

# Berger's Classification Theorem on Riemannian Holonomies

MA 333 Final Presentation

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# Contents

# Introduction

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Let  $(M, g)$  be a simply-connected, irreducible, nonsymmetric Riemannian Manifold of dim  $m$ , then the holonomy group  $\text{Hol}(p)$  of  $M$  is exactly one of the following:

# Statement of Berger's Classification

Let  $(M, g)$  be a simply-connected, irreducible, nonsymmetric Riemannian Manifold of dim  $m$ , then the holonomy group  $\text{Hol}(p)$  of  $M$  is exactly one of the following:

- $m = n, \quad \text{Hol}(p) = \text{SO}(n)$
- $m = 2n, \quad \text{Hol}(p) = \text{U}(n)$
- $m = 2n, \quad \text{Hol}(p) = \text{SU}(n)$
- $m = 4n, \quad \text{Hol}(p) = \text{Sp}(n)$
- $m = 4n, \quad \text{Hol}(p) = \text{Sp}(n)\text{Sp}(1)$
- $m = 7, \quad \text{Hol}(p) = \text{G}_2$
- $m = 8, \quad \text{Hol}(p) = \text{Spin}(7)$

## Preliminary Facts and Nomenclature

# Lie Group Representations

$G$  be a compact Lie subgroup of  $SO(n)$ . Then  $G$  has a natural representation/action on  $\mathbb{R}^n$ . The stabilizer of  $v \in \mathbb{R}^n$  is called the *isotropy subgroup* of  $v$ ,  $G_v$ .



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Now let  $v \in \mathbb{R}^n$ , and let  $G.v$  be the orbit of  $v$  under  $G$ . Then there is an induced representation of  $G_v$  on the normal space  $\nu_v(G.v)$ , called the *slice representation*.

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Now let  $v \in \mathbb{R}^n$ , and let  $G.v$  be the orbit of  $v$  under  $G$ . Then there is an induced representation of  $G_v$  on the normal space  $\nu_v(G.v)$ , called the *slice representation*.

If the slice representation is trivial, we say that  $v$  is a *principal vector*. The set of principal vectors is open and dense in  $\mathbb{R}^n$ .

## Reducible Spaces

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A *reducible* space is one which can be split as a nontrivial Riemannian product.

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Note, Holonomy representation of  $M_1 \times M_2$  is  $\text{Hol}(M_1) \times \text{Hol}(M_2)$ . The converse is also true, but locally!

The global converse is achieved if we have completeness.

## Theorem 1 (de Rham Decomposition).

*Let  $(M, g)$  be simply-connected and complete. Then there is a unique decomposition upto isometry and permutations*

$$(M, g) = \prod_{i=1}^k (M_i, g_i)$$

*where  $(M_i, g_i)$  are complete, simply-connected and irreducible.*

Proof is via distributions.

# Symmetric Spaces



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## Definition 2.

A Riemannian space  $(M, g)$  is said to be *locally symmetric* if for each  $x \in M$ , there exist isometries  $s_x$  defined on some neighbourhood of  $x$  such that:

$$s_x(x) = x, \text{ and } s_{x*} = -\text{id}$$

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If these  $s_x$  are defined on all of  $M$ , then  $M$  is said to be a *globally symmetric*.

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### Theorem 3.

Let  $(M, g)$  be a Riemannian symmetric space. Then

- (i)  $\nabla R = 0$
- (ii)  $M$  is complete.
- (iii) Involutive isometries  $s_x$  are transitive on  $M$ .

Note, (i) follows because

$$\begin{aligned}\nabla R &= s_x^*(\nabla R) \\ (\nabla R)(X, Y, Z, W) &= s_{x*}(\nabla R)(X, Y, Z, W) \\ &= s_{x*}(\nabla_{s_{x*}X} R)(s_{x*}Y, s_{x*}Z, s_{x*}W) \\ &= (-1)^5 \nabla R(X, Y, Z, W)\end{aligned}$$

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Suppose  $x, y \in M, X \in T_p M$  st  $y = \exp_x(\epsilon X)$  be joined by a geodesic  $\gamma$  on  $[0, \epsilon]$ . Then consider the extension of  $\gamma$  to a larger domain,

$$\tilde{\gamma}(t) := \begin{cases} \exp_x(\epsilon X) & t \in [0, \epsilon] \\ s_y(\exp_x((t - \epsilon)X)) & t \in [\epsilon, 2\epsilon] \end{cases}$$

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Now, suppose  $\gamma$  is a geodesic from  $x$  to  $y$  with  $\gamma(2T) = y$ . (which exists since  $M$  is complete) Then note  $s_{\gamma(T)}$  is an isometry taking  $x$  to  $y$ . This shows the involutive isometries are transitive on  $M$ .

# s-Representations



The final result on symmetric spaces implies  $M = G/H$ , where  $G = \text{Isom}^0(M)$ , and  $H$  be the isotropy subgroup of a point  $o \in M$ . This follows from the Orbit-Stabilizer Theorem.

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## Definition 4.

The isotropy representation of a semisimple simply-connected symmetric space is called an *s-Representation*.

A semisimple symmetric space is  $M = G/H$  as before such that  $G$  is semisimple.

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## Theorem 5 (Ambrose-Singer).

Let  $\nabla$  be a connection on  $(M, g)$ . Then

$$\text{hol}_p(\nabla) = \text{span}_{\mathbb{R}}\{P_{\gamma}^{-1}(F_{\nabla} \cdot v \wedge w)_x P_{\gamma} : \gamma \text{ from } p \text{ to } x\}$$

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And for symmetric spaces,

$$\text{hol}(p) = \text{span}_{\mathbb{R}}\{R_p(X, Y) : X, Y \in T_p M\}$$

because  $\nabla R = 0$ .

