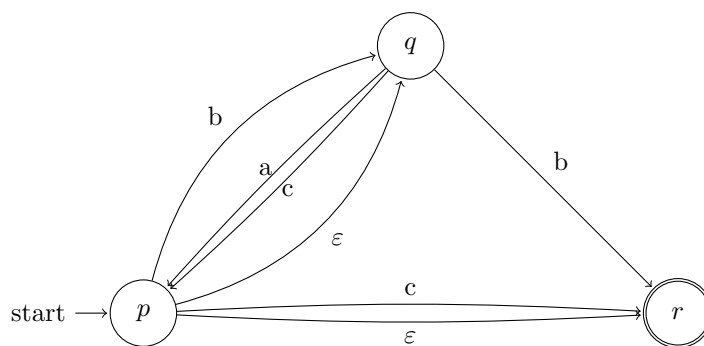


## CSCE 355 Homework 3

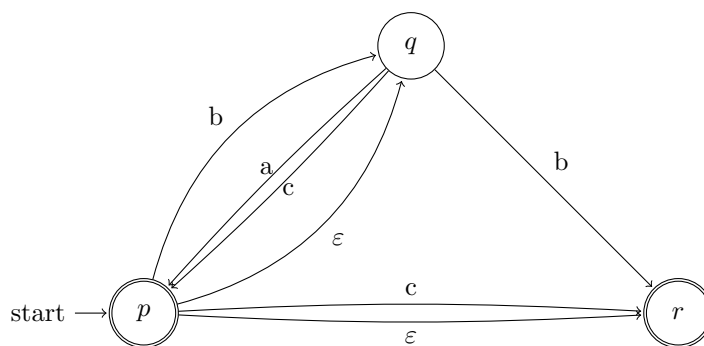
**Problem 1** For the  $\varepsilon$ -NFA of textbook Exercise 2.5.2,

	$\varepsilon$	$a$	$b$	$c$
$\rightarrow p$	$\{q, r\}$	$\emptyset$	$\{q\}$	$\{r\}$
$q$	$\emptyset$	$\{p\}$	$\{r\}$	$\{p, q\}$
$*r$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$

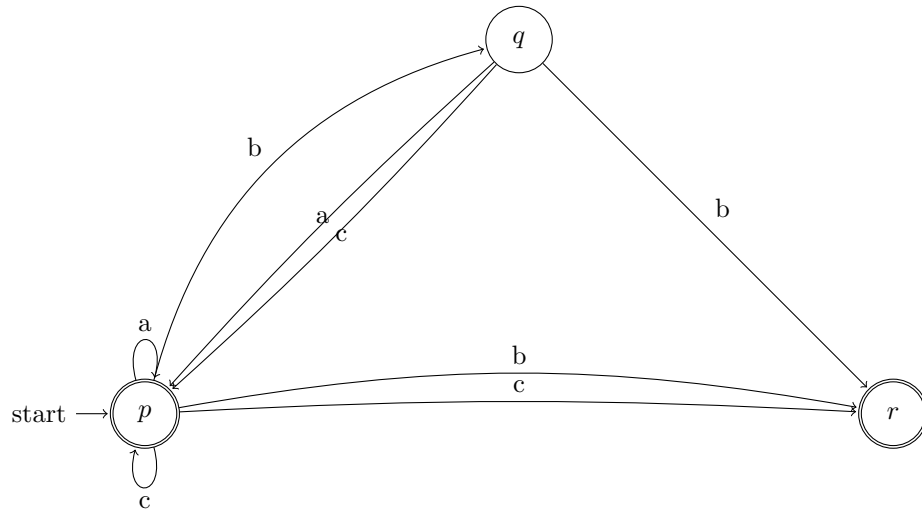
find an equivalent NFA (without  $\varepsilon$ -moves) using the method explained in class. This is also Method 2 described in the COURSE NOTES (link from the course homepage) in Section 10.4.



