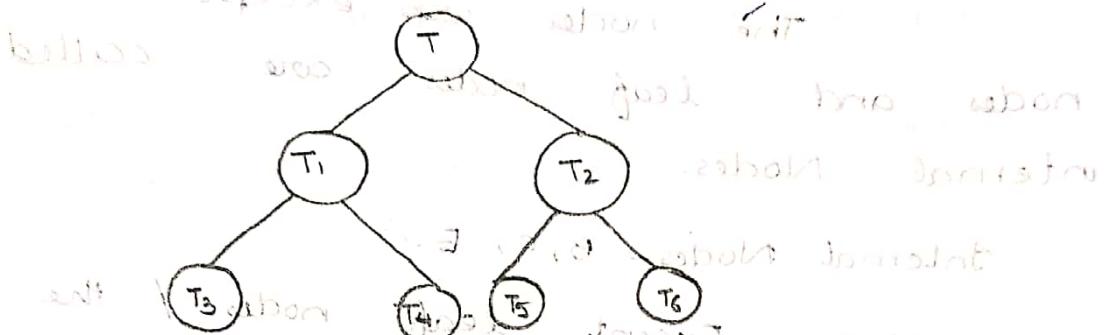


### UNIT-3

## TREES AND GRAPHS

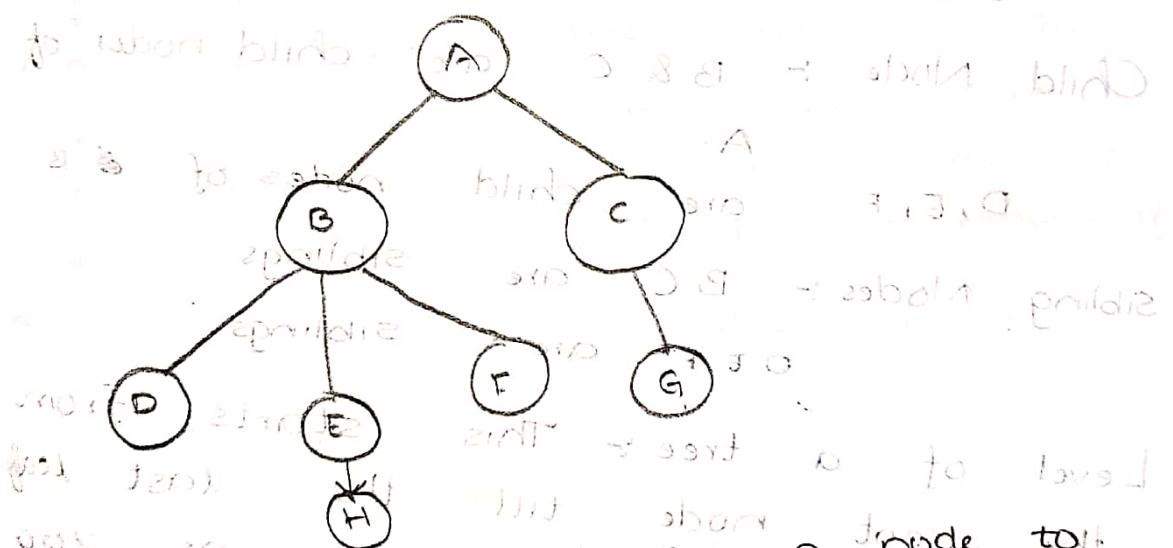
**TREES:** A tree is a non-linear data structure where the element can be stored in finite no. of nodes.

Example of Tree structure :-



Here  $T$  is a root node and  $T_1, T_2, T_3, \dots$  are known as sub trees.

**Tree Terminologies :-**



**Root :-** The startup node (or) a node to which all the sub trees are attached is known as root node. i.e. A.

**Degree of the node :-**

Degree of the node is given by no. of subtrees attached to a node.

$$A = 2$$

$$F = 0$$

$$B = 3$$

$$G = 0$$

$$C = 1$$

$$D = 0$$

Leaves & Leaf nodes : The nodes with no degree i.e. having no sub trees are called leaves. These are also known as Nodes (or) Nodules. Terminal nodes - D, H, F, G Leaf nodes - E, I, J, K, L, M, N, O, P, Q, R, S, T, U, V, W, X, Y, Z Internal nodes : The nodes except the leaf nodes are called internal nodes.

Internal Nodes - B, C, E.  
Parent Node :- Except leaf nodes / the  
nodes which have sub-trees - are known  
as Parent Nodes.

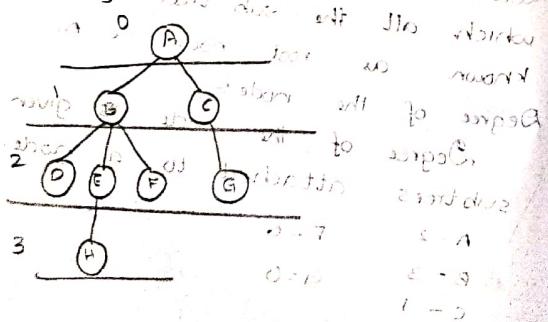
Child Node :- B & C are child nodes of A.

D, E, F are child nodes of B

Sibling Nodes :- B C are siblings

D E F are siblings

Level of a tree & This starts from the root node till the last leaf nodes starting taking root node as zero



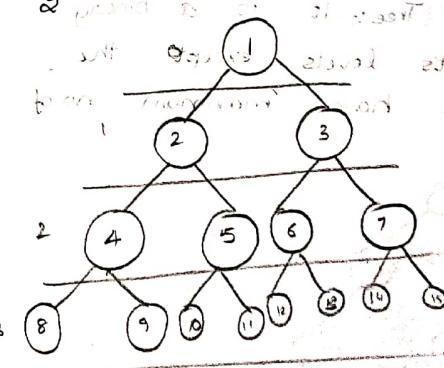
Height of a tree = maximum level + 1.  
 This is also called Depth of a tree. This can be calculated by using  
 $=$  maximum level + 1.  
 $=$  3 + 1  
 $=$  4.

**Degree of Tree:** The maximum degree of the node will be the degree of tree.

**Predecessor & Successor:** For the node B the predecessor node is A & successor nodes are C & D.

**O E&F**  
**Binary Trees:** A Binary Tree is either empty or consists of a root node and two disjoint trees.

\* In the Binary Tree each of the node can have two child nodes or less than that. So the degree of each node will be maximum of



## Binary Tree Representation

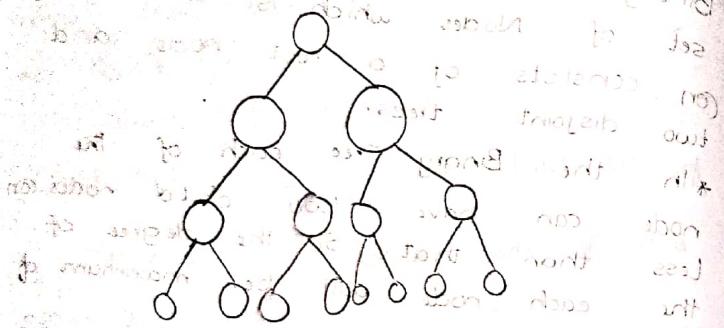
The binary tree can be represented in 2 ways.

- 1. Sequential Representation
  - 2. Linked List Representation

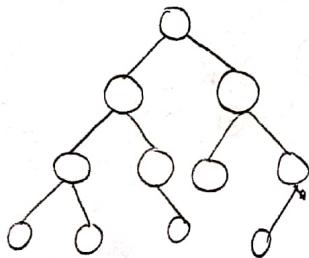
## Types of Binary Trees

1. Full Binary Tree : It is a tree in which every node has either 0 or 2 children.

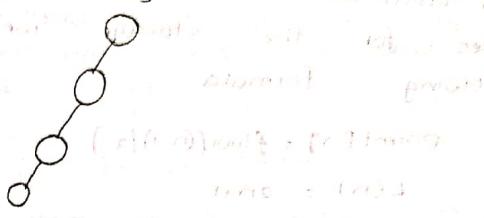
\* Here the height of the tree can be calculated as  $(\text{levels} + 1)$ .



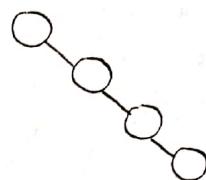
2. Complete Binary Tree :- It is a binary tree where all its levels except the last level should have maximum no. of nodes



### 3. Left Skewed Binary Tree



## 4. Right Skewed Binary Tree



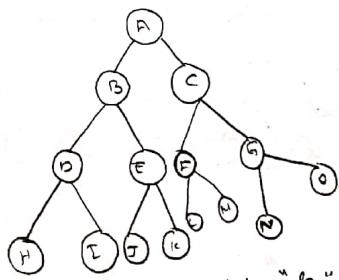
## Properties of Binary Tree:

- Properties of

  1. In any binary Tree the maximum no. of nodes at each level is given as  $2^n$
  2. The maximum no. of nodes in binary tree of height  $h$  is  $2^{h-1}$
  3. The minimum no. of nodes in the binary tree of height  $h$  is  $h$

## TREE REPRESENTATION:

- ## 1. Sequential Representation / Array Representation / linear Representations



This follows the height " $h$ " and maximum depth we will get as  $\omega^{h-1} = \omega^4 - 1 = 15$

\* To calculate the location of a node for the storage we use following formula

$$\text{parent}[n] = \text{floor}((n-1)/2)$$

$$L(n) = 2n+1 \quad \text{where } n > 0$$

$$R(n) = 2n+2 \quad \text{where } n > 0$$

$$* \text{parent}[1] \text{ if } n=1 = 0/2 = 0$$

$$L(n) = 2n+1 = 3$$

$$R(n) = 2n+2 = 4$$

$$n=0$$

$$\text{parent}[0] \text{ i.e., } \frac{0}{2} = 0 \text{ parent[0]}$$

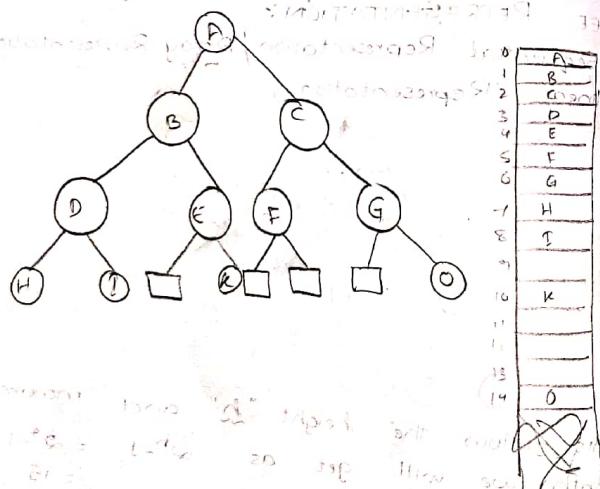
$$P(n) = 0$$

$$L(n) = 1$$

$$R(n) = 2$$

$$* n=2 \text{ in parent[2] formula } \frac{2}{2} = 1 \text{ parent[2]}$$

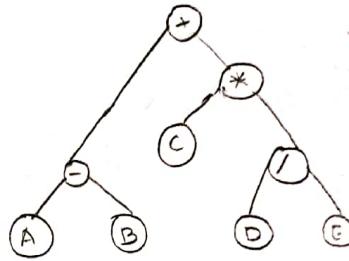
$$\text{parent}[2] = \text{floor}(\frac{2-1}{2}) = 0$$



Advantages of Sequential Representation  
\* In this representation we can easily get on the left and right child nodes based on the parent node using formula.

Disadvantages :-

\* Memory will be wasted.  
Ex:- Consider one of the expression as  $(A-B)+C * (D/E)$



Implementation of Tree in sequential format :-

Implementation of Tree in linked list :-

Implementation of Tree in matrix format :-

Implementation of Tree in linked list format :-

Implementation of Tree in matrix format :-

Implementation of Tree in linked list format :-

Implementation of Tree in matrix format :-

Implementation of Tree in linked list format :-

Implementation of Tree in matrix format :-

Implementation of Tree in linked list format :-

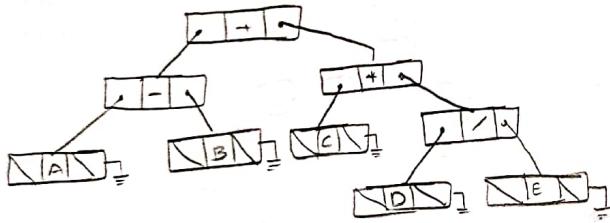
Implementation of Tree in matrix format :-

Implementation of Tree in linked list format :-

The physical representation (or view) given by

10	A
20	10 - 30
30	B
40	20 + 50
50	C
60	50 * 80
70	D
80	E
90	E

\* The logical representation of tree by linked list can be given as

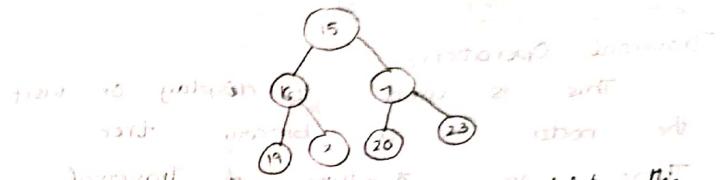


Operations on a binary tree  
There are 5 major operations to be performed on a binary tree

1. Creation
2. Insertion
3. Deletion
4. Traversal
5. Merge

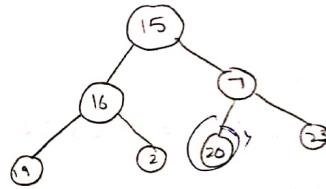
\* Creation: This is used to create or avail a new node as a root of a tree.

