


| | | | |
|--|--|--|-----------------------------------|
|  <p>Member FINRA/SIPC</p> | <p align="center">Tax Information Account 490597413</p> | <p>Statement Date: 01/20/2022 Document ID: S3CR 0Y5 BK02</p> | <p align="center">2021</p> |
| <p>PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900</p> | <p>MOHAMMAD HASSAM JAVED 49 MALDEN AVE LYNBROOK, NY 11563-3715</p> | | |
| <p>PAYER'S TIN: 47-0533629</p> | <p>RECIPIENT'S TIN: XXX-XX-3562</p> | | |

[] FATCA filing requirement (see instructions) **Summary Information**

| DIVIDENDS AND DISTRIBUTIONS | | 2021 1099-DIV* | OMB No. 1545-0110 | MISCELLANEOUS INFORMATION | 2021 1099-MISC* | OMB No. 1545-0115 |
|--|----------------------|----------------|-------------------|---|-----------------|-------------------|
| 1a- Total ordinary dividends (includes lines 1b, 5, 2e) | | | 0.00 | 2- Royalties | | 0.00 |
| 1b- Qualified dividends | | | 0.00 | 3- Other income | | 0.00 |
| 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) | | | 0.00 | 4- Federal income tax withheld | | 0.00 |
| 2b- Unrecaptured Section 1250 gain | | | 0.00 | 8- Substitute payments in lieu of dividends or interest | | 0.00 |
| 2c- Section 1202 gain | | | 0.00 | | | |
| 2d- Collectibles (28%) gain | | | 0.00 | | | |
| 2e- Section 897 ordinary dividends | | | 0.00 | | | |
| 2f- Section 897 capital gain | | | 0.00 | | | |
| 3- Nondividend distributions | | | 0.00 | | | |
| 4- Federal income tax withheld | | | 0.00 | | | |
| 5- Section 199A dividends | | | 0.00 | | | |
| 6- Investment expenses | | | 0.00 | | | |
| 8- Foreign country or US possession: | 7- Foreign tax paid: | | 0.00 | | | |
| 9- Cash liquidation distributions | | | 0.00 | | | |
| 10- Noncash liquidation distributions | | | 0.00 | | | |
| 11- Exempt-interest dividends (includes line 12) | | | 0.00 | | | |
| 12- Specified private activity bond interest dividends (AMT) | | | 0.00 | | | |

SECTION 1256 CONTRACTS **2021 1099-B*** OMB No. 1545-0715

| | |
|--|-----------|
| 8- Profit or (loss) realized in 2021 on closed contracts | -6,548.20 |
| 9- Unrealized profit or (loss) on open contracts-12/31/2020 | 0.00 |
| 10- Unrealized profit or (loss) on open contracts-12/31/2021 | 0.00 |
| 11- Aggregate profit or (loss) on contracts | -6,548.20 |

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

| Term | Form 8949 type | Proceeds | Cost basis | Market discount | Wash sale loss disallowed | Net gain or loss(-) |
|--------------|--|-------------------|-------------------|-----------------|---------------------------|---------------------|
| Short | A (basis reported to the IRS) | 161,874.90 | 183,902.26 | 0.00 | 13,572.66 | -8,454.70 |
| Short | B (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Short | C (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Short-term | 161,874.90 | 183,902.26 | 0.00 | 13,572.66 | -8,454.70 |
| Long | D (basis reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Long-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | B or E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | C or F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Undetermined-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Grand total | 161,874.90 | 183,902.26 | 0.00 | 13,572.66 | -8,454.70 |

| Withholding | Amount |
|-----------------------------|--------|
| Federal income tax withheld | 0.00 |

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 490597413

Summary Information

2021

(continued)

