### Notes of Mathematics

### ${\bf Masato~Nakata}^*$

### $^*$ Department of Science, Kyoto University

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#### Manifolds

[5]

#### 1.1 Manifolds on Euclidean Spaces

#### Theorem 1.1.1 Taylor's theorem with remainder

A smooth function f on an open ball  $U \in \mathcal{O}_n$  can be written as

$$f(x) = f(p) + \sum_{i} (x^{i} - p^{i})g_{i}(x)$$

where  $p \in U$  and  $g_i \in C^{\infty}(U)$  with  $g_i(p) = (\partial f/\partial x^i)(p)$ .

Adapting this to  $g_i$  repeatedly gives the Taylor's expansion of f.

#### Definition 1.1.2 Tangent vector as an arrow from a point

The **tangent space**  $T_p(\mathbf{R}^n)$  at  $p \in \mathbf{R}^n$  is the set of arrows from p.

#### Definition 1.1.3 Directional derivative

The *directional derivative* of a smooth function f in the direction  $v \in T_p(\mathbb{R}^n)$  at  $p \in \mathbb{R}^n$  is

$$D_{v}f = \lim_{t \to 0} \frac{f(c(t)) - f(p)}{t} = \frac{d}{dt} \Big|_{t=0} f(c(t))$$

with  $c^i(t) = p^i + t v^i$ .

By the chain rule,

$$D_{v}f = \sum \frac{dc^{i}}{dt}(0)\frac{\partial f}{\partial x^{i}}(p) = \sum v^{i}\frac{\partial f}{\partial x^{i}}(p).$$

#### Definition & Proposition 1.1.4 Derivation at a point

A linear map  $D: C_p^\infty \to \mathbf{R}$  satisfying the Leibniz rule (i.e., D(fg) = (Df)g(p) + f(p)Dg for any  $f,g \in C_p^\infty$ ) is called a *derivation* at p or a *point-derivation* of  $C_p^\infty$ .

The set of all derivations at p denoted by  $\mathcal{D}_p(\mathbf{R}^n)$  is a real vector space, and a map  $\phi: T_p(\mathbf{R}^n) \to \mathcal{D}_p(\mathbf{R}^n)$  assigning  $D_v$  to each v is a linear map.

#### Lemma 1.1.5 Point-derivation of a constant is zero

If *D* is a point-derivation of  $C_p^{\infty}$ , then D(c) = 0 for any constant function *c*.

#### Theorem 1.1.6 Tangent space is isomorphic to the set of point-derivations

The linear map  $\phi \to T_p(\mathbf{R}^n) \to \mathcal{D}_p(\mathbf{R}^n)$  in [Definition & Proposition 1.1.4] is an isomorphism of vector spaces.

#### Definition 1.1.7 Tangent vector as a derivation

By [Theorem 1.1.6],  $v \in T_p(\mathbf{R}^n)$  is identified as

$$v = \sum v^i \left. \frac{\partial}{\partial x^i} \right|_p \in \mathcal{D}_p(\mathbf{R}^n).$$

#### Definition 1.1.8 Vector fields on an open set

A vector field on  $U \in \mathcal{O}_n$  is a map  $X \colon U \to T_p(\mathbb{R}^n)$ .  $X = \sum a^i \partial / \partial x^i$  means

$$X(p) = X_p = \sum a^i(p) \left. \frac{\partial}{\partial x^i} \right|_p \quad \text{with } a^i(p) \in \mathbf{R}$$

X is said to be  $C^{\infty}$  if all  $a^i$ s are  $C^{\infty}$  on U. The set of all smooth vector fields on U is denoted by  $\mathfrak{X}(U)$ .

Definition & Proposition 1.1.9 Multiplication of a smooth vector field and function

For  $X \in \mathfrak{X}(U)$  and  $f \in C^{\infty}(U)$ , define  $fX \in \mathfrak{X}(U)$  and  $Xf \in C^{\infty}(U)$  as follows:

$$(fX)_{p} = f(p)X_{p} = \sum (f(p)a^{i}(p)) \frac{\partial}{\partial x^{i}} \Big|_{p},$$
  
$$(Xf)(p) = X_{p}f = \sum a^{i}(p) \frac{\partial f}{\partial x^{i}}(p).$$

#### Proposition 1.1.10 Leibniz rule for a vector field

For any  $X \in \mathfrak{X}(U)$ ,  $f, g \in C^{\infty}(U)$ ,

$$X(fg) = (Xf)g + fXg$$
.

# Proposition 1.1.11 Derivations one-to-one-correspond to smooth vector fields

 $\varphi \colon \mathfrak{X}(U) \ni X \mapsto (f \mapsto Xf) \in \operatorname{Der}(C^{\infty}(U))$  is an linear isomorphism.

#### Definition 1.1.12 k-tensor on a vector space

A k-linear function  $f: V^k \to \mathbf{R}$  on a vector space V is called a k-**tensor** on V. The vector space of all k-tensors on V is denoted by  $L_k(V)$ . k is called the degree of f.

#### Definition 1.1.13 Permutation action on k-tensors

For  $f \in L_k(V)$  on a vector space V and  $\sigma \in \mathfrak{S}_n$ , an action of  $\sigma$  on f is defined by

$$(\sigma f)(v_1,\ldots,v_k) = f(v_{\sigma(1)},\ldots,v_{\sigma(k)}).$$

#### Definition 1.1.14 Symmetric and alternating k-tensor

A k-tensor  $f: V^k \to \mathbf{R}$  is **symmetric** if

$$\forall \sigma \in \mathfrak{S}_{k}, \ \sigma f = f,$$

and f is **alternating** if

$$\forall \sigma \in \mathfrak{S}_k, \ \sigma f = (\operatorname{sgn} \sigma) f.$$

#### Definition 1.1.15 The set of all alternating k-tensors

An alternating k-tensor on a vector space V is also called a k-covector or a **multicovector** of **degree** k on V. The set of all k-covectors on V is denoted by  $A_k(v)$  for k > 0; for k = 0,  $A_0(V) = \mathbf{R}$ .

# Definition & Proposition 1.1.16 Symmetrizing and alternating operators on k-covectors

For a  $f \in A_k(V)$  on a vector space V,

$$Sf = \sum_{\sigma \in \mathfrak{S}_n} \sigma f$$

is symmetric, and

$$Af = \sum_{\sigma \in \mathfrak{S}_n} (\operatorname{sgn} \sigma) \sigma f$$

is alternating.

#### Definition 1.1.17 Tensor product of two multilinear functions

For  $f \in L_k(V)$ ,  $g \in L_\ell(V)$  on a vector space V, the **tensor product**  $f \otimes g \in L_{k+\ell}(V)$  is defined by

$$(f \otimes g)(v_1, ..., v_{k+\ell}) = f(v_1, ..., v_k)g(v_{k+1}, ..., v_{k+\ell}).$$

#### Example 1.1.18 Bilinear map as a tensor product

Let  $e_1, ..., e_n$  be a basis for a vector space V,  $\alpha^1, ..., \alpha^n$  the dual basis in  $V^*$ , and  $\langle , \rangle : V \times V \to \mathbf{R}$  a bilinear map on V. Then,

$$\langle , \rangle = \sum g_{ij} \alpha^i \otimes \alpha^j,$$

where  $g_{ij} = \langle e_i, e_j \rangle$ .