\* Insertions: To insert a node in a binary tree we can insert left or right sub-tree leaf nodes.

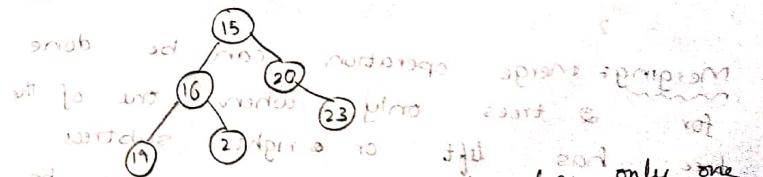


\* Deletion: This is used to delete the nodes from the non-empty binary tree. This can be done in many ways.

\* Deleting if we need to delete a leaf node:

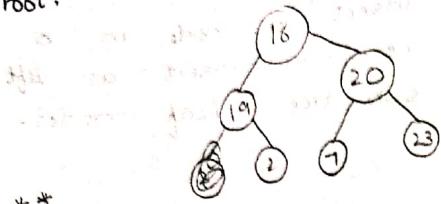


\* If we need to delete a middle child then its parent and right child will become parent and left child will be child of its parent.



\* If the parent of the node has only one child it will be as parent node.

\* If root is node 16, will be deleted then  
the next left child 19 will become root.



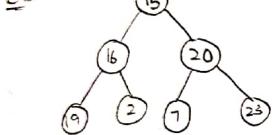
\*\* Traversal Operations:  
This is used to display or visit the nodes in a binary tree.  
There are 3-types of Traversal operations:

1. Inorder - LPR

2. Pre-order - PLR

3. Post-order - LRP

In order: 19 16 2 15 7 20 23

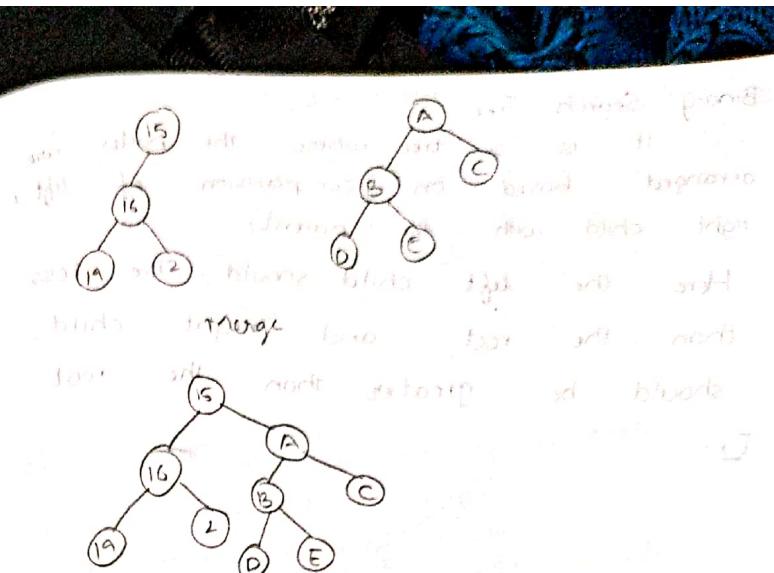


Traversal is - 19 16 2 15 7 20 23

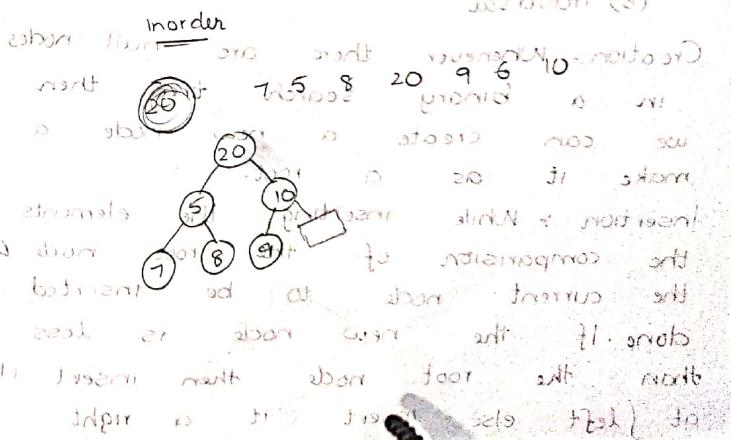
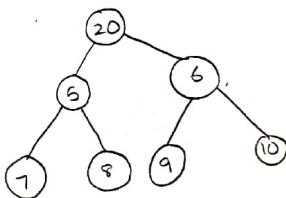
Pre order: 15 16 19 2 20 7 23

Post order: 19 2 16 7 23 20 15

Merging: Merge operation can be done for 2 trees only when one of the subtrees, tree has left or a right subtree. Else the merge operation can't be performed.



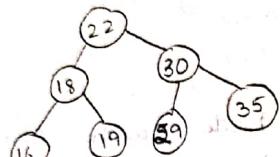
Deleting the Node with child nodes none of the binary Tree for both cases are followed for insertion & deletion. So we can also follow the Inorder procedure to delete a node.



**Binary Search Tree (L < P & R)**

It is a tree where the nodes are arranged based on comparison of the parent. Here the left child should be less than the root and right child should be greater than the root.

Ex:-



Operations Mainly we follow three types of operations in another different types of operations.

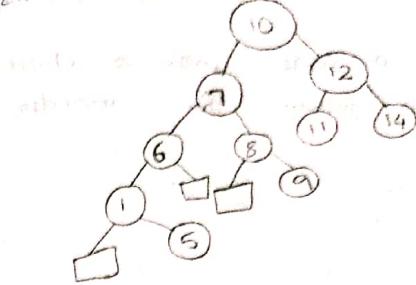
Binary search tree

- (1) Creation
- (2) Insertion
- (3) Deletion
- (4) Searching
- (5) Traversal

**Creation:** Whenever there are null nodes in a binary search tree then we can create a new node and make it as a root.

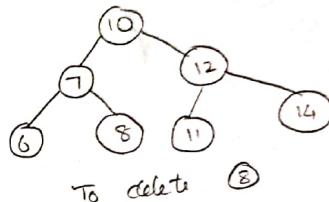
**Insertion:** While inserting the elements the comparison of the root node & the current node to be inserted is done. If the new node is less than the root node then insert it at left else insert it at right.

Elements : 12, 7, 11, 8, 6, 14, 13, 9, 5

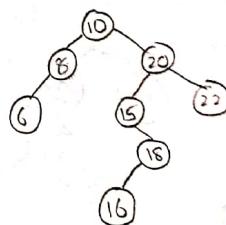


**Deletion Operations:** The deletion of a node can be done in three ways

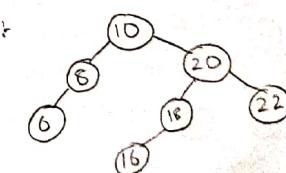
- (1) Deleting a leaf node
- (2) Deleting a node with one child
- (3) Deleting a node with two children



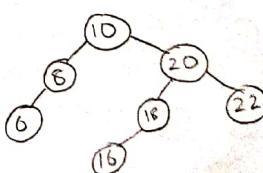
**Deleting the Node with one child:**



Deleting

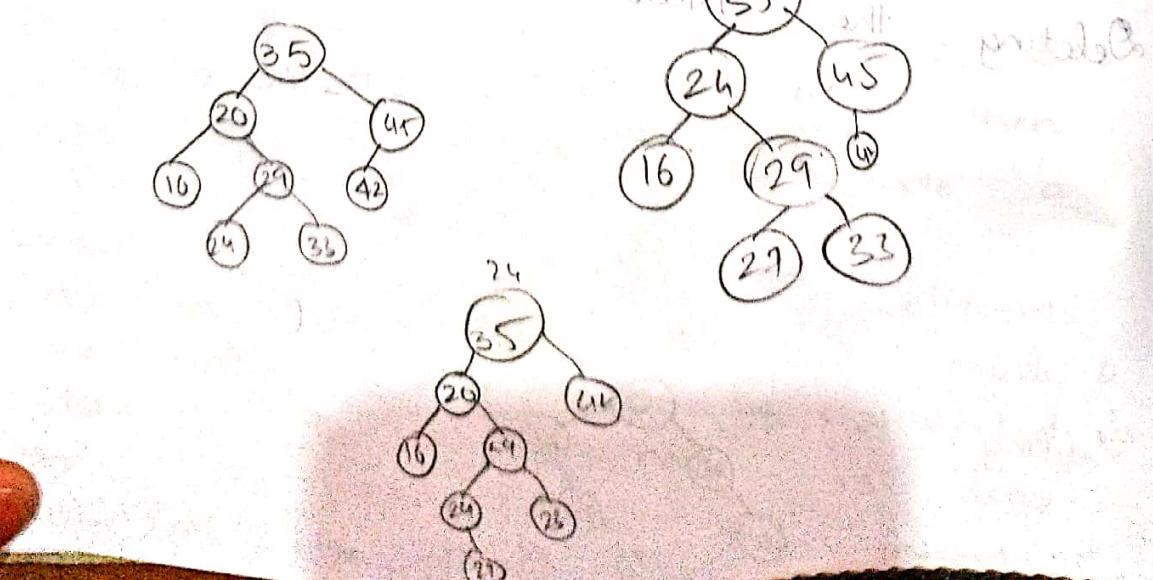
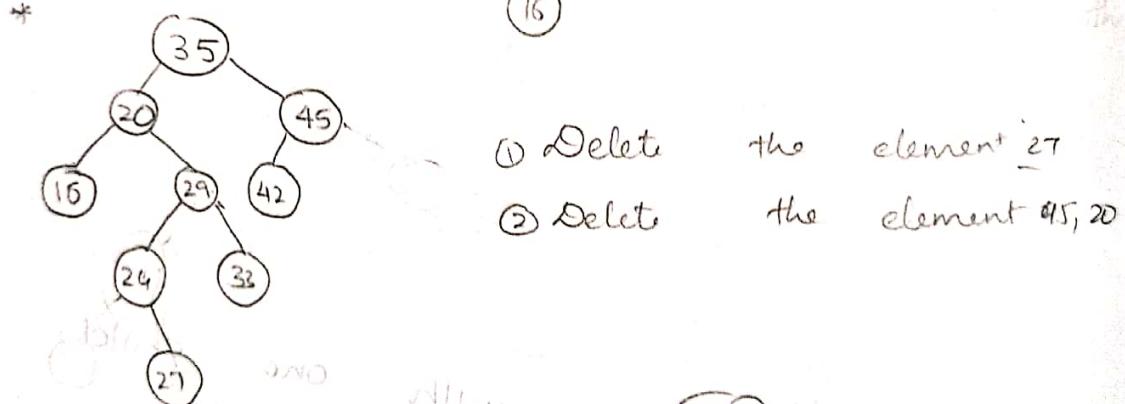
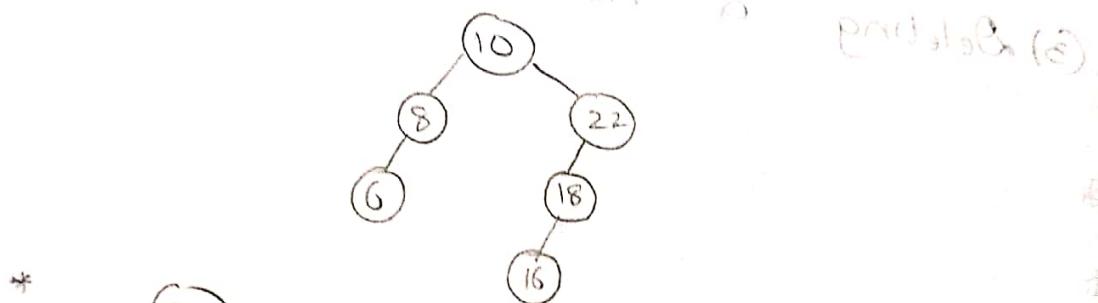
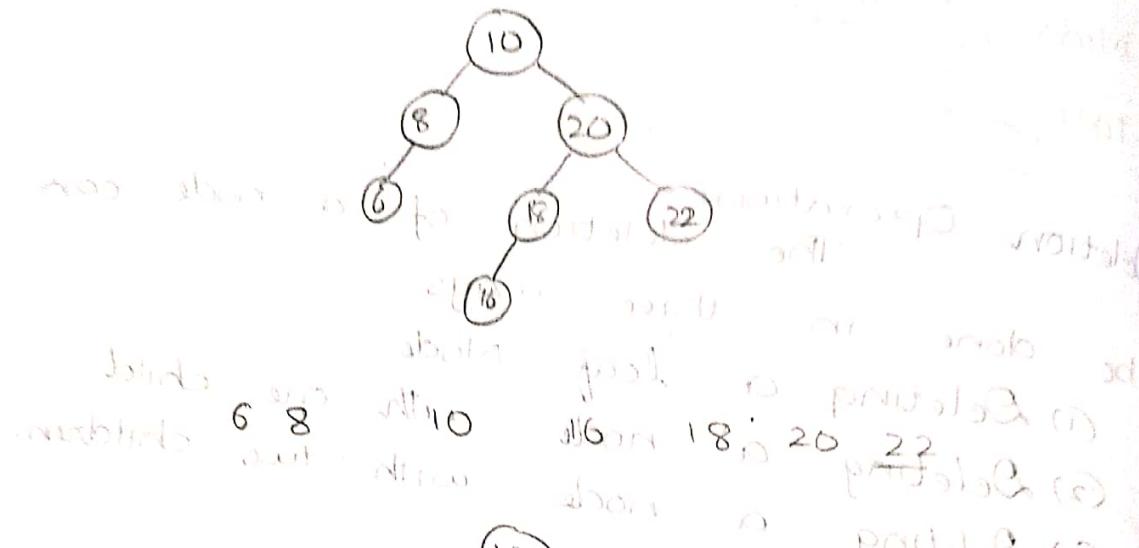


15 :-

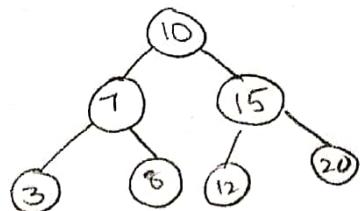


Deleting a node with two children nodes:-

To delete a node with 2 child nodes we can follow the morder procedure.



