







# Don't forget, there is more than forgetting: new metrics for Continual Learning

Natalia Díaz Rodríguez<sup>1\*</sup>, Vincenzo Lomonaco<sup>2\*</sup>, Davide Maltoni<sup>2</sup> and David Filliat<sup>1</sup> November 29, 2018

(1) ENSTA ParisTech, Inria FLOWERS team, France. https://flowers.inria.fr/ http://asr.ensta-paristech.fr/ {natalia.diaz,david.filliat}@ensta-paristech.fr.

 $(2) \ University \ of \ Bologna, \ Italy. \ \{ \verb|vincenzo.lomonaco,davide.maltoni| \} @unibo.it \ (*) \ Equal \ contribution.$ 

2nd Continual Learning Workshop, NeurIPS. 7th Dec. 2018, Montreal, Canada https://continualAI.org

### **Outline**

- Continual Learning
- Motivation
- Continual Learning Framework
- New Metrics for Continual Learning (WIP)
- Experiments
- Conclusions

Continual Learning (CL)

### **Continual Learning Algorithms:**

- learn from a stream of data/tasks
- continuously and adaptively thought time
- enable the incremental development of ever more complex knowledge and skills.



#### **Motivation:**

- The lack of consensus in evaluating continual learning algorithms
- Almost exclusive focus on catastrophic forgetting <sup>1</sup>

We propose: Comprehensive, implementation independent metrics accounting for factors we believe have practical implications worth considering w.r.t.:

- "Non-static" ML settings
- Deployment of real AI systems that learn continually

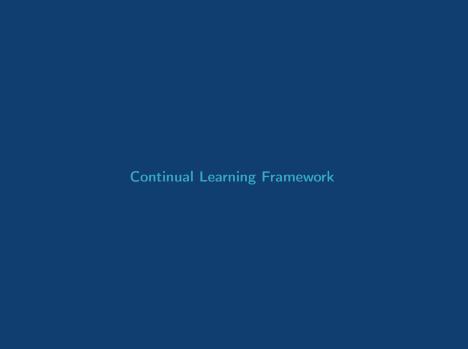
<sup>&</sup>lt;sup>1</sup>[McCloskey and Cohen, 1989, French, 1999]

## Catastrophic forgetting<sup>3</sup>

- The well-known phenomenon of a neural network experiencing a rapid overriding of previously learned knowledge when trained sequentially on new data.
- An important objective quantified for assessing the quality of CL approaches<sup>2</sup>.

<sup>&</sup>lt;sup>2</sup>[Serrà et al., 2018, Lopez-Paz and Ranzato, 2017, Hayes et al., 2018, Farquhar and Gal, 2018]

<sup>&</sup>lt;sup>3</sup>[McCloskey and Cohen, 1989, French, 1999]



### **Continual Learning Framework**

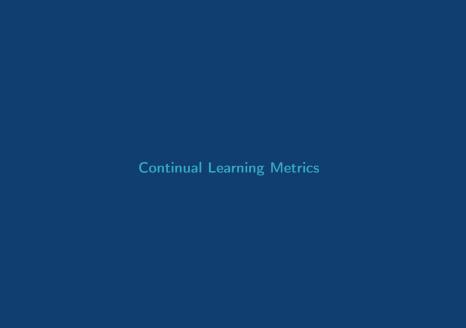
In Continual Learning,

- $\mathcal{D} = \{D_1, \dots, D_n\}$ : a potentially infinite sequence of unknown distributions over  $X \times Y$  encountered over time
- X and Y input and output r.v.
- h\*: general target function (i.e. our ideal prediction model)
- Task T: defined by a unique task label t and its target function  $g_{\hat{t}}^*(x) \equiv h^*(x, t = \hat{t})$  (i.e., the objective of its learning).

A CL algorithm  $A^{CL}$ : has the signature:

$$\forall D_i \in \mathcal{D}, \qquad A_i^{CL}: < h_{i-1}, Tr_i, M_{i-1}, t > \rightarrow < h_i, M_i >$$
 (1)

- hi: the model
- $Tr_i$ : training set of examples drawn from the respective  $D_i$  distribution
- $M_i$ : external memory that can store previous training examples
- N: nr of tasks (one per  $Tr_i$ ).



# Accuracy (A)<sup>5</sup>

Originally assessing the performance of the model at the end of the last  $task^4$ , we extend A to account for performance at every timestep in time:

$$A = \frac{\sum_{i \ge j}^{N} R_{i,j}}{\frac{N(N+1)}{2}} \tag{2}$$

 $R_{i,j}$  in Accuracy matrix  $R \in \mathbb{R}^{N \times N}$ : test classification accuracy on task  $t_j$  after observing the last sample from task  $t_i$ .