01/20/2022

INTEREST INCOME**2021 1099-INT**

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service.**If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

| | |
|---|-------------|
| 1- Interest income (not included in line 3) | 0.00 |
| 2- Early withdrawal penalty | 0.00 |
| 3- Interest on US Savings Bonds & Treasury obligations | 0.00 |
| 4- Federal income tax withheld | 0.00 |
| 5- Investment expenses | 0.00 |
| 7- Foreign country or US possession: | 0.00 |
| 6- Foreign tax paid: | 0.00 |
| 8- Tax-exempt interest (includes line 9) | 0.00 |
| 9- Specified private activity bond interest (AMT) | 0.00 |
| 10- Market discount (covered lots) | 0.00 |
| 11- Bond premium (covered lots) | 0.00 |
| 12- Bond premium on Treasury obligations (covered lots) | 0.00 |
| 13- Bond premium on tax-exempt bonds (categorized below) | 0.00 |
| Tax-exempt obligations (covered lots) | 0.00 |
| Tax-exempt private activity obligations (AMT, covered lots) | 0.00 |
| 14- Tax-exempt and tax credit bond CUSIP number | |

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

| | |
|---|------|
| Taxable accrued interest paid | 0.00 |
| Taxable accrued Treasury interest paid | 0.00 |
| Tax-exempt accrued interest paid | 0.00 |
| Tax-exempt accrued interest paid (AMT) | 0.00 |
| Taxable accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid (AMT) | 0.00 |
| Nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest (AMT) | 0.00 |
| Interest shortfall on contingent payment debt | 0.00 |
| Bond premium- Non Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (AMT, noncovered lots) | 0.00 |
| Market discount (noncovered lots) | 0.00 |

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

| | |
|--------------------------|------|
| 1099-DIV total withheld | 0.00 |
| 1099-INT total withheld | 0.00 |
| 1099-OID total withheld | 0.00 |
| 1099-MISC total withheld | 0.00 |
| 1099-B total withheld | 0.00 |

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

| | |
|--|------|
| Original issue discount for the year | 0.00 |
| Acquisition premium (covered lots) | 0.00 |
| Acquisition premium (noncovered lots) | 0.00 |
| Original issue discount on Treasury obligations | 0.00 |
| Acquisition premium, Treasury obligations (covered lots) | 0.00 |
| Acquisition premium, Treasury obligations (noncovered lots) | 0.00 |
| Tax-exempt OID | 0.00 |
| Tax-exempt OID (lots not reported) | 0.00 |
| Acquisition premium (covered) | 0.00 |
| Acquisition premium (lots not reported) | 0.00 |
| Tax-exempt OID on private activity bonds | 0.00 |
| Tax-exempt OID on private activity bonds (lots not reported) | 0.00 |
| Acquisition premium (AMT, covered) | 0.00 |
| Acquisition premium (AMT, lots not reported) | 0.00 |
| Market discount (all lots) | 0.00 |
| Early withdrawal penalty | 0.00 |
| Investment expenses | 0.00 |

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

| | |
|---|------|
| Other Receipts & Reconciliations- Partnership distributions | 0.00 |
| Other Receipts & Reconciliations- Foreign tax paid- partnership | 0.00 |
| Other Receipts & Reconciliations- Return of principal | 0.00 |
| Other Receipts & Reconciliations- Deferred income payment | 0.00 |
| Other Receipts & Reconciliations- Deemed premium | 0.00 |
| Other Receipts & Reconciliations- Income accrual- UIT | 0.00 |
| Other Receipts & Reconciliations- Basis adjustments | 0.00 |
| Other Receipts & Reconciliations- Foreign tax pd beyond treaty | 0.00 |
| Fees & Expenses- Margin interest | 0.04 |
| Fees & Expenses- Dividends paid on short position | 0.00 |
| Fees & Expenses- Interest paid on short position | 0.00 |
| Fees & Expenses- Non reportable distribution expense | 0.00 |
| Fees & Expenses- Other expenses | 0.00 |
| Fees & Expenses- Severance tax | 0.00 |
| Fees & Expenses- Organizational expense | 0.00 |
| Fees & Expenses- Miscellaneous fees | 0.00 |
| Fees & Expenses- Tax-exempt investment expense | 0.00 |
| Foreign Exchange Gains & Losses- Foreign currency gain/loss | 0.00 |

