

# **CSE 15**

# **Discrete Mathematics**

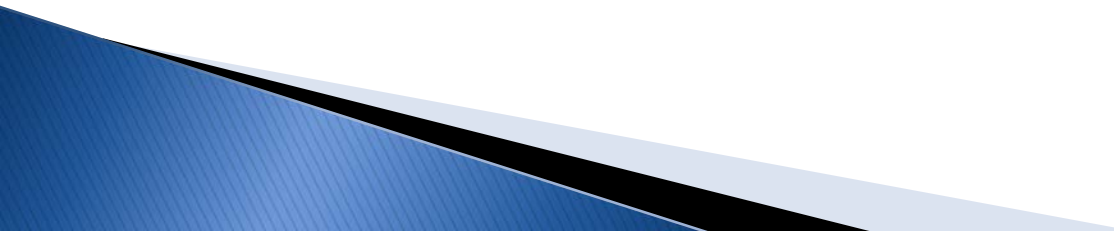
**Lecture 15 – Number Theory &  
Cryptography**



# Announcement

- ▶ HW #7
  - Due **5pm** 11/7 (Wed) with 1 extra day of re-submission.
- ▶ Reading assignment
  - Ch. 5.1 – 5.4 of textbook

# Divisibility and Modular Arithmetic (Ch. 4.1)

- ▶ Division
  - ▶ Division Algorithm
  - ▶ Modular Arithmetic
- 

# Division

**Definition:** If  $a$  and  $b$  are integers with  $a \neq 0$ , then  $a$  divides  $b$  if there exists an integer  $c$  such that  $b = ac$ .

- When  $a$  divides  $b$  we say that  $a$  is a *factor* or *divisor* of  $b$  and that  $b$  is a multiple of  $a$ .
- The notation  $a \mid b$  denotes that  $a$  divides  $b$ .
- If  $a \mid b$ , then  $b/a$  is an integer.
- If  $a$  does not divide  $b$ , we write  $a \nmid b$ .

**Example:** Determine whether  $3 \mid 7$  and whether  $3 \mid 12$ .

# Properties of Divisibility

**Theorem 1:** Let  $a$ ,  $b$ , and  $c$  be integers, where  $a \neq 0$ .

- i. If  $a \mid b$  and  $a \mid c$ , then  $a \mid (b + c)$ ;
- ii. If  $a \mid b$ , then  $a \mid bc$  for all integers  $c$ ;
- iii. If  $a \mid b$  and  $b \mid c$ , then  $a \mid c$ .

**Proof:** (i) Suppose  $a \mid b$  and  $a \mid c$ , then it follows that there are integers  $s$  and  $t$  with  $b = as$  and  $c = at$ . Hence,

$$b + c = as + at = a(s + t). \quad \text{Hence, } a \mid (b + c).$$

**Corollary:** Let  $a$ ,  $b$ , and  $c$  be integers, where  $a \neq 0$ , such that  $a \mid b$  and  $a \mid c$ , then  $a \mid mb + nc$  whenever  $m$  and  $n$  are integers.

# Division Algorithm

- ▶ When an integer is divided by a positive integer, there is a quotient and a remainder. This is traditionally called the “Division Algorithm,” but is really a theorem.

**Division Algorithm:** If  $a$  is an integer and  $d$  a positive integer then there are unique integers  $q$  and  $r$ , with  $0 \leq r < d$ , such that  $a = dq + r$  (proved in Section 5.2).

- $d$  is called the *divisor*.
- $a$  is called the *dividend*.
- $q$  is called the *quotient*.
- $r$  is called the *remainder*.

Definitions of Functions **div** and **mod**

$$q = a \mathbf{div} d$$

$$r = a \mathbf{mod} d$$

## Examples:

- What are the quotient and remainder when 101 is divided by 11?

**Solution:** The quotient when 101 is divided by 11 is  $9 = 101 \mathbf{div} 11$ , and the remainder is  $2 = 101 \mathbf{mod} 11$ .

- What are the quotient and remainder when  $-11$  is divided by 3?

**Solution:** The quotient when  $-11$  is divided by 3 is  $-4 = -11 \mathbf{div} 3$ , and the remainder is  $1 = -11 \mathbf{mod} 3$ .

# Congruence Relation

**Definition:** If  $a$  and  $b$  are integers and  $m$  is a positive integer, then  $a$  is *congruent to  $b$  modulo  $m$*  if  $m$  divides  $a - b$ .