R	$Te_1$	$Te_2$	Te <sub>3</sub>
$Tr_1$	R*	$R_{ij}$	$R_{ij}$
$Tr_2$	$R_{ij}$	$R^*$	$R_{ij}$
Tr <sub>3</sub>	$R_{ij}$	$R_{ij}$	$R^*$

<sup>&</sup>lt;sup>4</sup>[Lopez-Paz and Ranzato, 2017]

 $<sup>^{6}</sup>$  Accuracy matrix R: elements accounted to compute A (white & cyan), BWT (cyan), and FWT (gray).  $R^{*}=R_{ii}$ ,  $Tr_{i}=$  training,  $Te_{i}=$  test tasks.

## Backward Transfer (BWT)<sup>7</sup>

BWT measures the influence that learning a task has on the performance on previous tasks  $^{6}$ .

$$BWT = \frac{\sum_{i=2}^{N} \sum_{j=1}^{i-1} (R_{i,j} - R_{j,j})}{\frac{N(N-1)}{2}}$$
(3)

R	$Te_1$	Te <sub>2</sub>	Te <sub>3</sub>
Tr <sub>1</sub>	R*	Rij	R <sub>ij</sub>
$Tr_2$	$R_{ij}$	$R^*$	$R_{ij}$
Tr <sub>3</sub>	$R_{ij}$	$R_{ij}$	$R^*$

<sup>&</sup>lt;sup>6</sup>[Lopez-Paz and Ranzato, 2017]

 $<sup>^7</sup>$ Accuracy matrix R: elements accounted to compute A (white & cyan), BWT (cyan), and FWT (gray).  $R^*=R_{ii}$ ,  $Tr_i=$  training,  $Te_i=$  test tasks.

## Backward Transfer ( $BWT^+$ ) and Remembering (REM)

BWT is broken into two different clipped terms: (originally negative BWT, forgetting), **Remembering**:

$$REM = 1 - |min(BWT, 0)| \tag{4}$$

and (originally positive BWT) improvement over time: **Positive Backward Transfer** ( $BWT^+$ ):

$$BWT^{+} = \max(BWT, 0) \tag{5}$$

## Forward Transfer (FWT)<sup>9</sup>

Measures the influence that learning a task has on the performance of future tasks<sup>8</sup>:

$$FWT = \frac{\sum_{i < j}^{N} R_{i,j}}{\frac{N(N-1)}{2}}$$
 (6)

R	Te <sub>1</sub>	$Te_2$	Te <sub>3</sub>
$Tr_1$	R*	$R_{ij}$	$R_{ij}$
$Tr_2$	$R_{ij}$	$R^*$	$R_{ij}$
$Tr_3$	$R_{ij}$	$R_{ij}$	$R^*$

FWT can occur when the model is able to perform zero-shot learning.

<sup>&</sup>lt;sup>8</sup>[Lopez-Paz and Ranzato, 2017]

<sup>&</sup>lt;sup>9</sup>Accuracy matrix R: elements accounted to compute A (white & cyan), BWT (cyan), and FWT (gray).  $R^*=R_{ii}$ ,  $Tr_i=$  training,  $Te_i=$  test tasks.

### Model size (MS) efficiency

The memory size of model  $h_i$ , quantified in terms of parameters  $\theta$  at each task i,  $Mem(\theta_i)$ , should not grow too rapidly with respect to the size of the model that learned the first task,  $Mem(\theta_1)$ :

$$MS = min(1, \frac{\sum_{i=1}^{N} \frac{Mem(\theta_1)}{Mem(\theta_i)}}{N})$$
 (7)

## Samples storage size (SSS) efficiency

The memory occupation in bits by the samples storage memory M, Mem(M), should be bounded by the occupation of the total nr of examples encountered at the end of last task:

$$SSS = 1 - min(1, \frac{\sum_{i=1}^{N} \frac{Mem(M_i)}{Mem(D)}}{N})$$
 (8)

• D: the lifetime dataset associated to all distributions  $\mathcal{D}$ .

## Computational efficiency (CE)

CE is bounded by the nr of operations for training set  $Tr_i$ :

$$CE = min(1, \frac{\sum_{i=1}^{N} \frac{Ops\uparrow\downarrow(Tr_{i})\cdot\varepsilon}{1+Ops(Tr_{i})}}{N})$$
 (9)

- $Ops(Tr_i)$ : nr (mul-adds) operations needed to learn  $Tr_i$
- $Ops \uparrow \downarrow (Tr_i)$ : operations required to do one forward and one backward (backprop) pass on  $Tr_i$ .