Searching:



Binary tree - It is complex

Binary Search Tree - It is easy to identify

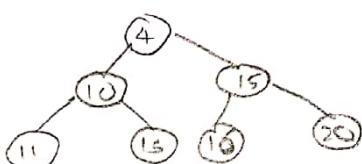
Heap trees: Heap tree is a binary tree which follows a complete binary tree.

This can be given in two ways

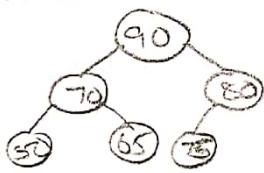
+ Minimum Heap

+ Maximum Heap

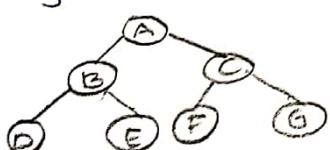
(i) In minimum heap, the root node has a value less than or equal to the value of the nodes attached to that (child nodes)



(ii) In the maximum heap, the root node has a value  $\geq$  to the values of nodes attached to that child nodes.



Array Representation of Heaps trees:



0	1	2	3	4	5	6	...
A	B	C	D	E	F	G	

Operations on Heaps  
There are 3 main operations performed on this

trees:  
operations that can be heap trees.

(1) Insertion

(2) Deletion

(3) Merging

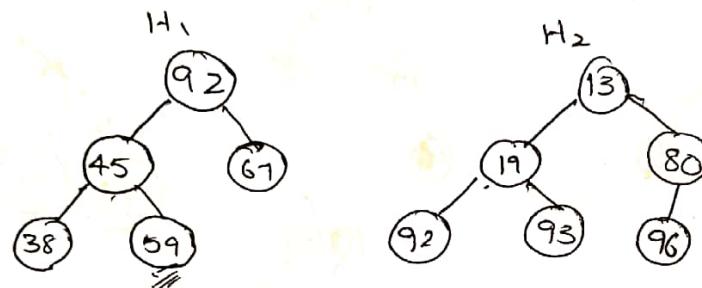
Insertions: This can be done for either a max. heap or min. heap tree also.

For example consider a max. heap tree as follows

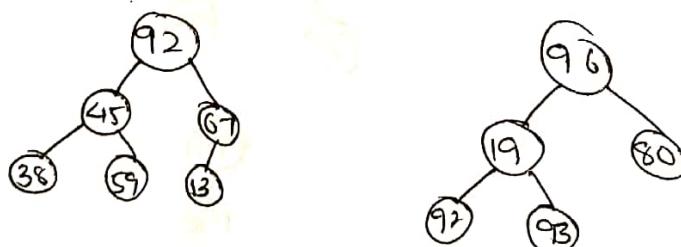
Merging Process: When we consider two trees as merged as  $H_1$  &  $H_2$ , it need to be merged as  $H_1$ .  
merge two heap trees as all node from  $H_2$  into  $H_1$ .

Here the steps to be followed are

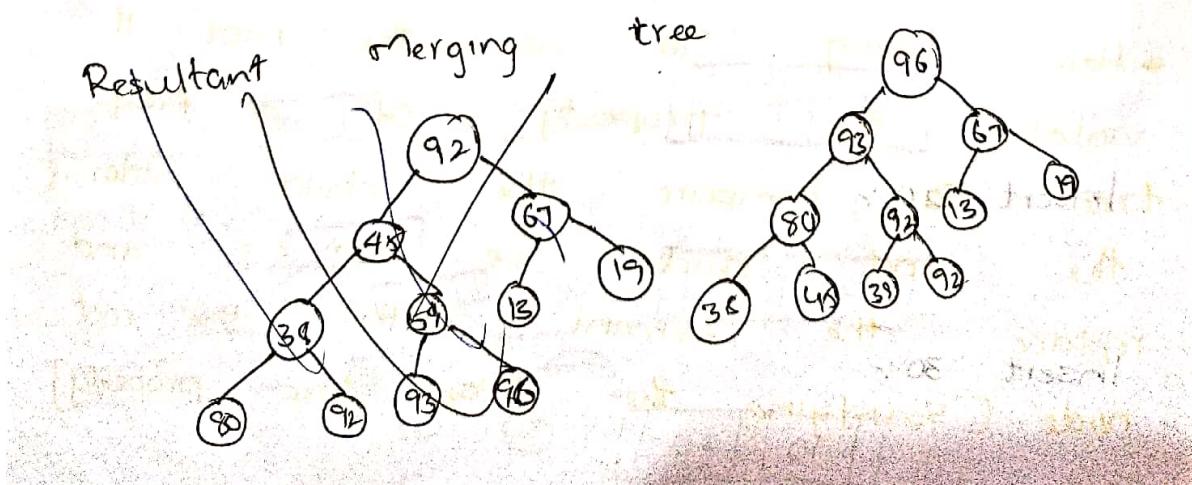
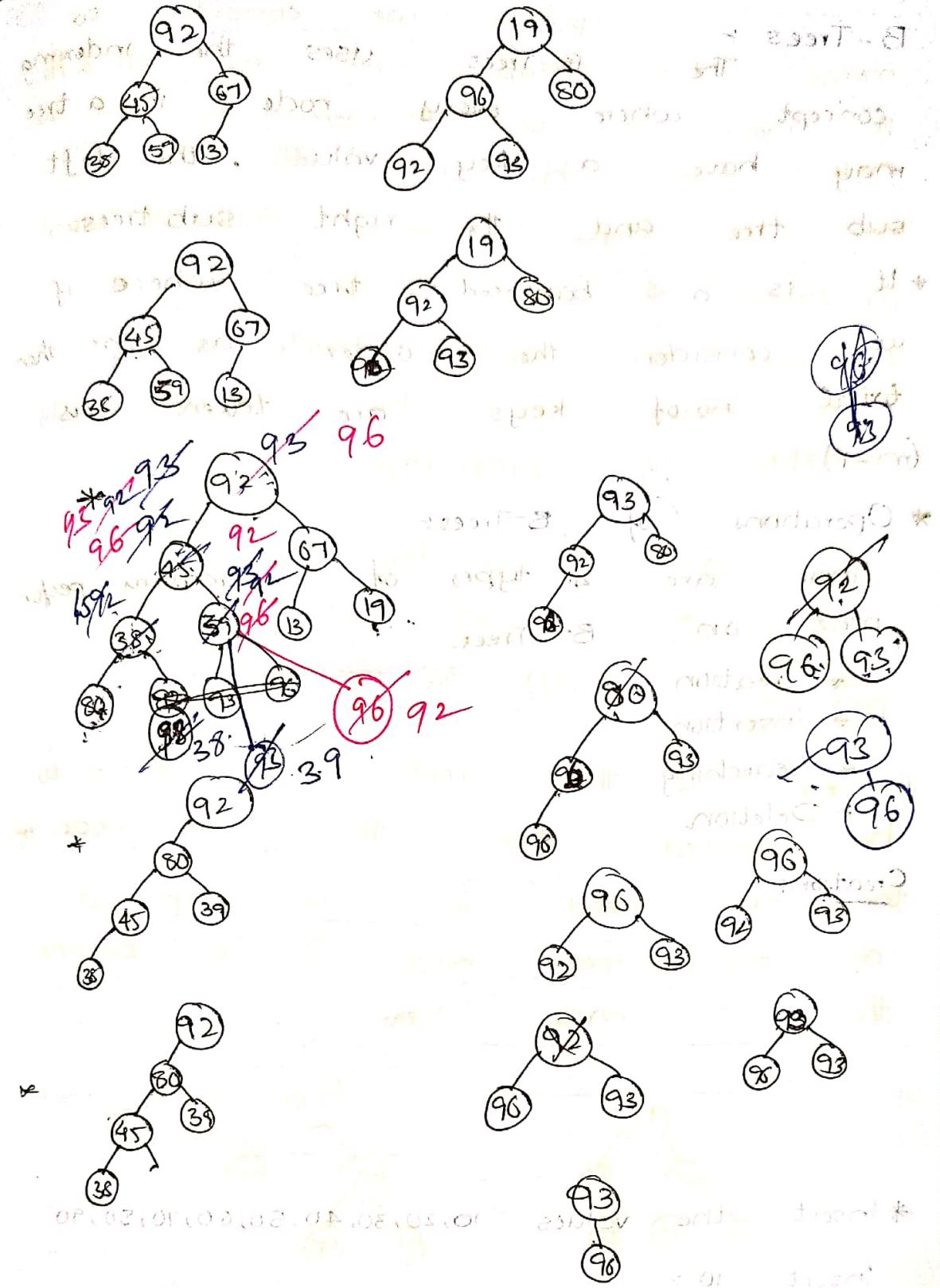
- ① Delete the root node of  $H_2$ ,
- ② Insert the deleted node into  $H_1$  and make sure the resultant satisfies the property.



(i) Here if the root node 13 is to be deleted then the last node of the tree need to be replaced as the root node here



\* Here being 96 as the root it violates the property of a heap tree. So compare the child nodes of the root node i.e. 19 & 80 and replace the element with the root node. [Satisfying the heap tree property]



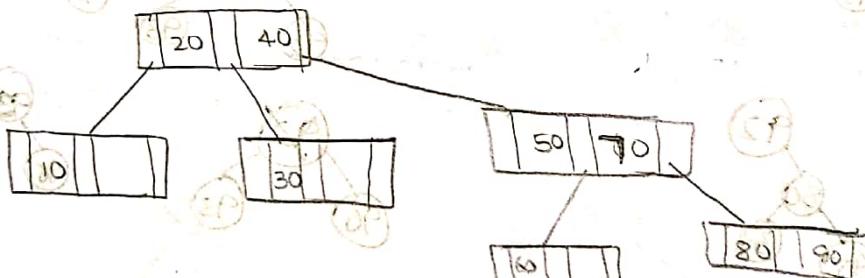
B-Trees :-  
The concept where every node uses the index, in a tree may have a key value, - the left sub tree and the right sub trees.

\* It is a balanced tree where if we consider the order as in the total no. of keys are taken as  $(m-1)$ .

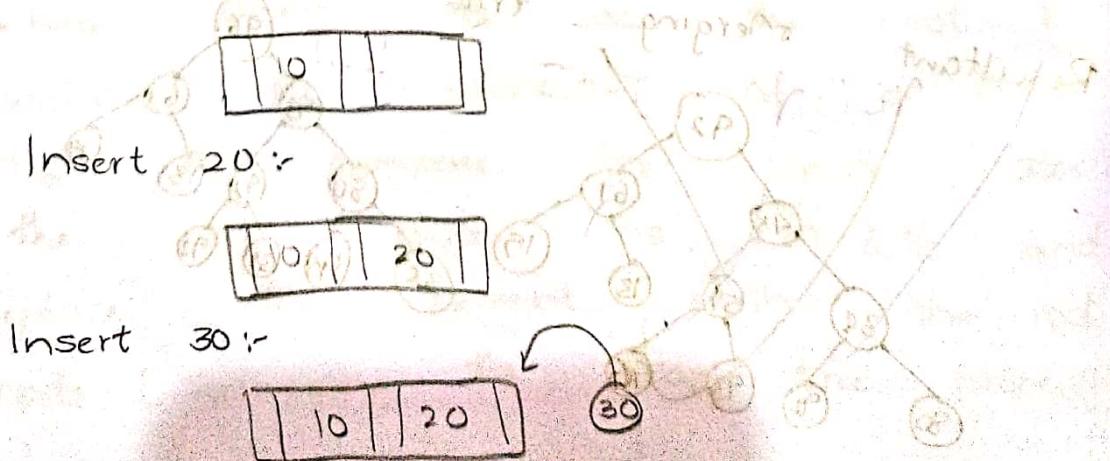
\* Operations of B-Trees :-  
There are 4 types of operations performed on B-Trees.

