artificial images are randomly scaled to different sizes thereby removing any size related information available.
- **Inability to distinguish subtle variations in color:** The real images were taken under different lighting conditions. As a result, there is no consistent difference in color information available between classes which makes it difficult for the segmentation model to learn patterns in color information.
- **Inability to distinguish shapes:** Certain objects are closely related to each other in terms of shape. For instance, "bearing\_box\_ax16" and "bearing\_box\_ax01" are similar in shape except in a few viewpoints as illustrated

in Figure 5.4 and in Figure 5.5. In such cases, in certain viewpoints, the segmentation model would not be able to distinguish between similarly shaped objects.



(a) A viewpoint of bearing box ax01



(b) A viewpoint of bearing box ax16

Figure 5.4: A viewpoint of "bearing\_box\_ax01" and "bearing\_box\_ax16" where the difference in shapes between the two objects is clearly visible.



(a) A viewpoint of bearing box ax01



(b) A viewpoint of bearing box ax16

Figure 5.5: A viewpoint of "bearing\_box\_ax01" and "bearing\_box\_ax16" where they appear similar in shape.

### 5.3.2 Dataset variants

Four different dataset variants have been created as listed below:

- **atWork\_full**: This variant has different label values for all the 18 objects in the dataset and an additional label value for the "background" class. The total number of classes is 19 including "background" and the label values range from 0 to 18. The different classes in this variant along with their label values are listed in Table 5.2.
- **atWork\_size\_invariant**: In this variant, objects which are similar to each other in terms of shape but differ in size are combined together into one

class. On this regard, "f20\_20\_B" and "s40\_40\_B" are combined and named "f\_s20\_40\_20\_40\_B". Similarly, "f20\_20\_G" and "s40\_40\_G" are combined and named "f\_s20\_40\_20\_40\_G". "m20" and "m30" are combined and named "m20\_30". The two bearing boxes, "bearing\_box\_ax01" and "bearing\_box\_ax16" are also combined as they are similar to each other in certain viewpoints. They form the new class "bearing\_box". The objects in this variant along with their label values are listed in Table 5.2. This variant is named "atWork\_size\_invariant" as in this variant, the major change deals with the ignorance of the size of the objects as distinguishing information.

- **atWork\_similar\_shapes:** In the previous variant "atWork\_size\_invariant", objects similar in terms of shape but different in terms of color were treated as separate classes. In this variant, variation in terms of color is also ignored. In addition to the previous variant, "f\_s20\_40\_20\_40\_B" and "f\_s20\_40\_20\_40\_G" are combined and named "f\_s20\_40\_20\_40\_B\_G". The container boxes, "container\_box\_red" and "container\_box\_blue" were also combined to form the new class "container." This variant is named "atWork\_similar\_shapes" as objects with similar shapes are given equal label values. Details regarding this variant are listed in Table 5.2.
- **atWork\_binary:** An interesting question would be, "how would a segmentation model perform when it is just tasked with segmenting foreground from background". To address this question, an additional variant is created called "atWork\_binary" where all the objects of interest are combined to form the "foreground" class with label value 1. The "background" class retains its label value of 0. The classes in this variant are listed in Table 5.2.

### 5.3.3 White backgrounds dataset

The backgrounds used for the artificial image generation process are images with a variety of different colors, textures and so on. In essence, the background images do not seem to follow any pattern as such. As a result, the generated artificial images are unlikely to be similar to an image taken by an atWork robot. In order to address this, the background images used in the artificial image generation process

Label Value	atWork_full objects	atWork_size_invariant objects	atWork_similar_shapes objects	atWork_binary objects
0	background	background	background	background
1	f20_20_B	f_s20_40_20_40_B	f_s20_40_20_40_B_G	foreground
2	s40_40_B	f_s20_40_20_40_G	m20_100	-
3	f20_20_G	m20_100	m20_30	-
4	s40_40_G	m20_30	r20	-
5	m20_100	r20	bearing_box	-
6	m20	bearing_box	bearing	-
7	m30	bearing	axis	-
8	r20	axis	distance_tube	-
9	bearing_box_ax01	distance_tube	motor	-
10	bearing	motor	container	-
11	axis	container_box_blue	em_01	-
12	distance_tube	container_box_red	em_02	-
13	motor	em_01	-	-
14	container_box_blue	em_02	-	-
15	container_box_red	-	-	-
16	bearing_box_ax16	-	-	-
17	em_01	-	-	-
18	em_02	-	-	-

Table 5.2: This table lists the objects in each variant and its corresponding label value.

are all replaced by images which mostly contain shades of white color in them. With this as the only change, the entire artificial image generation process and variant creation process is repeated to arrive at a new dataset which is named as "white backgrounds dataset." Sample visualizations of artificial images generated for this dataset can be seen in Figure 5.6.

## 5.4 Data analysis

All the variants of the dataset are analyzed in terms of the pixels occupied by each class in percentage and the number of images in which each class appears in the training set of the variety of backgrounds dataset. This analysis provides insights regarding whether or not all the objects are represented equally by the

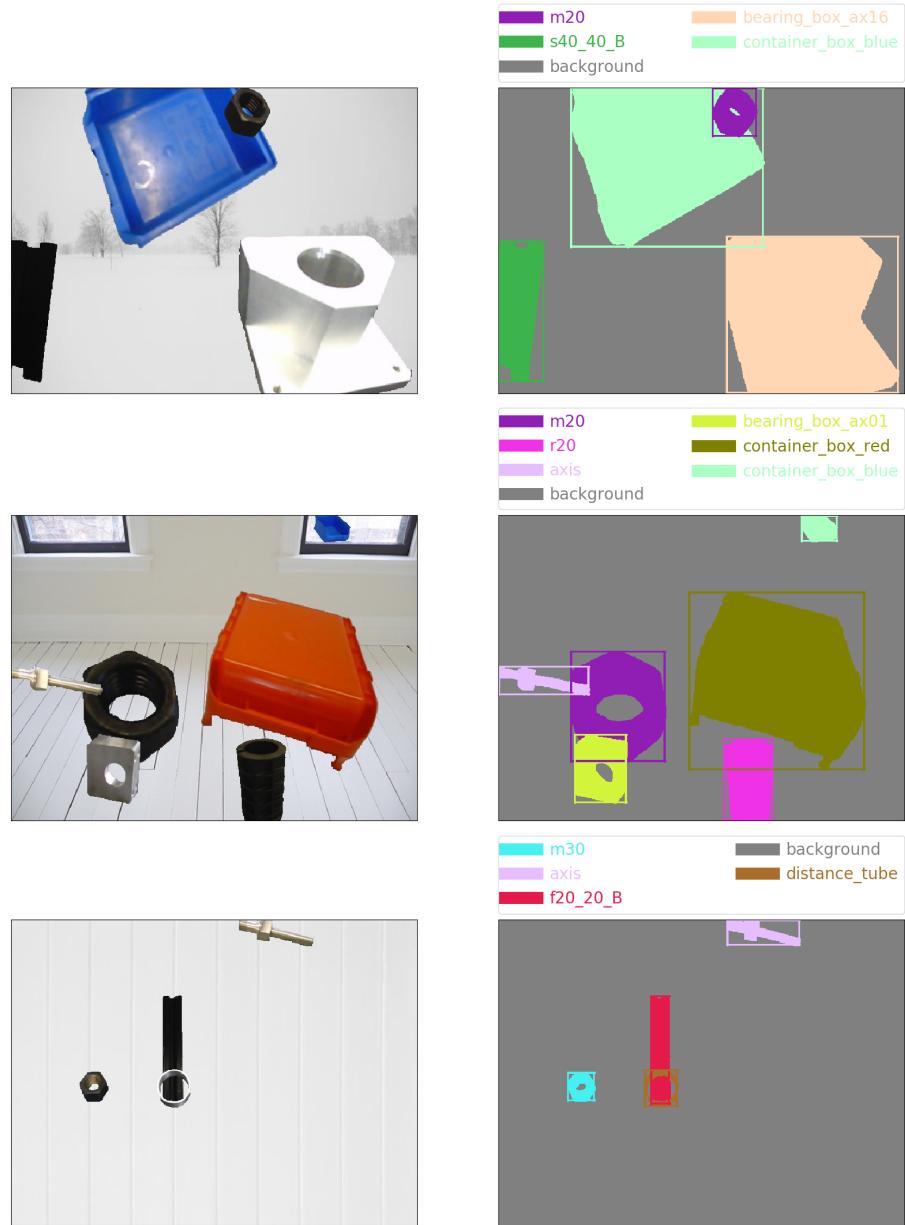


Figure 5.6: Sample results produced by the artificial image generation algorithm for the white backgrounds dataset. In each row, the image on the left shows the generated artificial image and the image on the right shows a visualization of the semantic segmentation label and object detection label. At the top of every label visualization image, the objects in the image and their corresponding colors in the visualization are indicated

dataset. If an object occupies pixels which is significantly greater than the pixels occupied by all other objects, this object can be considered to dominate the dataset. Intuitively, a segmentation model would favor a dominant object as classifying this object correctly would lead to a faster minimization of the loss function used by the model. On this regard, sorting objects in terms of their dominance in the dataset would help provide clues to why a segmentation model segments some objects better than others.

### 5.4.1 Surface area of the objects

In order to comprehend the reasons as to why an object constitutes a certain percentage of pixels in the training set, the surface area of the objects in the real world could be considered. It is natural to assume that the percentage of pixels occupied by the objects is roughly proportional to the surface area of the object. The surface area of all the objects in the dataset is obtained using 3D CAD models available in the atWork Github repositories [1] and [2]. The surface areas are listed in Table 5.3.

### 5.4.2 Percentage of pixels and class count

The total pixels occupied by each class in the training set is calculated. Next, this count of pixels is converted to percentage with respect to the total number of pixels in the corresponding dataset split and is called percentage of pixels. Also, the number of images in which each class of objects appears is counted and called class count. The lower plot in Figure 5.8a shows normalized values of surface area, and percentage of pixels of objects in the atWork\_full variant plotted as histograms. The bars are arranged in the increasing order of surface area. The percentage of pixels also seems to increase along with surface area but is not consistent. However, when every 3 classes are combined starting from "distance\_tube", and plotted separately shown in the upper plot of 5.8a, an apparent pattern appears which shows an increasing trend followed by the percentage of pixels in proportion to surface area. A similar inference could be made for atWork\_size\_invariant and atWork\_similar\_shapes variant from plots in Figure 5.8b and Figure 5.8c respectively.

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Object name	Surface area ( $cm^2$ )	Percentage of pixels (%)	Class count
f20_20_B	158.36	0.2948	1126
s40_40_B	426.77	0.4218	1118
f20_20_G	158.36	0.2683	1177
s40_40_G	426.77	0.896	1245
m20_100	90.00	0.4577	1173
m20	37.59	0.2981	1120
m30	83.84	0.2921	1087
r20	80.82	0.3648	1117
bearing_box_ax01	114.83	0.8653	1369
bearing	59.51	0.2526	1195
axis	67.30	0.4214	1190
distance_tube	21.39	0.2321	1028
motor	112.31	0.486	1079
container_box_blue	1244.50	2.6529	1680
container_box_red	1244.50	2.2299	1375
bearing_box_ax16	106.38	0.5657	1313
em_01	372.85	1.224	1271
em_02	544.54	1.5624	1261

Table 5.3: This table lists the surface area occupied by all the 18 objects and their corresponding percentage of pixels and class count on the atWork\_full variant of the variety of backgrounds dataset.

