

# COMP261 Parsing 2 of 4

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*Te Whare Wānanga  
o te Ūpoko o te Ika a Māui*



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# today

- the basic idea of a grammar
- an example: the grammar for HTML
- but first: need to break the input into tokens (with a regex)
- the full parse tree *versus* Abstract Syntax Tree
- Top Down Recursive Descent parsing – the basic idea

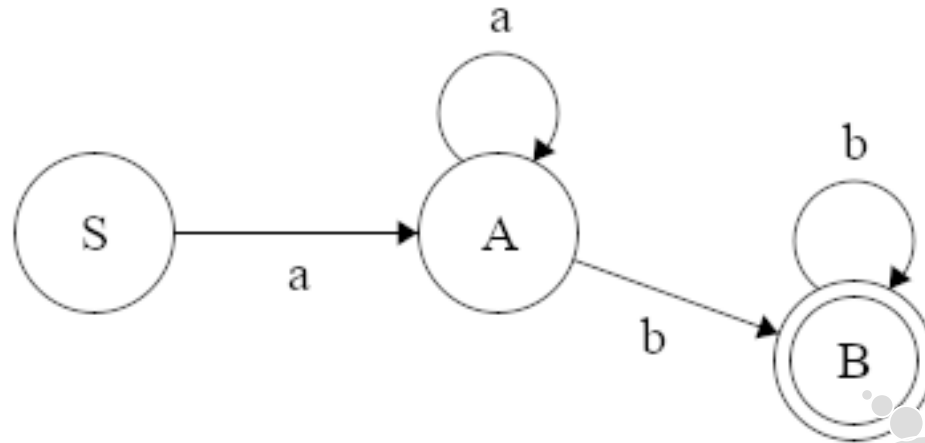
plus... (not examinable)

- regex *versus* this kind of grammar
  - Regex are “regular” grammars, but those can’t do nesting
  - visual argument for why

# Regex and Acceptors

*(nb – this stuff about acceptors is not examinable)*

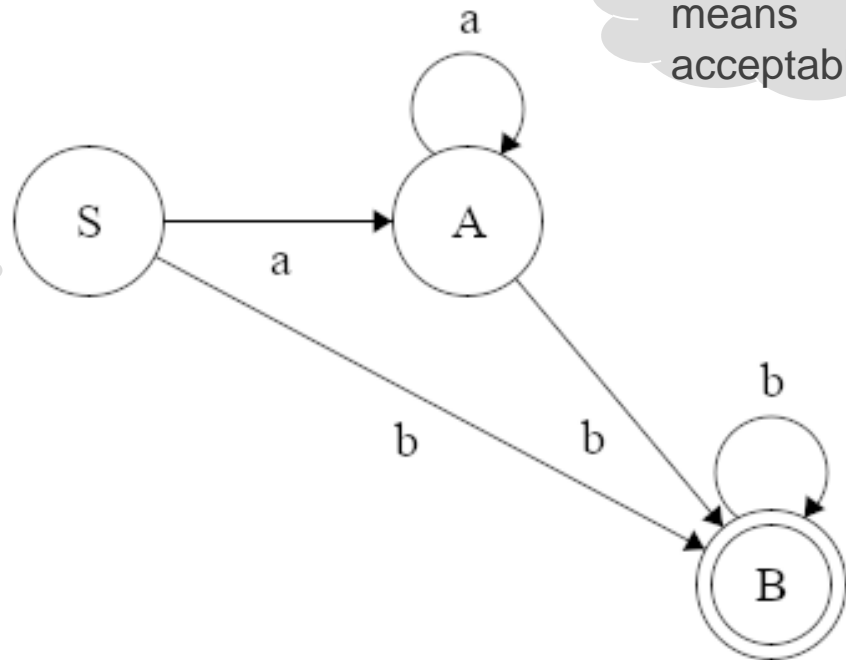
- $a^+b^+$
- $aa^*bb^*$



double circle  
means  
acceptable

- $a^*b^+$

optional: we  
could have just  
made A the start  
state...