- The notation  $a \equiv b \pmod{m}$  says that  $a$  is congruent to  $b$  modulo  $m$ .
- We say that  $a \equiv b \pmod{m}$  is a *congruence* and that  $m$  is its *modulus*.
- Two integers are congruent mod  $m$  if and only if they have the same remainder when divided by  $m$ .
- If  $a$  is not congruent to  $b$  modulo  $m$ , we write  $a \not\equiv b \pmod{m}$ .

**Example:** Determine whether 17 is congruent to 5 modulo 6 and whether 24 and 14 are congruent modulo 6.

**Solution:**

- $17 \equiv 5 \pmod{6}$  because 6 divides  $17 - 5 = 12$ .
- $24 \not\equiv 14 \pmod{6}$  since 6 divides  $24 - 14 = 10$  is not divisible by 6.

# More on Congruences

**Theorem 4:** Let  $m$  be a positive integer. The integers  $a$  and  $b$  are congruent modulo  $m$  if and only if there is an integer  $k$  such that  $a = b + km$ .

**Proof:**

- If  $a \equiv b \pmod{m}$ , then (by the definition of congruence)  $m \mid a - b$ .

Hence, there is an integer  $k$  such that  $a - b = km$  and equivalently  $a = b + km$ .

- Conversely, if there is an integer  $k$  such that  $a = b + km$ , then  $km = a - b$ .

Hence,  $m \mid a - b$  and  $a \equiv b \pmod{m}$ .





# The Relationship between $(\text{mod } m)$ and $\text{mod } m$ Notations

- ▶ The use of “mod” in  $a \equiv b \pmod{m}$  and  $a \text{ mod } m = b$  are different.
  - $a \equiv b \pmod{m}$  is a relation on the set of integers.
  - In  $a \text{ mod } m = b$ , the notation **mod** denotes a function.
- ▶ The relationship between these notations is made clear in this theorem.
- ▶ **Theorem 3:** Let  $a$  and  $b$  be integers, and let  $m$  be a positive integer. Then  $a \equiv b \pmod{m}$  if and only if  $a \text{ mod } m = b \text{ mod } m$ .

# Congruences of Sums and Products

**Theorem 5:** Let  $m$  be a positive integer.

If  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$ , then

$a + c \equiv b + d \pmod{m}$  and  $ac \equiv bd \pmod{m}$ .

**Proof:**

- Because  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$ , by Theorem 4 there are integers  $s$  and  $t$  with  $b = a + sm$  and  $d = c + tm$ .
- Therefore,
  - $b + d = (a + sm) + (c + tm) = (a + c) + m(s + t)$  and
  - $bd = (a + sm)(c + tm) = ac + m(at + cs + stm)$ .
- Hence,  $a + c \equiv b + d \pmod{m}$  and  $ac \equiv bd \pmod{m}$ .



# Congruences of Sums and Products

**Example:** Because  $7 \equiv 2 \pmod{5}$  and  $11 \equiv 1 \pmod{5}$ , it follows from Theorem 5 that:

$$18 = 7 + 11 \equiv 2 + 1 = 3 \pmod{5}$$

$$77 = 7 \cdot 11 \equiv 2 \cdot 1 = 2 \pmod{5}$$

# Algebraic Manipulation of Congruences

- ▶ Multiplying both sides of a valid congruence by an integer preserves validity.  
If  $a \equiv b \pmod{m}$  holds then  $c \cdot a \equiv c \cdot b \pmod{m}$ , where  $c$  is any integer, holds by Theorem 5 with  $d = c$ .
- ▶ Adding an integer to both sides of a valid congruence preserves validity.  
If  $a \equiv b \pmod{m}$  holds then  $c + a \equiv c + b \pmod{m}$ , where  $c$  is any integer, holds by Theorem 5 with  $d = c$ .
- ▶ Dividing a congruence by an integer does not always produce a valid congruence.

**Example:** The congruence  $14 \equiv 8 \pmod{6}$  holds. But dividing both sides by 2 does not produce a valid congruence since  $14/2 = 7$  and  $8/2 = 4$ , but  $7 \not\equiv 4 \pmod{6}$ .

See Section 4.3 for conditions when division is ok.

# Computing the mod $m$ Function of Products and Sums

- ▶ We use the following corollary to Theorem 5 to compute the remainder of the product or sum of two integers when divided by  $m$  from the remainders when each is divided by  $m$ .

