

## CSC 540 SPRING 2019 HOMEWORK 8 SOLUTIONS

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### Question 1

Consider the following schedule S:

r1(X), w1(Y), r2(Y), w2(Z), w1(X), c1, w2(X), c2

Tell whether S is serial? serializable? recoverable? ACR (avoids cascading rollback)? Then, indicate which of the following is TRUE about S?

#### Correct choices

- Correct Choice 1: S is serializable and S is recoverable
- Correct Choice 2: S is serializable and S does not avoid cascading rollback
- Correct Choice 3: S is not serial and S is recoverable
- Correct Choice 4: S is not serial and S does not avoid cascading rollback

#### Incorrect choices

- Incorrect Choice 1: S is serializable and S is not recoverable
- Incorrect Choice 2: S is serial and S is not recoverable
- Incorrect Choice 3: S is not serializable and S is not recoverable
- Incorrect Choice 4: S is not serial and S is not recoverable
- Incorrect Choice 5: S is serializable and S avoids cascading rollback
- Incorrect Choice 6: S is not serializable and S avoids cascading rollback
- Incorrect Choice 7: S is serial and S avoids cascading rollback
- Incorrect Choice 8: S is not serial and S avoids cascading rollback
- Incorrect Choice 9: S is not serializable and S is recoverable
- Incorrect Choice 10: S is not serializable and S does not avoid cascading rollback
- Incorrect Choice 11: S is serial and S is recoverable
- Incorrect Choice 12: S is serial and S does not avoid cascading rollback

**Question Explanation:** First, let us remember the relevant definitions:

Serial = all actions of one transaction occur without intervening actions of another transaction.

Serializable = (conflict) equivalent to a serial schedule. Recoverable = Each transaction commits after all transactions from which it has read has committed. Avoids Cascading Rollback = Each transaction reads only data written by committed transactions. The present example is evidently not serial, but it is serializable. The schedule is equivalent to the serial order in which T1 precedes T2. The only potential conflicts are that T2 reads Y from T1 and also writes X after T1 does. The schedule is also recoverable. The only case of write-read is that T2 reads the Y

written by T1. But the latter commits before the former. However, the schedule does not avoid cascading rollback. T2 reads Y from T1 before T1 commits.

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## Question 2

Which of the following schedules is recoverable, but does not avoid cascading rollback and is not serializable?

### Correct choices:

Correct Choice 1: r1(X), w1(Y), r2(X), r2(Y), w1(X), c1, w2(Y), w2(Z), c2  
Correct Choice 2: r1(X), r2(Y), w1(Y), w1(Z), r2(Z), c1, w2(X), w2(Z), c2  
Correct Choice 3: r1(Y), w1(X), r2(X), w2(Z), r2(Y), w1(Z), c1, w2(Y), c2

### Incorrect choices:

Incorrect Choice 1: r1(X), w1(Z), r2(Y), w1(Y), c1, r2(Z), w2(X), w2(Z), c2  
Incorrect Choice 2: r1(Y), w1(X), r2(Y), w2(Z), w1(Z), c1, w2(X), w2(Y), c2  
Incorrect Choice 3: r1(X), r2(X), w1(Y), w1(X), w1(Z), c1, r2(Y), w2(Z), c2  
Incorrect Choice 4: r2(X), r1(X), w2(Y), r2(Z), r1(Y), w2(Z), c2, w1(X), c1  
Incorrect Choice 5: r1(X), w1(Y), r2(X), r1(Z), r2(Y), w1(Z), c1, w2(X), c2  
Incorrect Choice 6: r2(X), r1(X), w1(Z), r2(Z), r1(Y), w1(Y), c1, w2(X), c2  
Incorrect Choice 7: r1(X), r2(X), w2(Y), r2(Z), r1(Y), w1(X), c1, w2(Z), c2  
Incorrect Choice 8: r1(X), r2(X), w1(Y), r2(Y), r1(Z), w2(X), c2, w1(Z), c1  
Incorrect Choice 9: r2(X), r1(X), w1(Z), r2(Z), w2(X), c2, r1(Y), w1(Y), c1

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## Question 3

Consider the following schedule of operations:

r1(X), r1(Y), w1(Y), r2(Z), w1(X), r3(X), w3(X), w2(Z)

Which of the following schedules is conflict equivalent to this schedule?