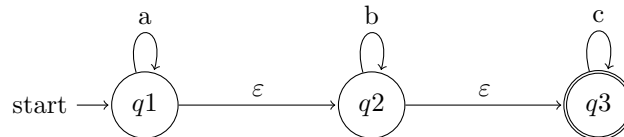
After making  $p$  accepting as it has an  $\varepsilon$ -transition to  $r$ , an accepting state:



After adding new transitions to bypass  $\varepsilon$ -transitions:



**Problem 2** Do Problem 2.3 (pp. 81–82): Design an  $\varepsilon$ -NFA for the following language: the set of all strings consisting of zero or more  $a$ 's followed by zero or more  $b$ 's, followed by zero or more  $c$ 's. Try to use  $\varepsilon$ -transitions to simplify your design.



**Problem 3** Do Problem 2.3 (pp. 81–82). Here is the transition function of a simple, deterministic automaton with start state  $A$  and accepting state  $B$ :

	0	1
A	A	B
B	B	A

We want to show that this automaton accepts exactly those strings with an odd number of 1's, or more formally:

$$\delta(A, w) = B \text{ if and only if } w \text{ has an odd number of 1's.}$$

Here,  $\delta$  is the extended transition function of the automaton; this is,  $\delta(A, w)$  is the state that the automaton is in after processing input string  $w$ . The proof of the statement above is an induction on the length of  $w$ . Below, we give the proof with reasons missing. You must give a reason for each step, and then demonstrate your understanding of the proof by classifying your reasons into the following three categories:

- A) Use of the inductive hypothesis.
- B) Reasoning about properties of deterministic finite automata, e.g., that if string  $s = yz$ , then  $\delta(q, s) = \delta(\delta(q, y), z)$ .
- C) Reasoning about properties of binary strings (strings of 0's and 1's), e.g. that every string is longer than any of its proper substrings.

Basis ( $|w| = 0$ ):

### Homework 3

1.  $w = \varepsilon$  because:
2.  $\delta(A, \varepsilon) = A$  because:
3.  $\varepsilon$  has an even number of 0's because:

Induction ( $|w| = n > 0$ ):

4. There are two cases: (a) when  $w = x1$  and (b) when  $w = x0$  because:

Case (a):

5. In case (a),  $w$  has an odd number of 1's if and only if  $x$  has even number of 1's because:
6. In case (a),  $\delta(A, x) = A$  if and only if  $w$  has an odd number of 1's because:
7. In case (a),  $\delta(A, w) = B$  if and only if  $w$  has an odd number of 1's because:

Case (b):

8. In case (b),  $w$  has an odd number of 1's if and only if  $x$  has an odd number of 1's because:
9. In case (b),  $\delta(A, x) = B$  if and only if  $w$  has an odd number of 1's because:
10. In case (b),  $\delta(A, w) = B$  if and only if  $w$  has an odd number of 1's because:

1. (C):  $\varepsilon$  is the unique string with length 0.
2. (B): If no characters are processed, then clearly the state will stay the same.
3. (C):  $\varepsilon$  has 0 characters, so in particular it has zero 0's. Since zero is even,  $\varepsilon$  has an even number of 0's.
4. (C): By definition, since  $|w| > 0$ ,  $w$  has a primary prefix  $x$  and a last character  $a \in \Sigma$ . Since  $\Sigma = \{0, 1\}$ , there are two options for the last character. These are the two cases.
5. (C): Since  $w = x1$  has one more 1 than in  $w$ , the number of 1's in  $w$  and  $x$  must have different parities (adding 1 to any number flips the parity).
6. (A): We have

$$\begin{aligned}
 \delta(A, x) = A &\iff \delta(A, x) \neq B && \text{(there are only two states)} \\
 &\iff x \text{ does not have an odd number of 1's} && \text{(induction hypothesis)} \\
 &\iff x \text{ has an even number of 0's} && \text{(integer property)} \\
 &\iff w \text{ has an odd number of 1's.} && \text{(from 5)}
 \end{aligned}$$