#### Definition 1.1.19 Wedge product of two multilinear functions

For  $f \in A_k(V)$ ,  $g \in A_\ell(V)$  on a vector space V, their wedge product or exterior product is

$$f \wedge g = \frac{1}{k!\ell!} A(f \otimes g).$$

 $f \wedge g$  is alternating.

Explicitly,

$$\begin{split} (f \wedge g)(v_1, \dots, v_{k+\ell}) &= \frac{1}{k!\ell!} \sum_{\sigma \in \mathfrak{S}_{k+\ell}} (\operatorname{sgn} \sigma) f(v_{\sigma(1)}, \dots, v_{\sigma(k)}) g(v_{\sigma(k+1)}, \dots, v_{\sigma(k+\ell)}) \\ &= \sum_{(k,\ell) \text{-shuffle}} (\operatorname{sgn} \sigma) f(v_{\sigma(1)}, \dots, v_{\sigma(k)}) g(v_{\sigma(k+1)}, \dots, v_{\sigma(k+\ell)}), \end{split}$$

where a  $(k,\ell)$ -shuffle means  $\sigma(1) < \cdots < \sigma(k)$  and  $\sigma(k+1) < \cdots < \sigma(k+\ell)$ .

#### Proposition 1.1.20 Wedge product is anticommutative

For  $f \in A_k(V)$ ,  $g \in A_\ell(V)$  on a vector space V,

$$f \wedge g = (-1)^{k\ell} g \wedge f.$$

If the degree of f is odd, then  $f \wedge f = 0$ .

#### Lemma 1.1.21 Properties of nesting alternating operators

For  $f \in L_k(V)$  and  $g \in L_\ell(V)$  on a vector space V,

i) 
$$A(A(f) \otimes g) = k! A(f \otimes g)$$
,

**ii**) 
$$A(f \otimes A(g)) = \ell! A(f \otimes g)$$
.

#### Proposition 1.1.22 Associativity of the wedge product

For  $f \in A_k(V)$ ,  $g \in A_\ell(V)$ ,  $h \in A_m(V)$  on a real vector space V,

$$(f \land g) \land b = f \land (g \land b).$$

Similarly, for  $f_i \in A_{d_i}(V)$  (i = 1, ..., r),

$$f_1 \wedge \cdots \wedge f_r = \frac{1}{(d_1)! \cdots (d_r)!} A(f_1 \otimes \cdots \otimes f_r).$$

#### Proposition 1.1.23 Wedge product of covectors is the determinant

For covectors  $\alpha^1, \dots, \alpha^k$  on a vector space V,

$$(\alpha^1 \wedge \cdots \wedge \alpha^k)(v_1, \dots, v_k) = \det(\alpha^i(v_j))_{ij}.$$

#### Definition 1.1.24 Graded algebra over a field

An algebra  $\boldsymbol{A}$  over a field  $\boldsymbol{K}$  is said to be **graded** if  $\boldsymbol{A} = \bigoplus_{k=0}^{\infty} A^k$  is a direct sum of vector spaces over  $\boldsymbol{K}$  such that the multiplication sends  $A^k \times A^\ell$  to  $A^{k+\ell}$ .  $A = \bigoplus_{k=0}^{\infty} A^k$  means each nonzero  $a \in \boldsymbol{A}$  is a unique finite sum  $a = a_{i_1} + \cdots + a_{i_m}$  with nonzero  $a_{i_j} \in A^{i_j}$ .

 $m{A}$  is anticommutative or graded commutative if  $orall a\in A^k, b\in A^\ell$  ,  $ab=(-1)^{k\ell}ba$  .

A *homomorphism* of graded algebras is an algebra homomorphism that preserves the degree.

# Definition & Proposition 1.1.25 Grassmann algebra of multicovectors on a vector space

For a vector space V of degree  $n < \infty$ , the **exterior algebra** or the **Grassmann algebra** of multicovectors on V is the anticommutative graded algebra

$$A_*(V) = \bigoplus_{k=0}^{\infty} A_k(V) = \bigoplus_{k=0}^{n} A_k(V)$$

with the wedge product of multicovectors as multiplication.

#### Lemma 1.1.26 Wedge product of the dual basis applying to a basis

Let  $e_1, \ldots, e_n$  be a basis for a vector space V and  $\alpha^1, \ldots, \alpha^n$  the dual basis in  $V^*$ . For  $I = (i_1, \ldots, i_k), J = (j_1, \ldots, j_k)$  with  $1 \le i_1 < \cdots < i_k \le n, \ 1 \le j_1 < \cdots < j_k \le n$ ,

$$\alpha^I(e_I) = \delta^I_I$$
.

# Proposition 1.1.27 Wedge products of the dual basis form a basis for multicovectors

Let V be a vector space and  $\alpha^1, ..., \alpha^n$  the dual basis in  $V^*$ . Then,  $\alpha^I$ ,  $I = (i_1 < \cdots < i_k)$  form a basis for  $A_k(V)$ .

Therefore,

$$\dim A_k(V) = \binom{n}{k},$$

which implies

if 
$$k > \dim V$$
, then  $A_k(V) = 0$ .

#### Definition 1.1.28 Cotangent space to an Euclidean space at a point

The **cotangent space** to  $\mathbb{R}^n$  at p is  $T_p^*(\mathbb{R}^n) = (T_p(\mathbb{R}^n))^*$ .

# Definition 1.1.29 Differential 1-form on an open subset of an Euclidean space

A covector field or a differential 1-form on  $U\in \mathscr{O}_n$  is  $\omega\colon U\to \bigcup_{p\in U}T_p^*(\mathbf{R}^n)$  that maps  $U\ni p\mapsto \omega_p\in T_p^*(\mathbf{R}^n).$ 

#### Definition 1.1.30 Differential of a smooth function

For  $f \in C^{\infty}(U)$  on  $U \in \mathcal{O}_n$ , the **differential** df of f is a differential 1-form defined by

$$(df)_p(X_p) = X_p f.$$

In the expression

$$\langle , \rangle : T_p(\mathbf{R}^n) \times C_p^{\infty}(\mathbf{R}^n) \ni (X_p, f) \mapsto \langle X_p, f \rangle = X_p f \in \mathbf{R},$$

a tangent vector is considered as  $\langle X_p,\cdot\rangle$ ; a differential at p as  $df|_p=(df)_p=\langle\cdot,f\rangle$ .

# Proposition 1.1.31 Differentials of coordinates is the dual basis for the cotangent space

For  $p \in \mathbb{R}^n$ ,  $\{(dx^1)_p, \dots, (dx^n)_p\}$  is the dual basis for  $T_p^*(\mathbb{R}^n)$  to  $\{\partial/\partial x^1|_p, \dots, \partial/\partial x^n|_p\} \subset T_p(\mathbb{R}^n)$ , where  $x^1, \dots, x^n$  are the standard coordinates on  $\mathbb{R}^n$ .