**Corollary:** Let  $m$  be a positive integer and let  $a$  and  $b$  be integers.  
Then

$$(a + b) \bmod m = ((a \bmod m) + (b \bmod m)) \bmod m$$

and

$$ab \bmod m = ((a \bmod m) (b \bmod m)) \bmod m.$$

*(proof in text)*

# Arithmetic Modulo $m$

**Definitions:** Let  $\mathbf{Z}_m$  be the set of nonnegative integers less than  $m$ :  $\{0, 1, \dots, m-1\}$

- ▶ The operation  $+_m$  is defined as  $a +_m b = (a + b) \bmod m$ . This is *addition modulo  $m$* .
- ▶ The operation  $\cdot_m$  is defined as  $a \cdot_m b = (a \cdot b) \bmod m$ . This is *multiplication modulo  $m$* .
- ▶ Using these operations is said to be doing *arithmetic modulo  $m$* .

**Example:** Find  $7 +_{11} 9$  and  $7 \cdot_{11} 9$ .

**Solution:** Using the definitions above:

- $7 +_{11} 9 = (7 + 9) \bmod 11 = 16 \bmod 11 = 5$
- $7 \cdot_{11} 9 = (7 \cdot 9) \bmod 11 = 63 \bmod 11 = 8$

# Arithmetic Modulo $m$

- ▶ The operations  $+_m$  and  $\cdot_m$  satisfy many of the same properties as ordinary addition and multiplication.
  - *Closure*: If  $a$  and  $b$  belong to  $\mathbf{Z}_m$  then  $a +_m b$  and  $a \cdot_m b$  belong to  $\mathbf{Z}_m$ .
  - *Associativity*: If  $a$ ,  $b$ , and  $c$  belong to  $\mathbf{Z}_m$  then  $(a +_m b) +_m c = a +_m (b +_m c)$  and  $(a \cdot_m b) \cdot_m c = a \cdot_m (b \cdot_m c)$ .
  - *Commutativity*: If  $a$  and  $b$  belong to  $\mathbf{Z}_m$  then  $a +_m b = b +_m a$  and  $a \cdot_m b = b \cdot_m a$ .
  - *Identity elements*: The elements 0 and 1 are identity elements for addition and multiplication modulo  $m$ , respectively.
    - If  $a$  belongs to  $\mathbf{Z}_m$ , then  $a +_m 0 = a$  and  $a \cdot_m 1 = a$ .

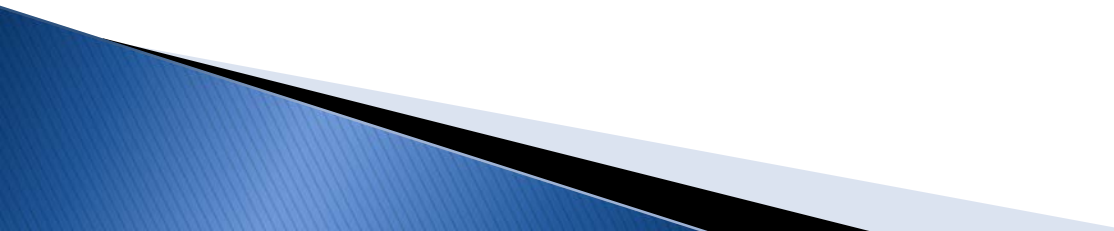
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# Arithmetic Modulo $m$

- *Additive inverses:* If  $a \neq 0$  belongs to  $\mathbf{Z}_m$  then  $m - a$  is the additive inverse of  $a$  modulo  $m$  and  $0$  is its own additive inverse.
  - $a +_m (m - a) = 0$  and  $0 +_m 0 = 0$
- *Distributivity:* If  $a$ ,  $b$ , and  $c$  belong to  $\mathbf{Z}_m$  then
  - $a \cdot_m (b +_m c) = (a \cdot_m b) +_m (a \cdot_m c)$  and  $(a +_m b) \cdot_m c = (a \cdot_m c) +_m (b \cdot_m c)$ .
- ▶ Exercises 42-44 ask for proofs of these properties.
- ▶ Multiplicative inverses have not been included since they do not always exist. For example, there is no multiplicative inverse of  $2$  modulo  $6$ .



# Integer Representations and Algorithms (Ch. 4.2)

- ▶ Integer Representations
    - Base  $b$  Expansions
    - Binary Expansions
    - Octal Expansions
    - Hexadecimal Expansions
  - ▶ Base Conversion Algorithm
  - ▶ Algorithms for Integer Operations
- 

# Representations of Integers

- ▶ In the modern world, we use *decimal*, or *base 10*, *notation* to represent integers. For example when we write 965, we mean  $9 \cdot 10^2 + 6 \cdot 10^1 + 5 \cdot 10^0$ .
- ▶ We can represent numbers using any base  $b$ , where  $b$  is a positive integer greater than 1.
- ▶ The bases  $b = 2$  (*binary*),  $b = 8$  (*octal*), and  $b = 16$  (*hexadecimal*) are important for computing and communications
- ▶ The ancient Mayans used base 20 and the ancient Babylonians used base 60.