## ways of representing a language #2: **grammar**

Rules that spell out what's possible, eg:

- $S \rightarrow aA$
- $A \rightarrow aA \mid bB$
- $B \rightarrow bB \mid \text{end}$

(this is same as the regex:  $a^+b^+$ )

[Interest only: regex's correspond to just the simplest grammars - the “regular” ones]

# A grammar example

A simple html grammar:

HTMLFILE ::= “<html>” [ HEAD ] BODY “</html>”  
HEAD ::= “<head>” TITLE “</head>”  
TITLE ::= “<title>” TEXT “</title>”  
BODY ::= “<body>” [ BODYTAG ]\* “</body>”  
BODYTAG ::= H1TAG | PTAG | OLTAG | ULTAG  
H1TAG ::= “<h1>” TEXT “</h1>”  
PTAG ::= “<p>” TEXT “</p>”  
OLTAG ::= “<ol>” [ LITAG ]+ “</ol>”  
ULTAG ::= “<ul>” [ LITAG ]+ “</ul>”  
LITAG ::= “<li>” TEXT “</li>”  
TEXT ::= *sequence of characters other than < and >*

# Nonterminals

- elements of the grammar that are not part of the text
- defined by rules

Top level  
nonterminal  
usually first

HTMLFILE ::= “<html>” [ HEAD ] BODY “</html>”

HEAD ::= “<head>” TITLE “</head>”

TITLE ::= “<title>” TEXT “</title>”

BODY ::= “<body>” [ BODYTAG ]\* “</body>”

BODYTAG ::= H1TAG | PTAG | OLTAG | ULTAG

H1TAG ::= “<h1>” TEXT “</h1>”

PTAG ::= “<p>” TEXT “</p>”

OLTAG ::= “<ol>” [ LITAG ]+ “</ol>”

ULTAG ::= “<ul>” [ LITAG ]+ “</ul>”

LITAG ::= “<li>” TEXT “</li>”

TEXT ::= *sequence of characters other than < and >*

Just as with regex's:

	= "or"
[NT]	= "optional"
[NT]*	= "any number of times"
[NT]+	= "one or more times"

# Terminals

- literal strings or patterns of characters

HTMLFILE ::= “<html>” [ HEAD ] BODY “</html>”

HEAD ::= “<head>” TITLE “</head>”

TITLE ::= “<title>” TEXT “</title>”

BODY ::= “<body>” [ BODYTAG ]\* “</body>”

BODYTAG ::= H1TAG | PTAG | OLTAG | ULTAG

H1TAG ::= “<h1>” TEXT “</h1>”

PTAG ::= “<p>” TEXT “</p>”

OLTAG ::= “<ol>” [ LITAG ]+ “</ol>”

ULTAG ::= “<ul>” [ LITAG ]+ “</ul>”

LITAG ::= “<li>” TEXT “</li>”

TEXT ::= *sequence of characters other than < and >*

# parsing text from raw input

Given some text, and a grammar

First we have to...

- break up text into a sequence of tokens (“Lexing”)
  - this obviously has to “fit” the language in question

And then we can use the grammar to...

- Parse that token sequence, which could mean:
  - (a) check if the text meets the grammar rules, or
  - (b) construct the **parse tree** for the text



# breaking the input into tokens

The simplest approach: (spaces between tokens)

- Use the standard Java Scanner class
- Make sure that all the tokens are separated by white spaces (and don't contain any white spaces)
  - ⇒ the Scanner will return a sequence of the tokens
- very restricted: eg, couldn't separate tokens in html

More powerful approach:

- Still use the standard Java Scanner class
- Define a delimiter that separates all the tokens
  - delimiter is a Java regular expression
  - text matching the delimiter will not be returned in tokens

## eg: breaking the input into tokens

We can use a Scanner with a **purpose-built delimiter**, like this:

```
public void parse(String input ) {  
    Scanner s = new Scanner(input);  
    s.useDelimiter("\\s*(?=<)|(?<=>)\\s*");  
    if ( parseExpr(s) ) {  
        System.out.println("That is a valid expression");  
    }  
}
```

This is a complex regex! (details not examinable)

This one works with the HTML grammar 😊

- spaces are separator characters and not part of the tokens
- tokens also delimited at < and > but these are being left in the tokens 😊
- cf. assignment 4 uses **`\\s+|(?=[{}(),,;])|(?<=[{}(),,;])`**

`scan.useDelimiter("\\s*(?=<)|(?<=>)\\s*");`

- Given:

```
<html><head><title> Something </title></head>
<body> <h1>My Header</h1>
<ul><li> Item 1 </li><li> Item 42 </li></ul>
<p> Something really important </p>
</body>
</html>
```

- the scanner would generate the tokens:

```
<html>
<head>
<title>
Something
</title>
</head>
<body>
<h1>
```

and so on.....

# Aside, on Lexical Analysis

Defining delimiters can get very tricky

- Some languages (such as lisp, html, xml) are designed to be easy.

A better approach:

- Define a pattern matching the *tokens*  
(instead of a pattern matching the *separators*)
- Make a method that will search for and return the next token,  
based on the token pattern
- The pattern is typically made from combination of patterns for  
each kind of token
- The patterns can be regular expressions.  
⇒ use an Acceptor automaton to match / recognise them.