For any differential 1-form  $\omega$  on  $U \in \mathcal{O}_n$  and  $p \in U$ ,

$$\omega_p = \sum a_i(p)(dx^i)_p$$

for some  $a_i(p)$ . In this case,  $\omega$  is written as  $\omega = \sum a_i dx^i$ .

#### Definition 1.1.32 Smoothness of a differential 1-form

A differential 1-form  $\omega = \sum a_i dx^i$  on  $U \in \mathcal{O}_n$  is **smooth** if all  $a_i : U \to \mathbf{R}$  are smooth.

# Proposition 1.1.33 Differentials can be written in terms of partial derivatives

For  $f \in C^{\infty}(U)$  on  $U \in \mathcal{O}_n$ ,

$$df = \sum \frac{\partial f}{\partial x^i} dx^i.$$

Smoothness of f implies that of df.

#### Definition 1.1.34 Differential k-forms on an Euclidean space

A differential k-form or differential form of degree k on  $U\in \mathcal{O}_n$  is  $\omega\colon U\ni p\mapsto \omega_p\in A_k(T_p(\mathbf{R}^n)).$ 

#### Definition & Proposition 1.1.35 Basis for differential forms

Since  $\{dx_p^I \mid I = (1 \le i_1 < \dots < i_k \le n)\}$  is a basis for  $A_k(T_p(\mathbf{R}^n)$ , for a differential k-form  $\omega$  on  $U \in \mathcal{O}_n$  and  $p \in U$ ,

$$\omega_p = \sum a_I(p) dx_p^I, \quad \omega = \sum a_I dx^I.$$

 $\omega$  is **smooth** if all  $a_I: U \to \mathbf{R}$  are smooth. The vector space of  $C^{\infty}$  differential k-forms on U is denoted by  $\Omega^k(U)$ . If k = 0,  $\Omega^0(U) = C^{\infty}(U)$ .

#### Definition 1.1.36 Wedge product of differential forms

For differential k-form  $\omega$  and  $\ell$ -form  $\tau$  on  $U \in \mathcal{O}_n$ , their **wedge product**  $\omega \wedge \tau$  is a differential  $(k+\ell)$ -form defined by

$$(\omega \wedge \tau)_{p} = \omega_{p} \wedge \tau_{p}.$$

If  $\omega = \sum a_I dx^I$ ,  $\tau = \sum b_I dx^J$ ,

$$\begin{split} \omega \wedge \tau &= \sum_{I,J} (a_I b_J) dx^I \wedge dx^J \\ &= \sum_{\text{disjoint } I,J} (a_I b_J) dx^I \wedge dx^J. \end{split}$$

For  $\omega \in \Omega^k(U)$ ,  $\tau \in \Omega^\ell(U)$ , the wedge product is a bilinear map

$$\wedge: \Omega^k(U) \times \Omega^\ell(U) \to \Omega^{k+\ell}(U).$$

In particular, if  $f \in C^{\infty}(U)$  and  $\omega \in \Omega^k(U)$ , then  $f \wedge \omega = f \omega$ .

#### Definition 1.1.37 Graded algebra with smooth differential forms

For  $U \in \mathcal{O}_n$ , the direct sum  $\Omega^*(U) = \bigoplus_{k=0}^n \Omega^k(U)$  is an anticommutative graded algebra over R with the wedge product as multiplication, which is also a module over  $C^{\infty}(U)$ .

#### Definition 1.1.38 Differential forms as linear maps on a vector field

For a differential k-form  $\omega$  on  $U\in \mathcal{O}_n$  and  $X_1,\dots,X_k\in\mathfrak{X}(U)$ , define  $\omega(X_1,\dots,X_k)\in C^\infty(U)$  by

$$(\omega(X_1,\ldots,X_k))_p = \omega_p((X_1)_p,\ldots,(X_k)_p).$$

The map

$$\mathfrak{X}^k(U) \ni (X_1, \dots, X_k) \mapsto \omega(X_1, \dots, X_k) \in C^{\infty}(U)$$

is k-linear over  $C^{\infty}(U)$ .

#### Definition 1.1.39 Exterior derivatives of differential forms

For  $k \ge 1$  and  $\omega = \sum a_I dx^I \in \Omega^k(U)$ , the **exterior derivative** of  $\omega$  is

$$d\omega = \sum_{I} da_{I} \wedge dx^{I} = \sum_{I,j} \frac{\partial a_{I}}{\partial x^{j}} dx^{j} \wedge dx^{I} \in \Omega^{k+1}(U);$$

for k = 0 and  $f \in C^{\infty}(U)$ , its exterior derivative is

$$df = \sum \frac{\partial f}{\partial x^i} dx^i \in \Omega^1(U).$$

#### Definition 1.1.40 Antiderivation of a graded algebra

An **antiderivation** of a graded algebra  $A = \bigoplus_{k=0}^{\infty} A^k$  is a linear map  $D: A \to A$  such that for  $a \in A^k, b \in A^\ell$ ,

$$D(ab) = D(a)b + (-1)^k aD(b).$$

If m is an integer such that D sends  $A^k$  to  $A^{k+m}$  for all k, then m is called the **degree** of D.

#### Proposition 1.1.41 Properties of the exterior differentiation

i) The exterior differentiation  $d: \Omega^*(U) \to \Omega^*(U)$  on  $U \in \mathcal{O}_n$  is an antiderivation of degree 1:

$$d(\omega \wedge \tau) = (d\omega) \wedge \tau + (-1)^{\deg \omega} \omega \wedge d\tau.$$

- **ii**)  $d^2 = 0$ .
- **iii**) For  $f \in C^{\infty}(U)$  and  $X \in \mathfrak{X}(U)$ , (df)(X) = Xf.

#### Proposition 1.1.42 Characterization of the exterior differentiation

The exterior differentiation  $d: \Omega^*(U) \to \Omega^*(U)$  on  $U \in \mathcal{O}_n$  is the only antideriavtion of  $\Omega^*(U)$ .

#### Definition 1.1.43 Closed and exact forms

A differential k-form  $\omega$  on  $U \in \mathcal{O}_n$  is said to be **closed** if  $d\omega = 0$ , and said to be **exact** if  $\omega = d\tau$  for some (k-1)-form  $\tau$  on U.

Every exact form is closed.

#### Definition 1.1.44 Cochain complex and de Rham complex

A collection of vector spaces  $\{V^k\}_{k=0}^{\infty}$  with linear maps  $d_k \colon V^k \to V^{k+1}$  such that  $d_{k+1} \circ d_k = 0$  is called a **cochain complex** or a **differential complex**.

The **de Rham complex** of  $U \in \mathcal{O}_n$  is a cochain complex

$$0 \to \Omega^0(U) \xrightarrow{d} \Omega^1(U) \xrightarrow{d} \Omega^2(U) \xrightarrow{d} \cdots$$

The closed forms are the elements of  $\ker d$ , and the exact forms are the elements of  $\operatorname{im} d$ .