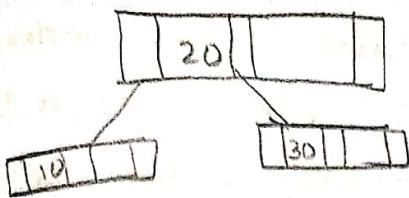
- \* Creation
- \* Insertion
- \* Searching
- \* Deletion

#### Creation :-

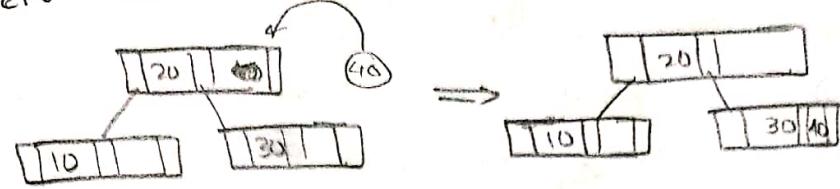


\* Insert the values 10, 20, 30, 40, 50, 60, 70, 80, 90  
Insert 10 :-

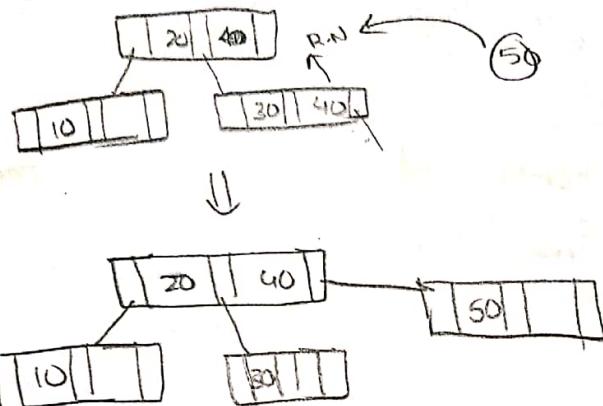




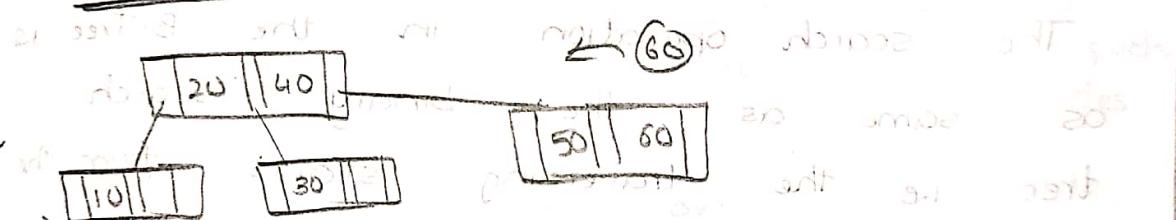
Insert 40 :-



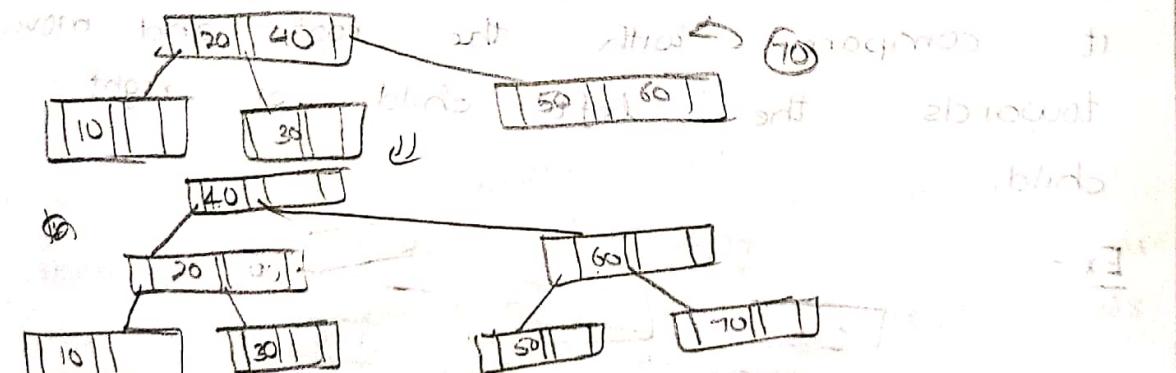
Insert 50 :-



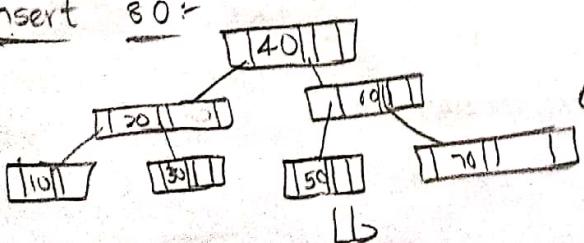
Insert 60 :-

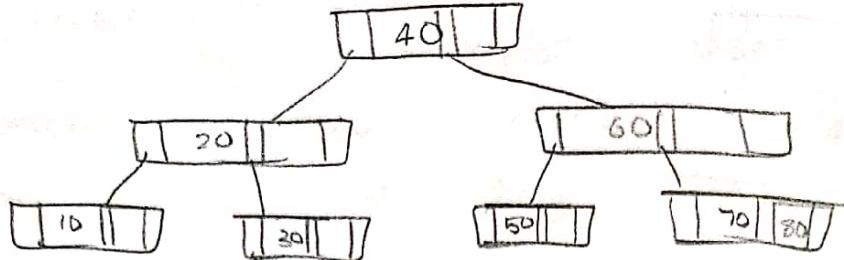


Insert 70 :-

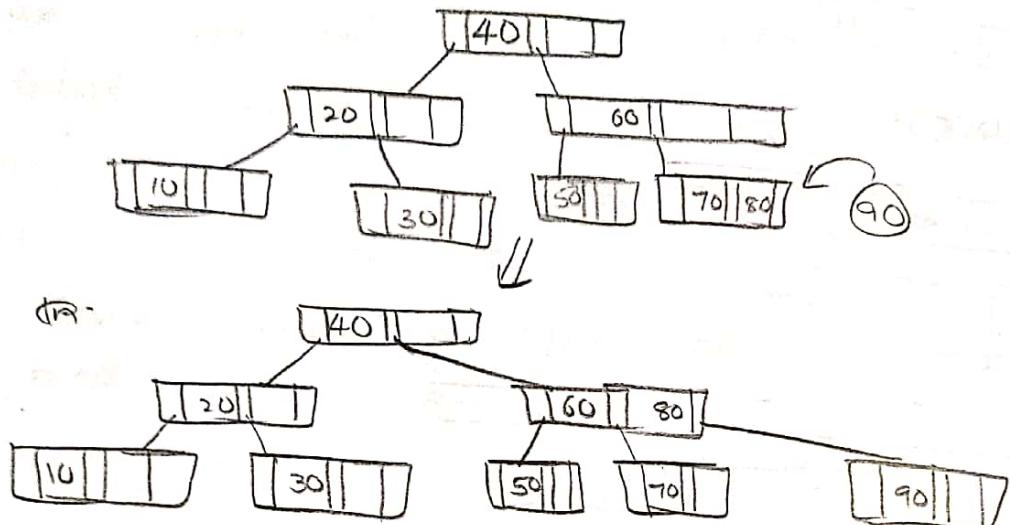


Insert 80 :-





Insert 40

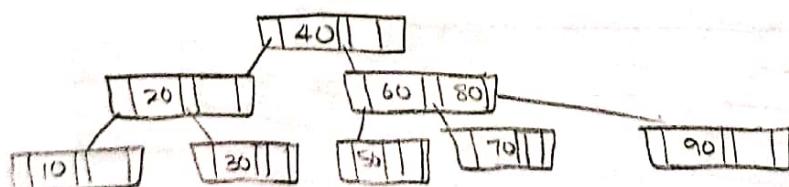


Searchings :-

The search operation in the B-Tree is same as the binary search tree. i.e. the traversing starts from the root.

\* Whenever a key element is searched it compares with the root and moves towards the left child or right child.

Ex:-



The 70 element is found and the search is successful.

## Deletion Operation:

To Delete the B-Trees we have n number of trees.

(@) Consider K as a key to be deleted and X be the node having the key K, then there occurs many cases under this deletion.

case(i) If the key a leaf node then delete it directly and make sure the leaf node does not have very minimum no. of keys.

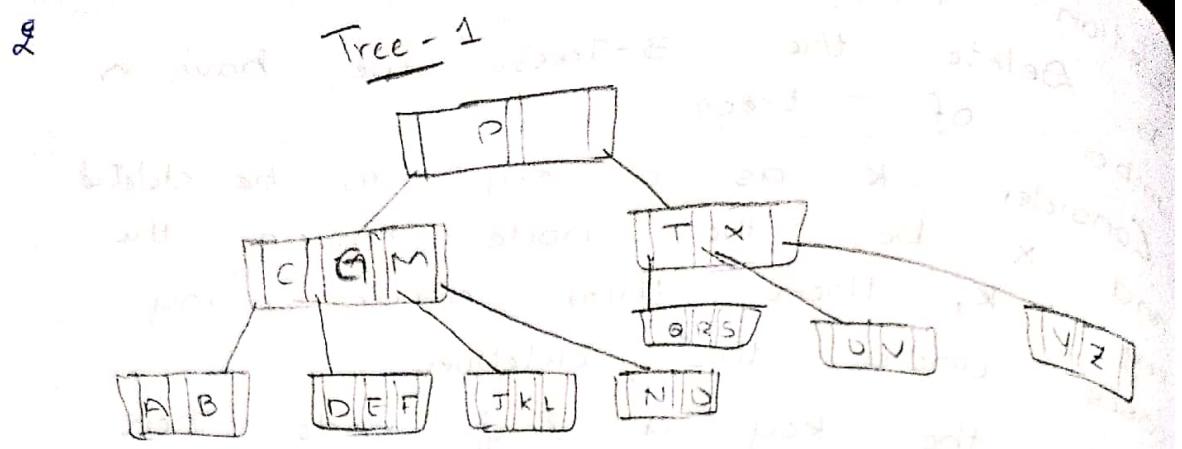
case ii): If key is in internal node X then any of the following cases can be used.

(i) If the child node which precedes the key elements successor then nodes both the keys are with minimum a key we will join with its child nodes

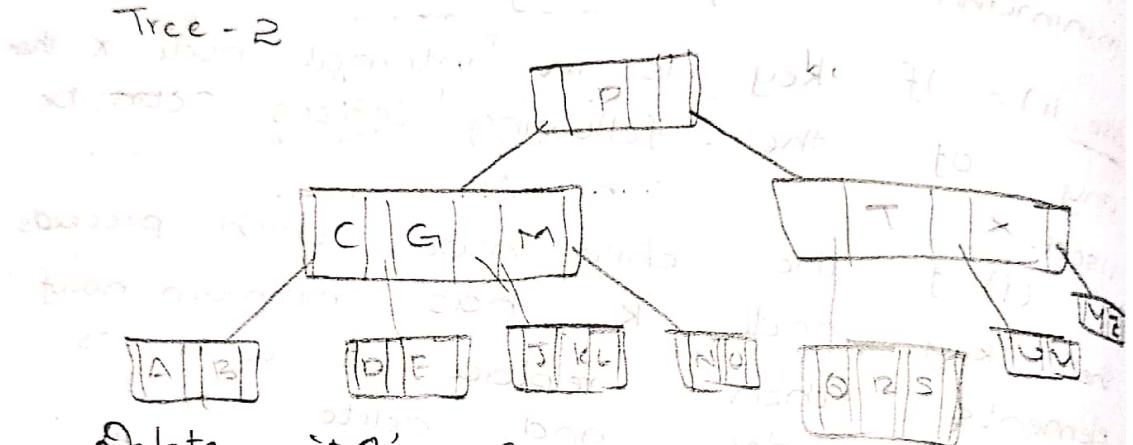
case - iii): If K is not an internal node then find the root of the tree and either of In this

an element where if you want to delete the root node which can be joined as a single node with its left childs.

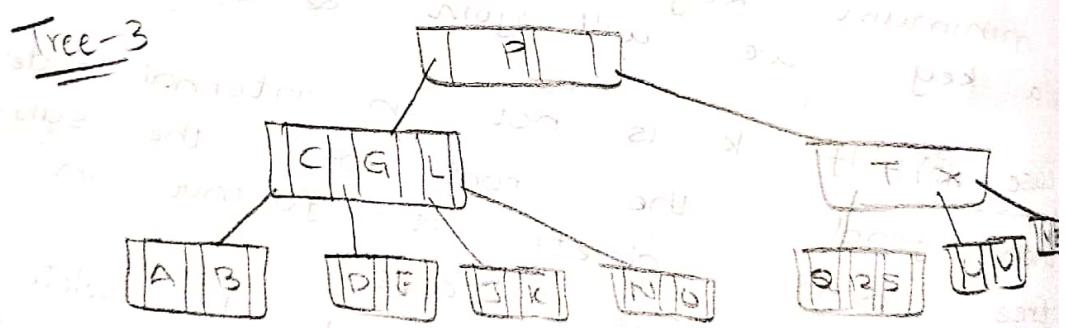
and right childs.  
i.e move the key from X to the root , move the key from L & R , move the child nodes from X .