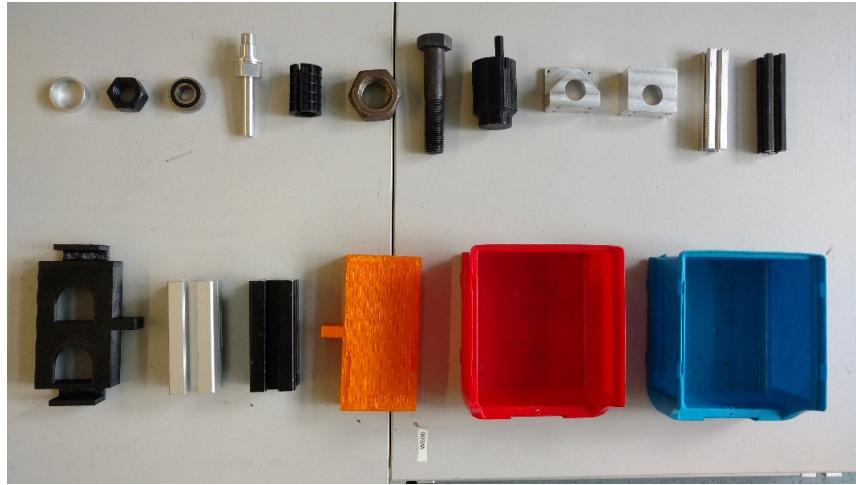


Figure 5.7: This figure shows all the 18 objects in the dataset arranged in increasing order of surface area from top left to bottom right. First row from left: "distance\\_tube", "m20", "bearing", "axis", "r20", "m30", "m20\\_100", "motor", "bearing\\_box\\_ax16", "bearing\\_box\\_ax01", "f20\\_20\\_B", "f20\\_20\\_G". Second row from left: "em\\_01", "s40\\_40\\_B", "s40\\_40\\_G", "em\\_02", "container\\_box\\_red", "container\\_box\\_blue".

An indirect reason for this apparent increase in the percentage of pixels in proportion to the surface area could be attributed to the generator options used in the artificial image generation algorithm. The artificial image generator ignores scaled objects which are too small and attempts to generate objects which are bigger. This is done to avoid objects which tend to be not visible in the produced artificial images. As a result, objects which are bigger are more likely to survive the artificial image generation process and appear more in the dataset.

An important inference from this data analysis is that a segmentation model is likely to ignore smaller objects such as "distance\\_tube" and is likely to learn bigger objects such as "container\\_box\\_red" better.

## 5.5 Meta-data of the dataset

Meta-data of the dataset is provided in Table 5.4. These numbers hold true for all four dataset variants and also for the white backgrounds dataset. Initially, 30 images were captured for each of the 18 objects leading to a total of 540 images. However, 1 image of "axis" object and 2 images of "s40\\_40\\_B" were removed as

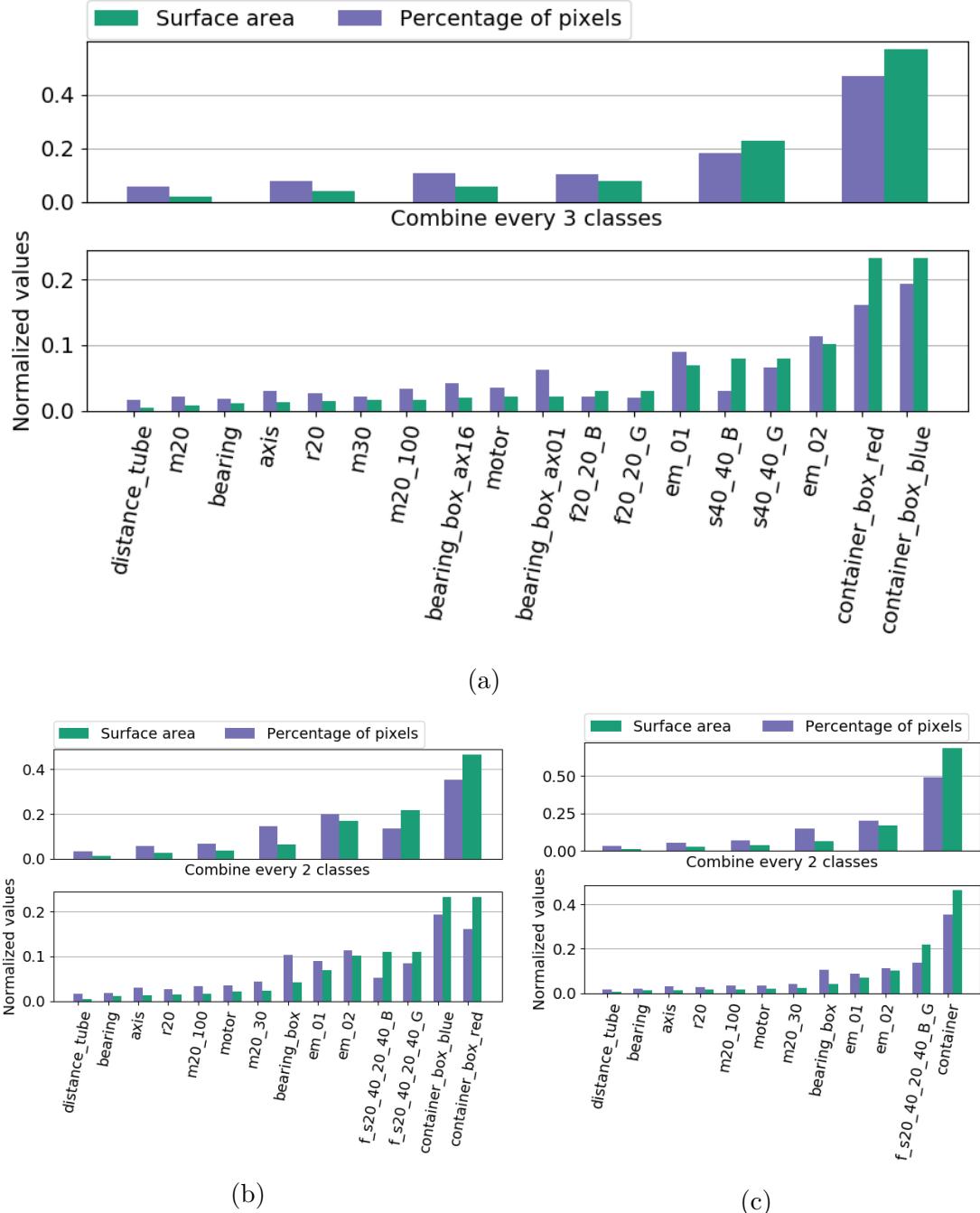


Figure 5.8: This plot shows that the percentage of pixels occupied by objects in the variety of backgrounds dataset is related to the real-world surface area of the objects. The plots are for different dataset variants: (a): atWork\_full variant, (b): atWork\_size\_invariant, and (c): atWork\_similar\_shapes.

	Training	Validation	Test
Real Images	22 per object. Total: $22 \times 18 = 396$	4 per object. Total: $4 \times 18 = 72$	”axis”=3; ”s40_40_B”=2; All other objects=4 Total: $(4 \times 16) + 3 + 2 = 69$
Artificial Images	7104	870	870
Total Images	7500	942	939

Table 5.4: Meta-data of all 4 variants of the variety of backgrounds and the white backgrounds dataset.

they were blurred.

## 5.6 Possible directions of improvement

Creating a custom dataset for the desired application is challenging. To overcome the time-consuming nature of creating annotations for semantic segmentation, choices such as placing just one object per image while taking real images and augmenting the objects on a random selection of diverse backgrounds, were made. This method of augmentation, although inspired by the dataset generation method used in [28] and the Synthia dataset [31], takes a different approach. Unlike [28], which uses 3D CAD models, this approach does not require any 3D models. Also, this approach does not require a virtual world as used by the Synthia dataset [31]. The following list provides possible directions of improvement:

- The ImageLabeler app by default saves the label ‘.png’ file with the name ‘Label\\_1.png’ in a folder called PixelLabelData. An automation script can be written and added to the ImageLabeler to provide options to save the label file in a way the user wants.
- Creating a way to replace all unlabeled pixels with the label value of ‘background’ from within the ImageLabeler would be helpful. For now, this is done by first exporting the label, then loading the label using OpenCV in python to replace 0 (value of unlabeled pixels) with 19 (value of ‘background’).
- The artificial image generation algorithm is written in python and is independent of the MATLAB ImageLabeler app. Instead, a way to start artificial image generation right from the ImageLabeler could be explored.

# 6

## Experimental Evaluation

Since the major contribution of this work is the creation of the dataset, the experiments are focused on validating the effectiveness of the dataset. This chapter is divided into 9 sections. Section 6.1 called "About the metrics" describes the different metrics used for evaluation. Section 6.2 called "Comparing dataset variants" compares the performance of DeepLabv3+ across the 4 variants of the dataset. Section 6.3 called "Comparing DeepLabv3+ backbones" compares the two network backbones MobileNetv2 and Xception of DeepLabv3+. Section 6.4 called "Training with different data" compares the performance of DeepLabv3+ on the real validation set when different training data combinations such as only training with real data and training with a combination of real and artificial data is used. Section 6.5 called "Comparing individual classes" compares the performance of DeepLabv3+ with MobileNetv2 network backbone on different classes of objects using confusion matrix and individual class IOUs. Section 6.6 called "Comparing learning rate policies" compares two different learning rate decay policies considered for this work. Section 6.7 called "Effects of class balancing" describes the attempt made to use a weighted loss function to prevent DeepLabv3+ model from favoring dominant classes. Section 6.8 called "Effects of quantizing the inference graph" looks into the differences between full precision models and corresponding low precision models. Section 6.9 called "Discussions" provides conclusions and further insights gained through the experiments.

## 6.1 About the metrics

The metrics used for evaluation are Mean Intersection Over Union (mIOU), inference time, number of parameters, floating point operations (FLOPS) and occupied disk memory.

- **mIOU:** Mean Intersection Over Union is an accuracy metric used for semantic segmentation. IOU of a particular class is the ratio between pixels shared between the ground truth and the prediction to the total pixels of the corresponding class belonging to the ground truth and prediction.

$$IOU = \frac{ground\_truth \cap prediction}{ground\_truth \cup prediction}. \quad (6.1)$$

mIOU is the mean of IOUs of all classes in the dataset. IOU could also be interpreted as shown in equation (6.2) on the pixel level where, TP denotes True Positives which is the total number of pixels correctly predicted, FP denotes False Positives which is the total number of pixels which does not belong to a particular class according to the ground truth but is predicted as belonging to the class and FN denotes False Negatives which is the total number of pixels belonging to a class according to the ground truth but is predicted as not belonging to the class.

$$IOU = \frac{TP}{TP + FP + FN} \quad (6.2)$$

When the ground truth and predictions have no intersecting pixels for a class, the corresponding class IOU will be 0 percent. Similarly, if there is a perfect union between the ground truth and the prediction, the corresponding class IOU will be 100 percent.

- **Inference time:** The time taken, in seconds, by a segmentation model to calculate the output predictions for one input image.
- **Number of parameters:** The total number of trainable parameters in a segmentation model which are updated during training. Convolution kernel

weights, biases, batch normalization parameters are examples of trainable parameters.

- **Floating point operations (FLOPS):** The total number of floating point operations performed when one image goes through the network in one forward pass.
- **Occupied disk memory:** The total storage space occupied by the network in MegaBytes (MB).

## 6.2 Comparing dataset variants

- **Objective:** The objective of this experiment is to compare the performance of DeepLabv3+ on the different dataset variants.
- **Expected result:** DeepLabv3+ is expected to obtain a higher mIOU when the number of classes is lower. This expectation is based on the notion that when similar objects are considered as different classes, the model would not have sufficient features to distinguish them.
- **Inference from the results:** Deeplabv3+ with both the MobileNetv2 network backbone and the Xception network backbone are evaluated on all variants of variety of backgrounds and white backgrounds dataset. From Figure 6.1, it is evident that the mIOU obtained on each variant is dependent on the properties of objects in the variant. The atWork\_full variant treats all the 18 objects in the dataset as different classes. As a result, for instance, "m20" and "m30" have different labels despite the fact that the two objects only differ in size and slightly in color. The segmentation model is thus forced to distinguish between such objects. Since the objects occur in the dataset at arbitrary scales and are subject to differences in illumination, the real-world differences between such similar objects become insignificant in the dataset. Thus, the mIOU obtained on the atWork\_full variant is indeed the lowest as expected. The two variants atWork\_size\_invariant and atWork\_similar\_shapes combine objects which are similar. As a result, DeepLabv3+ achieves better mIOU on these variants. The atWork\_binary variant requires the DeepLabv3+ to only distinguish foreground from background leading to the highest mIOU.