There are tools to make this easier:

see [http://en.wikipedia.org/wiki/Lexical\\_analysis](http://en.wikipedia.org/wiki/Lexical_analysis)

# Now let's use the Grammar to “parse” some text

Given some text:

```
<html>  
<head><title> Today</title></head>  
<body><h1> My Day </h1>  
<ul><li>meeting</li><li> lecture </li></ul>  
<p> parsing stuff</p>  
</body>  
</html>
```

- Is it a valid piece of HTML?
  - Does it conform to the grammar rules?
- What is the structure? (Needed in order to process it)
  - what are the components?
  - what types are the components?
  - how are they related?

# A grammar example

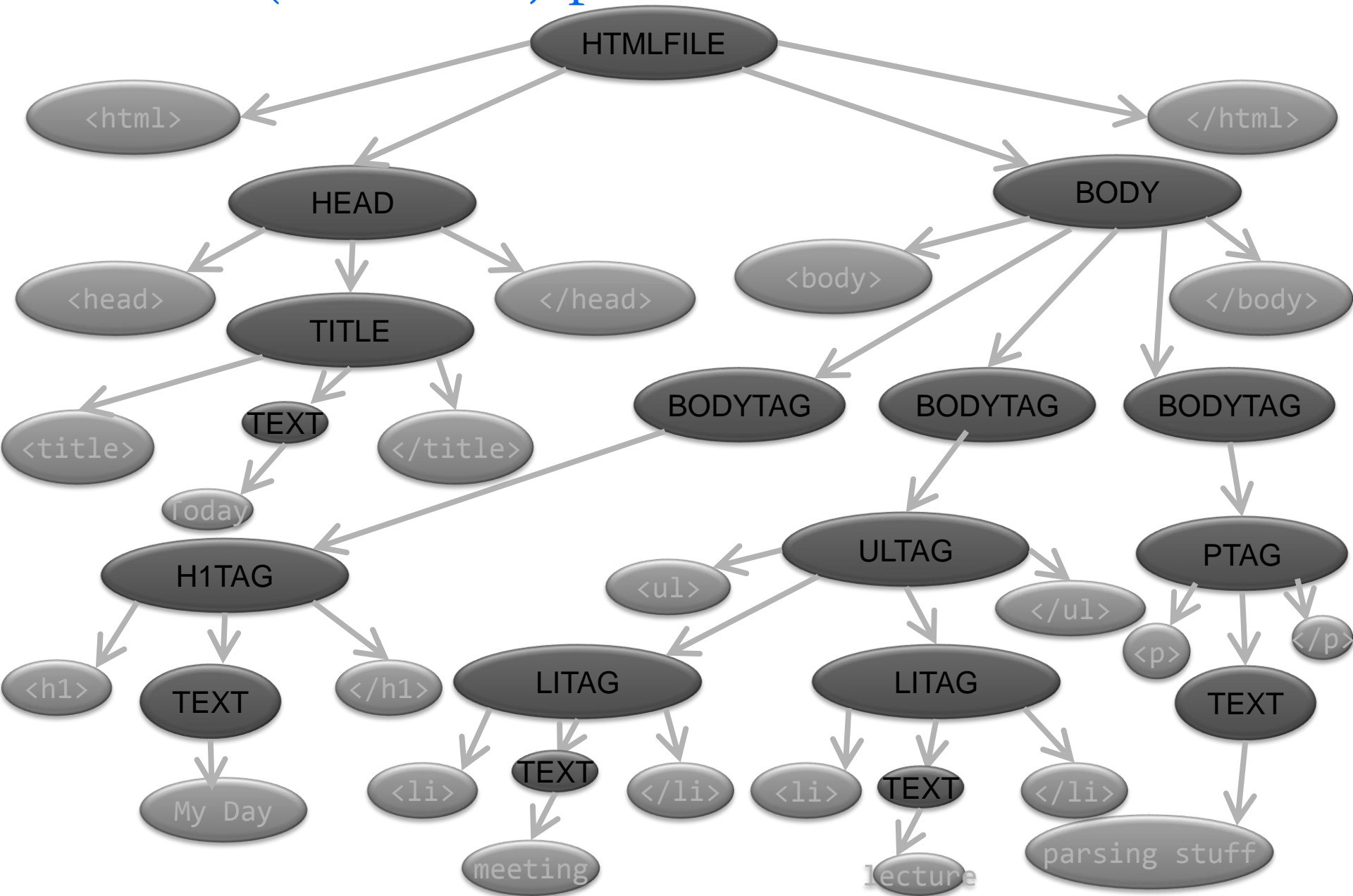
A simple html grammar:

HTMLFILE ::= “<html>” [ HEAD ] BODY “</html>”  
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# What kind of structure?