#### Proposition 1.1.45 Vector calculus as differential forms

Under the identifications, for  $U \in \mathcal{O}_3$ ,  $f \in C^{\infty}(U)$  and  $X = [P \ Q \ R] \in \mathfrak{X}(U)$ ,

1-form 
$$Pdx + Qdy + Rdz \longleftrightarrow X$$
,  
2-form  $Pdy \land dz + Qdz \land dx + Rdx \land dy \longleftrightarrow X$ ,  
3-form  $fdx \land dy \land dz \longleftrightarrow f$ ,

there are correspondences between the exterior derivatives and grad, rot, and div:

$$df \longleftrightarrow \operatorname{grad} f,$$
 
$$d(Pdx + Qdy + Rdz) \longleftrightarrow \operatorname{rot} X,$$
 
$$d(Pdy \land dz + Qdz \land dx + Rdx \land dy) \longleftrightarrow \operatorname{div} X.$$

#### Definition 1.1.46 k-th de Rham cohomology

For  $U \in \mathcal{O}_n$ , the k-th **de Rham cohomology** of U is the quotient vector space

$$H^k(U) = \frac{\{\text{closed } k\text{-forms on } U\}}{\{\text{exact } k\text{-forms on } U\}}.$$

#### Proposition 1.1.47 Poincaré lemma

For  $k \ge 1$ , every closed k-form on  $\mathbb{R}^n$  is exact, i.e.,  $H^k(\mathbb{R}^n)$  vanishes.

#### 1.2 Manifolds

#### Definition 1.2.1 Locally Euclidean space

A topological space M is **locally Euclidean of dimension** n if  $\forall p \in M, \exists (U, \phi)$ , with a neighborhood U at p and a homeomorphism  $\phi \colon U \to V \in \mathcal{O}_n$ , called a **chart**, a **coordinate neighborhood** or a **coordinate open set**, and  $\phi$  a **coordinate map** or a **coordinate system** on U.

A chart  $(U, \phi)$  is said to be **centered** at  $p \in U$  if  $\phi(p) = 0$ .

#### Definition 1.2.2 Topological manifold

A **topological manifold of dimension** n is a Hausdorff, second countable, locally Euclidean space of dimension n.

#### Definition 1.2.3 Compatible chart

Two charts  $(U, \phi: U \to \mathbb{R}^n)$ ,  $(V, \psi: V \to \mathbb{R}^n)$  of a topological manifold are said to be  $C^{\infty}$ -compatible or simply compatible if

$$\phi \circ \psi^{-1} : \psi(U \cap V) \to \phi(U \cap V), \quad \psi \circ \phi^{-1} : \phi(U \cap V) \to \psi(U \cap V)$$

called the **transition functions** between charts are  $C^{\infty}$ . If  $U \cap V = \emptyset$ , they are  $C^{\infty}$ -compatible.

#### Definition 1.2.4 Atlas on a locally Euclidean space

A  $C^{\infty}$  atlas or simply an atlas on a locally Euclidean space M is a collection  $\mathfrak{U} = \{(U_{\alpha}, \phi_{\alpha})\}$  of pairwise compatible charts that cover M.

#### Definition 1.2.5 Compatibility of a chart with an atlas

For a locally Euclidean space, a chart  $(V, \psi)$  is compatible with an atlas  $\{(U_{\alpha}, \phi_{\alpha})\}$  if all charts  $(U_{\alpha}, \phi_{\alpha})$  are compatible with  $(V, \psi)$ .

## Lemma 1.2.6 Charts compatible with the same atlas are compatible with each other

For a locally Euclidean space, charts  $(V, \psi)$ ,  $(W, \sigma)$ , and an atlas  $\{(U_{\alpha}, \phi_{\alpha})\}$  on it, if  $(V, \psi)$  and  $(W, \sigma)$  are both compatible with  $\{(U_{\alpha}, \phi_{\alpha})\}$ , then they are compatible with each other.

#### Definition 1.2.7 Maximal Atlas on a locally Euclidean space

An atlas  $\mathfrak M$  on a locally Euclidean space is **maximal** if for another atlas  $\mathfrak U$ ,  $\mathfrak M \subset \mathfrak U$  implies  $\mathfrak M = \mathfrak U$ .

#### Definition 1.2.8 Smooth manifold

A **smooth** or  $C^{\infty}$  **manifold** is a topological manifold M with a maximal atlas called a **differentiable structure** on M. M is said to be of dimension n if all of its connected components are of dimension n, and then M is called a n-manifold. A 1-manifold is also called a **curve**, a 2-manifold a **surface**.

# Proposition 1.2.9 A locally Euclidean space with an atlas has a maximal atlas

In a locally Euclidean space, any atlas is contained in a unique maximal atlas.

#### Definition 1.2.10 Conventions of manifold

- i) A manifold means a smooth manifold.
- **ii**) The standard coordinates on  $\mathbb{R}^n$  is denoted by  $r^1, \dots, r^n$ .
- **iii**) For a chart  $(U,\phi)$  of a manifold, let  $x^i=r^i\circ\phi$  the i-th component of  $\phi$ , and write  $\phi=(x^1,\ldots,x^n)$  and  $(U,\phi)=(U,x^1,\ldots,x^n)$ .  $x^1,\ldots,x^n$  are called **coordinates** or **local coordinates** on U.
- **iv**) The notation  $(x^1,...,x^n)$  means alternately the local coordinates on U and a point in  $\mathbb{R}^n$
- **v**) A **chart**  $(U, \phi)$  **about** p in a manifold M means a chart in the differentiable structure of M such that  $p \in U$ .

#### Proposition 1.2.11 Product manifold

For a m-manifold M and n-manifold N, and atlases  $\{(U_{\alpha},\phi_{\alpha})\}$  of M and  $\{(V_{\alpha'},\psi_{\alpha'})\}$  of N, the collection

$$\{(U_{\boldsymbol{\alpha}}\times V_{\boldsymbol{\alpha}'},\phi_{\boldsymbol{\alpha}}\times \psi_{\boldsymbol{\alpha}'}\colon U_{\boldsymbol{\alpha}}\times V_{\boldsymbol{\alpha}'}\to \boldsymbol{R}^m\times \boldsymbol{R}^n)\}$$

is an atlas on  $M \times N$ , and therefore  $M \times N$  is a manifold of dimension m + n.

#### Definition 1.2.12 Smooth function on a manifold

For a smooth n-manifold M, a function  $f: M \to \mathbf{R}$  is said to be  $C^{\infty}$  or **smooth at a point**  $p \in M$  if, for some chart  $(U, \phi)$  about  $p, f \circ \phi^{-1} \colon \phi(U) \to \mathbf{R}^n$  is  $C^{\infty}$  at  $\phi(p)$ ;  $C^{\infty}$  **on** M if it is smooth at every point.