Evidently, the stated inferences are independent of the network backbone used by DeepLabv3+. The mIOU values obtained are tabulated in Table 6.1.

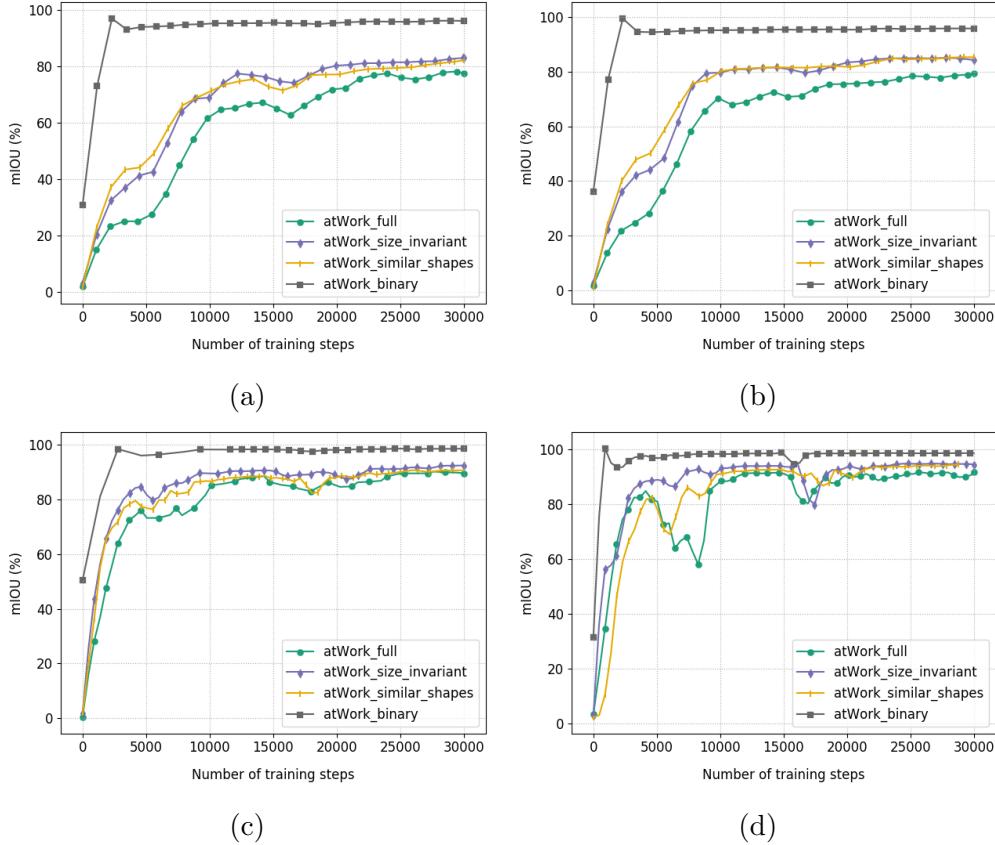


Figure 6.1: mIOU of Deeplabv3+ on the different dataset variants. (a) MobileNetv2 network backbone on the variety of backgrounds dataset, (b) MobileNetv2 network backbone on the white backgrounds dataset, (c) Xception network backbone on the variety of backgrounds dataset, (d) Xception network backbone on the white backgrounds dataset.

### 6.3 Comparing DeepLabv3+ backbones

- **Objective:** The objective of this experiment is to compare the mIOUs obtained by DeepLabv3+ with MobileNetv2 and Xception network backbones on each of the datasets and its variants.

Dataset variant	mIOU in %			
	MobileNetv2 backbone		Xception backbone	
	VB	WB	VB	WB
atWork_full	77.47	79.26	89.63	91.59
atWork_size_invariant	83.10	84.29	92.47	94.27
atWork_similar_shapes	82.10	85.33	90.71	94.33
atWork_binary	96.06	95.83	98.68	98.47

Table 6.1: This table lists the mIOU obtained by DeepLabv3+ with MobileNetv2 and Xception network backbones on 4 dataset variants of VB: variety of backgrounds dataset and WB: white backgrounds dataset.

- **Expected result:** The Xception network backbone is expected to obtain higher mIOU because of the higher number of learnable parameters in comparison with the MobileNetv2 network backbone. In essence, the Xception network backbone has more "learning capacity" than the MobileNetv2 network backbone leading to its ability to learn a better decision boundary.
- **Inference from the results:** The results obtained are shown in Figure 6.2. Across all the dataset variants, the Xception network backbone achieves higher mIOU than the MobileNetv2 network backbone consistently. Another inference is that the models trained and validated on the white backgrounds dataset achieve slightly higher mIOU than corresponding models trained on the variety of backgrounds dataset which could be because the white backgrounds dataset has fewer variations in terms of backgrounds.

## 6.4 Training with different data

- **Objective:** The objective of this experiment is to assess the effectiveness of the created artificial data. On this regards, starting from the same initial weights, DeepLabv3+ with each of the two network backbones is trained on:
  - 1 Entire training set of the variety of backgrounds dataset consisting of both real and artificial images.
  - 2 Only the artificial images in the training set of the variety of backgrounds dataset.

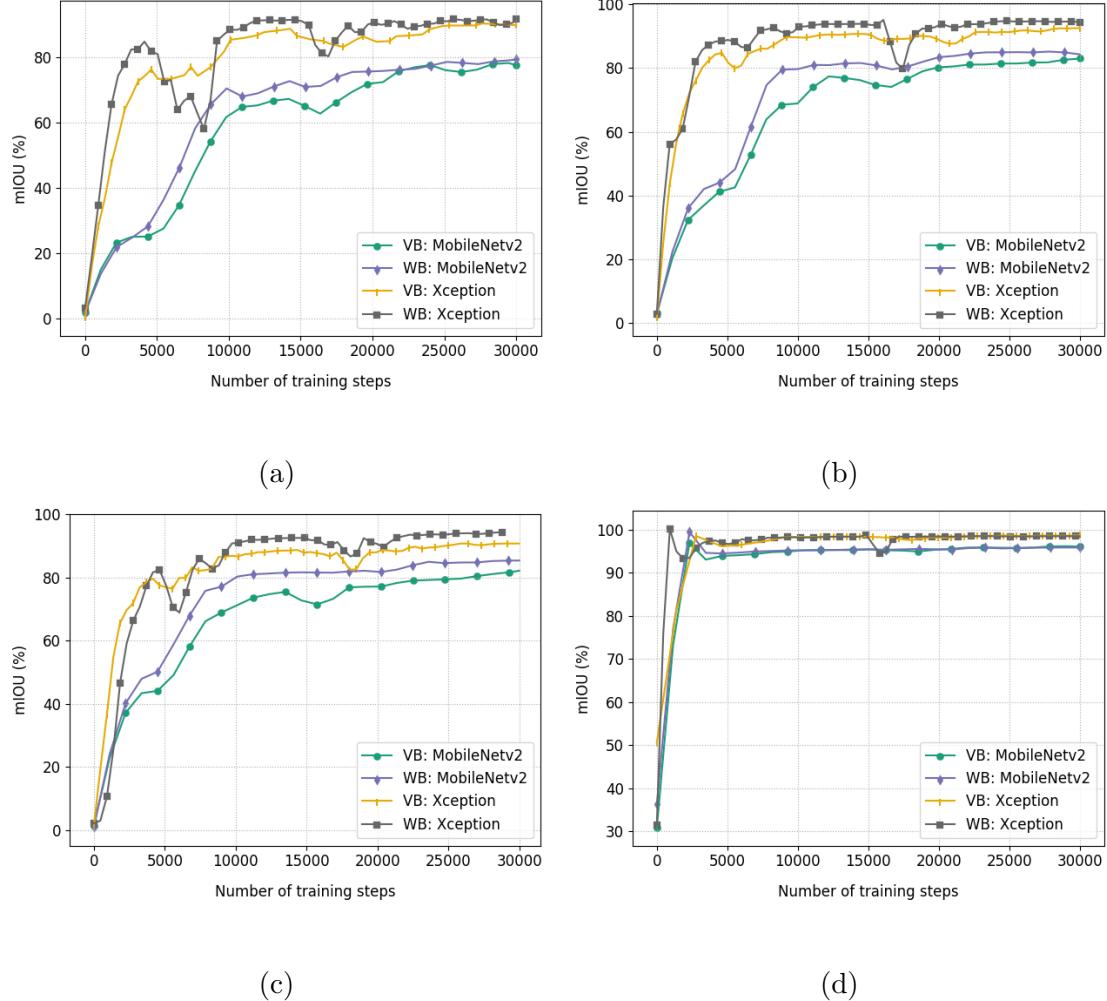


Figure 6.2: Comparison of mIOU obtained by DeepLabv3+ using MobileNetv2 network backbone vs Xception network backbone on all 4 variants. VB denotes the variety of backgrounds dataset and WB denotes the white backgrounds dataset. The dataset variant is (a): atWork\_full, (b): atWork\_size\_invariant, (c): atWork\_similar\_shapes and (d): atWork\_binary.

- 3 Entire training set of the white backgrounds dataset consisting of both real and artificial images.
- 4 Only the real training images.

The validation set only consists of the real validation images in order to consider only real-world conditions.

- **Expected result:** Training with the entire training set of the variety of backgrounds dataset is expected to achieve the highest mIOU. This expectation is based on the notion that the artificial images force the segmentation model to learn features independent of the background. Also, the model is expected to have improved robustness towards varying object scales and occlusions. Training with just the real training images is also expected to perform well but second to the performance obtained with the whole variety of backgrounds training set. Training with the white backgrounds dataset is expected to perform well except in cases where the background is not predominantly white. Training only with the artificial images is expected to result in the worst mIOU as it does not expose the segmentation model to real-world conditions.
- **Inference from the results:** From the results shown in Figure 6.3 and in Table 6.2, it is evident that in all variants, training with just the real images achieves the best mIOU on the real validation set. This observation is in contrast to the notion that augmenting with artificial images improves mIOU. This apparent contrast begs to question the need for artificial images and states that they are not required. However, looking into the limitations of the real validation set could help reinstate the importance of the artificial images.
  - 1 The real validation images only contain one object per image which in most images is clearly visible. There are no cases of occlusion or existence of multiple objects.
  - 2 The backgrounds in the real validation set is already seen in the training set. Only three different real backgrounds were used.

These two limitations exist in the real validation images because of the need to reduce the labeling cost. Creating real-world variations in terms of multiple objects per image and random occlusions is time-consuming and also leads to increase in annotation time. Introducing varied backgrounds in real images is also time-consuming. The artificial images address these limitations by placing objects at arbitrary scales in random locations on varied backgrounds. Therefore, the existing real validation set is not diverse enough to evaluate the effectiveness of artificial images. On the other hand, the artificial images inherently impose a regularization effect on the training process. This effect can be attributed to the existence of many different backgrounds. On this regard, the existing L2 regularization weight decay term might need to be lowered to enable the model to better fit to the training data.

- **Suggestions to improve the experiment:** Adding a limited number of real validation images which have multiple objects and occlusions, reducing the value of L2 weight decay are two possible changes which can be introduced to arrive at a better inference. However, at this point in order to validate these speculations, the model trained only on real data is validated on artificial data. The results are shown in Figure 6.4 and Table 6.3 summarizes the mIOU values. In this case, training with just the real data consistently obtains low mIOU across all variants using both Xception and MobileNetv2 network backbones. This result suggests that the speculations made could be further explored.