# Berger's Holonomy Theorem



## Theorem 6.

*Let  $G$  be a compact subgroup of  $\mathrm{SO}(n)$  acting on  $\mathbb{R}^n$ , not transitive on the sphere and  $v \in \mathbb{R}^n$  be a principal vector. Then  $\mathbb{R}^n$  is spanned by the family of tangent spaces  $\nu_{\gamma(t)}(G.\gamma(t))$  for some  $\xi \in \nu_v(G.v)$ ,  $\gamma(t) = v + t\xi, t \in \mathbb{R}$ .*

## Theorem 7.

*If  $G$ , a subgroup of  $\mathrm{SO}(n)$  acts on  $\mathbb{R}^n$  as an  $s$ -representation, then the connected component of the normalizer of  $G$  in  $\mathrm{SO}(n)$ ,  $N_o(G) = G$ .*

This is a standard result which

# Normal Holonomy Theorem

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## Theorem 8 (Normal Holonomy Theorem).

*Let  $M$  be a submanifold of  $\mathbb{R}^n$  with  $p \in M$ . Then  $\text{Hol}_0^\perp(p)$  acts as an  $s$ -representation on  $\nu_p M$ , i.e. there exist decompositions*

$$\nu_p M = V_0 \oplus V_1 \oplus \cdots \oplus V_k,$$

$$\text{Hol}_0^\perp(p) = G_1 \times \cdots \times G_k$$

*such that  $G_i$  acts on  $V_i$  irreducibly as an isotropy representation of a simple symmetric space and trivially on all other  $V_j$ .*

# The Glueing Lemma

The following lemma will be useful:

First for any  $v \in T_p M$ , define  $\mathcal{F}_v$  to be the family of subspaces of  $T_p M$  all containing  $v$ , which under the exponential map within injectivity radii form totally geodesic, locally symmetric manifolds.

## Lemma 9.

*Let  $M$  be a Riemannian manifold. Assume that for any given  $v$  in some dense subset of the Euclidean ball of injectivity radius at  $p$ , the family  $\mathcal{F}_v$  spans  $T_p M$ . Then the involution  $s_p$  is an isometry of the ball.*

That is, glueing together locally symmetric spaces gives locally symmetric spaces.

## Theorem 10.

Let  $M$  be a Riemannian manifold,  $p \in M$  and let  $\rho$  be the injectivity radius at  $p$ . Assume  $\Phi = \text{Hol}(p)$  acts irreducibly over  $T_p M$ . Denote  $N^v = \exp_p(\nu_v(\Phi.v) \cap B_\rho^E(0))$ . Then for all  $v \in T_p M, v \neq 0$ ,

- (i)  $N^v$  is totally geodesic. And  $N^v$  splits off the geodesic  $\gamma_v$ . And  $\text{Hol}_p(N^v) \subseteq \Phi^v$
- (ii)  $fd$
- (iii)  $N^v$  is locally symmetric.

# Berger's Theorem (Finally!)

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Let  $p \in M$ . The set of principal vectors of  $T_p M$  is open and dense. And  $\Phi = \text{Hol}(p)$  is not transitive on the sphere.



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So earlier lemma gives  $\gamma_\xi(t) = v + t\xi$  in  $\nu_v(\Phi.v)$  with  $\nu_{\gamma_\xi(t)}(\Phi.\gamma(t))$  spanning  $T_p M$ .

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So earlier lemma gives  $\gamma_\xi(t) = v + t\xi$  in  $\nu_v(\Phi.v)$  with  $\nu_{\gamma_\xi(t)}(\Phi.\gamma(t))$  spanning  $T_p M$ .

From Theorem ??, and the Glueing Lemma ??, we conclude that  $M$  is locally symmetric at  $p$ .

# Berger's List

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Group $G$	Transitive Action on Sphere
$\mathrm{SO}(n)$	$\mathbb{S}^{n-1}$
$\mathrm{SU}(n)$	$\mathbb{S}^{2n-1}$
$\mathrm{U}(n)$	$\mathbb{S}^{2n-1}$
$\mathrm{Sp}(n)$	$\mathbb{S}^{4n-1}$
$\mathrm{Sp}(n)\mathrm{U}(1)$	$\mathbb{S}^{4n-1}$
$\mathrm{Sp}(n)\mathrm{Sp}(1)$	$\mathbb{S}^{4n-1}$
$2$	$\mathbb{S}^6$
$\mathrm{Spin}(7)$	$\mathbb{S}^7$
$\mathrm{Spin}(9)$	$\mathbb{S}^{15}$

Some of these cases were later shown to not required to be considered.

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This gives the final trimmed list.

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Holonomy groups of all possible simply-connected irreducible nonsymmetric manifolds are classified as follows:

Holonomy Group	$\dim(M)$	Corresponding Geometry
$SO(n)$	$m = n$	Riemannian manifold
$U(n)$	$m = 2n$	Kähler manifold
$SU(n)$	$m = 2n$	Calabi-Yau manifold
$Sp(n)$	$m = 4n$	Hyperkähler manifold
$Sp(n)Sp(1)$	$m = 4n$	Quaternion-Kähler manifold
$2$	$m = 7$	$G_2$ manifold
$Spin(7)$	$m = 8$	$Spin(7)$ manifold



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# Thanks!