7. (B): We have

$$\begin{aligned}
 \delta(A, w) = B &\iff \delta(\delta(A, x), 1) = B && \text{(since } w = x1\text{)} \\
 &\iff \delta(A, x) = A && \text{(definition of } \delta: \delta(q, 1) = B \iff q = A\text{)} \\
 &\iff w \text{ has an odd number of 1's.} && \text{(from 6)}
 \end{aligned}$$

8. (C): Since  $w = x0$  has the same number of 1's as  $w$ , the number of 1's in  $w$  and  $x$  must have the same parity as they are equal.

9. (A): We have

$$\begin{aligned}\delta(A, x) = B &\iff x \text{ has an odd number of 1's} && \text{(induction hypothesis)} \\ &\iff w \text{ has an odd number of 1's.} && \text{(from 8)}\end{aligned}$$

10. (B): We have

$$\begin{aligned}\delta(A, w) = B &\iff \delta(\delta(A, x), 0) = B && \text{(since } w = x0\text{)} \\ &\iff \delta(A, x) = B && \text{(definition of } \delta: \delta(q, 0) = B \iff q = B\text{)} \\ &\iff w \text{ has an odd number of 1's.} && \text{(from 9)}\end{aligned}$$

#### Problem 4

- (a) Show that every regular language is recognized by an  $\varepsilon$ -NFA where out of each state there is *no more than one*  $\varepsilon$ -transition and *no more than one* non- $\varepsilon$ -transition (i.e., a transition on a symbol from the alphabet).
- (b) Show that every regular language is recognized by an  $\varepsilon$ -NFA where out of each state there is *exactly one*  $\varepsilon$ -transition and *exactly one* non- $\varepsilon$ -transition (i.e., a transition on a symbol from the alphabet). (A solution to this part is obviously also a solution to the previous part.)

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Since proving  $b$  proves  $a$ , that is the only proof written.

First, we can use our favorite method to remove every  $\varepsilon$ -transitions. Let us look at the states with multiple alphabet transitions, which we'll call  $q$ .

Let  $t_1, \dots, t_k$  be the transitions of  $q$ , where  $k \geq 2$ . Then we can replace  $q$  with  $k$  new states, called  $q_1, \dots, q_k$ , with a single  $\varepsilon$ -transition between sequential  $q_i$ 's, and an alphabet transition of  $t_i$  from  $q_i$  to whatever the destination was before.

Now we have states with 1 or 0  $\varepsilon$ -transitions and 1 or 0 alphabet transitions. For every state that doesn't have both types of transitions, make a new state that is rejecting and self-loops with both transitions, and then connect the first state to that new state by the transition type missing.

Now we created our  $\varepsilon$ -NFA with every state having exactly one  $\varepsilon$ -transition and one alphabet transition.

**Problem 5** Do Exercise 3.1.1(b,c): Write regexes for the following languages:

- b) The set of strings of 0's and 1's whose tenth symbol from the right end is 1.
- c) The set of strings of 0's and 1's with at most one pair of consecutive 1's.

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(b) The string must be at least 10 symbols long, but there can be anything before and anything after the 10<sup>th</sup>-to-last symbol, so a regex for this language is

$$(0 + 1)^* 1 (0 + 1)^9.$$

(c) We can split any string in this language into three optional parts:

- The first part (if it exists) will have no consecutive 1's, and it needs to end in a 0 to make way for the second part.
- The second part is where the one pair of consecutive 1's can show up, but it will also allow for a singular 1 (in case the input is 1) or the empty string (in case there is no pair of consecutive 1's, or the input is empty).
- Finally, the third part (if it exists) will start with 0 to ensure there is no pair made with the second part, and this part will also contain no consecutive 1's.