## 6.5 Comparing individual classes

### 6.5.1 Confusion matrix

- **Objective:** The objective of this section is to analyze the DeepLabv3+ model's inability to distinguish between different objects.
- **Expected result:** The variants with the higher number of classes are expected to have high values in nonleading diagonal locations of the confusion matrix. This expectation is based on the belief that the segmentation model



Figure 6.3: This plot shows the mIOUs obtained when training DeepLabv3+ on different training sets. "full" denotes the atWork\_full variant, "size" denotes the atWork\_size\_invariant variant, "shape" denotes the atWork\_similar\_shapes variant, "binary" denotes the atWork\_binary variant. "VB" denotes the variety of backgrounds dataset and "WB" denotes the white backgrounds dataset.

Dataset variant	Backbone	mIOU in %			
		Real	VB: All	WB: All	VB: Artificial
atWork_full	MobileNetv2	83.21	71.72	70.80	40.00
	Xception	87.03	80.26	78.42	45.67
atWork_size_invariant	MobileNetv2	85.01	80.08	77.12	47.76
	Xception	90.84	89.58	87.67	41.58
atWork_similar_shapes	MobileNetv2	79.83	77.33	76.47	43.31
	Xception	92.85	87.76	83.58	43.32
atWork_binary	MobileNetv2	94.33	93.01	90.17	43.29
	Xception	98.19	95.21	94.31	47.91

Table 6.2: This table summarizes the mIOUs obtained when training with different training data and validating on the real validation data. "Real" denotes real training data, "VB: All" denotes real and artificial data of the variety of backgrounds dataset, "WB: All" denotes real and artificial data of the white backgrounds dataset, "VB: Artificial" denotes artificial data of the variety of backgrounds dataset.

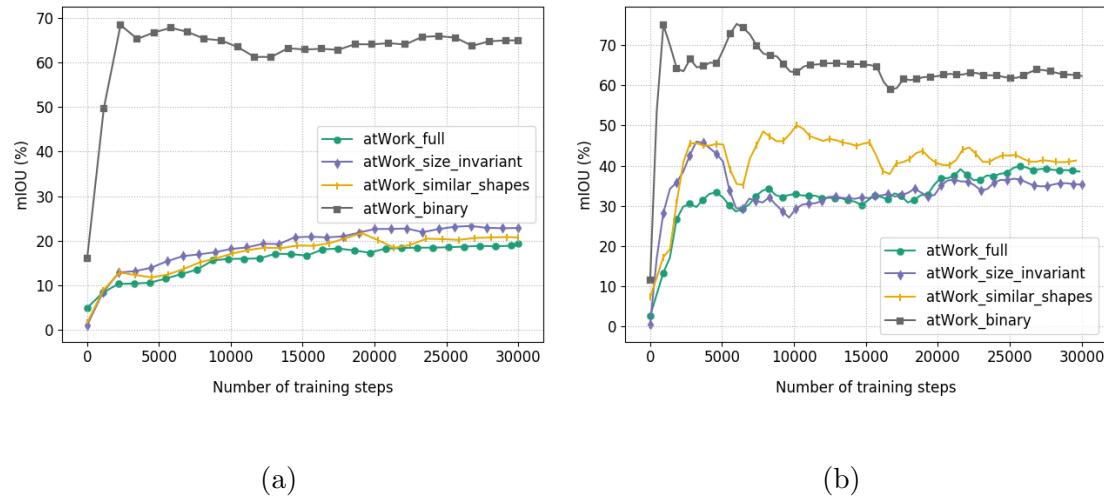


Figure 6.4: This figure shows the mIOUs obtained when trained on real images and validated on artificial images across all four variants of the variety of backgrounds dataset. (a) mIOU obtained by MobileNetv2 network backbone, (b) mIOU obtained by Xception network backbone.

<b>Dataset variant</b>	<b>Backbone</b>	<b>mIOU in %</b>
atWork_full	MobileNetv2	19.24
	Xception	38.54
atWork_size_invariant	MobileNetv2	22.83
	Xception	35.27
atWork_similar_shapes	MobileNetv2	20.70
	Xception	41.28
atWork_binary	MobileNetv2	65.03
	Xception	62.32

Table 6.3: This table summarizes the mIOUs obtained by both the network backbones of DeepLabv3+ model when trained on real images and validated on artificial images across all variants of the variety of backgrounds dataset.

would face difficulties distinguishing objects very similar to each other. This problem is expected to be alleviated by the atWork\_size\_invariant and atWork\_similar\_shapes variants. On the atWork\_binary variant, there is a possibility that a certain percentage of foreground pixels are confused with the background.

- **Inference from the results:** The obtained confusion matrices are shown in Figure 6.5. On all the confusion matrices, the leading diagonal elements have the highest values in each row. This result is expected and suggests that the model correctly classifies a majority of pixels in each class. Notably, the objects confused with each other are either similar in terms of color or shape. For instance, around 10 percent of "m30" is confused as "m20". This confusion is reasonable as the objects are similar in shape and only differ in size. The difference in size cannot be picked up by the model as no consistent information regarding the object size is available in the dataset. 41.18 percent of pixels in "distance\_tube" are confused with the background. This confusion could be because the number of pixels occupied by distance tube in the dataset is small in comparison to the other objects. Confusion between objects has reduced on the atWork\_size\_invariant and atWork\_similar\_shapes dataset in comparison to the atWork\_full variant. This result can be attributed to the combining of similar objects to one class. The confusion between "motor" and "m20\_100" and the confusion between "motor" and "r20" needs to be addressed.

### 6.5.2 Class IOUs

- **Objective:** The objective of this experiment is to look for a relationship between the individual class IOUs and the percentage of pixels occupied by each class in the dataset. In extension, a relationship between class IOUs and the real-world surface area of objects could also be obtained.
- **Expected result:** With the increase in the percentage of pixels, the class IOU is expected to increase. This expectation is based on the notion that the segmentation model gives preference to objects which dominate the dataset.

## Chapter 6. Experimental Evaluation

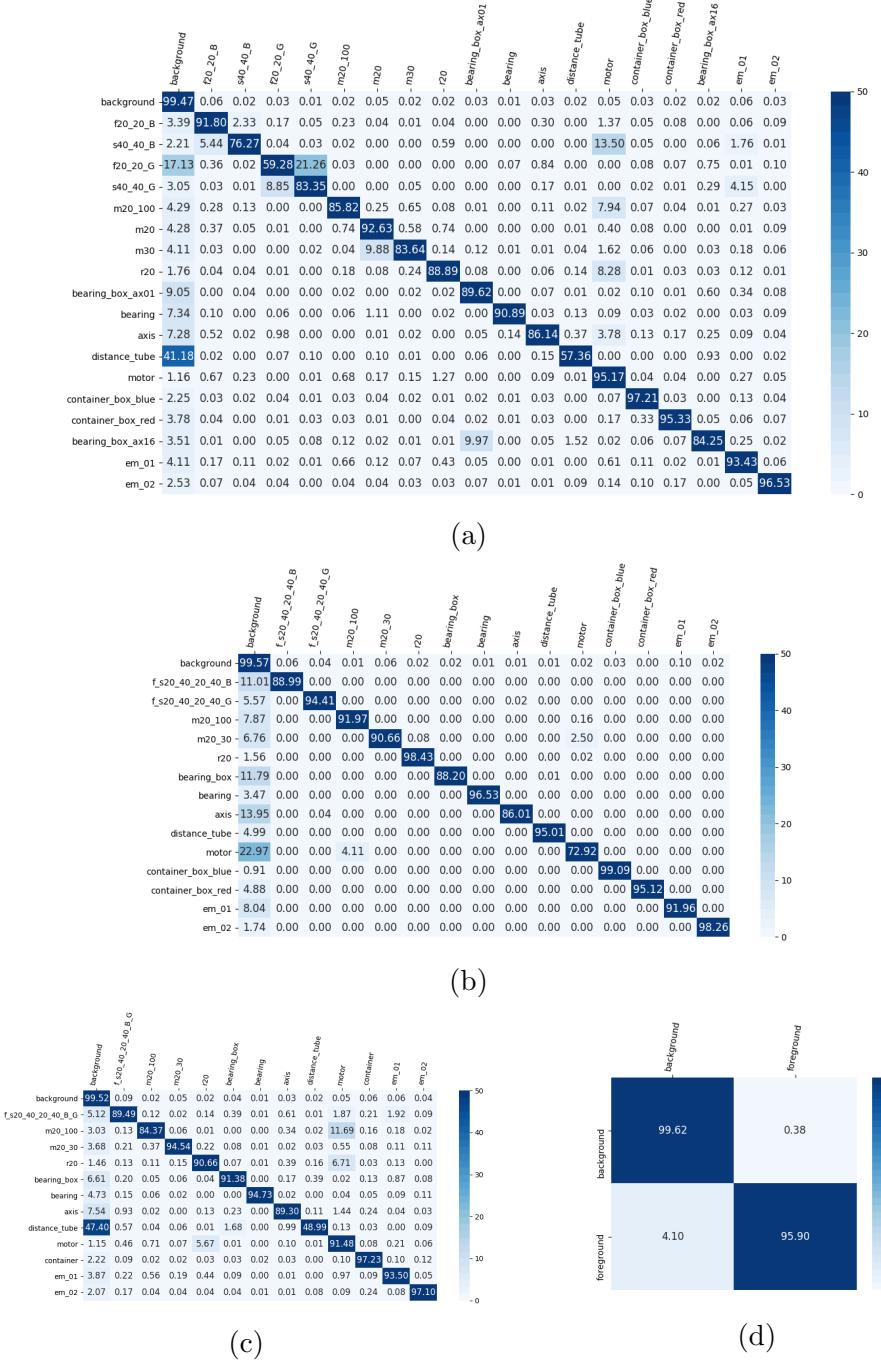


Figure 6.5: Confusion matrix of DeepLabv3+ with MobileNetv2 network backbone based on number of classified pixels on all four variants of the variety of backgrounds dataset. The number of pixels in each row is normalized by the total number of pixels in the row. (a): atWork\_full variant, (b): atWork\_size\_invariant, (c): atWork\_similar\_shapes and (d): atWork\_binary.

- **Inference from the results:** For each class, the mean class IOU over 30000 training steps is considered as its class IOU. Both the percentage of pixels and the class IOU is normalized to lie in the range of [0, 1]. The classes are arranged in increasing order of percentage of pixels. The plots are shown in Figure 6.6. From the lower histogram plot for atWork\_full variant shown in Figure 6.6a, the class IOUs denoted by the green bars do not seem to show an increasing trend. However, when every three classes starting from class "distance\_tube" are combined and plotted separately, (shown in the upper graph of Figure 6.6a), the class IOUs show an increasing trend in proportion to the percentage of pixels. Similar observations can be made for the other two variants as shown in Figure 6.6b and in Figure 6.6c. These results lead to the inference that the class IOUs are proportional to the real-world surface area of objects. This extended inference is based on the inference already obtained during the dataset analysis that the percentage of pixels is proportional to the real-world surface area.

Another interesting result is that the segmentation model seems to learn the object "bearing" well even though the object only occupies few pixels in the dataset. This result could be because of the distinct black ring in between two silver rings present in the bearing. This pattern seems unique, and the model probably picks up this pattern with ease.