#### CL<sub>score</sub>

We fuse<sup>10</sup> these metrics into a single score:

$$CL_{score} = \sum_{i=1}^{\#C} w_i c_i \tag{10}$$

- $c_i \in [0,1]$ : avg. of r runs of  $c_i$  assigned a weight  $w_i \in [0,1]$  s.t.  $\sum_i^{\mathcal{C}} w_i = 1$
- As each  $c_i$ , the final  $CL_{score}$ :
  - $\bullet \ \in [0,1]$
  - is to be maximized.
  - can rank CL strategies

 $<sup>^{10}</sup>$ Drawing inspiration from the standard Multi-Attribute Value Theory (MAVT)[Ishizaka and Nemery, 2013, Keeney and Raiffa, 1993]

### **CL**<sub>stability</sub>

The average of the std. deviations from all previous criteria  $c_i$ :

$$CL_{stability} = 1 - \sum_{i=1}^{\#C} w_i \sigma_{c_i}$$
 (11)

- $c_i \in [0,1]$ : avg. of r runs assigned a weight  $w_i \in [0,1]$  s.t.  $\sum_i^{\mathcal{C}} w_i = 1$
- $\sigma_{c_i}$ : std. deviation of criterion  $c_i$

# Experiments: Dataset and Baselines (as in <sup>16</sup>)

**Dataset**: iCIFAR-100: each of the 10 tasks: a training batch of 10 disjoint classes at a time.

#### Baselines:

- Lower bound: *Naïve* baseline strategy: starts at  $Tr_1$  and learns continuously the coming training sets  $Tr_2, ..., Tr_N$  simply tuning the model across batches<sup>11</sup>.
- Upper bound: Cumulative strategy: starts from scratch every time, learning from the accumulation of Tr<sub>1</sub>, ..., Tr<sub>i-1</sub>, Tr<sub>i</sub> retrained with the patterns from the current batch and all previous batches<sup>12</sup>.

#### CL strategies:

- Elastic Weight Consolidation (EWC)<sup>13</sup>
- Synaptic Intelligence (SI)<sup>14</sup>
- Learning without Forgetting (LwF)<sup>15</sup>

<sup>&</sup>lt;sup>11</sup>Without any specific mechanism to control forgetting, except early stopping

<sup>&</sup>lt;sup>12</sup>Only in this approach we assume all previous data can be stored and reused.

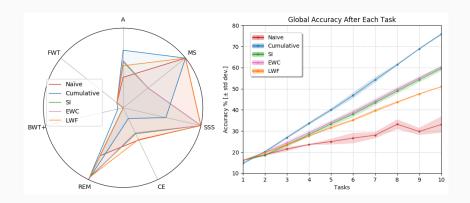
<sup>13[</sup>Kirkpatrick et al., 2016]

<sup>&</sup>lt;sup>14</sup>[Zenke et al., 2017]

<sup>15[</sup>Li and Hoiem, 2016]

<sup>&</sup>lt;sup>16</sup>[Maltoni and Lomonaco, 2018, Rebuffi et al., 2018]

### Experiments: Accuracy per CL strategy computed over the fixed test set



- The larger the area under the CL algorithm curve, the highest (more optimal) CL<sub>score</sub> is.
- The farther away from the cumulative (blue) surface, the larger room for improvement

### **Experiments**

Table 1: CL metrics for each CL strategy (higher is better)

Str.	Α	REM	$BWT^+$	FWT	MS	SSS	CE	$CL_{score}$	$CL_{stability}$
								0.5140	
Cum	0.7225	1.0000	0.0673	0.1000	1.0000	0.5500	0.1496	0.5128	0.9979
EWC	0.5940	0.9821	0.0000	0.1000	0.4000	1.0000	0.3495	0.4894	0.9972
LWF	0.5278	0.9667	0.0000	0.1000	1.0000	1.0000	0.4429	0.5768	0.9986
SI	0.5795	0.9620	0.0000	0.1000	0.4000	1.0000	0.3613	0.4861	0.9970

### Weight configuration:

$$\textit{W}_1 = \left[\textit{w}_\textit{A}, \textit{w}_\textit{MS}, \textit{w}_\textit{SSS}, \textit{w}_\textit{CE}, \textit{w}_\textit{BWT}^+, \textit{w}_\textit{REM}, \textit{w}_\textit{FWT}\right] = \textit{w}_\textit{i} = \frac{1}{\#\mathcal{C}}$$

