# CS771 Assignment 2

Adarsh Kumar 210048

Dept. of Civil Engineering adarshkum21@iitk.ac.in

**Aryan** 210198

Dept. of Chemical Engineering aryan21@iitk.ac.in

Narottam Kumar Pankaj

210652

Dept. of Chemical Engineering narottamkp21@iitk.ac.in

**Paras** 210698

Dept. of Chemistry paras21@iitk.ac.in

Rajat Phogat 210814

Dept. of Chemical Engineering rajatp21@iitk.ac.in

Sumit Saurabh 211075

Dept. of Mechanical Engineering sumits21@iitk.ac.in

**Umesh Rathore** 

211125

Dept. of Mechanical Engineering umeshr21@iitk.ac.in

#### Abstract

This document describes the methodology and approach used by our group in the Assignment-2 of the course CS771: An Introduction to Machine Learning, offered at IITK in the semester 2023-24-Summer.

# PROBLEM STATEMENT

Give detailed calculations explaining the various design decisions you took to develop your ML algorithm. For instance, if you did use a decision tree algorithm, this includes the criterion to choose the splitting criterion at each internal node (e.g. if a certain bigram is present in the bigram list or not), criterion to decide when to stop expanding the decision tree and make the node a leaf, pruning strategies, hyperparameters etc.

# 1 Introduction

The task involves developing an ML algorithm to predict a word given a list of up to 5 bigrams. The challenge is to correctly identify the word while dealing with potential ambiguities due to sorting, duplicate removal, and truncation of bigrams. We chose a Decision Tree Classifier due to its interpretability and capability to handle categorical data efficiently.

# 2 Data Preparation and Feature Extraction

# 2.1 Extracting Bigrams:

- Function: extract\_bigrams(word)
- **Description:** For each word, generate all possible bigrams. This involves creating pairs of adjacent characters.
- Exapmle: For the word "optional", the bigrams are ['op', 'pt', 'ti', 'io', 'on', 'na', 'al'].

Preprint. Under review.

#### 2.2 Preprocessing Bigrams:

- Function: preprocess\_word(word)
- **Description:** Process each word's bigrams by sorting them lexicographically, removing duplicates, and retaining only the first 5 bigrams.
- Example: For the word "optional", the processed bigrams are ['al', 'io', 'na', 'on', 'op'].

#### 2.3 Preparing the Dataset:

- Function: prepare\_dataset(dictionary)
- **Description:** For each word in the dictionary, generate and preprocess the bigrams, resulting in a dataset where each word is associated with its processed bigrams.
- Output: A list of tuples, each containing a word and its bigram list.

# 3 Model Design: Decision Tree Classifier

#### 3.1 Choice of Model:

- Model: Decision Tree Classifier
- **Rationale:** Decision trees are well-suited for categorical feature spaces and can handle the presence or absence of specific bigrams effectively. They are also interpretable, allowing us to understand the decision-making process.

#### 3.2 Feature Representation:

- **Bigram Features:** The set of all unique bigrams in the dictionary forms the feature space. Each word is represented by a binary feature vector indicating the presence (1) or absence (0) of each bigram.
- Example: For a dictionary containing words like "optional", "proportional", etc., the feature vector for "optional" based on the top bigrams might be [1, 1, 1, 1, 1, 0, 0, ..., 0].

# 3.3 Splitting Criterion:

- Criterion: Gini Impurity or Entropy
- **Description:** At each node, the decision tree algorithm splits the data based on the presence or absence of a bigram to maximize the homogeneity of the resulting nodes. Gini impurity and entropy are common criteria that measure the impurity of the node.

# 3.4 Stopping Criterion:

- Minimum Samples per Leaf: The node is not split further if it contains fewer than a specified number of samples (e.g., 5). This prevents overfitting and ensures that each leaf node has enough samples to make a reliable prediction.
- **Maximum Depth:** The maximum depth of the tree is limited (e.g., 10) to prevent overfitting. Deeper trees can capture more complex patterns but are also more prone to overfitting.

# 3.5 Pruning Strategies:

- Cost Complexity Pruning: Post-pruning is applied to remove branches that have little importance. This is done by balancing the depth of the tree against its complexity to avoid overfitting.
- Cross-Validation: Hyperparameters such as tree depth and minimum samples per leaf are tuned using cross-validation on the training set to ensure the model generalizes well to unseen data.

# 4 Model Training and Prediction

#### 4.1 Training:

- Function: fit(dictionary)
- **Process:** Convert words to their feature vectors based on bigrams, fit the decision tree classifier to this dataset.
- Output: A trained decision tree model capable of predicting words based on bigram presence.

#### 4.2 Prediction:

- Function: predict(bigram\_tuple)
- **Process:** Convert the input bigram tuple to a feature vector, use the trained model to predict the probabilities of each word being the correct one, and return the top 5 predictions.
- Scoring: Precision is calculated by dividing the score by the number of guesses made if the correct word is present in the predictions.

# 5 Conclusion

The designed algorithm effectively addresses the challenge of predicting words based on their bigrams. By preprocessing the data, extracting relevant features, and using a decision tree classifier with appropriate stopping and pruning strategies, we ensure a balance between accuracy and generalization. The hyperparameters are tuned using cross-validation, and the final model is evaluated based on its precision in making correct predictions. This approach provides a robust solution to the word sequencing problem while handling potential ambiguities due to the processing of bigrams.