## 6.6 Comparing learning rate policies

- **Objective:** The objective of this experiment is to compare the cosine restarts [23] learning rate policy with the poly learning rate policy used by DeepLabv3+.
- **Expected result:** Either of the two learning rate policies is expected to result in better mIOU.
- **Inference from the results:** DeepLabv3+ with MobileNetv2 network backbone is used for this experiment. The mIOU obtained using the two learning rate decay policies are shown in Figure 6.7. Evidently, the cosine restart

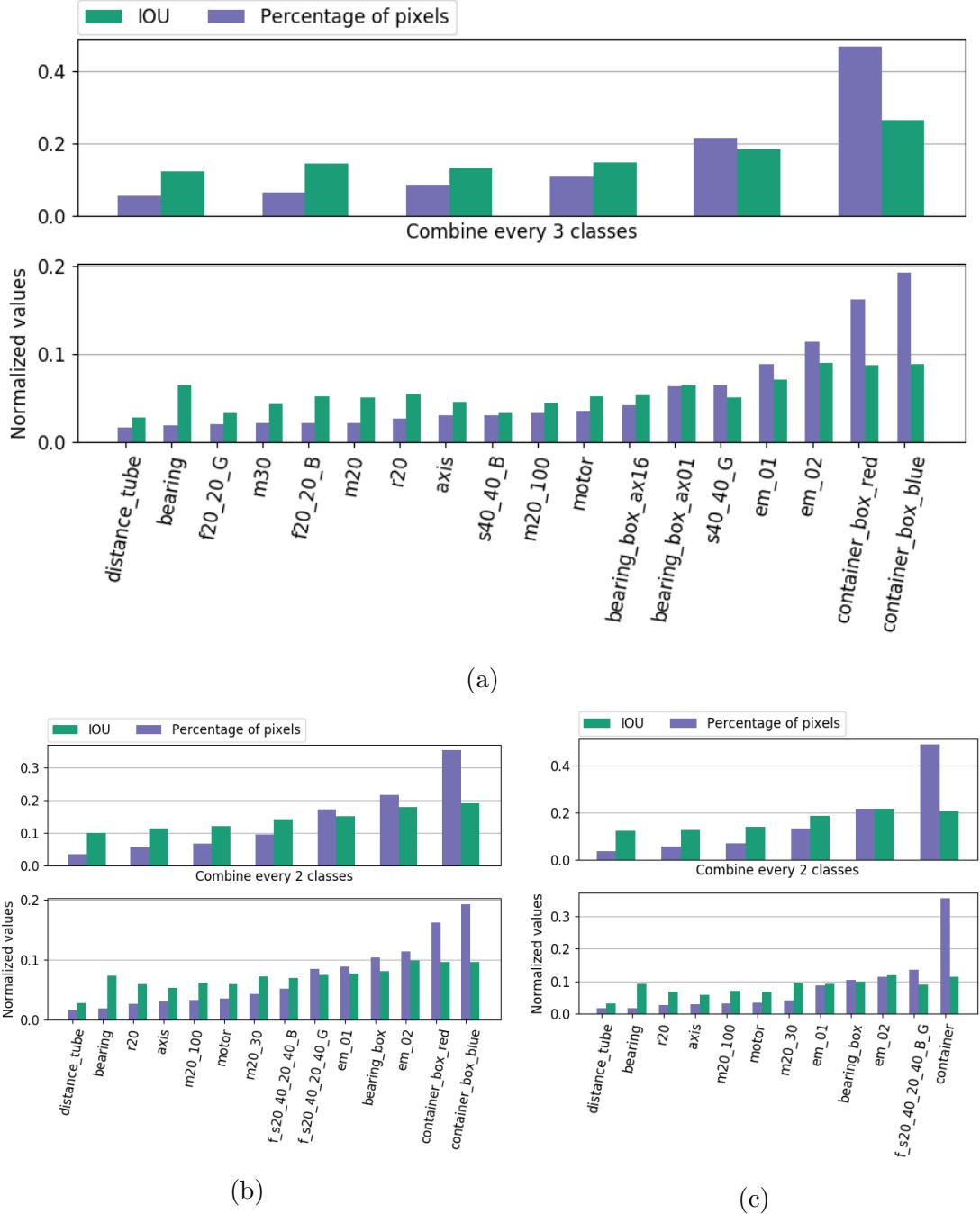


Figure 6.6: Individual class IOUs achieved by DeepLabv3+ with MobileNetv2 network backbone is plotted with the percentage of pixels occupied on all four variants of the variety of backgrounds dataset. Both the individual class IOUs and percentage of pixels are normalized to lie in the range of [0,1]. (a): atWork\_full variant, (b): atWork\_size\_invariant, and (c): atWork\_similar\_shapes.

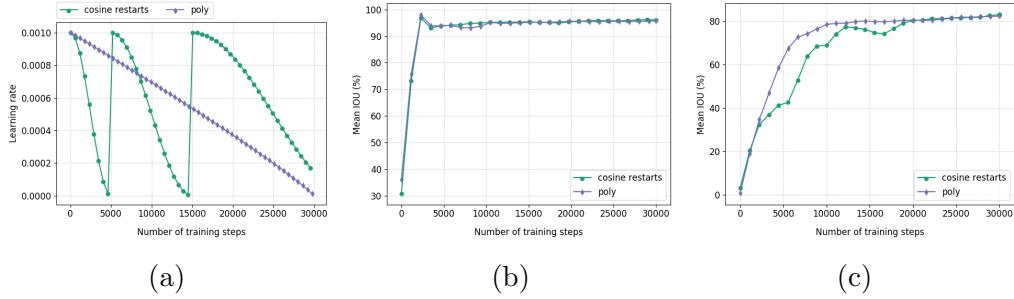


Figure 6.7: Learning rate decay with two different policies 1. cosine restarts and 2. poly is compared. (a): learning rate over 30000 steps with the two decay policies. (b): mIOU on the validation set of atWork\_binary variant is 96.06 % with cosine restarts and 95.75 % with poly. (c): mIOU on the validation set of atWork\_size\_invariant variant is 83.1 % with cosine restarts and 82.24 % with poly.

learning rate policy leads to slightly better mIOU on both the atWork\_binary and the atWork\_size\_invariant variants.

## 6.7 Effects of class balancing

- **Objective:** The objective of this experiment is to prevent the DeepLabv3+ model from giving preference to dominant classes in the dataset. A weight coefficient is determined for each class based on the percentage of pixels occupied by the class in the dataset. The weight coefficients of each class are calculated using median-frequency re-weighting [16] shown in equation (6.3).

$$\alpha_c = \text{median\_freq}/\text{freq}(c) \quad (6.3)$$

In equation (6.3),  $\alpha_c$  is the class coefficients, "median\_freq" refers to the median of all class percentage of pixels and "freq(c)" refers to the corresponding class percentage of pixels. These weight coefficients are multiplied with the loss term of the corresponding class in the loss function. The higher the percentage of pixels for a class, the lower is its weight coefficient. Therefore, DeepLabv3+ gets a higher loss if it favors dominant classes.

- **Expected result:** The model is expected to achieve similar class IOUs on all the objects. The overall mIOU is also expected to be at least slightly

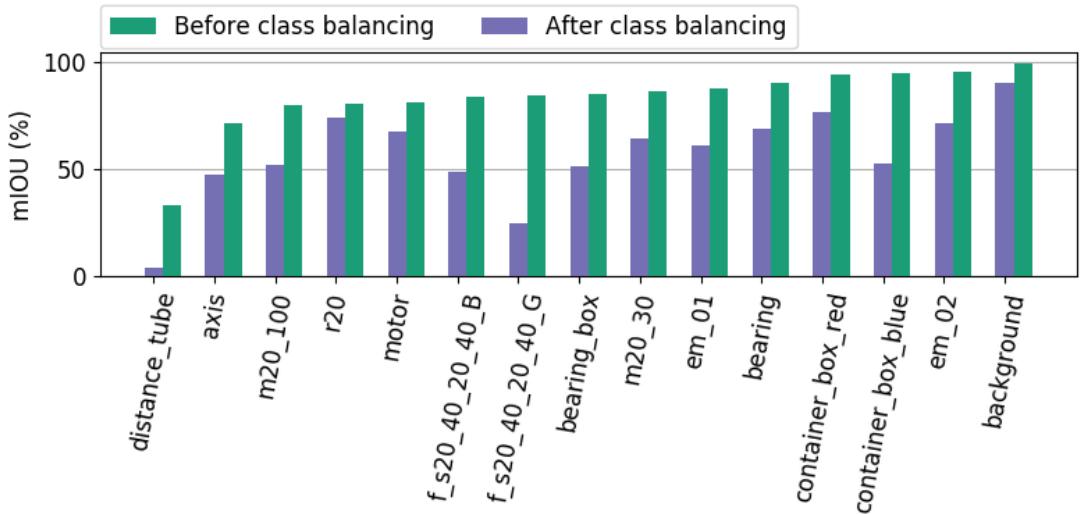


Figure 6.8: This plot shows a comparison of mIOUs obtained on each class before and after performing median-frequency re-weighting.

better than the mIOU obtained without class balancing.

- **Inference from the results:** From Figure 6.8, it is evident the IOU obtained on each class reduces after performing class balancing. This result suggests that class balancing using median-frequency re-weighting is undesirable. The cause for this result is unclear and needs further analysis.

## 6.8 Effects of quantizing the inference graph

- **Objective:** The objective of this experiment is to compare DeepLabv3+ with the corresponding quantized DeepLabv3+ in terms of mIOU, occupied disk memory, and inference time.
- **Expected result:** The 8bit low precision models are expected to occupy less disk memory and have less inference time in comparison with the corresponding full precision models. In terms of mIOU, the 8bit models are expected to achieve comparable mIOU to the corresponding full precision models despite the reduced "learning capacity" due to the use of a fixed point representation.

- **Inference from the results:** From Figure 6.9b it is evident that across all the variants and network backbones of DeepLabv3+, the 8bit low precision model occupies less disk memory than its corresponding full precision model. The 8bit DeepLabv3+ model with the MobileNetv2 network backbone occupies roughly 67 % less disk memory relative to the corresponding full precision model. However, the average drop in mIOU across all four variants of the variety of backgrounds dataset is 9.56 %. This high average drop in mIOU suggests that the full precision MobileNetv2 network backbone has sufficient "learning capacity" and a drop in this "learning capacity" affects the mIOU drastically. In contrast, it can be seen that the 8bit DeepLabv3+ model with the Xception network backbone leads to roughly 73 % percent drop in memory but only 2.02 % average drop in mIOU across all variety of backgrounds dataset variants. This low average drop in mIOU suggests that the low precision DeepLabv3+ with Xception network backbone still retains sufficient "learning capacity" in order to treat the low precision calculations as noise. Table 6.4 shows the mIOUs obtained.

From Table 6.5, it is evident that the number of floating operations (FLOPS) drops by roughly 94 % and 98% when quantizing DeepLabv3+ with MobileNetv2 and Xception backbones respectively. However, the inference time for the quantized models is more than the corresponding high precision models. Quantized models are expected to be faster as they perform low precision calculations but the inference time has increased. An initial analysis seems to state that the quantized operations are not yet optimized for CPU inference based on the issue "Slow quantized graph" raised on the tensorflow source repository <sup>1</sup>.