# Base $b$ Representations

- ▶ We can use positive integer  $b$  greater than 1 as a base, because of this theorem:

**Theorem 1:** Let  $b$  be a positive integer greater than 1. Then if  $n$  is a positive integer, it can be expressed uniquely in the form:

$$n = a_k b^k + a_{k-1} b^{k-1} + \dots + a_1 b + a_0$$

where  $k$  is a nonnegative integer,  $a_0, a_1, \dots, a_k$  are nonnegative integers less than  $b$ , and  $a_k \neq 0$ . The  $a_j, j = 0, \dots, k$  are called the base- $b$  digits of the representation.

- ▶ The representation of  $n$  given in Theorem 1 is called the *base  $b$  expansion of  $n$*  and is denoted by  $(a_k a_{k-1} \dots a_1 a_0)_b$ .

# Binary Expansions

Most computers represent integers and do arithmetic with binary (base 2) expansions of integers. In these expansions, the only digits used are 0 and 1.

**Example:** What is the decimal expansion of the integer that has  $(1\ 0101\ 1111)_2$  as its binary expansion?

**Solution:**

$$(1\ 0101\ 1111)_2 = 1 \cdot 2^8 + 0 \cdot 2^7 + 1 \cdot 2^6 + 0 \cdot 2^5 + 1 \cdot 2^4 + 1 \cdot 2^3 + 1 \cdot 2^2 + 1 \cdot 2^1 + 1 \cdot 2^0 = 351.$$

**Example:** What is the decimal expansion of the integer that has  $(11011)_2$  as its binary expansion?

**Solution:**  $(11011)_2 = 1 \cdot 2^4 + 1 \cdot 2^3 + 0 \cdot 2^2 + 1 \cdot 2^1 + 1 \cdot 2^0 = 27.$

# Octal Expansions

The octal expansion (base 8) uses the digits  $\{0,1,2,3,4,5,6,7\}$ .

**Example:** What is the decimal expansion of the number with octal expansion  $(7016)_8$ ?

**Solution:**  $7 \cdot 8^3 + 0 \cdot 8^2 + 1 \cdot 8^1 + 6 \cdot 8^0 = 3598$ .

**Example:** What is the decimal expansion of the number with octal expansion  $(111)_8$ ?

**Solution:**  $1 \cdot 8^2 + 1 \cdot 8^1 + 1 \cdot 8^0 = 64 + 8 + 1 = 73$ .

# Hexadecimal Expansions

The hexadecimal expansion needs 16 digits, but our decimal system provides only 10. So letters are used for the additional symbols. The hexadecimal system uses the digits {0,1,2,3,4,5,6,7,8,9,A,B,C,D,E,F}. The letters A through F represent the decimal numbers 10 through 15.

**Example:** What is the decimal expansion of the number with hexadecimal expansion  $(2AE0B)_{16}$  ?

**Solution:**

$$2 \cdot 16^4 + 10 \cdot 16^3 + 14 \cdot 16^2 + 0 \cdot 16^1 + 11 \cdot 16^0 = 175627.$$

**Example:** What is the decimal expansion of the number with hexadecimal expansion  $(1E5)_{16}$  ?

**Solution:**  $1 \cdot 16^2 + 14 \cdot 16^1 + 5 \cdot 16^0 = 256 + 224 + 5 = 485$

# Base Expansion

To construct the base  $b$  expansion of an integer  $n$ :

- Divide  $n$  by  $b$  to obtain a quotient and remainder.

$$n = bq_0 + a_0 \quad 0 \leq a_0 \leq b$$

- The remainder,  $a_0$ , is the rightmost digit in the base  $b$  expansion of  $n$ . Next, divide  $q_0$  by  $b$ .

$$q_0 = bq_1 + a_1 \quad 0 \leq a_1 \leq b$$

- The remainder,  $a_1$ , is the second digit from the right in the base  $b$  expansion of  $n$ .
- Continue by successively dividing the quotients by  $b$ , obtaining the additional base  $b$  digits as the remainder. The process terminates when the quotient is 0.