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

01/20/2022

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|-------------------|-------------------------|--|---|-------------------------|
| IBIO INC COM / CUSIP: 451033203 / Symbol: IBIO | | | | | | | |
| 03/31/21 | 5.000 | 7.87 | 09/04/20 | 8.70 | ... | -0.83 | Sale |
| SOS LIMITED ADR / CUSIP: 83587W106 / Symbol: SOS | | | | | | | |
| 03/30/21 | 160.000 | 750.38 | 03/09/21 | 1,067.18 | ... | -316.80 | Sale |
| APPLE INC AAPL Jul 02 2021 138.0 Call / CUSIP: / Symbol: AAPL 07/02/21 C 138.000 | | | | | | | |
| 07/02/21 | 3.000 | 361.00 | 07/02/21 | 310.99 | ... | 50.01 | Option sale |
| APPLE INC AAPL Jul 02 2021 139.0 Call / CUSIP: / Symbol: AAPL 07/02/21 C 139.000 | | | | | | | |
| 07/01/21 | 2.000 | 2.67 | 06/30/21 | 45.33 | ... | -42.66 | Option sale |
| APPLE INC AAPL Aug 20 2021 150.0 Call / CUSIP: / Symbol: AAPL 08/20/21 C 150.000 | | | | | | | |
| 08/16/21 | 5.000 | 454.70 | Various | 454.94 | ... | -0.24 | Total of 4 transactions |
| 08/16/21 | 5.000 | 856.68 | 08/16/21 | 878.31 | 21.63 W | 0.00 | Option sale |
| Security total: | | 1,311.38 | | 1,333.25 | 21.63 W | -0.24 | |
| APPLE INC AAPL Aug 27 2021 150.0 Call / CUSIP: / Symbol: AAPL 08/27/21 C 150.000 | | | | | | | |
| 08/24/21 | 1.000 | 122.34 | 08/24/21 | 158.66 | ... | -36.32 | Option sale |
| APPLE INC AAPL Sep 03 2021 155.0 Call / CUSIP: / Symbol: AAPL 09/03/21 C 155.000 | | | | | | | |
| 09/02/21 | 2.000 | 58.68 | Various | 53.32 | ... | 5.36 | Total of 2 transactions |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|----------------------|----------------------------|--|---|-------------------------|
| APPLE INC AAPL Sep 10 2021 155.0 Call / CUSIP: / Symbol: AAPL 09/10/21 C 155.000 | | | | | | | |
| 09/07/21 | 1.000 | 179.34 | 09/07/21 | 188.66 | ... | -9.32 | Option sale |
| APPLE INC AAPL Sep 24 2021 145.0 Call / CUSIP: / Symbol: AAPL 09/24/21 C 145.000 | | | | | | | |
| 09/21/21 | 4.000 | 349.34 | 09/21/21 | 506.65 | ... | -157.31 | Option sale |
| APPLE INC AAPL Dec 10 2021 170.0 Call / CUSIP: / Symbol: AAPL 12/10/21 C 170.000 | | | | | | | |
| 11/30/21 | 1.000 | 98.34 | 11/26/21 | 51.66 | ... | 46.68 | Option sale |
| AFFIRM HLDGS INC AFRM Sep 17 2021 115.0 Call / CUSIP: / Symbol: AFRM 09/17/21 C 115.000 | | | | | | | |
| 09/14/21 | 3.000 | 868.02 | Various | 856.98 | ... | 11.04 | Total of 2 transactions |
| 09/14/21 | 2.000 | 570.68 | Various | 619.32 | 48.64 W | 0.00 | Total of 2 transactions |
| 09/16/21 | 3.000 | 393.02 | Various | 374.62 | ... | 18.40 | Total of 2 transactions |
| Security total: | | 1,831.72 | | 1,850.92 | 48.64 W | 29.44 | |