#### Proposition 1.2.13 Smoothness of real-valued functions

For a *n*-manifold M and a function  $f: M \to \mathbb{R}$ , the following are equivalent:

- i) f is  $C^{\infty}$ .
- **ii**) There exists an atlas  $\mathfrak{U}$  for M, for any  $(U, \phi) \in \mathfrak{U}$ ,  $f \circ \phi^{-1}$  is  $C^{\infty}$ .
- **iii**) For any chart  $(U, \phi)$  on M,  $f \circ \phi^{-1}$  is  $C^{\infty}$ .

#### Definition 1.2.14 Pullback of a function by a map

For manifolds M, N, the **pullback** of  $h: M \to \mathbb{R}$  by  $F: N \to M$  is  $F^*h = h \circ F$ .

#### Definition 1.2.15 Smooth map between manifolds

For a *m*-manifold M and n-manifold N, a continuous map  $F: N \to M$  is  $C^{\infty}$  at a point  $p \in N$  if, for some chats  $(U, \phi)$  about p and  $(V, \psi)$  about F(p),  $\psi \circ F \circ \phi^{-1}$  is  $C^{\infty}$  at  $\phi(p)$ ;  $C^{\infty}$  if it is  $C^{\infty}$  at every point.

#### Proposition 1.2.16 Smoothness of maps is independent of charts

Let M be a m-manifold, N a n-manifold, and  $F: N \to M$  be  $C^{\infty}$  at  $p \in N$ . Then, for any charts  $(U, \phi)$  about p and  $(V, \psi)$  about F(p),  $\psi \circ F \circ \phi^{-1}$  is  $C^{\infty}$  at  $\phi(p)$ .

#### Proposition 1.2.17 Smoothness of a map in terms of charts

For a m-manifold M and n-manifold N, and a continuous map  $F: N \to M$ , the following are equivalent:

- i) F is  $C^{\infty}$ .
- **ii**) There exists at lases  $\mathfrak U$  for N and  $\mathfrak V$  for M, for any  $(U,\phi)\in\mathfrak U$  and  $(V,\psi)\in\mathfrak V$ ,  $\psi\circ F\circ\phi^{-1}$  is  $C^\infty$ .
- **iii**) For any chart  $(U, \phi)$  on N and  $(V, \psi)$  on M,  $\psi \circ F \circ \phi^{-1}$  is  $C^{\infty}$ .

#### Proposition 1.2.18 Composite of smooth maps is also smooth

For manifolds M, N, P and  $C^{\infty}$  maps  $F: N \to M$ ,  $G: M \to P$ ,  $G \circ F: N \to P$  is also  $C^{\infty}$ .

#### Definition 1.2.19 Diffeomorphism of manifolds

A **diffeomorphism** of manifolds is a bijective  $C^{\infty}$  map whose inverse is also  $C^{\infty}$ .

#### Proposition 1.2.20 Coordinate map is a diffeomorphism

A coordinate map  $\phi: U \to \phi(U) \subset \mathbf{R}^n$  for a manifold with a chart  $(U, \phi)$  is a diffeomorphism.

# Proposition 1.2.21 Diffeomorphism into an Euclidean space is a coordinate map

For an open subset U of a manifold M with the differentiable structure  $\mathfrak{U}$ , if  $F:U\to F(U)$  is a diffeomorphism, then  $(U,F)\in\mathfrak{U}$ .

#### 2 P-adic Numbers

[3]

#### 2.1 Foundations

#### Definition 2.1.1 Absolute value on a field

An **absolute value** on a field K is a function  $| \ | : K \to R_{\geq 0}$  that satisfies:

**i**) 
$$|x| = 0$$
 iff  $x = 0$ 

ii) 
$$\forall x, y \in K$$
,  $|xy| = |x||y|$ 

**iii**) 
$$\forall x, y \in K, |x + y| \le |x| + |y|.$$

An absolute value that satisfies the condition

iv) 
$$\forall x, y \in K$$
,  $|x+y| \le \max\{|x|, |y|\}$ 

is said to be non-archimedean; otherwise, it is said to be archimedean.

#### Definition 2.1.2 Trivial absolute value

The **trivial absolute value** on a field K is a absolute value on K such that

$$|x| = \begin{cases} 1 & \text{for } x \neq 0 \\ 0 & \text{for } x = 0 \end{cases}$$

An absolute value on a finite field must be trivial.

#### Definition 2.1.3 Valuation on a field

A function  $v: A^{\times} \to R$  with an integral domain A is called a *valuation* on A if it satisfies the following conditions:

i) 
$$\forall x, y \in \mathbf{A}^{\times}, \ v(xy) = v(x) + v(y)$$

ii) 
$$\forall x, y \in A^{\times}, \ v(x+y) \ge \min\{v(x), v(y)\}$$

2 P-adic Numbers 2.1 Foundations

#### Definition & Proposition 2.1.4 Value group of a valuation

The image of a valuation v on a field is an additive subgroup of R. im v is called the **value group** of v.

### Proposition 2.1.5 Correspondence between valuations and nonarchimedean absolute values

Let A be an integral domain and  $K = \operatorname{Frac} A$ . Let  $v : A^{\times} \to R$  be a valuation on A and extend v to K by setting v(a/b) = v(a) - v(b), then the function  $|\cdot|_v : K \to R_{>0}$  defined by

$$|x|_v = \begin{cases} e^{-v(x)} & \text{for } x \neq 0\\ 0 & \text{for } x = 0 \end{cases}$$

is a non-archimedean absolute value on K. Conversely,  $-\log | |$  is a valuation on K for a non-archimedean absolute value | | on K.

#### Definition 2.1.6 p-adic valuation

The p-adic valuation on Q with a prime p is a valuation  $v_p\colon Q^\times\to R$  defined as follows: for each  $n\in Z^\times$ , let  $v_p(n)$  be the greatest integer such that  $p^{v_p(n)}\mid n$ , and for each  $x=a/b\in Q^\times$ ,  $v_p(x)=v_p(a)-v_p(b)$ .

We often set  $v_p(0) = \infty$ .

#### Definition 2.1.7 p-adic absolute value

The *p*-adic absolute value  $| \ |_p \colon Q \to R_{\geq 0}$  with a prime p is defined as

$$|x|_p = p^{-v_p(x)}, \quad |0| = 0.$$

The usual absolute value is looked as  $| = | = |_{\infty}$ .

#### Definition 2.1.8 Absolute values on a field of rational functions

Here are some absolute values on a field F(t) of rational functions over a field F.

2 P-adic Numbers 2.1 Foundations

 $\mathbf{i}) \ \text{For} \ f(t) \in \mathbf{F}[t], \ v_{\infty}(f) = -\deg f \text{, and for} \ f(t)/g(t) \in \mathbf{F}(t), \ v_{\infty}(f/g) = v_{\infty}(f) - v_{\infty}(g) \ \text{with} \ v_{\infty}(0) = \infty. \ \text{Then,}$ 

$$|f(t)|_{\infty} = e^{-v_{\infty}(f)}$$
.