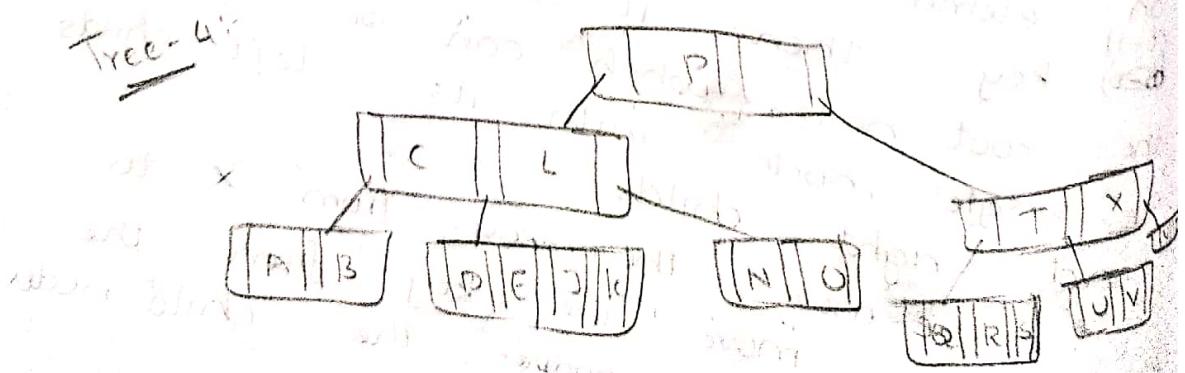
Delete 'f' from the above tree  
 (case - i)



Delete 'M' from the tree - 2.  
 (case - ii(a))



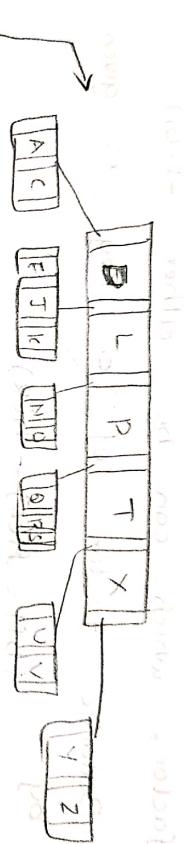
Delete the only element 'G' from tree 3  
 (case - iii(b))



Now delete  $B$  from tree- $A$ . Then we observe that the root has  $T-1$  keys but the other nodes will have maximum  $T$  keys. If it then such cases perform the following.

\* Move the key from  $\alpha x$  to the root.

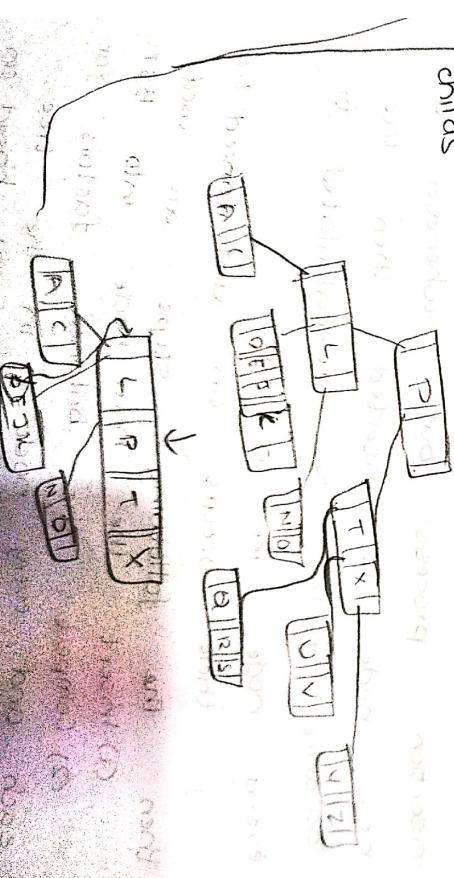
\* Then move the child from the sibling and also move the key from the root of left or right. Then we get the tree as follows:



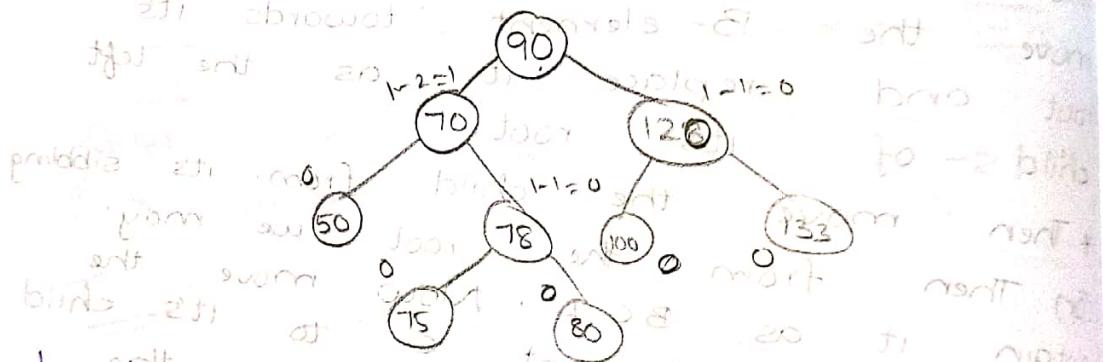
To delete the  $B$ -element initially move the root and replace it as the child of its child.

\* Then move the child from its sibling (or) then from the root we may obtain it as  $BCL$ . Now move the most element  $C$  to its child middle node and combine or merge the elements above the root on the left and right children.

Consider the following example:



2 AVL Trees (or) Height Balanced Trees is known as AVL trees.  
 This is kinds of people named by a group of people named Velsky & EM Kandis.  
 GM Adelson, 1962.  
 the year 1962.  
 These trees are a kind of Binary Search trees where each node is associated with the balance factor, which can be either -1, 0, 1.  
 Balance factor of a key is given by  $|bf| = h(L) - h(R)$ .



Insertion operation in AVL Tree:-

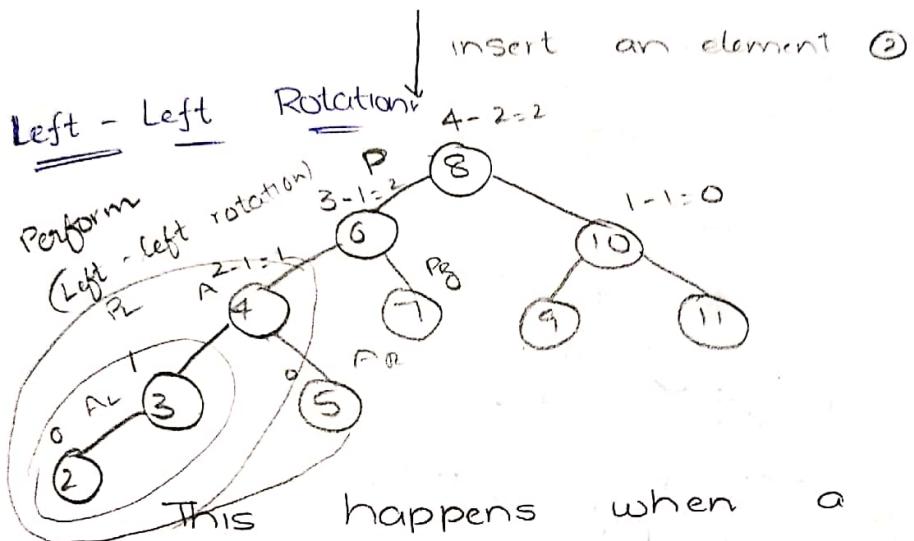
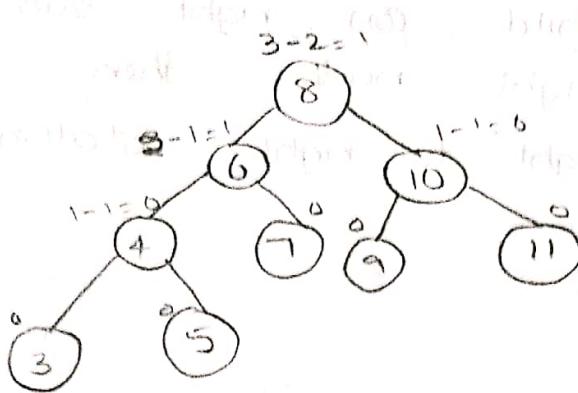
The insertion process is done as follows:

- The insertion process is done as a search tree.
- Whenever a new node is inserted, then the balance factor is re-calculated for every node in a tree.
- If there occurs an unbalanced tree, then the following steps are used:

- (1) Insert the new node into BST.
- (2) Compute the balance factors for each and every node.
- (3) Decide the private node in the tree.

the unbalanced factors occurred.

④ Then follow the AVL rotations in order to make the unbalanced tree as a balanced one.



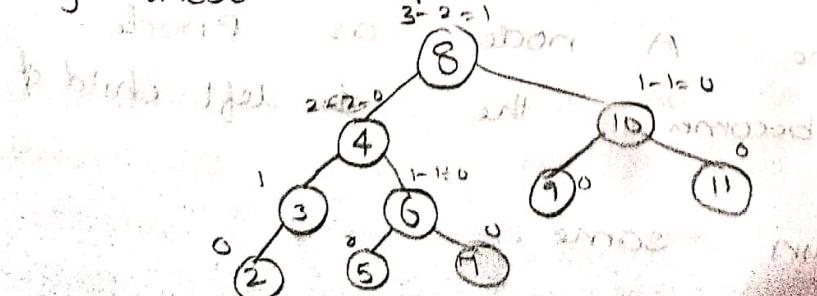
This happens when a new node is inserted towards the left subtree as a left most child.

① The right sub-tree of the left child A becomes left-subtree of P.

② Then P becomes right child of A

③ The left subtree of A i.e AL remains as same.

By these steps we make the L-L rotation

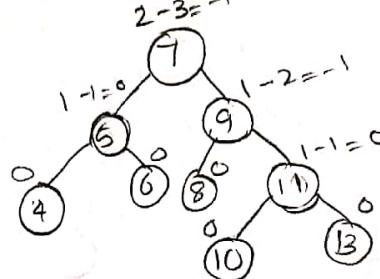
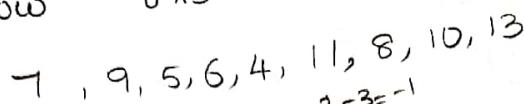


Finally get the nodes AR & PR to be attached to the node P

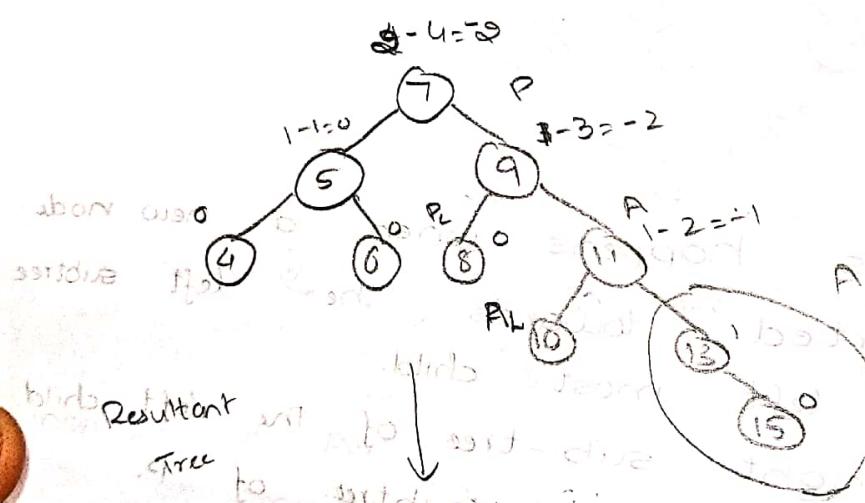
case - II : Right - to - Right Rotation

Whenever a new node is inserted towards the right subtree as the right most child (or) right node then we right - to - right rotation.

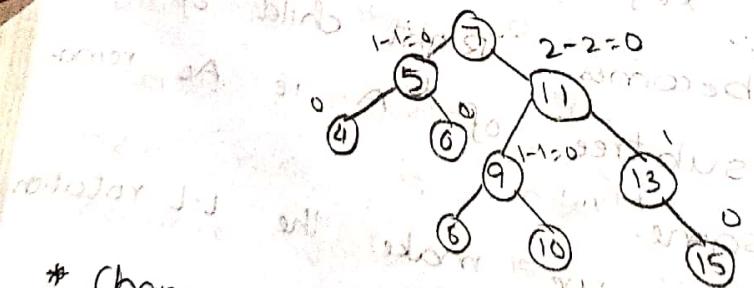
follow this right - to - right



↓ insert 15



bird  
Dove  
Resultant  
Tree



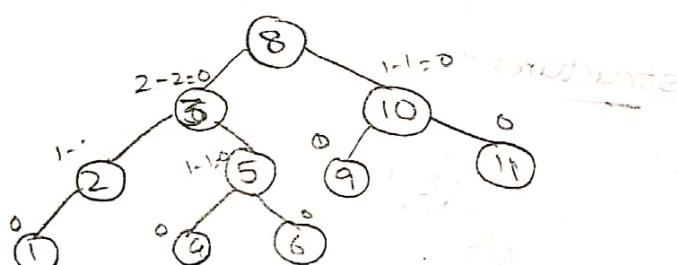
- \* Change the A node as P node
  - \* P node becomes the left child of A
  - \* AR remain same.

case -iii) :- Left -to- Right Rotation :-  
 If node  $a$  is inserted into the right subtree of the left child then the tree becomes un-balanced one.