## 6.9 Discussions

This chapter addresses the different experiments performed to evaluate the DeepLabv3+ segmentation model using the created dataset. A summary of the observations is presented below:

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<sup>1</sup><https://github.com/tensorflow/tensorflow/issues/2807>

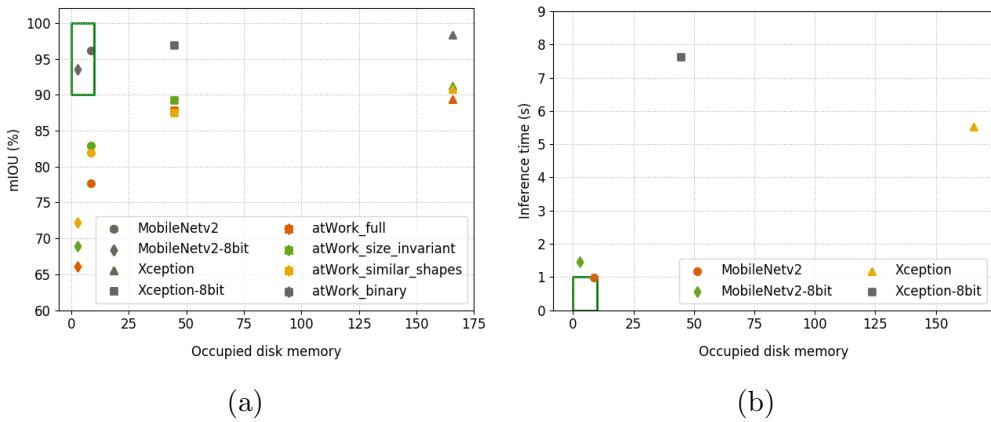


Figure 6.9: (a) This plot shows mIOU and disk memory occupied by full precision and quantized DeepLabv3+ models on all the variants of the variety of backgrounds dataset. Different shapes denote the different network backbones of DeepLabv3+, and different colors denote the different dataset variants. The green rectangle represents the desired region in which the mIOU is in the range [90,100], and occupied disk memory is in the range (0,10]. (b) This plot shows the inference time and disk memory occupied by full precision and quantized DeepLabv3+ models. The region indicated by a green rectangle is the desired region where the inference time is in the range (0, 1] and occupied disk memory is in the range (0, 10].

Dataset variant	mIOU in %			
	MobileNetv2	MobileNetv2-8	Xception	Xception-8
atWork_full	77.69	66.08	89.41	87.86
atWork_size_invariant	82.95	68.88	91.19	89.30
atWork_similar_shapes	81.91	72.22	90.75	87.50
atWork_binary	96.10	93.49	98.32	96.92

Table 6.4: This table summarizes the mIOU obtained by the quantized and full precision models of both DeepLabv3+ network backbones on all four variants of the variety of backgrounds dataset.

Network Backbone	Inference time (s)	Number of parameters	FLOPS	Disk memory (MB)
MobileNetv2	0.9811	2.11M	6.41B	8.7
MobileNetv2-8	1.4560	2.11M	328.87M	2.8
Xception	5.5325	41.05M	126.27B	165.6
Xception-8	7.6256	41.05M	1.94B	44.7

Table 6.5: This table summarizes the inference time, number of parameters, and floating point operations (FLOPS) of both the quantized and full precision network backbones of DeepLabv3+. ”M” denotes million and ”B” denotes billion.

- We showed that when similar objects in the dataset are combined to a single class, DeepLabv3+ achieves a better mIOU. As an extension, when all objects are combined into a single class and DeepLabv3+ is tasked with only foreground and background segmentation, the mIOU achieved is the highest.
- We showed that the Xception network backbone learns better than the MobileNetv2 network backbone because of its higher ”learning capacity.”
- We showed that the real images in the validation set need to be made more diverse by adding images with multiple objects and occlusions in order to better understand the effectiveness of the artificial images.
- We showed that the DeepLabv3+ model favors objects which dominate the dataset. Objects which occupy a higher number of pixels tend to be learned

better. Another interesting observation is that the existence of distinct features such as alternating concentric silver, and black circular strips in object "bearing" aids DeepLabv3+ to distinguish the object better.

- We showed that objects which are similar are confused with each other in the atWork\_full dataset variant. This confusion reduces in the atWork\_size\_invariant and atWork\_similar\_shapes variants where similar objects are combined.
- We showed that the cosine restarts learning rate decay policy leads to slightly better mIOU than the poly learning rate decay policy.
- We showed that performing median-frequency re-weighting to induce class balancing in the loss function, unfortunately, does not prevent the model from favoring classes which are dominant in the dataset as intended. The cause of this result is left to be analyzed in future work.
- We showed that low precision models occupy low disk space. Low precision DeepLabv3+ with MobileNetv2 network backbone shows a significant drop in mIOU, but DeepLabv3+ with Xception network backbone only suffers from a minor drop in mIOU. These drops in mIOU suggest that low precision DeepLabv3+ with MobileNetv2 network backbone cannot afford to lose anymore "learning capacity" while the low precision DeepLabv3+ with Xception network backbone still retains sufficient "learning capacity" to treat low precision calculations as noise.

# Conclusions

In this work, state-of-the-art deep learning methods for semantic segmentation was reviewed. One particular model called DeepLabv3+ with MobileNetv2 and Xception network backbones was selected. A dataset consisting of 18 atWork objects was created. This dataset also consists of artificial images generated using a coded artificial image generation algorithm to augment the dataset. Two different datasets called the variety of backgrounds dataset, and the white backgrounds dataset was created which differ in terms of the background images used for the artificial image generation. Four different variants for each of the two datasets were created based on the properties of the objects in the dataset. The DeepLabv3+ model was trained on the created dataset and its performance was assessed in terms of mIOU. A quantized version of the DeepLabv3+ model was then created and was compared with the full precision DeepLabv3+ model.

## 7.1 Contributions

The major contributions of this work include:

- The creation of a semantic segmentation dataset consisting of 18 atWork objects.
- Augmenting this dataset with artificial images created by coding an artificial image generation algorithm.

- Training DeepLabv3+ models with resource efficient network backbones and analyzing its performance.

## 7.2 Lessons learned

Lessons learned out of this work are listed below:

- Creating a dataset for a machine learning task is often time-consuming. Capturing all the images at one stretch often introduces a bias in the dataset as most images end up being taken under the same real-world conditions. A best practice would be to create a dataset over a period of many days progressively. During this time, insights from a trained model could be gathered to improve further the variety of the next round of images taken.
- Useful insights could be obtained by looking into the available data from different perspectives such as how two objects are different and what would happen if they are treated the same. Semantic segmentation models with the same hyperparameter settings could be trained on these different perspectives of data which could help understand how the model behaves in response to data.

## 7.3 Future work

The dataset created using the atWork objects at present consist of real images which only have one object per image. Further real images which have multiple objects in them could be added to the dataset. This addition would enable better assessment of the effectiveness of the artificial images in terms of aiding generalization. The trained DeepLabv3+ models currently available as a result of this work could, in theory, reduce the labeling cost of the additional real images. Also, additional augmentation methods such as random brightness, contrast shifts, random rotations, and others could be incorporated into the artificial image generation algorithm to improve the robustness of the generated artificial images. In addition, the use of 3D CAD models of the objects in the dataset available in atWork Github repositories, [1], [2] to further improve the robustness of the generated artificial images could be considered.

The dataset created is seemingly biased towards larger objects. This bias becomes worse in the atWork\_size\_invariant and atWork\_similar\_shapes variants in which some large objects are combined. This bias of the dataset affects the learning process, and evidently, the learned DeepLabv3+ models were shown to ignore small objects. An attempt made to modify the loss function to induce class balancing has failed. This failure could be further analyzed. As an alternative approach, reducing the number of real images of large objects in comparison to smaller objects could be considered. For instance, only 2 images of containers and 22 images of "distance\_tube" could be used for the training set instead of the 22 images of each.

The experiments were centered on the created dataset and did not consider hyperparameter tuning to improve results. Further tuning the different hyperparameters involved such as the decoder output stride, regularization parameter (L2 weight decay) and so on could be explored.

The initial goal of this work also included the use of pruning techniques to compress the trained models. Pruning was not sufficiently explored in order to be implemented. The compressed DeepLabv3+ model with the MobileNetv2 network backbone using quantization suffered a high drop in mIOU of around 9 %. This high drop in mIOU is likely due to the naiveness involved in directly quantizing for the sake of compression. A better approach would be to first prune redundant weights, filters or feature maps and later perform quantization which is reported to be effective in the literature. Recently, reinforcement learning has been applied to train an agent to prune a CNN model [20]. This approach could also be explored. Also, the compressed model obtained through the considered quantization method was slower than the high precision model the reason for which is required to be analyzed.

# A

## Further details regarding the Dataset

### A.1 Annotation tools

In order to reduce the time required to annotate an image, it was imperative to select a tool which is designed explicitly for semantic segmentation and also provides algorithms which help the annotator by providing labeling automation to the highest possible extent.

There are several available annotation tools such as LabelMe <sup>1</sup>, Labelbox <sup>2</sup>, Annotation Tool <sup>3</sup>, PixelAnnotationTool <sup>4</sup>, LEAR <sup>5</sup>, Ratsnake Annotation Tool <sup>6</sup>, LabelImg <sup>7</sup>, Supervisely <sup>8</sup> and MATLAB ImageLabeler available in release R2017b (Computer Vision System Toolbox) <sup>9</sup>. The MATLAB ImageLabeler was used for this project because of its ease of use and the availability of a free academic licence for MATLAB.

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<sup>1</sup><https://github.com/CSAILVision/LabelMeToolbox>

<sup>2</sup><https://github.com/Labelbox/Labelbox>

<sup>3</sup>[http://www.ipb.uni-bonn.de/html/software/annotation\\_tool/](http://www.ipb.uni-bonn.de/html/software/annotation_tool/)

<sup>4</sup><https://github.com/abreheret/PixelAnnotationTool>

<sup>5</sup>[https://lear.inrialpes.fr/people/klaeser/software\\_image\\_annotation](https://lear.inrialpes.fr/people/klaeser/software_image_annotation)

<sup>6</sup><https://is-innovation.eu/ratsnake/download.html>

<sup>7</sup><https://github.com/tzutalin/labelImg>

<sup>8</sup><https://supervise.ly/>

<sup>9</sup><https://de.mathworks.com/help/vision/ref/imagelabeler-app.html>

## A.2 Description of the labeling process

At first, label definitions are created and exported to a .mat file. This file is used to load label definitions for all images to maintain consistency of labels. The contents of the .mat file is shown in the Figure A.1a.

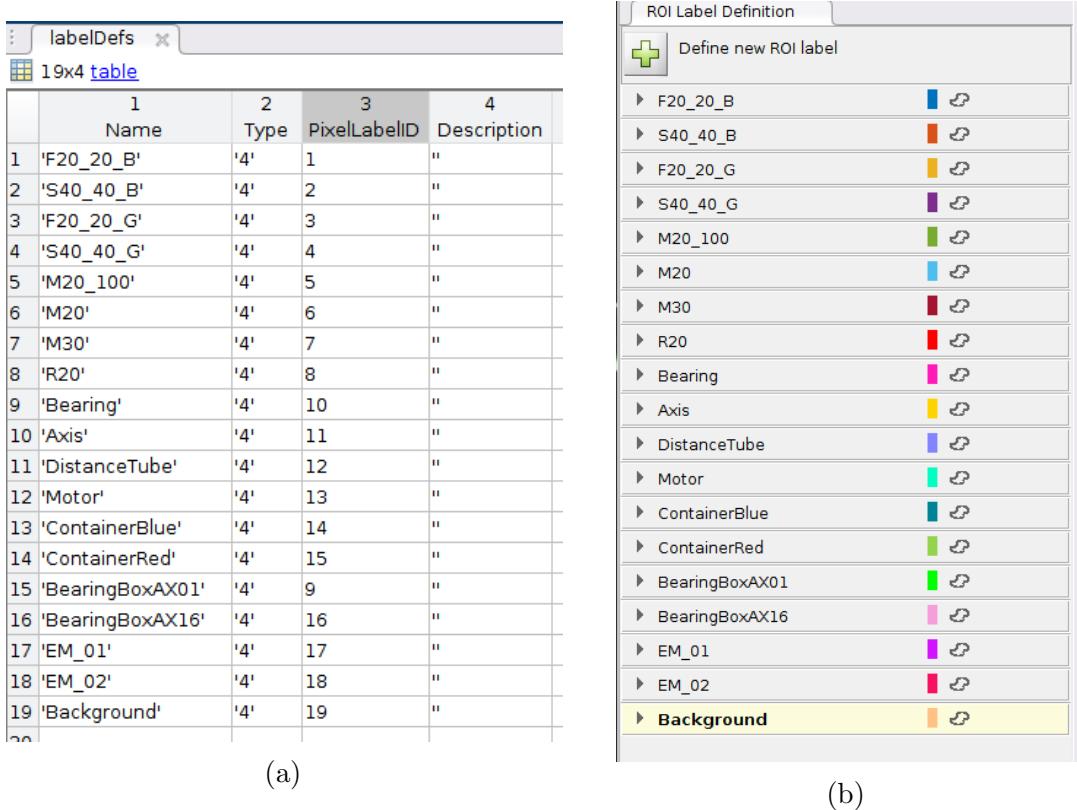


Figure A.1: (a) Contents of the labelDefs .mat file, (b) ROI Label Definitions window.