*continued* →

# Algorithm: Constructing Base $b$ Expansions

**procedure** *base  $b$  expansion*( $n, b$ : positive integers with  $b > 1$ )

$q := n$

$k := 0$

**while** ( $q \neq 0$ )

$a_k := q \bmod b$

$q := q \text{ div } b$

$k := k + 1$

**return**( $a_{k-1}, \dots, a_1, a_0$ )  $\{(a_{k-1} \dots a_1 a_0)_b$  is base  $b$  expansion of  $n\}$

- ▶  $q$  represents the quotient obtained by successive divisions by  $b$ , starting with  $q = n$ .
- ▶ The digits in the base  $b$  expansion are the remainders of the division given by  $q \bmod b$ .
- ▶ The algorithm terminates when  $q = 0$  is reached.



# Base Expansion

**Example:** Find the octal expansion of  $(12345)_{10}$

**Solution:** Successively dividing by 8 gives:

- $12345 = 8 \cdot 1543 + 1$
- $1543 = 8 \cdot 192 + 7$
- $192 = 8 \cdot 24 + 0$
- $24 = 8 \cdot 3 + 0$
- $3 = 8 \cdot 0 + 3$

The remainders are the digits from right to left yielding  $(30071)_8$ .

# Comparison of Hexadecimal, Octal, and Binary Representations

**TABLE 1** Hexadecimal, Octal, and Binary Representation of the Integers 0 through 15.

Decimal	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Hexadecimal	0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
Octal	0	1	2	3	4	5	6	7	10	11	12	13	14	15	16	17
Binary	0	1	10	11	100	101	110	111	1000	1001	1010	1011	1100	1101	1110	1111

Initial 0s are not shown

Each octal digit corresponds to a block of 3 binary digits.

Each hexadecimal digit corresponds to a block of 4 binary digits.

So, conversion between binary, octal, and hexadecimal is easy.

# Conversion Between Binary, Octal, and Hexadecimal Expansions

**Example:** Find the octal and hexadecimal expansions of  $(11\ 1110\ 1011\ 1100)_2$ .

**Solution:**

- To convert to octal, we group the digits into blocks of three  $(011\ 111\ 010\ 111\ 100)_2$ , adding initial 0s as needed. The blocks from left to right correspond to the digits 3, 7, 2, 7, and 4. Hence, the solution is  $(37274)_8$ .
- To convert to hexadecimal, we group the digits into blocks of four  $(0011\ 1110\ 1011\ 1100)_2$ , adding initial 0s as needed. The blocks from left to right correspond to the digits 3, E, B, and C. Hence, the solution is  $(3EBC)_{16}$ .

# Binary Addition of Integers

- ▶ Algorithms for performing operations with integers using their binary expansions are important as computer chips work with binary numbers. Each digit is called a *bit*.

**procedure** *add*(*a*, *b*: positive integers)

{the binary expansions of *a* and *b* are  $(a_{n-1}, a_{n-2}, \dots, a_0)_2$  and  $(b_{n-1}, b_{n-2}, \dots, b_0)_2$ , respectively}

*c* := 0

**for** *j* := 0 to *n* − 1

*d* :=  $\lfloor (a_j + b_j + c)/2 \rfloor$

*s<sub>j</sub>* :=  $a_j + b_j + c - 2d$

*c* := *d*

*s<sub>n</sub>* := *c*

**return**(*s<sub>0</sub>*, *s<sub>1</sub>*, ..., *s<sub>n</sub>*) {the binary expansion of the sum is  $(s_n, s_{n-1}, \dots, s_0)_2$ }

- ▶ The number of additions of bits used by the algorithm to add two *n*-bit integers is  $O(n)$ .

# Binary Multiplication of Integers

- ▶ Algorithm for computing the product of two  $n$  bit integers.

```
procedure multiply( $a, b$ : positive integers)
{the binary expansions of  $a$  and  $b$  are  $(a_{n-1}, a_{n-2}, \dots, a_0)_2$ 
  and  $(b_{n-1}, b_{n-2}, \dots, b_0)_2$ , respectively}
for  $j := 0$  to  $n - 1$ 
  if  $b_j = 1$  then  $c_j = a$  shifted  $j$  places
  else  $c_j := 0$ 
{ $c_0, c_1, \dots, c_{n-1}$  are the partial products}
 $p := 0$ 
for  $j := 0$  to  $n - 1$ 
   $p := p + c_j$ 
return  $p$  { $p$  is the value of  $ab$ }
```

- ▶ The number of additions of bits used by the algorithm to multiply two  $n$ -bit integers is  $O(n^2)$ .