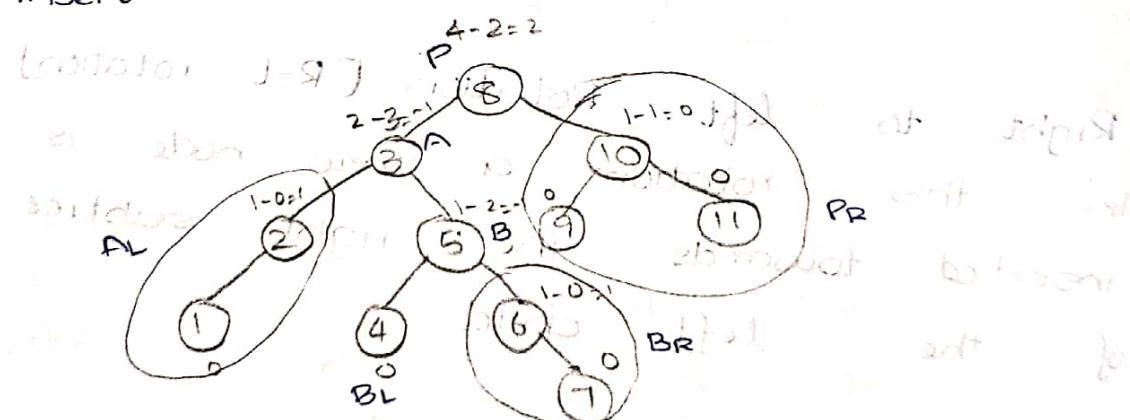
Here in the L-R rotation two steps are followed:

① The [left sub-tree of the right child] of the [left child of pivot] becomes right sub-tree of left child.  
 Then the left child of pivot becomes left child of B.

② The right sub-tree of right child of left child of Pivot becomes left subtree of P. Then P becomes right child of B.



Insert the node 7

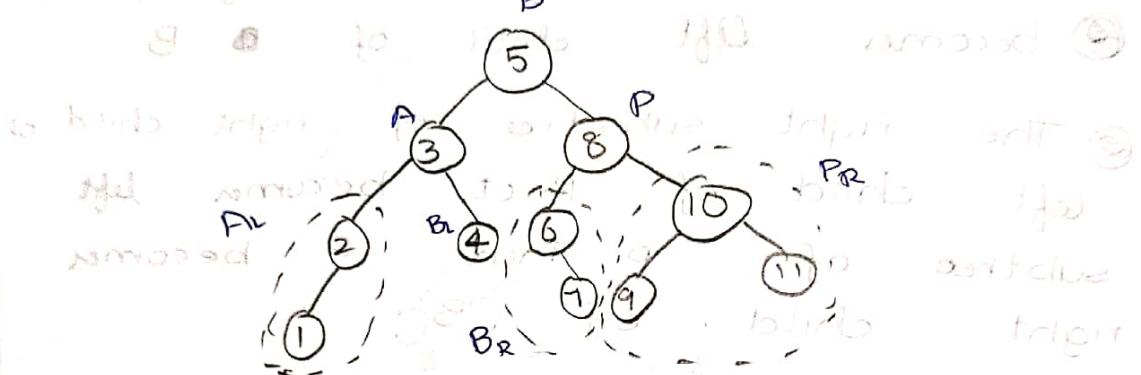


Here we have obtained the tree as a unbalanced one and so to make it a balanced tree we follow the L-R- rotation [since we have inserted the node at left subtree of right child]

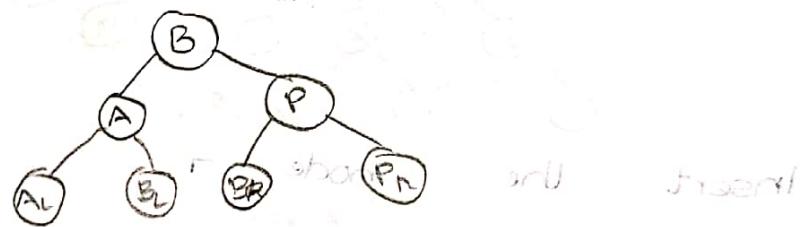
Here the node B is made as the pivot position and the node A

remains same as left child and the pivot gets attached to the right B.

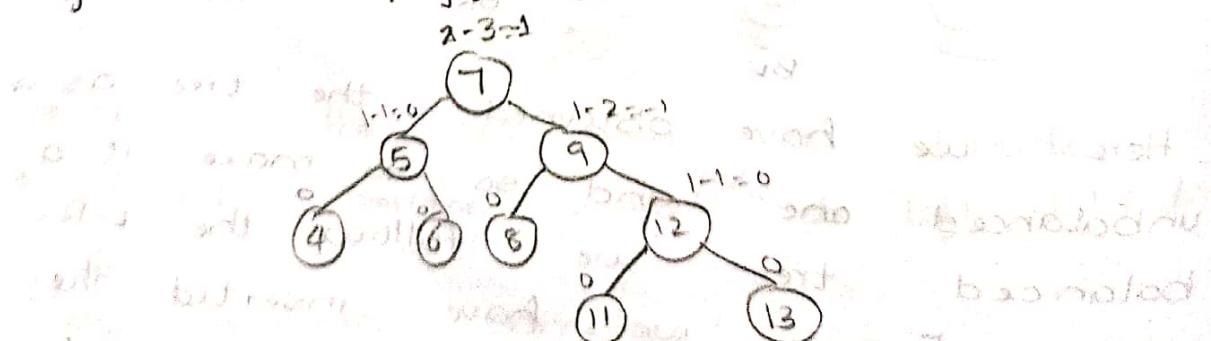
\* Both the AL & BL gets attached as children of node A & both the BR & PR will be attached as the children node P. going to build B with root



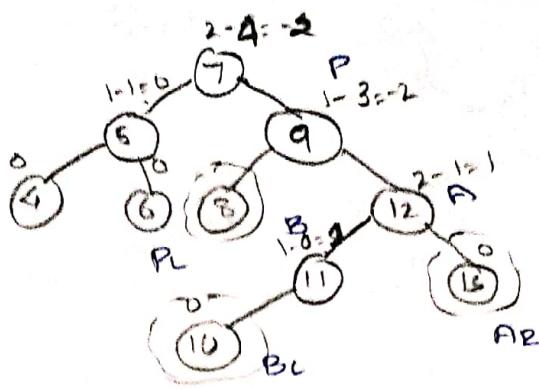
Basic structure



Right to left Rotation [R-L rotation]  
In this rotation a new node is inserted towards the right subtree of the left child



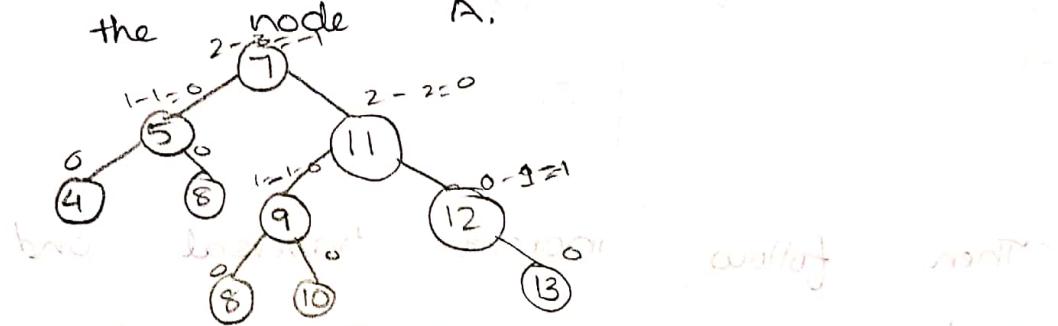
Insert 16 in the tree  
After insertion the tree will have height 4 having 12 leaf nodes



9 is pivot node.

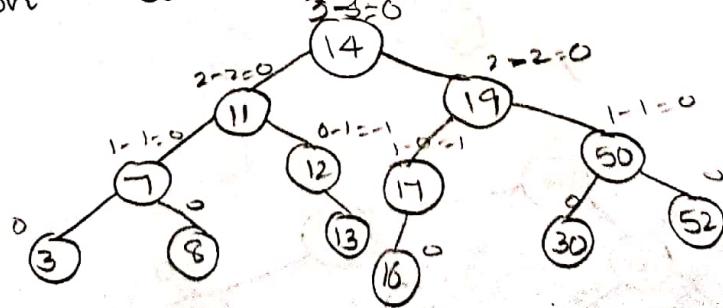
\* Here B is send towards the position & A remains constant then P gets attached towards the left of B

\*  $P_L$  &  $B_L$  acts as the children for node P and  $A_R$  &  $B_R$  will be the children to the node A.

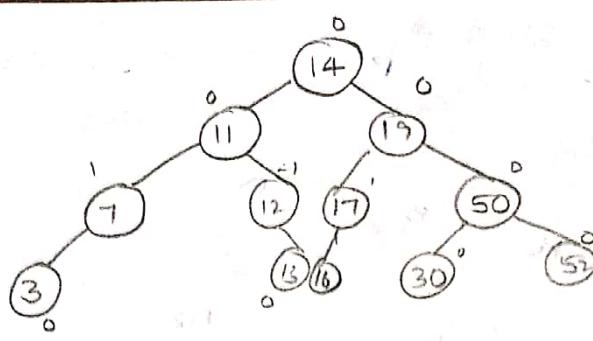


### Deletion Operation:

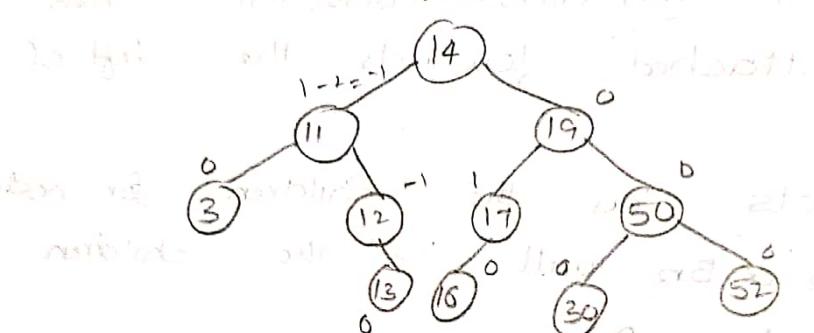
In the deletion operation concepts are used to check the balanced factor of the tree obtained even after deletion and the same process of here follows the process of BST deletion as that of



Delete node 8:

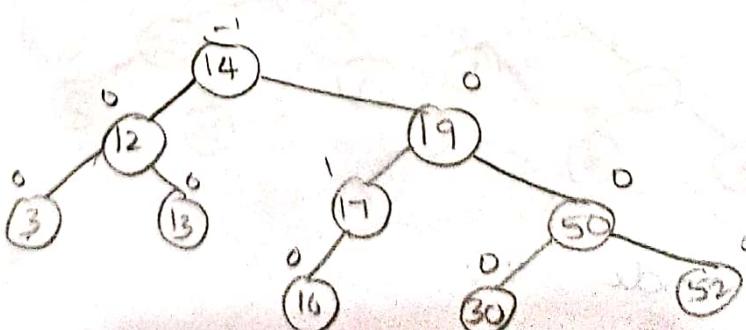
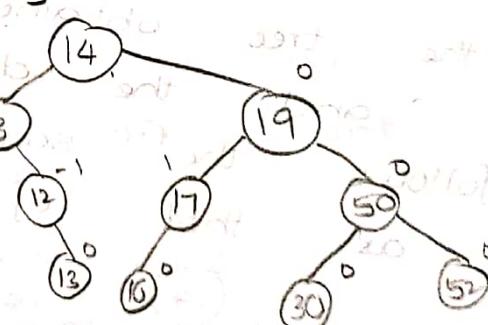