So a regex for this language is

$$(0 + 10)^*(11 + 1 + \varepsilon)(0 + 01)^*.$$

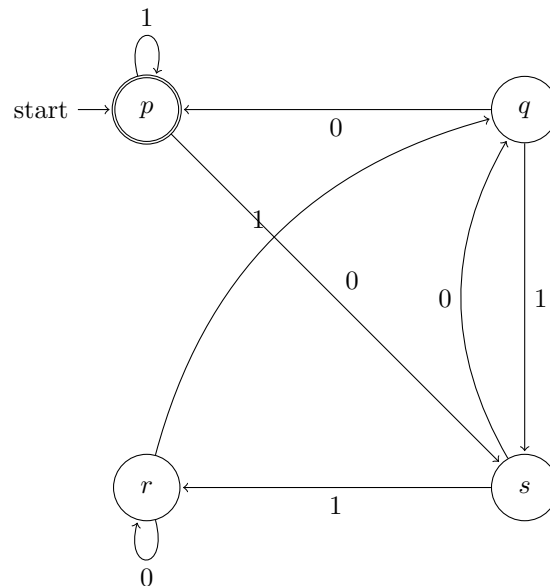
**Problem 7,14** Write a regular expression for the language of strings over  $\{a, b, c\}$  where no  $a$  appears after any  $b$  or  $c$ .

Since no  $a$  can occur after  $b$  or  $c$ , any string in this language will consist of a (possibly empty) string of  $a$ 's, and then a string of  $b$ 's and  $c$ 's. So a regex for this language is

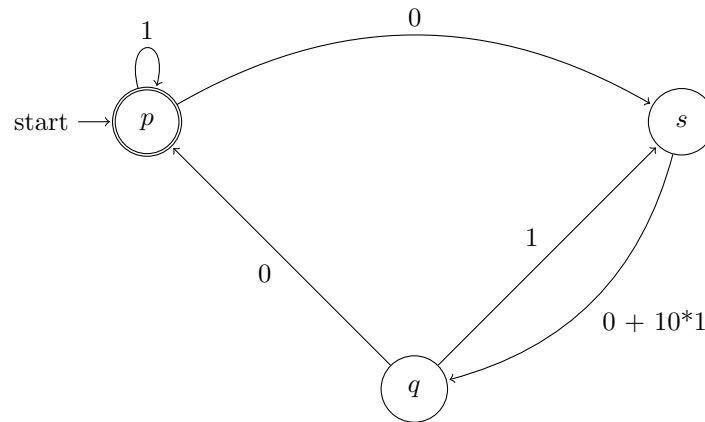
$$a^*(b + c)^*.$$

**Problem 8** Do Exercise 3.2.3: Convert the following DFA to a regular expression, using the state-elimination technique of Section 3.2.2.

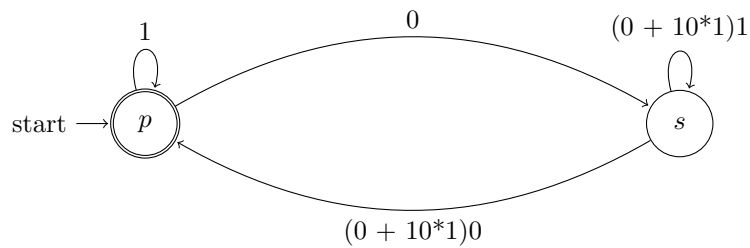
	0	1
$\rightarrow *p$	$s$	$p$
$q$	$p$	$s$
$r$	$r$	$q$
$s$	$q$	$r$



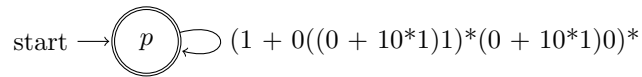
Since  $r$  has the fewest bypasses it is eliminated first.



We then eliminate  $q$  ( $q$  and  $s$  are tied for bypasses).

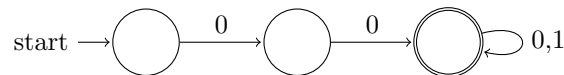


We finally eliminate  $s$  to only have  $p$  and produce the regex.