| AFFIRM HLDGS INC AFRM Sep 17 2021 120.0 Call / CUSIP: / Symbol: AFRM 09/17/21 C 120.000 | | | | | | | |
| 09/17/21 | 3.000 | 259.02 | Various | 319.63 | ... | -60.61 | Total of 2 transactions |
| 09/17/21 | 6.000 | 791.02 | Various | 847.95 | 21.63 W | -35.30 | Total of 2 transactions |
| Security total: | | 1,050.04 | | 1,167.58 | 21.63 W | -95.91 | |
| AFFIRM HLDGS INC AFRM Sep 24 2021 115.0 Call / CUSIP: / Symbol: AFRM 09/24/21 C 115.000 | | | | | | | |
| 09/22/21 | 5.000 | 885.70 | Various | 803.31 | ... | 82.39 | Total of 2 transactions |
| AFFIRM HLDGS INC AFRM Sep 24 2021 120.0 Call / CUSIP: / Symbol: AFRM 09/24/21 C 120.000 | | | | | | | |
| 09/23/21 | 10.000 | 1,208.37 | Various | 1,045.61 | ... | 162.76 | Total of 3 transactions |
| AFFIRM HLDGS INC AFRM Sep 24 2021 130.0 Call / CUSIP: / Symbol: AFRM 09/24/21 C 130.000 | | | | | | | |
| 09/24/21 | 5.000 | 1,392.70 | Various | 1,203.31 | ... | 189.39 | Total of 4 transactions |
| AFFIRM HLDGS INC AFRM Sep 24 2021 135.0 Call / CUSIP: / Symbol: AFRM 09/24/21 C 135.000 | | | | | | | |
| 09/24/21 | 8.000 | 1,486.72 | Various | 1,195.29 | ... | 291.43 | Total of 6 transactions |
| AFFIRM HLDGS INC AFRM Oct 29 2021 165.0 Call / CUSIP: / Symbol: AFRM 10/29/21 C 165.000 | | | | | | | |
| 10/29/21 | 3.000 | 448.02 | Various | 376.98 | ... | 71.04 | Total of 2 transactions |
| AFFIRM HLDGS INC AFRM Sep 17 2021 115.0 Put / CUSIP: / Symbol: AFRM 09/17/21 P 115.000 | | | | | | | |
| 09/17/21 | 1.000 | 109.34 | 09/17/21 | 85.66 | ... | 23.68 | Option sale |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|-------------------------|
| AFFIRM HLDGS INC AFRM Sep 24 2021 120.0 Put / CUSIP: / Symbol: AFRM 09/24/21 P 120.000 | | | | | | | |
| 09/24/21 | 1.000 | 23.34 | 09/24/21 | 39.66 | ... | -16.32 | Option sale |
| AMC ENTERTAINMENT HOLDINGS INC AMC Aug 27 2021 40.0 Call / CUSIP: / Symbol: AMC 08/27/21 C 40.000 | | | | | | | |
| 08/27/21 | 5.000 | 186.70 | Various | 178.31 | ... | 8.39 | Total of 3 transactions |
| AMC ENTERTAINMENT HOLDINGS INC AMC Aug 27 2021 55.0 Call / CUSIP: / Symbol: AMC 08/27/21 C 55.000 | | | | | | | |
| 08/25/21 | 1.000 | 255.34 | 08/25/21 | 345.98 | ... | -90.64 | Option sale |
| 08/25/21 | 1.000 | 274.34 | 08/25/21 | 330.66 | 56.32 W | 0.00 | Option sale |
| Security total: | | 529.68 | | 676.64 | 56.32 W | -90.64 | |
| AMC ENTERTAINMENT HOLDINGS INC AMC Sep 03 2021 50.0 Call / CUSIP: / Symbol: AMC 09/03/21 C 50.000 | | | | | | | |
| 08/31/21 | 1.000 | 176.34 | 08/31/21 | 211.98 | ... | -35.64 | Option sale |
| 08/31/21 | 1.000 | 189.34 | 08/31/21 | 200.66 | 11.32 W | 0.00 | Option sale |
| Security total: | | 365.68 | | 412.64 | 11.32 W | -35.64 | |