**ii**) For an irreducible polynomial  $p(t) \in F[t]$ , define the p(t)-adic valuation and absolute value.

#### Lemma 2.1.9 Properties of absolute values on fields

For an absolute value | | on a field K,

- **i**) |1| = 1,
- **ii**)  $\forall x \in \mathbf{K}, |x^n| = 1 \Rightarrow |x| = 1,$
- **iii**)  $\forall x \in K$ , |-x| = |x|,
- **iv**) If K is finite, then | | is trivial.

# Theorem 2.1.10 Necessary and sufficient conditions of a non-archimedean absolute value

Let K be a field, | an absolute value on K. Then,

| | is non-archimedean 
$$\iff \forall n = 1 + \dots + 1 \in K, |n| \le 1$$
  
 $\iff \sup\{|n| \mid n \in Z\} = 1.$ 

Furthermore,  $\sup\{|n| \mid n \in Z\} = \infty$  if  $|\cdot|$  is archimedean.

3 Lie Algebra

### 3 Lie Algebra

[4]

#### 3.1 Foundations

#### Definition 3.1.1 Lie algebra

A vector space  $\mathfrak g$  over a field K with the Lie bracket satisfying the conditions

- i) Lie bracket is bilinear
- **ii**)  $\forall x \in \mathfrak{g}, \lceil x, x \rceil = 0$

**iii**) 
$$\forall x, y, z \in \mathfrak{g}, [[x,y],z] + [[y,z],x] + [[z,x],y] = 0$$

is called a **Lie algebra** over K.

#### Definition 3.1.2 General linear Lie algebra

 $\mathfrak{gl}_n(\mathbf{R})$  is the Lie algebra  $M_n(\mathbf{R})$  with the Lie bracket [x,y] = xy - yx.

#### Definition 3.1.3 Derivation algebra

A linear endomorphism D of an algebra A over R satisfying D(xy) = D(x)y + xD(y) is called a **derivation** of A. The set of all derivations Der A with the addition, scaler multiplication, and lie bracket defined as follows:

i) 
$$(D+D')(x) = D(x) + D'(x)$$

- **ii**)  $(\alpha D)(x) = \alpha D(x)$
- **iii**) [D,D'](x) = D(D'(x)) D'(D(x))

is a Lie algebra called the **derivation algebra** of A.

#### Definition 3.1.4 Lie subalgebra

3 Lie Algebra 3.1 Foundations

A linear subspace  $\mathfrak{h} \subset \mathfrak{g}$  of a Lie algebra  $\mathfrak{g}$  is a **Lie subalgebra** of  $\mathfrak{g}$  if  $\forall x, y \in \mathfrak{h}$ ,  $[x, y] \in \mathfrak{h}$ . For linear subspaces  $\mathfrak{a}, \mathfrak{b} \subset \mathfrak{g}$ ,  $[\mathfrak{a}, \mathfrak{b}]$  denotes the subspace generated by [x, y] with  $x \in \mathfrak{a}, y \in \mathfrak{b}$ .

#### Definition & Proposition 3.1.5 Special linear Lie algebra

 $\mathfrak{sl}_n(\mathbf{R}) = \{x \in \mathfrak{gl}_n(\mathbf{R}) \mid \text{tr } x = 0\}$  is a Lie subalgebra of  $\mathfrak{gl}_n(\mathbf{R})$ .

#### Definition & Proposition 3.1.6 Orthogonal Lie algebra

 $\mathfrak{o}(n) = \{x \in \mathfrak{gl}_n(\mathbf{R}) \mid {}^t x = -x\}$  is a Lie subalgebra of  $\mathfrak{sl}_n(\mathbf{R})$ .

#### Definition & Proposition 3.1.7 Ideal of a Lie algebra

A linear subspace  $\mathfrak{h} \subset \mathfrak{g}$  of a Lie algebra  $\mathfrak{g}$  is an *ideal* of  $\mathfrak{g}$  if  $\forall x \in \mathfrak{g}, y \in \mathfrak{h}$ ,  $[x,y] \in \mathfrak{h}$ . For ideals  $\mathfrak{a}, \mathfrak{b} \subset \mathfrak{g}$ ,  $[\mathfrak{a}, \mathfrak{b}]$  is also an ideal.

#### Definition 3.1.8 Derived ideal of a Lie algebra

For a Lie algebra  $\mathfrak{g}$ ,  $D\mathfrak{g} = [\mathfrak{g}, \mathfrak{g}]$  is an ideal of  $\mathfrak{g}$  called the **derived ideal** of  $\mathfrak{g}$ . If  $\mathfrak{g} = \mathfrak{gl}_n(R)$ ,  $D\mathfrak{g} = \mathfrak{sl}_n(R)$ .

#### Definition & Proposition 3.1.9 Homomorphism of Lie algebras

For Lie algebras  $\mathfrak{g},\mathfrak{h}$ , a linear map  $\varphi \colon \mathfrak{g} \to \mathfrak{h}$  is called a **homomorphism** if  $\forall x,y \in \mathfrak{g}$ ,  $\varphi([x,y]) = [\varphi(x),\varphi(y)]$ . A homomorphism  $\varphi$  is an **isomorphism** if it is bijective. Lie algebras between which there exists an isomorphism are said to be **isomorphic** to each other, written  $\mathfrak{g} \cong \mathfrak{h}$ .

A composite of homomorphisms is also a homomorphism, and that of isomorphisms is also an isomorphism.

The kernel  $\ker \varphi = \{x \in \mathfrak{g} \mid \varphi(x) = 0\}$  of a homomorphism  $\varphi$  is an ideal of  $\mathfrak{g}$  while the image  $\operatorname{im} \varphi = \varphi(\mathfrak{g})$  of  $\varphi$  is a Lie subalgebra of  $\mathfrak{h}$ .

3 Lie Algebra 3.1 Foundations

### Definition 3.1.10 Representation of a Lie algebra on a vector space

For a Lie algebra  $\mathfrak{g}$  and a vector space V, a homomorphism  $\rho \colon \mathfrak{g} \to \mathfrak{gl}(V)$  is called a **representation** of  $\mathfrak{g}$  on V.

#### Definition & Proposition 3.1.11 Adjoint representation of a Lie algebra

For a Lie algebra  $\mathfrak{g}$  and  $x \in \mathfrak{g}$ , define a derivation  $\operatorname{ad}(x) : \mathfrak{g} \to \mathfrak{g}$  by  $\operatorname{ad}(x)(y) = [x,y]$ . A representation  $\operatorname{ad} : \mathfrak{g} \ni x \mapsto \operatorname{ad}(x) \in \mathfrak{gl}(\mathfrak{g})$  is called the **adjoint representation** of  $\mathfrak{g}$ . The **center** of  $\mathfrak{g}$  is  $\mathfrak{z} = \ker(\operatorname{ad})$ , which is a commutative ideal.  $\operatorname{im}(\operatorname{ad})$  is an ideal of  $\operatorname{Der} \mathfrak{g}$ . A derivation  $\operatorname{ad}(x)$  is called a **inner derivation** of  $\mathfrak{g}$ .