### Correct choices:

Correct Choice 1: r1(X), r1(Y), r2(Z), w1(Y), w1(X), r3(X), w2(Z), w3(X)  
Correct Choice 2: r1(X), r1(Y), r2(Z), w1(Y), w2(Z), w1(X), r3(X), w3(X)  
Correct Choice 3: r1(X), r2(Z), r1(Y), w1(Y), w1(X), r3(X), w2(Z), w3(X)

**Incorrect choices:**

- Incorrect Choice 1: r1(X), r1(Y), w1(Y), r2(Z), r3(X), w1(X), w3(X), w2(Z)  
Incorrect Choice 2: r1(Y), r1(X), w1(Y), r3(X), r2(Z), w2(Z), w1(X), w3(X)  
Incorrect Choice 3: r1(X), r1(Y), r2(Z), r3(X), w1(Y), w1(X), w3(X), w2(Z)  
Incorrect Choice 4: r1(Y), r1(X), w1(Y), r2(Z), r3(X), w3(X), w1(X), w2(Z)  
Incorrect Choice 5: r1(X), w1(Y), r1(Y), r2(Z), w1(X), r3(X), w3(X), w2(Z)  
Incorrect Choice 6: r1(Y), r1(X), w1(Y), r3(X), w1(X), r2(Z), w3(X), w2(Z)
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**Question 4**

Consider the following transaction schedule:

r1(X), r1(Y), w1(Y), r2(Z), w1(X), r2(Y), r3(X), w2(Y), w3(X), w2(Z)

Which of the following is a TRUE statement about this schedule?

**Correct choices:**

- Correct Choice 1: The schedule is not serial  
Correct Choice 2: The schedule is conflict serializable  
Correct Choice 3: The schedule is conflict-equivalent to (T1, T2, T3)  
Correct Choice 4: The schedule is conflict-equivalent to (T1, T3, T2)

**Incorrect choices:**

- Incorrect Choice 1: The schedule is not conflict serializable  
Incorrect Choice 2: The schedule is serial  
Incorrect Choice 3: The schedule is conflict-equivalent to (T2, T3, T1)  
Incorrect Choice 4: The schedule is conflict-equivalent to (T3, T1, T2)  
Incorrect Choice 5: The schedule is conflict-equivalent to (T3, T2, T1)  
Incorrect Choice 6: The schedule is conflict-equivalent to (T2, T1, T3)
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**Question 5**

Consider the following transactions:

- T1: r1(X), r1(Y), w1(Y)  
T2: r2(X), w2(X), r2(Y)

Which of the following is a TRUE statement about schedules involving the operations of T1 and T2?

**Correct choices:**

- Correct Choice 1: There are exactly 6 schedules that are conflict equivalent to (T1, T2)
- Correct Choice 2: There are exactly 3 schedules that are conflict equivalent to (T2, T1)
- Correct Choice 3: There are exactly 9 conflict-serializable schedules

**Incorrect choices:**

- Incorrect Choice 1: There are exactly 4 schedules that are conflict equivalent to (T2, T1)
  - Incorrect Choice 2: There are exactly 5 schedules that are conflict equivalent to (T2, T1)
  - Incorrect Choice 3: There are exactly 4 schedules that are conflict equivalent to (T1, T2)
  - Incorrect Choice 4: There are exactly 10 schedules that are conflict equivalent to (T1, T2)
  - Incorrect Choice 5: There are exactly 10 conflict-serializable schedules
  - Incorrect Choice 6: There are exactly 7 conflict-serializable schedules
  - Incorrect Choice 7: There are exactly 8 conflict-serializable schedules
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