The ImageLabeler app, by default, provides different tools which help create pixel-wise labels. The tools are shown in the Figure A.2. These tools become accessible once an image and the label definitions are loaded. A short description of the tools is given below:

- Polygon: This can be used to trace an object boundary by placing dots. Once a closed contour is created, pixels within the contour get assigned the corresponding object label.

## Appendix A. Further details regarding the Dataset

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Figure A.2: Tools provided by the ImageLabeler app

- Smart Polygon: Smart Polygon is similar to Polygon in terms of usage. This tool, in addition, tries to reach out to the nearby edges of the drawn polygon.
- Brush and Erase: Square shaped brush and eraser to either label a region or remove labels from a region. The size of the square can be changed by using the Brush Size slider.
- Flood Fill: This tool provides the same labels to pixels which are similar to the selected pixel in terms of intensity.
- Label Opacity: This tool provides a sliding bar which varies the opacity of the overlayed labels on the image. This option is helpful to visualize the assigned labels.
- Zoom In, Zoom Out, Pan: These tools improve the ease of labeling by providing means to focus on particular regions by zooming and panning.

The ImageLabeler app by default assigns different colors to different objects to aid visualization. The label colors are shown in the ROI Label Definition window shown in Figure A.1b. An example of an object in the ImageLabeler tool once the annotation is complete is shown in Figure A.3.

The ImageLabeler app does not provide any tool to label all unlabeled pixels as background. In order to save time, the following workarounds have been used:

- The images taken for the dataset each have only one object in them.
- Only the object region is labeled.
- A python code which reads the label image and replaces unlabeled values 0 with background label value 19, was used. The code is also used to double check the label image in order to avoid noisy labeling.

## A.2. Description of the labeling process

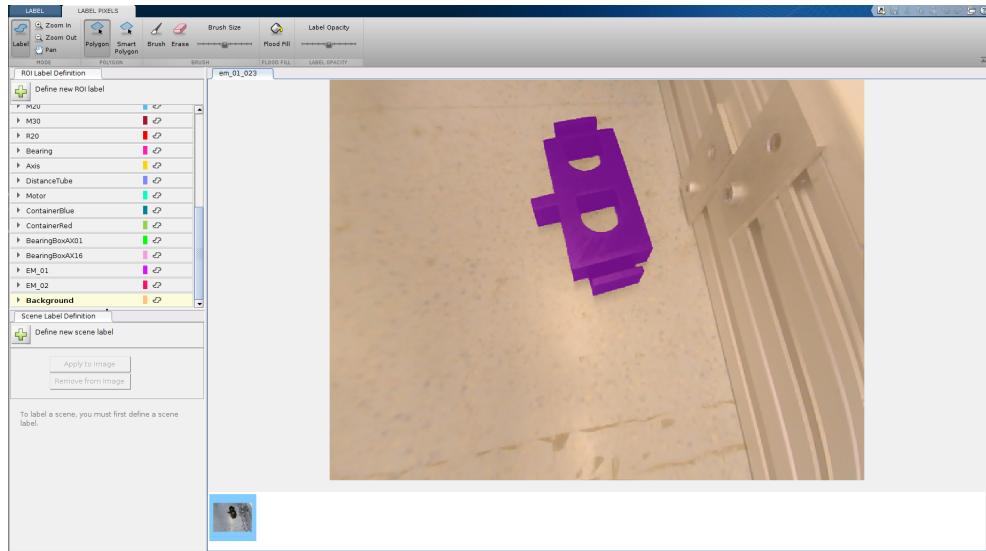


Figure A.3: An object labeled in the ImageLabeler.

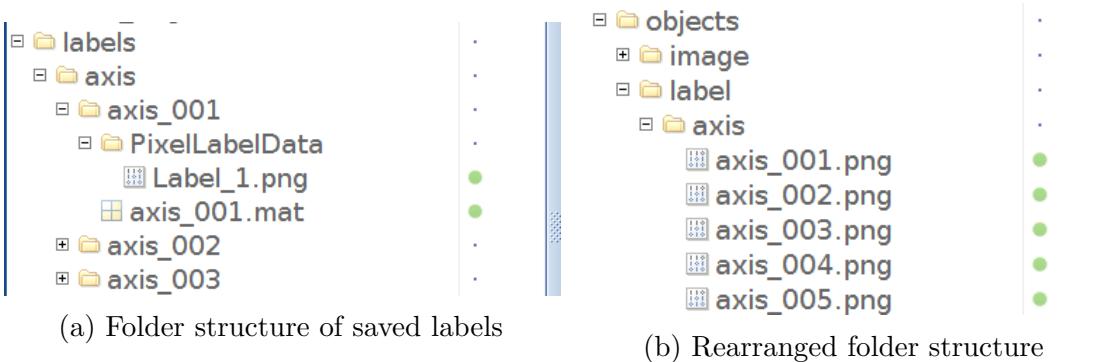


Figure A.4: Different folder structures

The Export Labels  $\rightarrow$  To File option can be used to save the annotations. Annotations for all images are saved individually to arrive at the folder structure shown in Figure A.4a.

The saved .mat file can be loaded into ImageLabeler again to modify labels further if required later. The 'Label\_1.png' file located in the PixelLabelData folder (as can be seen in Figure A.4a) is the label image. This image is renamed to have the same name as the image file, and a folder structure as in Figure A.4b is created by using python code.

## Appendix A. Further details regarding the Dataset

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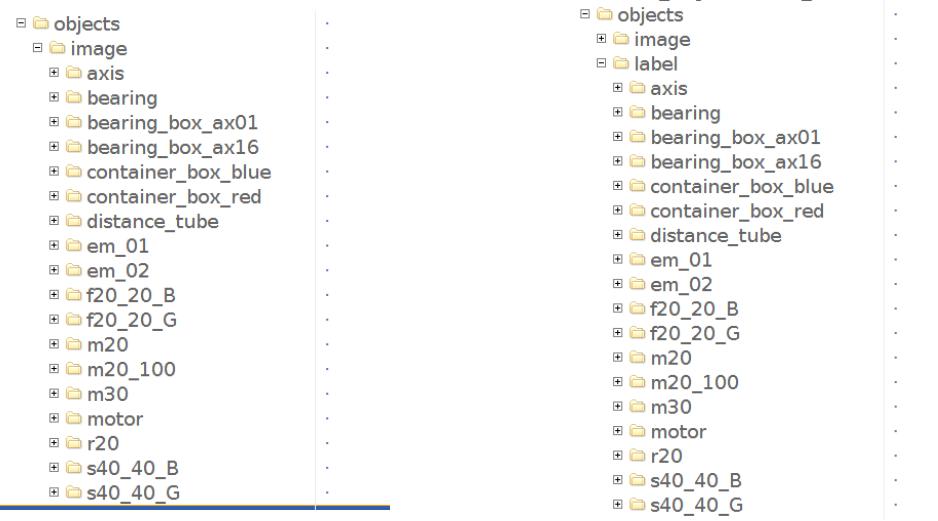


Figure A.5: Folder structure showing different object folders in both image and label folders.

The final folder structure is shown in Figure A.5. The image folder and label folder are similar and contain object images and corresponding label images with same names.

### A.3 Search keywords for background images

Keywords used for downloading background images are listed in Table A.1.

### A.4 Generator option details

Further details regarding the generator options used to configure the artificial image generation algorithm is given in Table A.2.

Used in	Search keyword(s)	Number of images selected
Training set	640x480 background images, 640x480 textures images, 640x480 wallpapers	150
Validation set	640x480 abstract	25
Test set	640x480 paintings	25
White backgrounds dataset	640x480 white abstract, 640x480 white backgrounds, 640x480 white textures, 640x480 white wallpaper, light gray, white, white clouds, white floors, white frost, white mist, white pebbles, white snow, white table textures	150

Table A.1: This table lists the keywords used to download images used as background for artificial image generation.

Appendix A. Further details regarding the Dataset

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<b>Generator options</b>	<b>Default value</b>	<b>Is required?</b>
<b>mode</b>	1	Not required
<b>image_dimension</b>	[480, 640]	Not required
<b>num_scales</b>	'randomize'	Not required
<b>backgrounds_path</b>	None	Required if mode is 1
<b>image_path</b>	-	Required
<b>label_path</b>	-	Required
<b>obj_det_label_path</b>	None	Required if save_label_preview is True and mode is 2
<b>real_img_type</b>	'.jpg'	Not required
<b>min_obj_area</b>	20	Not required
<b>max_obj_area</b>	70	Not required
<b>save_label_preview</b>	False	Not required
<b>save_obj_det_label</b>	False	Not required
<b>save_mask</b>	False	Not required
<b>save_overlay</b>	False	Not required
<b>overlay_opacity</b>	0.6	Not required
<b>image_save_path</b>	None	Required if mode is 1
<b>label_save_path</b>	None	Required if mode is 1
<b>preview_save_path</b>	None	Required if save_label_preview is True
<b>obj_det_save_path</b>	None	Required if save_obj_det_label is True
<b>mask_save_path</b>	None	Required if save_mask is True
<b>overlay_save_path</b>	None	Required if save_overlay is True
<b>start_index</b>	0 if mode is 1 " " if mode is 2	Not required
<b>name_format</b>	'%05d'	Not required
<b>remove_clutter</b>	True	Not required
<b>num_images</b>	20	Not required
<b>max_objects</b>	10	Not required
<b>num_regenerate</b>	100	Not required
<b>min_distance</b>	100	Not required
<b>max_occupied_area</b>	0.8	Not required
<b>scale_ranges</b>	None	Not required

Table A.2: Default value of generator options and whether the options are required to be set.

# B

## Sample predictions

In this appendix chapter, visualizations of the predictions obtained through DeepLabv3+ models is provided. In Figure B.1, the colors used for visualizing each object in all four dataset variants are shown. In Figures B.2, B.3, B.4 and B.5, the first column shows the input images, the second column shows the corresponding ground truths, the third column shows the predictions obtained with MobileNetv2 network backbone and the fourth column shows the predictions obtained with the Xception network backbone. Also, in Figures B.2, B.3, B.4 and B.5, results shown in the first two rows are from DeepLabv3+ trained on the variety of backgrounds dataset and the results in the third row is from DeepLabv3+ trained on the white backgrounds dataset.