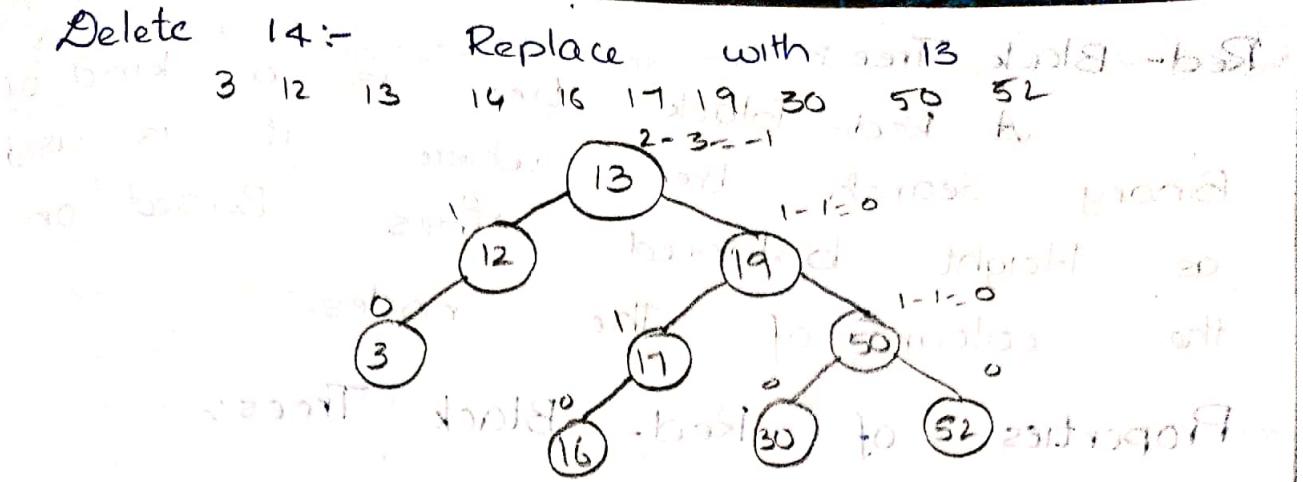
↓ Delete 7 from 2-3-4 tree



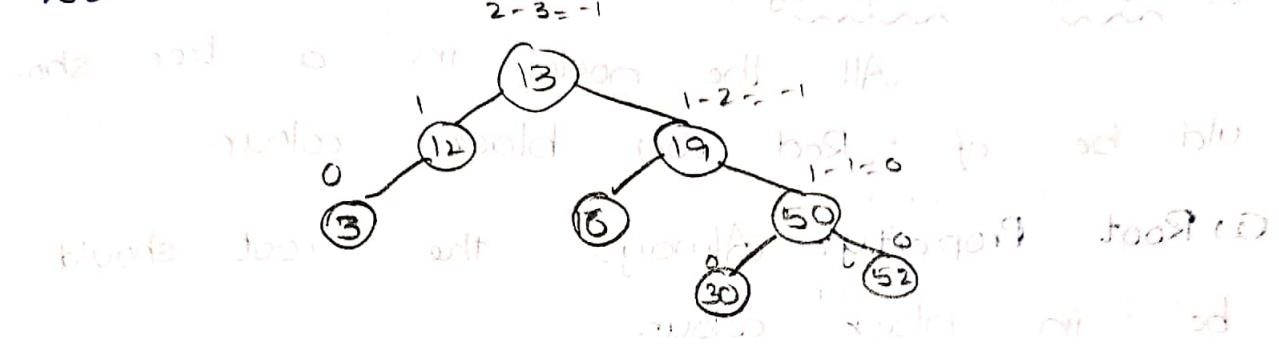
↓ Delete 11

Then follow inorder traversal and replace it with predeessor node





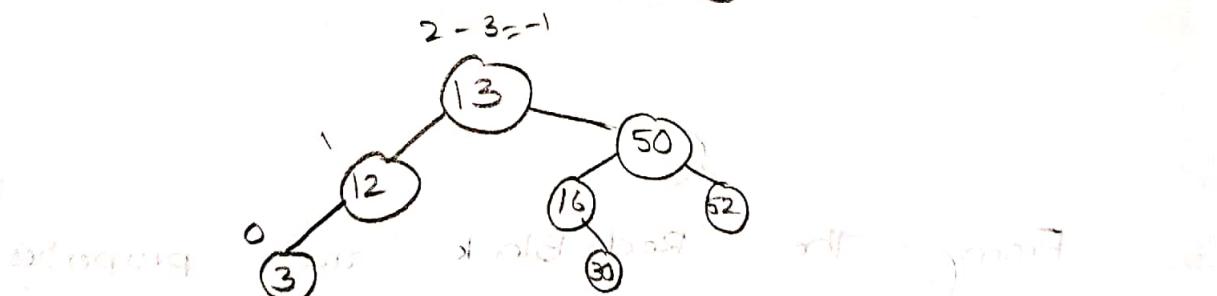
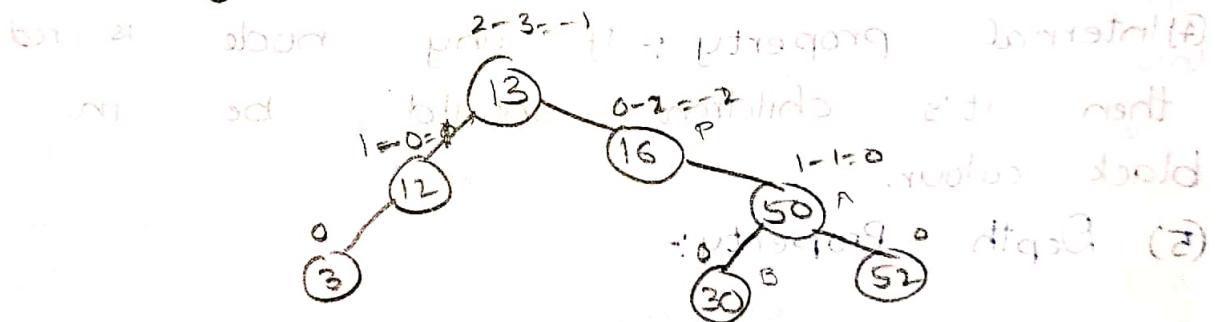
Delete 17 :-



Delete 19 :-

Left child of 19 is 16. Replace 19 with 16.

3 12 13 16 19 30 50 52



Red- Black Tree :-

A Red- Black tree is a kind of Binary Search tree where it is used as Height balanced Trees Based on the colour of the nodes.

Properties of Red- Black Trees:-

(1) Colour Property :-

All the nodes in a tree should be of Red (or) black colour.

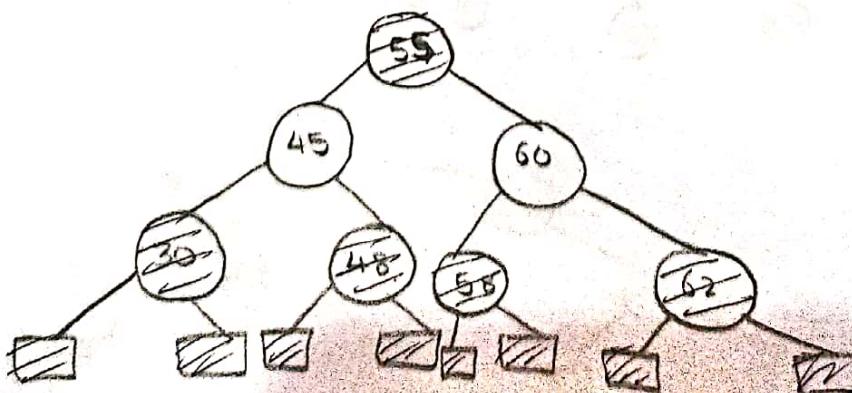
(2) Root Property :- Always the root should be in black colour.

(3) External Property :- All the external nodes should be in black colour.

(4) Internal Property :- If any node is red then its children should be in black colour.

(5) Depth Property :-

From the Red- Black tree properties we can draw an example tree as follows



Different operations that can be performed in red-black tree are

- (1) Creation
- (2) Insertion
- (3) Searching
- (4) Deletion

Creation: Whenever R-B tree is empty then the node inserted initially will become the root node & gets its colour to be changed as black.

Insertion: Whenever a new node is inserted then allocating the node, it will be assigned the value where initially colour changes based on the properties.

Here there exist 8 different types of imbalance. as

LL<sub>r</sub>: When parent is left child of g and x is left child of p and red colour to node left child of g & x is

LL<sub>b</sub>: When p is left child of g and the other child of g is in left child of p and the other child of g is not black

RR<sub>r</sub>: When p is right child of g and the other child of g is in red.

RR<sub>b</sub>: When p is the right child of g and the other child of g is black.

LR<sub>r</sub>: When p is the right child of g and the other child of g is red.

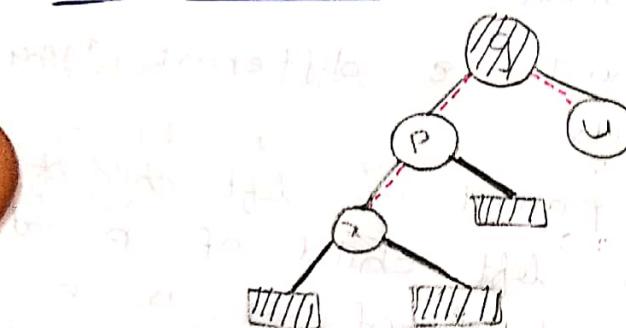
LR<sub>b</sub>: When p is the left child of g and the other child of g is black.

RL<sub>r</sub>: When p is the right child of g and the other child of g is red.

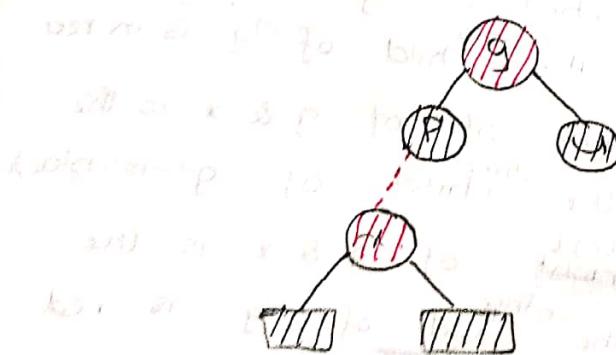
~~RLb~~ When P is the right child of g & x is the left child of P & g, then the other child of g is black.

- \* In the LLr, RRr, LRR, RLR types of imbalance, then only the colour change is taken place.
- \* In the LLb, RRb, LRb, RLb types of imbalance, then we do both the colour change & rotation.

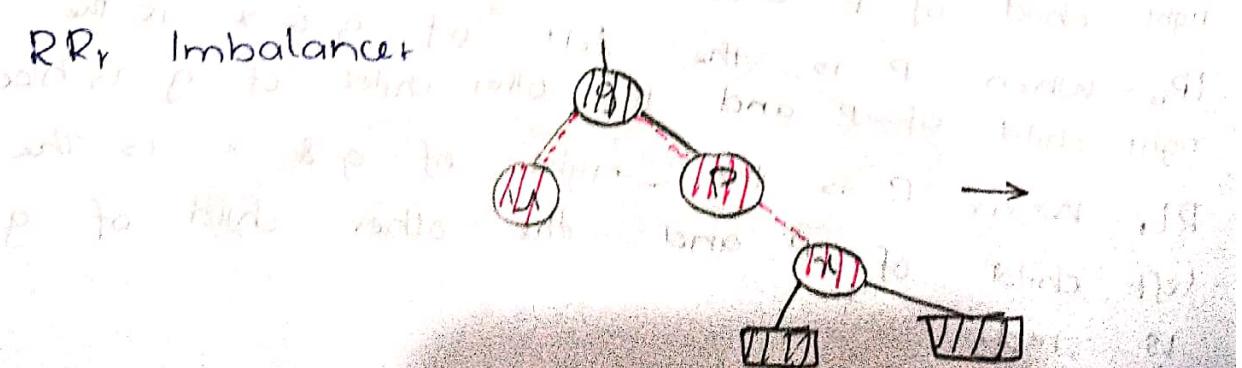
### LLr Imbalance :-

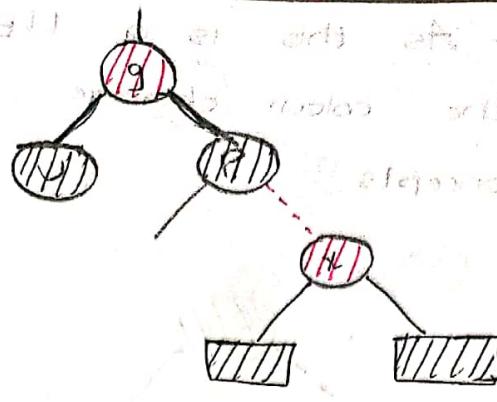


Here as this is LLr imbalance, we change only the colour of nodes. i.e. grandparent is changed to red colour & parent and the uncle nodes are given with black colour.

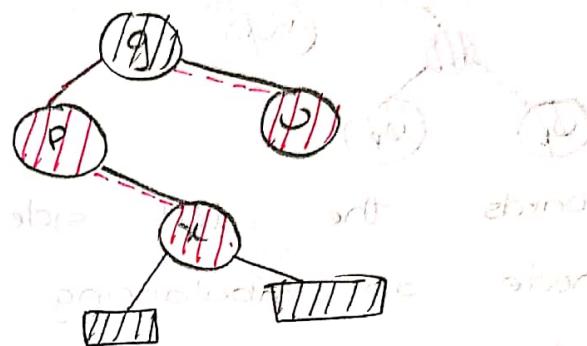


### RRr Imbalance



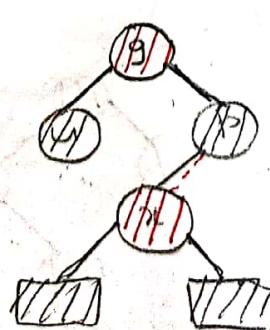
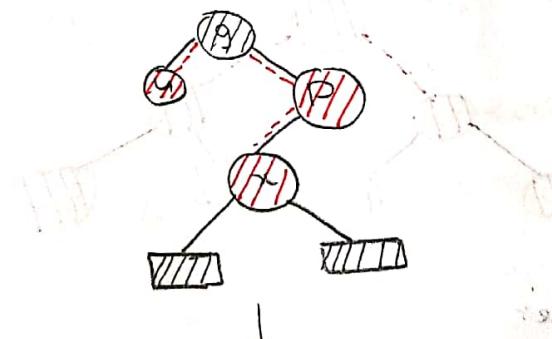


LR :- Here we change ~~the~~ colour.

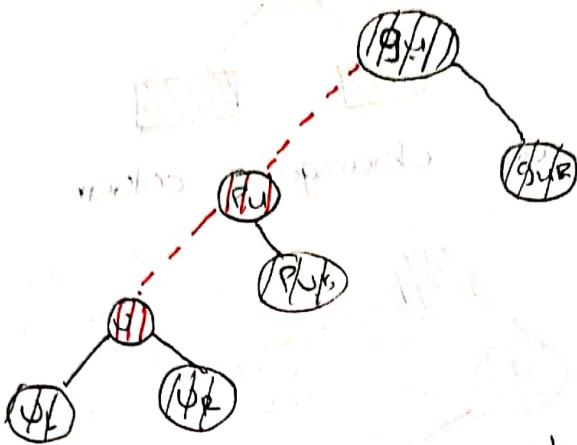


soft of abs soft element  
an no refact paralalel short file  
e go birds file in as as nodes at  
dots is will to help file with as  
effect fr mark ist el up to bird  
jj and gen new paralalel soft fa +  
when p su soft func base modutor  
when p su soft func base modutor

RLR :-

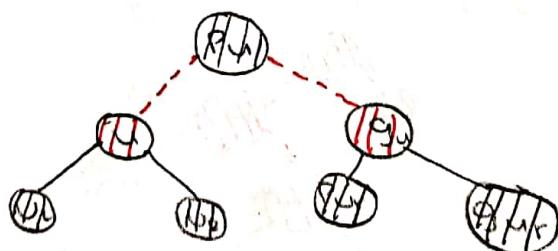


LL<sub>b</sub> Ambalance: As this is a LL<sub>b</sub> imbalance we do the colour change as well as rotation concepts.