| ADVANCED MICRO DEVICES INC AMD Jul 23 2021 87.0 Call / CUSIP: / Symbol: AMD 07/23/21 C 87.000 | | | | | | | |
| 06/28/21 | 1.000 | 309.34 | 06/25/21 | 243.66 | ... | 65.68 | Option sale |
| ADVANCED MICRO DEVICES INC AMD Jul 30 2021 100.0 Call / CUSIP: / Symbol: AMD 07/30/21 C 100.000 | | | | | | | |
| 07/29/21 | 2.000 | 330.67 | 07/28/21 | 89.33 | ... | 241.34 | Option sale |
| ADVANCED MICRO DEVICES INC AMD Aug 06 2021 115.0 Call / CUSIP: / Symbol: AMD 08/06/21 C 115.000 | | | | | | | |
| 08/02/21 | 3.000 | 127.02 | 08/02/21 | 193.98 | ... | -66.96 | Option sale |
| ADVANCED MICRO DEVICES INC AMD Aug 06 2021 125.0 Call / CUSIP: / Symbol: AMD 08/06/21 C 125.000 | | | | | | | |
| 08/04/21 | 1.000 | 106.99 | 08/04/21 | 193.39 | ... | -86.40 | Option sale |
| 08/04/21 | 12.000 | 1,299.38 | 08/04/21 | 1,813.59 | 60.38 W | -453.83 | Option sale |
| Security total: | | 1,406.37 | | 2,006.98 | 60.38 W | -540.23 | |
| ADVANCED MICRO DEVICES INC AMD Aug 13 2021 110.0 Call / CUSIP: / Symbol: AMD 08/13/21 C 110.000 | | | | | | | |
| 08/12/21 | 6.000 | 586.03 | Various | 579.96 | ... | 6.07 | Total of 3 transactions |
| 08/12/21 | 4.000 | 381.34 | 08/12/21 | 386.65 | 2.66 W | -2.65 | Option sale |
| 08/13/21 | 2.000 | 89.68 | Various | 81.98 | ... | 7.70 | Total of 2 transactions |
| Security total: | | 1,057.05 | | 1,048.59 | 2.66 W | 11.12 | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|-------------------------|
| ADVANCED MICRO DEVICES INC AMD Aug 13 2021 120.0 Call / CUSIP: / Symbol: AMD 08/13/21 C 120.000 | | | | | | | |
| 08/09/21 | 2.000 | 137.68 | Various | 119.32 | ... | 18.36 | Total of 2 transactions |
| ADVANCED MICRO DEVICES INC AMD Aug 20 2021 105.0 Call / CUSIP: / Symbol: AMD 08/20/21 C 105.000 | | | | | | | |
| 08/20/21 | 2.000 | 39.98 | 08/20/21 | 104.95 | ... | -64.97 | Option sale |
| 08/20/21 | 3.000 | 39.38 | Various | 100.98 | 51.63 W | -9.97 | Total of 2 transactions |
| Security total: | | 79.36 | | 205.93 | 51.63 W | -74.94 | |
| ADVANCED MICRO DEVICES INC AMD Aug 20 2021 110.0 Call / CUSIP: / Symbol: AMD 08/20/21 C 110.000 | | | | | | | |
| 08/17/21 | 12.000 | 1,306.06 | Various | 1,302.22 | ... | 3.84 | Total of 5 transactions |
| 08/17/21 | 10.000 | 1,093.36 | 08/17/21 | 1,146.61 | 47.93 W | -5.32 | Option sale |
| 08/18/21 | 2.000 | 117.68 | Various | 203.97 | ... | -86.29 | Total of 2 transactions |
| Security total: | | 2,517.10 | | 2,652.80 | 47.93 W | -87.77 | |
| ADVANCED MICRO DEVICES INC AMD Aug 27 2021 108.0 Call / CUSIP: / Symbol: AMD 08/27/21 C 108.000 | | | | | | | |
| 08/26/21 | 5.000 | 509.70 | Various | 477.30 | ... | 32.40 | Total of 3 transactions |
| ADVANCED MICRO DEVICES INC AMD Aug 27 2021 109.0 Call / CUSIP: / Symbol: AMD 08/27/21 C 109.000 | | | | | | | |