## Appendix B. Sample predictions

---

axis	bearing_box_ax16	em_01	m20	r20
background	container_box_blue	em_02	m20_100	s40_40_B
bearing	container_box_red	f20_20_B	m30	s40_40_G
bearing_box_ax01	distance_tube	f20_20_G	motor	

(a) atWork_full															
<table border="1"> <tbody> <tr> <td>axis</td> <td>bearing_box</td> <td>distance_tube</td> <td>f_s20_40_20_40_B</td> <td>m20_30</td> </tr> <tr> <td>background</td> <td>container_box_blue</td> <td>em_01</td> <td>f_s20_40_20_40_G</td> <td>motor</td> </tr> <tr> <td>bearing</td> <td>container_box_red</td> <td>em_02</td> <td>m20_100</td> <td>r20</td> </tr> </tbody> </table>	axis	bearing_box	distance_tube	f_s20_40_20_40_B	m20_30	background	container_box_blue	em_01	f_s20_40_20_40_G	motor	bearing	container_box_red	em_02	m20_100	r20
axis	bearing_box	distance_tube	f_s20_40_20_40_B	m20_30											
background	container_box_blue	em_01	f_s20_40_20_40_G	motor											
bearing	container_box_red	em_02	m20_100	r20											

(b) atWork_size_invariant															
<table border="1"> <tbody> <tr> <td>axis</td> <td>bearing_box</td> <td>em_01</td> <td>m20_100</td> <td>motor</td> </tr> <tr> <td>background</td> <td>container</td> <td>em_02</td> <td>m20_30</td> <td>r20</td> </tr> <tr> <td>bearing</td> <td>distance_tube</td> <td>f_s20_40_20_40_B_G</td> <td></td> <td></td> </tr> </tbody> </table>	axis	bearing_box	em_01	m20_100	motor	background	container	em_02	m20_30	r20	bearing	distance_tube	f_s20_40_20_40_B_G		
axis	bearing_box	em_01	m20_100	motor											
background	container	em_02	m20_30	r20											
bearing	distance_tube	f_s20_40_20_40_B_G													

(c) atWork_similar_shapes		
<table border="1"> <tbody> <tr> <td>background</td> <td>foreground</td> </tr> </tbody> </table>	background	foreground
background	foreground	

(d) atWork_binary

Figure B.1: Object names and corresponding colors used for the visualiztioin of different dataset variants.

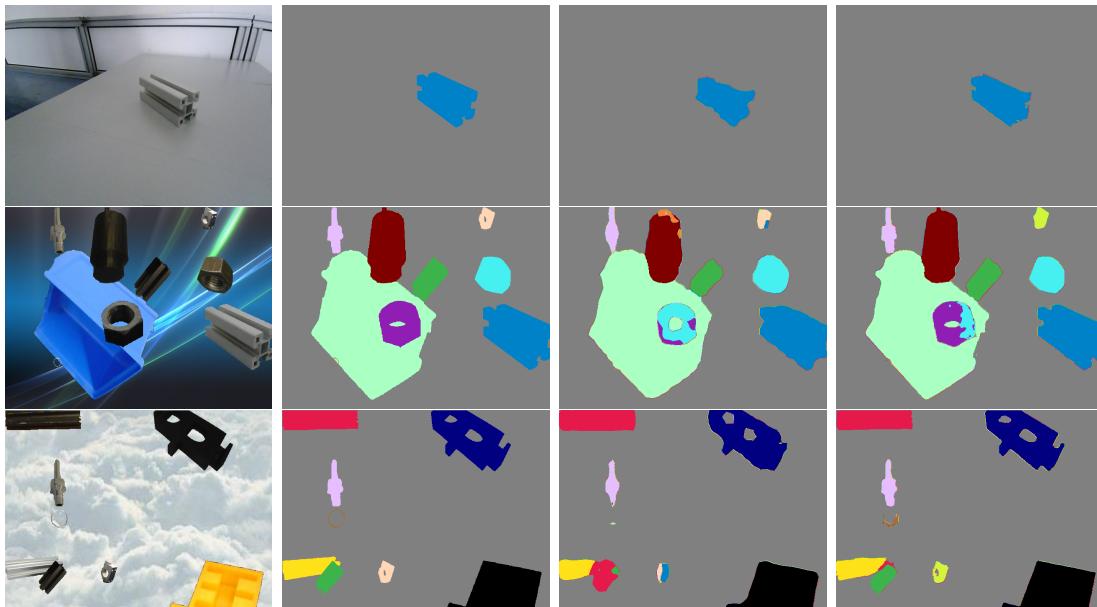


Figure B.2: Predictions of DeepLabv3+ on the **atWork\_full** variant of the variety of backgrounds dataset and the white backgrounds dataset.

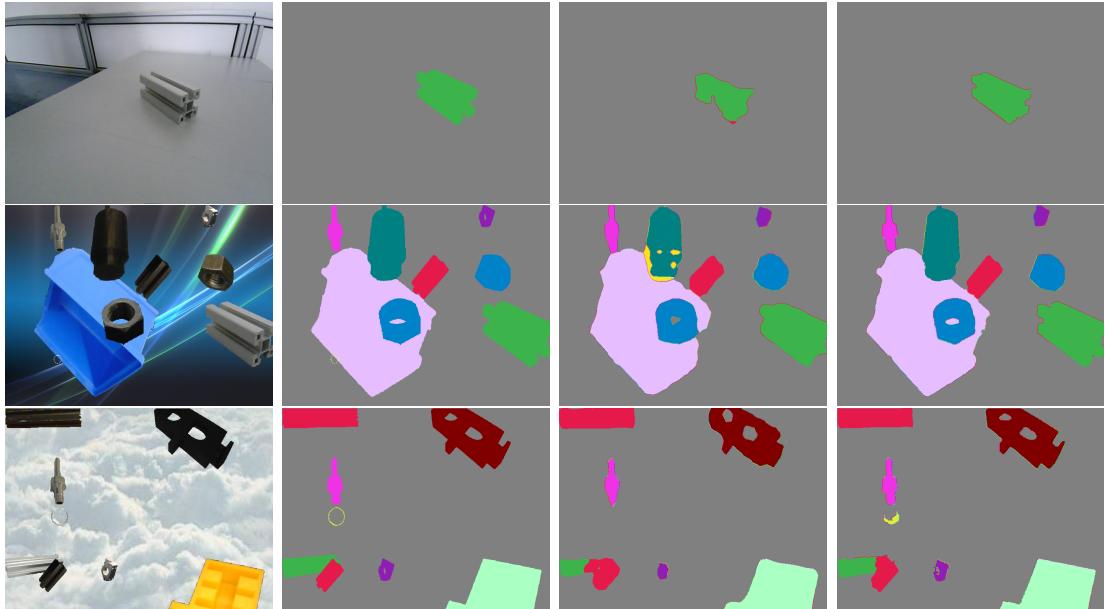


Figure B.3: Predictions of DeepLabv3+ on the **atWork\_size\_invariant** variant of the variety of backgrounds dataset and the white backgrounds dataset.

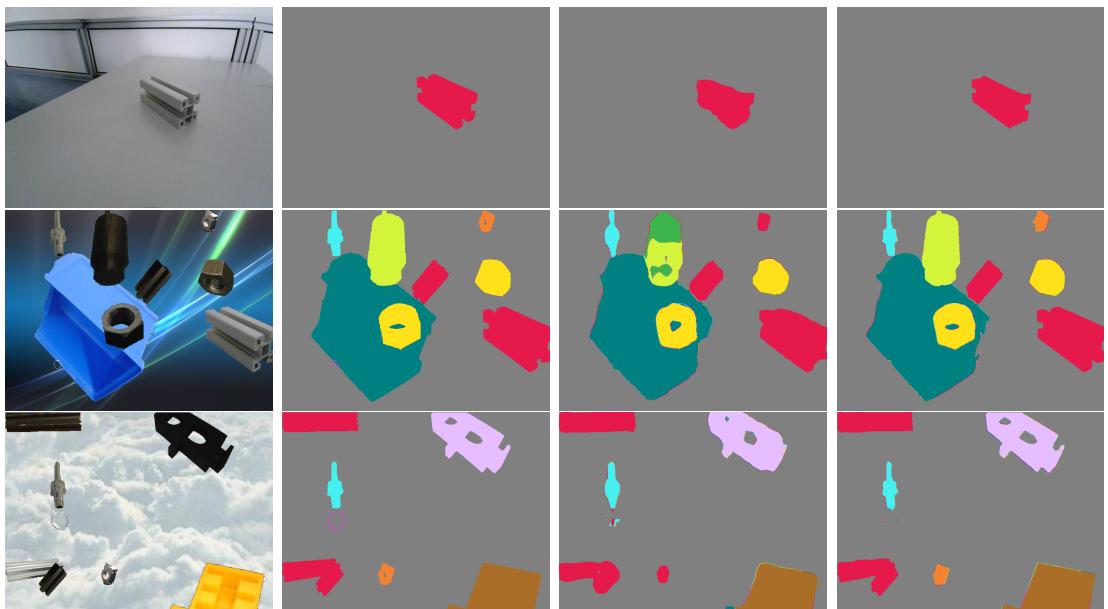


Figure B.4: Predictions of DeepLabv3+ on the **atWork\_similar\_shapes** variant of the variety of backgrounds dataset and the white backgrounds dataset.

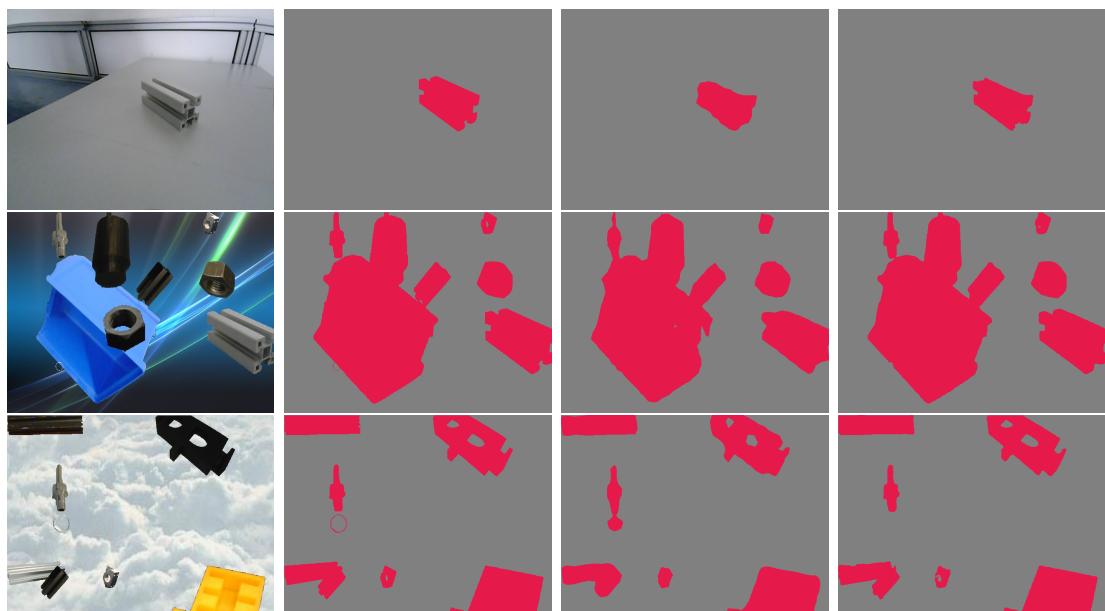


Figure B.5: Predictions of DeepLabv3+ on the **atWork\_binary** variant of the variety of backgrounds dataset and the white backgrounds dataset.

# C

## Hyperparameters

In this chapter, the hyperparameters used to train DeepLabv3+ with MobileNetv2 and Xception network backbones is provided (Table C.1).

## Appendix C. Hyperparameters

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Hyperparameter	DeepLabv3+ network backbone	
	MobileNetv2	Xception
Learning rate policy	Cosine restarts	Cosine restarts
Initial learning rate	0.01	0.07
Learning rate decay factor	0.1	0.1
Learning rate decay step	2000	2000
Number of training steps	30000	30000
Momentum	0.9	0.9
Training batch size	8	4
L2 weight decay	0.00004	0.00004
Input image spatial resolution	481, 641	481, 641
Fine tune batch norm parameters	Yes	Yes
Output stride	16	16
Atrous rates	None	6, 12, 18
Image level feature for ASPP	Used	Used
Batch norm parameters for ASPP	Used	Used
Separable convolution for ASPP	Used	Used
Decoder output stride	None	4
Separable convolution for decoder	None	Used
Randomly scale input images	Yes	Yes
Mininum scale factor for random scaling	0.5	0.5
Maximum scale factor for random scaling	2	2
Scale factor step size for random scaling	0.25	0.25

Table C.1: This table lists the hyperparameters used during training of DeepLabv3+ with MobileNetv2 and Xception network backbone.

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