- Text conforming to a grammar has a tree structure
  - Ordered tree – order of the children matters
  - Each node in the tree and its children correspond to a grammar rule
  - Each internal node labeled by the nonterminal on LHS of rule
  - Leaves correspond to terminals.
- A **concrete parse tree** represents the syntactic structure of a string according to some formal grammar, showing all the components of the rules
- An **abstract syntax tree** leaves out elements of the rules that are not essential to the structure.

# The full ('concrete') parse tree





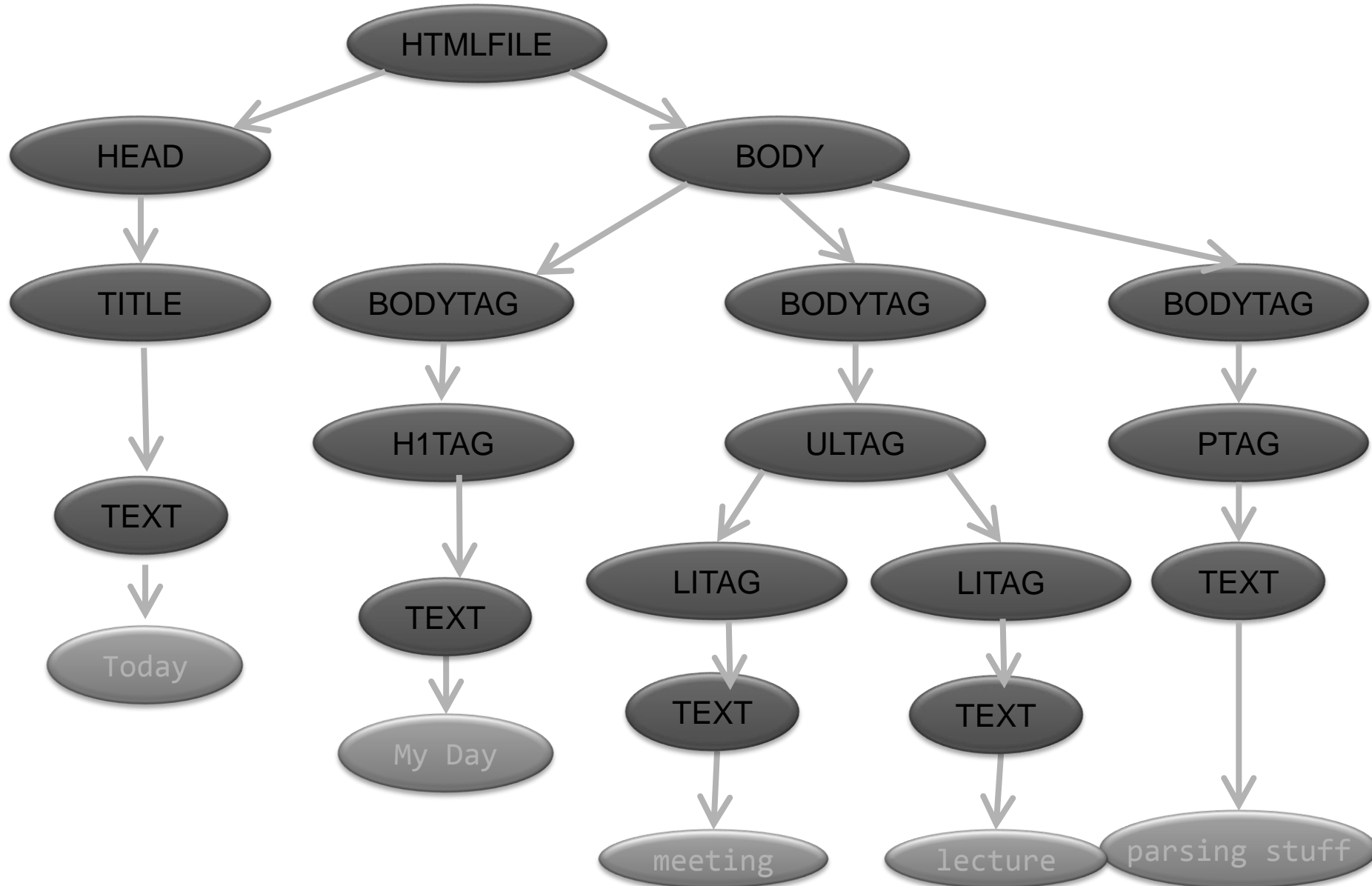
# Is that too much information?