| 08/25/21 | 1.000 | 108.34 | 08/25/21 | 110.66 | ... | -2.32 | Option sale |
| ADVANCED MICRO DEVICES INC AMD Aug 27 2021 110.0 Call / CUSIP: / Symbol: AMD 08/27/21 C 110.000 | | | | | | | |
| 08/23/21 | 1.000 | 134.34 | 08/23/21 | 134.66 | 0.32 W | 0.00 | Option sale |
| 08/25/21 | 1.000 | 64.34 | 08/25/21 | 73.98 | 9.64 W | 0.00 | Option sale |
| 08/26/21 | 1.000 | 70.34 | 08/26/21 | 68.30 | ... | 2.04 | Option sale |
| Security total: | | 269.02 | | 276.94 | 9.96 W | 2.04 | |
| ADVANCED MICRO DEVICES INC AMD Sep 03 2021 112.0 Call / CUSIP: / Symbol: AMD 09/03/21 C 112.000 | | | | | | | |
| 09/01/21 | 2.000 | 152.68 | 09/01/21 | 173.32 | 10.32 W | -10.32 | Option sale |
| 09/02/21 | 1.000 | 47.34 | 09/02/21 | 51.98 | ... | -4.64 | Option sale |
| Security total: | | 200.02 | | 225.30 | 10.32 W | -14.96 | |
| ADVANCED MICRO DEVICES INC AMD Nov 05 2021 134.0 Call / CUSIP: / Symbol: AMD 11/05/21 C 134.000 | | | | | | | |
| 11/04/21 | 19.000 | 1,590.44 | Various | 1,464.85 | ... | 125.59 | Total of 5 transactions |
| 11/04/21 | 1.000 | 78.34 | 11/04/21 | 80.66 | 2.32 W | 0.00 | Option sale |
| Security total: | | 1,668.78 | | 1,545.51 | 2.32 W | 125.59 | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|----------------------|----------------------------|--|---|-------------------------|
| ADVANCED MICRO DEVICES INC AMD Nov 12 2021 160.0 Call / CUSIP: / Symbol: AMD 11/12/21 C 160.000 | | | | | | | |
| 11/09/21 | 14.000 | 3,584.71 | Various | 3,529.55 | ... | 55.16 | Total of 6 transactions |
| 11/09/21 | 1.000 | 210.34 | 11/09/21 | 315.66 | 105.32 W | 0.00 | Option sale |
| Security total: | | 3,795.05 | | 3,845.21 | 105.32 W | 55.16 | |
| ADVANCED MICRO DEVICES INC AMD Nov 19 2021 150.0 Call / CUSIP: / Symbol: AMD 11/19/21 C 150.000 | | | | | | | |
| 11/16/21 | 8.000 | 1,218.71 | Various | 1,365.28 | ... | -146.57 | Total of 2 transactions |
| ADVANCED MICRO DEVICES INC AMD Nov 19 2021 165.0 Call / CUSIP: / Symbol: AMD 11/19/21 C 165.000 | | | | | | | |
| 11/18/21 | 9.000 | 315.06 | Various | 249.79 | ... | 65.27 | Total of 4 transactions |
| 11/18/21 | 30.000 | 1,148.15 | Various | 1,212.67 | 105.31 W | 40.79 | Total of 3 transactions |
| 11/19/21 | 4.000 | 0.00 | 11/18/21 | 103.20 | ... | -103.20 | Option expiration |
| Security total: | | 1,463.21 | | 1,565.66 | 105.31 W | 2.86 | |
| ADVANCED MICRO DEVICES INC AMD Dec 03 2021 165.0 Call / CUSIP: / Symbol: AMD 12/03/21 C 165.000 | | | | | | | |
| 11/30/21 | 2.000 | 665.68 | Various | 652.96 | ... | 12.72 | Total of 2 transactions |
| 11/30/21 | 2.000 | 535.68 | Various | 577.32 | 41.64 W | 0.00 | Total of 2 transactions |
| Security total: | | 1,201.36 | | 1,230.28 | 41.64 W | 12.72 | |