#### Definition 3.1.12 Quotient algebra for Lie algebras

For a Lie algebra  $\mathfrak{g}$  and an ideal  $\mathfrak{a} \subset \mathfrak{g}$ , the **quotient algebra** is

$$\mathfrak{g}/\mathfrak{a} = \{\overline{x} = x + \mathfrak{a} \mid x \in \mathfrak{g}\}$$

with canonical operations, where  $\overline{x} = \{y \in \mathfrak{g} \mid x \equiv y \pmod{\mathfrak{a}}\} = \{x + a \mid a \in \mathfrak{a}\}$  called the *class* of x. The homomorphism  $\varphi \colon \mathfrak{g} \ni x \mapsto \overline{x} \in \mathfrak{g}/\mathfrak{a}$  is called the *canonical homomorphism*.

#### Theorem 3.1.13 The first isomorphism theorem for Lie algebras

For Lie algebras  $\mathfrak{g}$ ,  $\mathfrak{h}$  and a homomorphism  $\varphi \colon \mathfrak{g} \to \mathfrak{h}$ ,

$$\mathfrak{g}/\ker\varphi\cong\operatorname{im}\varphi$$
.

#### Theorem 3.1.14 The second isomorphism theorem for Lie algebras

For a Lie algebra  $\mathfrak{g}$ , an ideal  $\mathfrak{a} \subset \mathfrak{g}$ , a Lie subalgebra  $\mathfrak{h} \subset \mathfrak{g}$  and the canonical homomorphism  $\varphi \colon \mathfrak{g} \to \mathfrak{g}/\mathfrak{a}$ ,

$$\mathfrak{h}/(\mathfrak{h} \cap \mathfrak{a}) \cong (\mathfrak{h} + \mathfrak{a})/\mathfrak{a}$$
.

#### 3.2 Solvable and Nilpotent Lie algebra

#### Definition 3.2.1 Solvable Lie algebra

Let g be a Lie algebra, and

$$D^{0}g = g$$
,  $D^{k}g = D(D^{k-1}g)$ ,  $k = 1, 2, ...$ 

g is said to be **solvable** if  $D^r g = \{0\}$  for some r called the **length** of g.

#### Example 3.2.2 Lie algebra of triangular matrices is solvable

Let

$$\begin{split} &\mathfrak{g}_0 = \{\xi = (\xi_{ij}) \in \mathfrak{gl}_n(\mathbf{R}) \mid \xi \text{ is upper triangular}\}, \\ &\mathfrak{g}_k = \{\xi = (\xi_{ij}) \in \mathfrak{gl}_n(\mathbf{R}) \mid \xi_{ij} = 0 \text{ for } j - i < k\}. \end{split}$$

Then,  $[\mathfrak{g}_0,\mathfrak{g}_0] \subset \mathfrak{g}_1$ ,  $[\mathfrak{g}_k,\mathfrak{g}_\ell] \subset \mathfrak{g}_{k+\ell}$ ,  $k,\ell=0,1,\ldots$ , and  $\mathfrak{g}_0$  is a solvable Lie algebra of length  $\leq n$ .

#### Theorem 3.2.3 Lie subalgebra of a solvable Lie algebra is also solvable

For a solvable Lie algebra  $\mathfrak{g}$ , its Lie subalgebra  $\mathfrak{h} \subset \mathfrak{g}$  is also solvable, and if  $\mathfrak{h}$  is an ideal,  $\mathfrak{g}/\mathfrak{h}$  is also solvable.

# Theorem 3.2.4 Lie algebra whose ideal and quotient algebra over it are solvable is solvable

For a Lie algebra  $\mathfrak{g}$  and its ideal  $\mathfrak{a} \subset \mathfrak{g}$ , if  $\mathfrak{a}$  and  $\mathfrak{g}/\mathfrak{a}$  are both solvable, then  $\mathfrak{g}$  is also solvable.

#### Definition 3.2.5 Nilpotent Lie algebra

Let g be a Lie algebra, and

$$C^{\circ}\mathfrak{g} = \mathfrak{g}, \quad C^{k}\mathfrak{g} = [\mathfrak{g}, C^{k-1}\mathfrak{g}], \quad k = 1, 2, \dots$$

 $\mathfrak{g}$  is said to be **nilpotent** if  $C^s\mathfrak{g} = \{0\}$  for some  $\mathfrak{s}$  called the **length** of  $\mathfrak{g}$ . Since  $D^k\mathfrak{g} \subset C^k\mathfrak{g}$ , a nilpotent Lie algebra is solvable.

#### Example 3.2.6 Lie algebra of strictly triangular matrices is nilpotent

 $\mathfrak{g}_1$  in [Example 3.2.2] is nilpotent while  $\mathfrak{g}_0$  there is not.

#### Theorem 3.2.7 Lie subalgebra of a nilpotent Lie algebra is also nilpotent

For a nilpotent Lie algebra  $\mathfrak{g}$ , its Lie subalgebra  $\mathfrak{h} \subset \mathfrak{g}$  is also nilpotent, and if  $\mathfrak{h}$  is an ideal,  $\mathfrak{g}/\mathfrak{h}$  is also nilpotent.

#### Theorem 3.2.8 Center of a nilpotent Lie algebra has a nonzero vector

For a Lie algebra  $\mathfrak g$  and its center  $\mathfrak z$ ,  $\mathfrak z \neq \{0\}$  if  $\mathfrak g$  is nilpotent while  $\mathfrak g$  is nilpotent if  $\mathfrak g/\mathfrak z$  is nilpotent.

### 4 Categories

[1]

#### 4.1 Foundations

#### Definition 4.1.1 Category

A *category* consists of the followings:

- $\mathcal{B}$  Objects  $A, B, C, \dots$
- $\mathscr{D}$  **Arrows** f, g, h,... with the objects called the domain dom f and the codomain cod f.
- **Composites**  $g \circ f : A \to C$  for given arrows  $f : A \to B$  and  $g : B \to C$ .
- $\varnothing$  **Identity arrow**  $1_A$  of each object A.

satisfying the following laws:

- i)  $\forall \text{arrows } f: A \to B, g: B \to C, h: C \to D, h \circ (g \circ f) = (h \circ g) \circ f$
- **ii**)  $\forall \text{arrow } f: A \rightarrow B, \ f \circ 1_A = f = 1_B \circ f.$

#### Definition 4.1.2 Functor between categories

A **functor**  $F: \mathcal{A} \to \mathcal{B}$  between categories  $\mathcal{A}$  and  $\mathcal{B}$  is a mapping between objects and between arrows in the following ways:

- i)  $F(f:A \rightarrow B) = F(f): F(A) \rightarrow F(B)$ ,
- **ii**)  $F(1_A) = 1_{F(A)}$ ,
- **iii**)  $F(g \circ f) = F(g) \circ F(f)$ .

#### Definition 4.1.3 Isomorphism between categories

In a category  $\mathscr{C}$ , an arrow  $f: A \to B$  is called an **isomorphism** if

$$\exists g = f^{-1} \colon B \to A, \ g \circ f = 1_A, \ f \circ g = 1_B.$$

Categories Foundations 4.1

If there is an isomorphism between objects A and B, A is said to be **isomorphic** to B, written  $A \cong B$ .