**Problem 9** Do Exercise 3.2.4(c): Convert the following regex to an  $\varepsilon$ -NFA:  $00(0 + 1)^*$ .

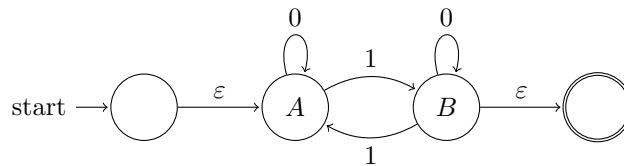
This is clear and doesn't need to be complicated by adding a billion  $\varepsilon$  moves. We add a state for the first 0, then another one for the second 0, then that last state is accepting with a loop for a 0 or 1 since it can be any number of either.



**Problem 10** Recall the DFA  $D$  we constructed that accepts a binary string iff it has an odd number of 1's:

	0	1
$\rightarrow A$	A	B
$*B$	B	A

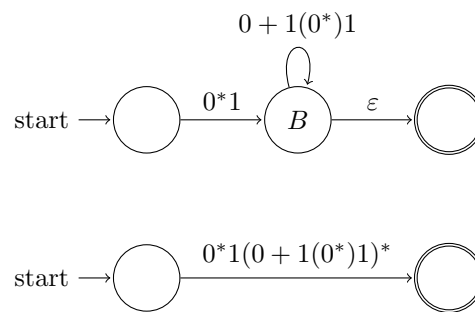
- (a) Convert  $D$  into an equivalent clean  $\varepsilon$ -NFA using the clean-up procedure in class (add a new start state, a new final state, and some  $\varepsilon$ -transitions).



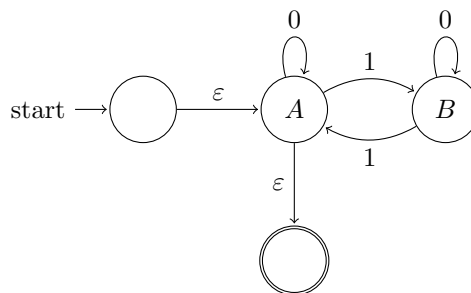
- (b) Use the state elimination method to convert  $D$  to a regular expression. Eliminate state  $A$  first, then  $B$ .

The loop on  $A$  symbolizes an unspecified amount of 0's, and then needs a 1 to move closer to an accepting state, so replace  $A$  with edge of  $0^*1$ .

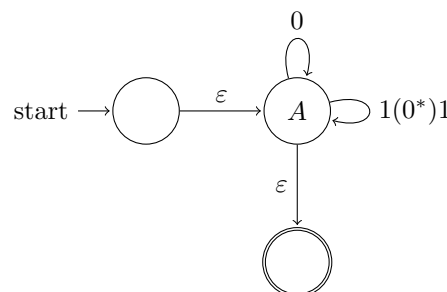
Imagining we are at  $B$  and we don't take the  $\epsilon$  move to the accepting state, we can go to either stay at  $B$  or move to state  $A$  and stay for any amount of time and move back to  $B$ . That thought can happen any number of times, so this is represented by a loop of  $0 + 1(0^*)1$ .



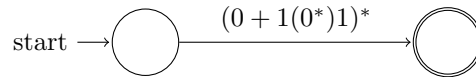
**Problem 11** Same exercise as Problem 10, except make  $A$  the final state (so that  $D$  accepts a string iff it has an *even* number of 1's).



Let us remove state  $B$  first since it is not connected to the start and end states. The drawing of  $B$  already kind of looks like a loop, so it's easy to see that all of  $B$  stuff can be replaced by a loop of  $1(0^*)1$ .



So each loop on  $A$  can be combined with  $+$ , and since they are loops they represent it happening any number of times.



**Problem 13** Draw the transition diagram of an  $\varepsilon$ -NFA equivalent to the regex  $(a + bc)^*aa$ . You may (but are not required to) contract  $\varepsilon$ -transitions provided it is safe to do so.

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