| AMAZON COM INC AMZN Sep 03 2021 3500.0 Call / CUSIP: / Symbol: AMZN 09/03/21 C 3500.000 | | | | | | | |
| 09/03/21 | 10.000 | 725.39 | Various | 706.61 | ... | 18.78 | Total of 5 transactions |
| AMAZON COM INC AMZN Sep 03 2021 3600.0 Call / CUSIP: / Symbol: AMZN 09/03/21 C 3600.000 | | | | | | | |
| 09/01/21 | 4.000 | 1,279.36 | Various | 1,209.61 | ... | 69.75 | Total of 4 transactions |
| 09/01/21 | 4.000 | 1,097.35 | Various | 1,254.64 | 157.29 W | 0.00 | Total of 2 transactions |
| 09/02/21 | 1.000 | 134.34 | 09/02/21 | 291.98 | ... | -157.64 | Option sale |
| Security total: | | 2,511.05 | | 2,756.23 | 157.29 W | -87.89 | |
| AMAZON COM INC AMZN Sep 10 2021 3520.0 Call / CUSIP: / Symbol: AMZN 09/10/21 C 3520.000 | | | | | | | |
| 09/10/21 | 1.000 | 84.34 | 09/10/21 | 63.66 | ... | 20.68 | Option sale |
| AMAZON COM INC AMZN Sep 10 2021 3600.0 Call / CUSIP: / Symbol: AMZN 09/10/21 C 3600.000 | | | | | | | |
| 09/09/21 | 1.000 | 564.34 | 09/09/21 | 421.66 | ... | 142.68 | Option sale |
| AMAZON COM INC AMZN Oct 15 2021 3410.0 Call / CUSIP: / Symbol: AMZN 10/15/21 C 3410.000 | | | | | | | |
| 10/15/21 | 5.000 | 321.69 | 10/15/21 | 313.30 | ... | 8.39 | Option sale |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| AMAZON COM INC AMZN Oct 29 2021 3400.0 Call / CUSIP: / Symbol: AMZN 10/29/21 C 3400.000 | | | | | | | |
| 10/29/21 | 10.000 | 1,965.38 | Various | 1,246.60 | ... | 718.78 | Total of 4 transactions |
| AMAZON COM INC AMZN Nov 05 2021 3450.0 Call / CUSIP: / Symbol: AMZN 11/05/21 C 3450.000 | | | | | | | |
| 11/03/21 | 8.000 | 2,376.72 | Various | 2,199.60 | ... | 177.12 | Total of 5 transactions |
| 11/03/21 | 2.000 | 628.68 | 11/03/21 | 647.32 | 62.32 W | 43.68 | Option sale |
| | Security total: | 3,005.40 | | 2,846.92 | 62.32 W | 220.80 | |
| AMAZON COM INC AMZN Nov 05 2021 3600.0 Call / CUSIP: / Symbol: AMZN 11/05/21 C 3600.000 | | | | | | | |
| 11/05/21 | 11.000 | 2,125.72 | Various | 1,699.25 | ... | 426.47 | Total of 6 transactions |
| AMAZON COM INC AMZN Nov 19 2021 3800.0 Call / CUSIP: / Symbol: AMZN 11/19/21 C 3800.000 | | | | | | | |
| 11/19/21 | 3.000 | 1,498.01 | 11/19/21 | 1,424.30 | ... | 73.71 | Option sale |
| 11/19/21 | 1.000 | 498.34 | 11/19/21 | 520.66 | 22.32 W | 0.00 | Option sale |
| | Security total: | 1,996.35 | | 1,944.96 | 22.32 W | 73.71 | |
| AMAZON COM INC AMZN Nov 19 2021 3830.0 Call / CUSIP: / Symbol: AMZN 11/19/21 C 3830.000 | | | | | | | |
| 11/19/21 | 1.000 | 97.34 | 11/19/21 | 257.66 | ... | -160.32 | Option sale |
| AMAZON COM INC AMZN Dec 03 2021 3460.0 Call / CUSIP: / Symbol: AMZN 12/03/21 C 3460.000 | | | | | | | |
| 12/03/21 | 1.000 | 0.00 | 12/03/21 | 135.66 | ... | -135.66 | Option expiration |
