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# **Self Driving Car - Reinforcement Learning**

## What is PPO? DQN?

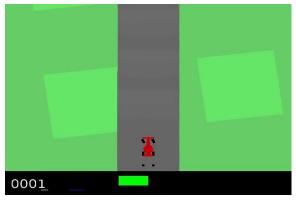
- PPO (Proximal Policy Optimization)
  - Easily implement cost function
  - Run gradient descent
  - Policy based

- DQN (Deep Q- Neural Network)
  - Stable training environment
    - Target Network
    - Skipping Frames
    - Rewards
  - Value based

### **Environment**

- OpenGym AI
  - Compatible with Python
  - Access to standardized environments

https://gym.openai.com/envs/CarRacing-v0/



- env = gym.make('CarRacing-v0')
- env.render()
- observation = env.reset()
- observation, reward, done, info = env.step(action)



## **Tools**

#### **TensorFlow**

- Open-source library
- Training and inference of deep neural networks

#### Keras

- API developed on top of TensorFlow Helps quickly build NN's

#### **Pytorch**

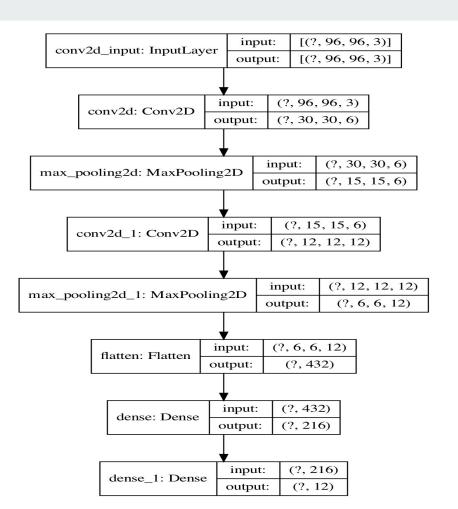
- Ease of use | debugging | dynamic graphs
- Conv2D
- Maxpool2d, Dense

#### **GPU** (graphics processing unit)

Co-processor for faster computing

### Model

- class Conv2D: 2D convolution layer
  (e.g. spatial convolution over images).
- class MaxPooling2D: Max pooling operation for 2D spatial data.
- **class Flatten**: Flattens the input. Does not affect the batch size.
- class Dense: the fully connected layer output = activation(dot(input, weight) + bias)



#### **Pseudo Code for DQN**

### Algorithm 1: deep Q-learning with experience replay. Initialize replay memory D to capacity N Initialize action-value function Q with random weights $\theta$ Initialize target action-value function $\hat{Q}$ with weights $\theta^- = \theta$ For episode = 1, M do Initialize sequence $s_1 = \{x_1\}$ and preprocessed sequence $\phi_1 = \phi(s_1)$ For t = 1,T do With probability $\varepsilon$ select a random action $a_t$ otherwise select $a_t = \operatorname{argmax}_a Q(\phi(s_t), a; \theta)$ Execute action $a_t$ in emulator and observe reward $r_t$ and image $x_{t+1}$ Set $s_{t+1} = s_t, a_t, x_{t+1}$ and preprocess $\phi_{t+1} = \phi(s_{t+1})$ Store transition $(\phi_t, a_t, r_t, \phi_{t+1})$ in DSample random minibatch of transitions $(\phi_j, a_j, r_j, \phi_{j+1})$ from DSet $y_j = \begin{cases} r_j & \text{if episode terminates at step } j+1 \\ r_j + \gamma \max_{a'} \hat{Q}(\phi_{j+1}, a'; \theta^-) & \text{otherwise} \end{cases}$ Perform a gradient descent step on $(y_j - Q(\phi_j, a_j; \theta))^2$ with respect to the network parameters $\theta$ Every C steps reset $\hat{Q} = Q$ **End For End For**

### **Pseudo Code for PPO**

#### Algorithm 1 PPO-Clip

- 1: Input: initial policy parameters  $\theta_0$ , initial value function parameters  $\phi_0$
- 2: **for** k = 0, 1, 2, ... **do**
- 3: Collect set of trajectories  $\mathcal{D}_k = \{\tau_i\}$  by running policy  $\pi_k = \pi(\theta_k)$  in the environment.
- 1: Compute rewards-to-go  $\hat{R}_t$ .
- 5: Compute advantage estimates,  $\hat{A}_t$  (using any method of advantage estimation) based on the current value function  $V_{\phi_k}$ .
- 6: Update the policy by maximizing the PPO-Clip objective:

$$\theta_{k+1} = \arg\max_{\theta} \frac{1}{|\mathcal{D}_k|T} \sum_{\tau \in \mathcal{D}_k} \sum_{t=0}^{T} \min\left(\frac{\pi_{\theta}(a_t|s_t)}{\pi_{\theta_k}(a_t|s_t)} A^{\pi_{\theta_k}}(s_t, a_t), \ g(\epsilon, A^{\pi_{\theta_k}}(s_t, a_t))\right),$$

typically via stochastic gradient ascent with Adam.

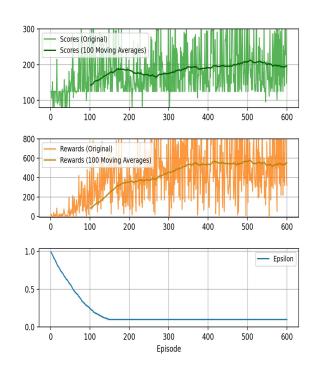
7: Fit value function by regression on mean-squared error:

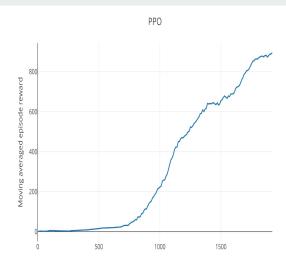
$$\phi_{k+1} = \arg\min_{\phi} \frac{1}{|\mathcal{D}_k|T} \sum_{\tau \in \mathcal{D}_k} \sum_{t=0}^{T} \left( V_{\phi}(s_t) - \hat{R}_t \right)^2,$$

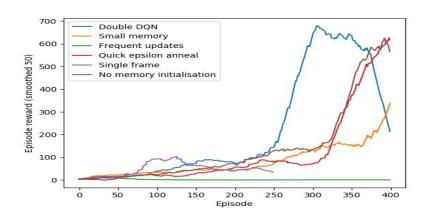
typically via some gradient descent algorithm.

8: end for

DQN PPO







### Conclusion

- PPO results seem better than DQN
  - Average episode reward is higher after 2000 episodes
- DQN is more sample efficient
  - Reaches high average episode reward in around 400/500 episodes