#### Theorem 4.1.4 Category is isomorphic to its Cayley representation

For a category  $\mathscr{C}$  with a set of arrows, the Cayley representation  $\overline{\mathscr{C}}$  of  $\mathscr{C}$ , consisting of

 $\mathscr{D}$  object  $\overline{C} = \{ f \in \mathscr{C} \mid \operatorname{cod} f = C \}$  for an object  $C \in \mathscr{C}$ ,

 $\mathscr{D}$  arrow  $\overline{g} : \overline{C} \to \overline{D}$  for an arrow  $g : C \to D$  such that  $\overline{g}(f) = g \circ f$ ,

is isomorphic to  $\mathscr{C}$ .

#### Definition 4.1.5 Product of two categories

The **product**  $\mathscr{C} \times \mathscr{D}$  of categories  $\mathscr{C}$  and  $\mathscr{D}$  consists of

 $\mathscr{D}$  object (C,D) for objects  $C \in \mathscr{C}$ ,  $D \in \mathscr{D}$ ,

 $\mathscr{D}$  arrow  $(f,g):(C,D)\to(C',D')$  for arrows  $f:C\to C'$ ,  $g:D\to D'$ ,

with composition  $(f,g) \circ (f',g') = (f \circ f', g \circ g')$  and units  $1_{(C,D)} = (1_C,1_D)$ .

The **projection functors**  $\pi_1: \mathscr{C} \times \mathscr{D} \to \mathscr{C}$  and  $\pi_2: \mathscr{C} \times \mathscr{D} \to \mathscr{D}$  is defined by  $\pi_1(C,D) = C$ and  $\pi_1(f,g) = f$ , and similarly for  $\pi_2$ .

#### Definition 4.1.6 Dual category

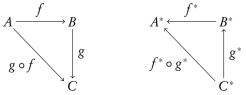
For a category  $\mathscr{C}$ , its **dual** or **opposite category**  $\mathscr{C}^{op}$  consists of

 $\mathscr{D}$  object  $C^* = C$  for an object  $C \in \mathscr{C}$ ,

 $\mathscr{D}$  arrow  $f^*: D^* \to C^*$  for an arrow  $f: C \to D$ ,

with composition  $f^* \circ g^* = (g \circ f)^*$  and units  $1_{C^*} = (1_C)^*$ .





4 Categories 4.1 Foundations

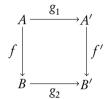
#### Definition 4.1.7 Arrow category

For a category  $\mathscr{C}$ , its **arrow category**  $\mathscr{C}^{\rightarrow}$  consists of

 $\mathscr{D}$  object  $f: C \to D$  for an arrow f in  $\mathscr{C}$ ,

 $\mathscr{Q}$  arrow  $(g_1,g_2):f\to f'$ , where  $f:A\to B,f':A'\to B',g_1:A\to A',g_2:B\to B'$  in  $\mathscr{C}$ , such that  $g_2\circ f=f'\circ g_1$ ,

with composition  $(g_1, g_2) \circ (h_1, h_2) = (g_1 \circ h_1, g_2 \circ h_2)$  and units  $1_f = (1_A, 1_B)$ .



There are two functors dom, cod:  $\mathscr{C}^{\rightarrow} \rightarrow \mathscr{C}$ .

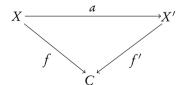
#### Definition 4.1.8 Slice category

For a category  $\mathscr{C}$ , its **slice category**  $\mathscr{C}/C$  over  $C \in \mathscr{C}$  consists of

 $\varnothing$  object  $f: X \to C$ ,

 $\mathscr{D}$  arrow  $a: X \to X'$  for arrows  $f: X \to C$ ,  $f': X' \to C$  such that  $f' \circ a = f$ ,

with composition and units from those of  $\mathscr{C}$ .



 $U: \mathscr{C}/C \to \mathscr{C}$  with  $U(f: X \to C) = X$  and  $U(a: X \to X') = a$  is a functor.

### 5 Functional Analysis

[2]

#### 5.1 Hahn-Banach Theorems

#### Theorem 5.1.1 Hahn-Banach analytic form

Let  $p: E \to \mathbf{R}$  be a sublinear function on a vector space E (i.e.,  $\forall \lambda > 0, x, y \in E$ ,  $p(\lambda x) = \lambda p(x), p(x+y) \le p(x) + p(y)$ ),  $G \subset E$  a linear subspace, and  $g: G \to \mathbf{R}$  a linear functional such that  $\forall x \in G, \ g(x) \le p(x)$ . Then,  $\exists$  a linear functional  $f: E \to \mathbf{R}$  that extends g and that  $\forall x \in E, \ f(x) \le p(x)$ .

#### Definition 5.1.2 Norm on the dual space of a normed space

For a normed space E, the **dual norm** on  $E^*$  is defined by

$$||f||_{E^*} = \sup_{\substack{||x|| \le 1 \\ x \in F}} |f(x)| = \sup_{\substack{||x|| \le 1 \\ x \in F}} f(x).$$

#### Definition 5.1.3 Scalar product for the duality

For a vector space E and its dual space  $E^*$ ,  $\langle , \rangle : E^* \times E \to \mathbf{R}$  defined by  $\langle f, x \rangle = f(x)$  is called the **scalar product for the duality** E,  $E^*$ .

#### Definition 5.1.4 Strictly convex normed space

A normed space E is said to be **strictly convex** if  $\forall t \in (0,1), x, y \in E$  with ||x|| = ||y|| = 1, ||tx + (1-t)y|| < 1 except for x = y.

#### Corollary 5.1.5 Alternate form of Hahn-Banach

For a continuous linear functional  $g: G \to \mathbf{R}$  on a linear subspace  $G \subset E$  of a normed space E,  $\exists f \in E^*$  that extends g and that  $||f||_{E^*} = ||g||_{G^*}$ .

In the case when  $G = \mathbf{R}x_0$  and  $g(tx_0) = t ||x_0||^2$  for a given  $x_0 \in E$ ,  $\exists f_0 \in E^*$  such that  $||f_0|| = ||x_0||$  and  $\langle f_0, x_0 \rangle = ||x_0||^2$ . If  $E^*$  is strictly convex, then  $f_0$  is unique.

#### Definition 5.1.6 Duality map from a normed space into its dual space

For a normed space E and  $x_0 \in E$ , define

$$F(x_0) = \{ f_0 \in E^* \mid ||f_0|| = ||x_0||, \langle f_0, x_0 \rangle = ||x_0||^2 \}.$$

The *duality map* from E into  $E^*$  is a multivalued map  $x_0 \mapsto F(x_0)$ .

#### Corollary 5.1.7 Norm of a vector is the max of its scalar product

For a normed space E and  $x \in E$ ,

$$||x|| = \sup_{\substack{f \in E^* \\ ||f|| \le 1}} |\langle f, x \rangle| = \max_{\substack{f \in E^* \\ ||f|| \le 1}} |\langle f, x \rangle|.$$

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