### **Experiments**

Strategy/CL Metric	CL <sub>score</sub>			$CL_{stability}$		
	$W_1$	$W_2$	$W_3$	$W_1$	$W_2$	$W_3$
Naïve	0.5140	0.5529	0.5312	0.9986	0.9969	0.9973
Cumulative	0.5128	0.6223	0.5373	0.9979	0.9976	0.9964
EWC	0.4894	0.6449	0.5816	0.9972	0.9976	0.9940
LWF	0.5768	0.6554	0.6030	0.9986	0.9990	0.9972
SI	0.4861	0.6372	0.5772	0.9970	0.9945	0.9927

Three weight configurations  $W = [w_A, w_{MS}, w_{SSS}, w_{CE}, w_{BWT^+}, w_{REM}, w_{FWT}]$ :

- $W_1$ :  $w_i = \frac{1}{\#\mathcal{C}}$
- $W_2 = [0.4, 0.1, 0.1, 0.1, 0.2, 0.05, 0.05]$
- $W_3 = [0.4, 0.05, 0.2, 0.2, 0.05, 0.05, 0.05]^{17}$ .

 $<sup>^{\</sup>bf 17}\!\mathsf{Same}$  CNN model as in [Zenke et al., 2017, Maltoni and Lomonaco, 2018] (4 conv. + 2 FC layers)

#### **Future work**

- Provide more insights to assess:
  - importance of different metric schemes
  - their entanglement
- How to use metrics wisely to assist choosing among algorithms
- Evolve and extend the metrics beyond classification
- More datasets<sup>18</sup>, tasks, ... Adoption (!)



<sup>8</sup>CORe50 CL Dataset https://vlomonaco.github.io/core50/ [Lomonaco'17]

### Thank you!

Join! https://www.continualai.org/
Slack channel: https://continualai.herokuapp.com/





#### References i

- Sebastian Farquhar and Yarin Gal. Towards robust evaluations of continual learning. arXiv preprint arXiv:1805.09733, 2018.
- Robert M. French. Catastrophic forgetting in connectionist networks. *Trends in Cognitive Sciences*, 3(4):128–135, 1999. ISSN 13646613. doi: 10.1016/S1364-6613(99)01294-2.
- Tyler L Hayes, Nathan D Cahill, and Christopher Kanan. New Metrics and Experimental Paradigms for Continual Learning. pages 1–4, 2018. doi: 10.1109/CVPRW.2018.00273.
- Alessio Ishizaka and Philippe Nemery. Multi-criteria decision analysis: methods and software. John Wiley & Sons, 2013.
- RL Keeney and H Raiffa. Decision with multiple objectives, preferences and value tradeoffs. 1993.
- J. Kirkpatrick, R. Pascanu, N. Rabinowitz, J. Veness, G. Desjardins, A. A. Rusu, K. Milan, J. Quan, T. Ramalho, A. Grabska-Barwinska, D. Hassabis, C. Clopath, D. Kumaran, and R. Hadsell. Overcoming catastrophic forgetting in neural networks. *ArXiv e-prints*, December 2016.
- Z. Li and D. Hoiem. Learning without Forgetting. ArXiv e-prints, June 2016.
- D. Lopez-Paz and M. Ranzato. Gradient Episodic Memory for Continual Learning. ArXiv e-prints, June 2017.
- Davide Maltoni and Vincenzo Lomonaco. Continuous learning in single-incremental-task scenarios. arXiv preprint arXiv:1806.08568, 2018.

- Michael McCloskey and Neal J Cohen. Catastrophic interference in connectionist networks: The sequential learning problem. In Psychology of learning and motivation, volume 24, pages 109-165. Elsevier, 1989.
- Sylvestre-Alvise Rebuffi, Hakan Bilen, and Andrea Vedaldi. Efficient parametrization of multi-domain deep neural networks. pages 8119-8127, 2018. doi: 10.1109/CVPR.2018.00847, URL http://arxiv.org/abs/1803.10082.
- Joan Serrà, Dídac Surís, Marius Miron, and Alexandros Karatzoglou. Overcoming catastrophic forgetting with hard attention to the task. CoRR, abs/1801.01423, 2018. URL http://arxiv.org/abs/1801.01423.
- Friedeman Zenke, Ben Poole, and Surya Ganguli. Continual learning through synaptic intelligence. In Doina Precup and Yee Whye Teh, editors, Proceedings of the 34th International Conference on Machine Learning, volume 70 of Proceedings of Machine Learning Research, pages 3987-3995, International Convention Centre, Sydney, Australia, 06-11 Aug 2017. PMLR. URL http://proceedings.mlr.press/v70/zenke17a.html.