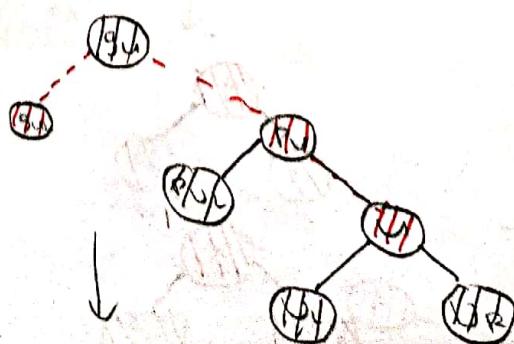


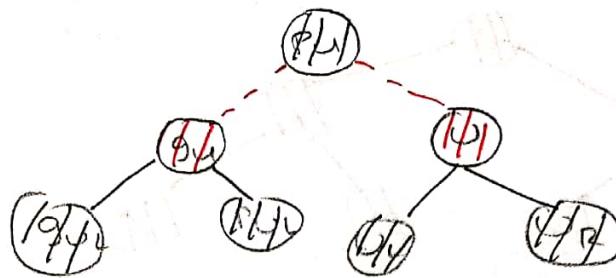
Towards the left side to the left node an imbalancing factor occurs i.e. when Pu is a left child of g<sub>u</sub> & u is the left child of Pu & other child of g<sub>u</sub> is black then it results in a LL<sub>b</sub> imbalance.

\* At this imbalance we use the LL rotation and make the u & g nodes to red colour.

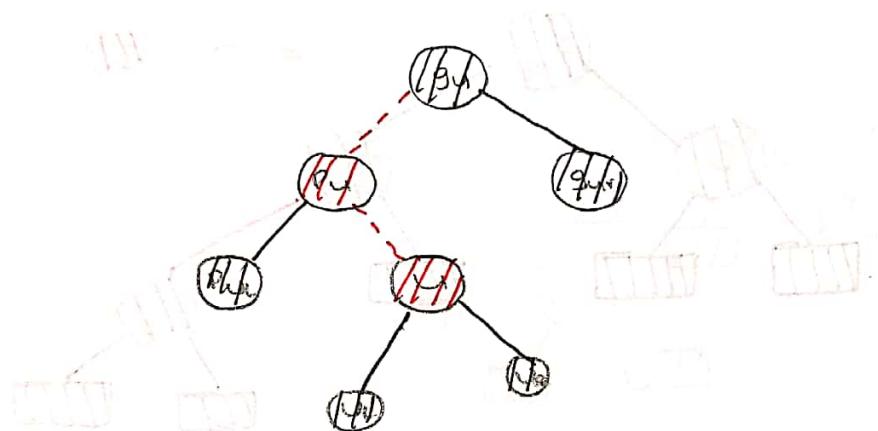


RR<sub>b</sub> Imbalance

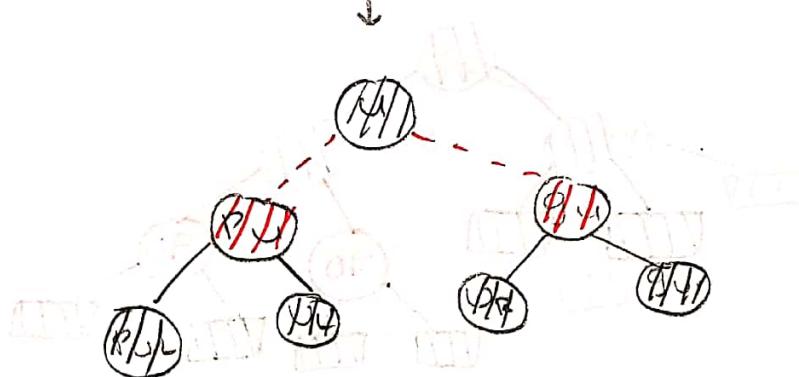




LR<sub>b</sub> Imbalance: In this LR<sub>b</sub> imbalance the node is attached towards the left sub-tree of right node.

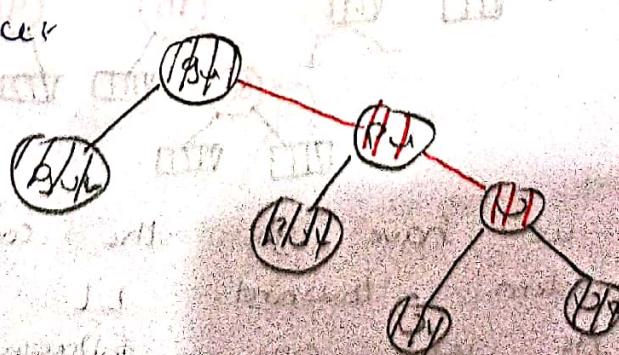


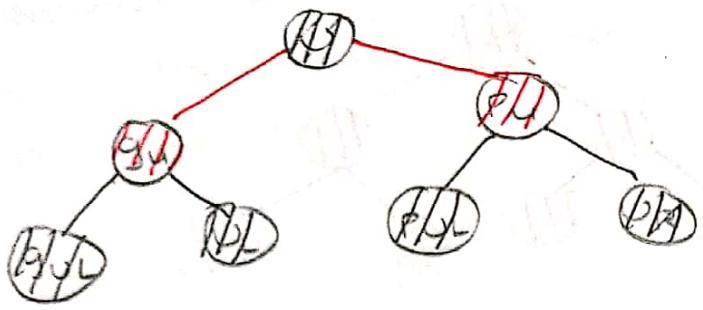
→ OR J-oevid



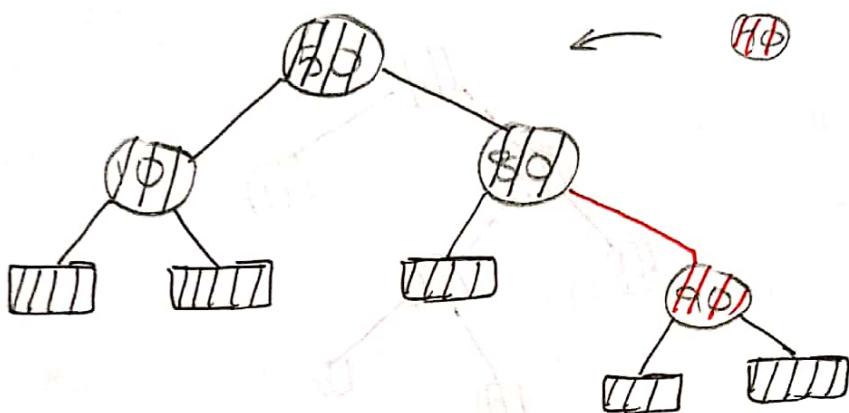
Here so after the L-R rotation the colour of Pu & gu as black is changed to red.

RL<sub>b</sub> imbalance

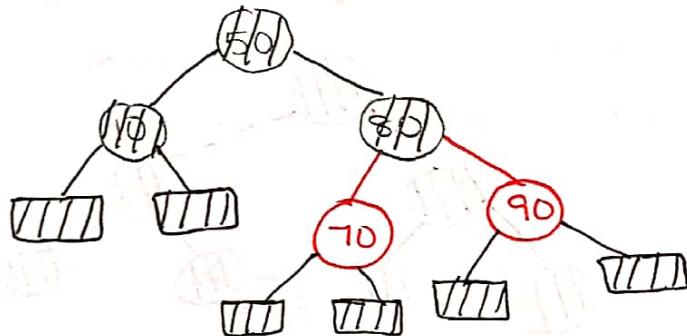




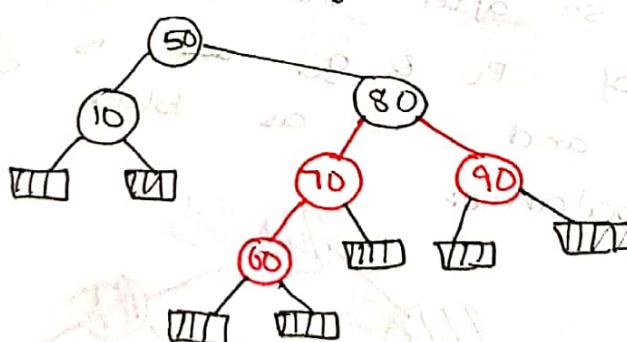
Consider the following tree and perform the insertions:-



Insert 100:-

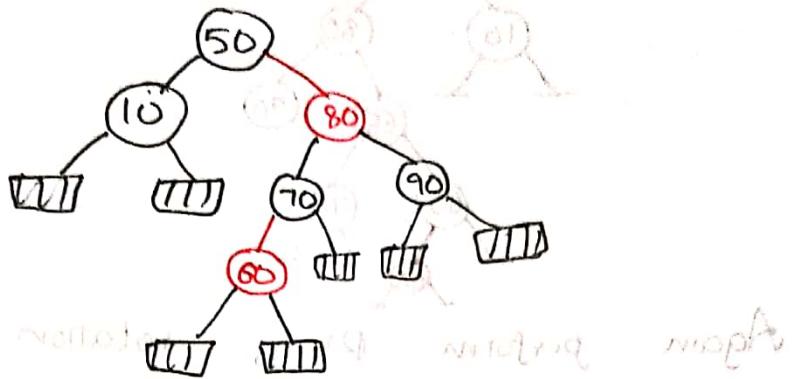


Insert 60:-

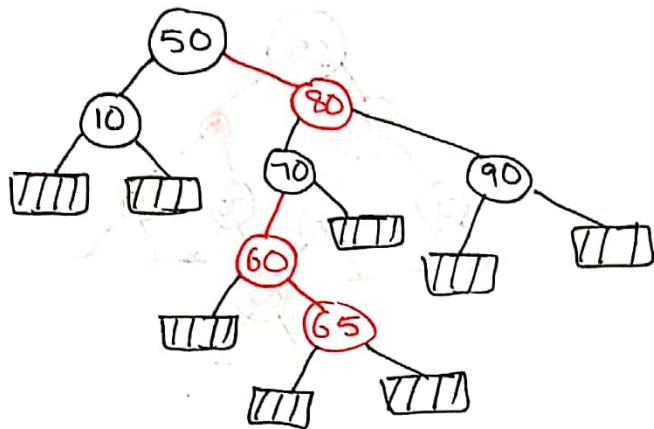


Here we have got the continuous red problem toward LL and follow following the LLR imbalance. As we are changing the colors of parent

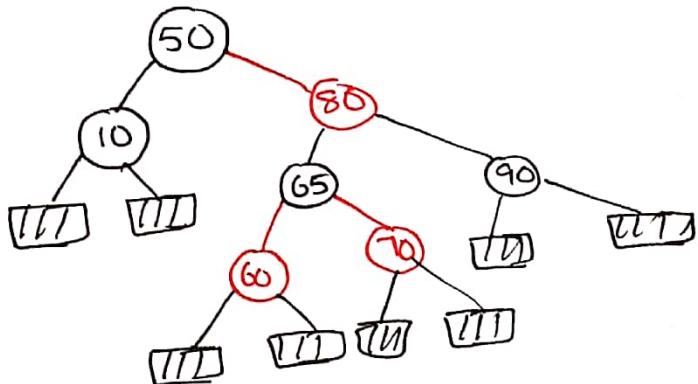
node & uncle node to black. and root node  
to red.



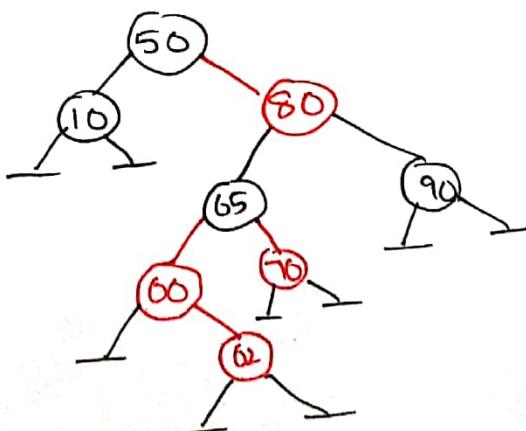
Insert 65 :-



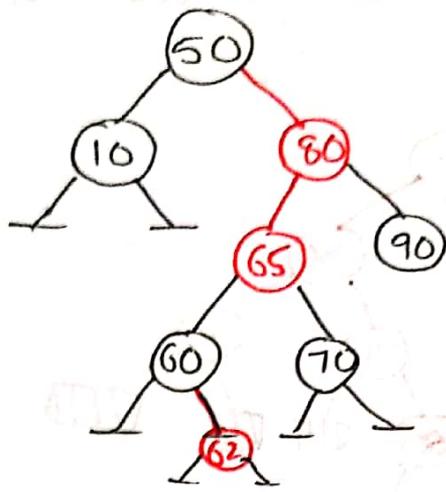
Perform LRb rotation :-



Insert 62 :-



We follow LRR imbalance so change only  
colours



Again perform RL<sub>b</sub> rotation