- For example, we know that every HEAD will contain “<head>” and “</head>” terminals, we only care about what TITLE there is and only the unknown string part of that title.

→ An **abstract syntax tree (AST)** is a tree representation of the abstract syntactic structure of the text.

The syntax in the AST is ‘abstract’ in that it does not represent everything in the full syntax.

# Abstract Syntax Tree (AST)



# How do we write programs to do this?

(i.e. to take original text  $\rightarrow$  AST)

Reminder: the process of getting from the input string to the parse tree consists of two steps:

**Lexing** (lexical analysis):

sequence of characters  $\rightarrow$  sequence of tokens.

- `java.util.Scanner` can do lexing for us, using regex

**Parsing** (syntactic analysis):

sequence of tokens  $\rightarrow$  AST

- Assignment will require you to write a recursive descent parser, to be discussed in the next lecture!

# Top Down Recursive Descent Parser

- built from a set of mutually-recursive procedures
- each procedure usually implements one of the production rules of the grammar.
- Structure of the resulting program closely mirrors that of the grammar it recognizes.

We are going to look at a somewhat **naïve** one, which:

- looks at next token
- checks what the token is to decide which branch of the rule to follow
- fails if token is missing or is of a non-matching type.
- requires our grammar rules to be highly constrained:  
always able to choose next path given current state and next token

# Basic idea: Write a program to mimic the grammar rules!

- Naïve Top Down Recursive Descent Parsers:
  - have a method corresponding to each nonterminal that calls other nonterminal methods for each nonterminal and calls a scanner for each terminal!

For example, given a grammar:

FOO ::= “a” BAR | “b” BAZ

BAR ::= ....

Parser would have a method such as:

```
public boolean parseFOO(Scanner s) {  
    if (!s.hasNext())                { return false; }           // PARSE ERROR  
    String token = s.next();  
    if (token.equals("a"))            { return parseBAR(s); }  
    else if (token.equals("b"))       { return parseBAZ(s); }  
    else                             { return false; }           // PARSE ERROR  
}
```

## Addendum (non-examinable)

Today we've gone beyond regex ( == **regular** grammars) to what are called **context free** grammars (CFG).

Regular grammars can't do “nesting”, but CFG can.

e.g. can you write a regex for a language that includes

(x)    ((x))    (((x)))    (((((x)))))    ....

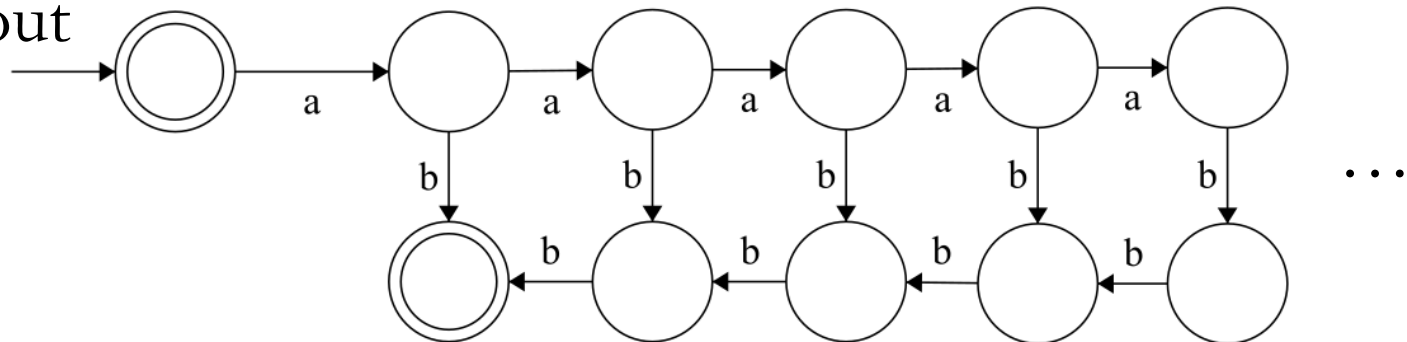
?

Specific cases are fine, but the general matching between number of brackets isn't. This CFG can do it though:

$$\text{EXPR} ::= \text{"x"} \mid \text{"(" EXPR ")"}$$

# Addendum (non-examinable)

how about



Intuitively, you'd need a **stack**, right?

This CFG can do it (it's got recursion, hence will use a stack)

$$\text{EXPR} ::= \text{"a"} \text{"b"} \mid \text{"a"} \text{EXPR} \text{"b"}$$

## further reading

- here's a Reading (perhaps 30 mins), complete with examples and self-check exercises:

<http://web.mit.edu/6.005/www/fa16/classes/17-regex-grammars/>