| AMAZON COM INC AMZN Dec 10 2021 3600.0 Call / CUSIP: / Symbol: AMZN 12/10/21 C 3600.000 | | | | | | | |
| 12/07/21 | 1.000 | 784.34 | 12/07/21 | 695.66 | ... | 88.68 | Option sale |
| 12/10/21 | 3.000 | 238.01 | 12/10/21 | 241.98 | ... | -3.97 | Option sale |
| | Security total: | 1,022.35 | | 937.64 | ... | 84.71 | |
| AMAZON COM INC AMZN Dec 10 2021 3650.0 Call / CUSIP: / Symbol: AMZN 12/10/21 C 3650.000 | | | | | | | |
| 12/07/21 | 1.000 | 449.34 | 12/07/21 | 400.66 | ... | 48.68 | Option sale |
| AMAZON COM INC AMZN Sep 10 2021 3470.0 Put / CUSIP: / Symbol: AMZN 09/10/21 P 3470.000 | | | | | | | |
| 09/10/21 | 2.000 | 424.68 | Various | 337.32 | ... | 87.36 | Total of 2 transactions |
| BOEING CO BA Mar 05 2021 235.0 Call / CUSIP: / Symbol: BA 03/05/21 C 235.000 | | | | | | | |
| 03/03/21 | 4.000 | 643.34 | Various | 678.66 | ... | -35.32 | Total of 2 transactions |
| VINCO VENTURES INC BBIG Sep 17 2021 15.0 Call / CUSIP: / Symbol: BBIG 09/17/21 C 15.000 | | | | | | | |
| 09/01/21 | 1.000 | 149.34 | 09/01/21 | 225.66 | ... | -76.32 | Option sale |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|-------------------|-------------------------|--|---|-------------------------|
| BEST BUY CO INC BBY Aug 27 2021 120.0 Call / CUSIP: / Symbol: BBY 08/27/21 C 120.000 | | | | | | | |
| 08/24/21 | 1.000 | 176.34 | 08/24/21 | 172.66 | ... | 3.68 | Option sale |
| BIONTECH SE BNTX Aug 20 2021 380.0 Call / CUSIP: / Symbol: BNTX 08/20/21 C 380.000 | | | | | | | |
| 08/18/21 | 1.000 | 266.34 | 08/18/21 | 473.66 | ... | -207.32 | Option sale |
| BIT DIGITAL INC BTBT Nov 19 2021 15.0 Call / CUSIP: / Symbol: BTBT 11/19/21 C 15.000 | | | | | | | |
| 11/12/21 | 1.000 | 13.34 | 11/09/21 | 55.66 | ... | -42.32 | Option sale |
| BIT MINING LTD BTCM Sep 17 2021 15.0 Call / CUSIP: / Symbol: BTCM 09/17/21 C 15.000 | | | | | | | |
| 09/02/21 | 2.000 | 258.68 | Various | 381.88 | ... | -123.20 | Total of 2 transactions |
| 09/02/21 | 8.000 | 1,104.72 | Various | 2,047.24 | 942.52 W | 0.00 | Total of 8 transactions |
| Security total: | | 1,363.40 | | 2,429.12 | 942.52 W | -123.20 | |
| COINBASE GLOBAL INC COIN Aug 13 2021 300.0 Call / CUSIP: / Symbol: COIN 08/13/21 C 300.000 | | | | | | | |
| 08/09/21 | 5.000 | 2,466.69 | Various | 2,103.31 | ... | 363.38 | Total of 3 transactions |
| COINBASE GLOBAL INC COIN Aug 27 2021 260.0 Call / CUSIP: / Symbol: COIN 08/27/21 C 260.000 | | | | | | | |
| 08/27/21 | 2.000 | 42.68 | 08/27/21 | 53.29 | ... | -10.61 | Option sale |
| 08/27/21 | 5.000 | 98.69 | Various | 109.95 | 7.62 W | -3.64 | Total of 2 transactions |
| Security total: | | 141.37 | | 163.24 | 7.62 W | -14.25 | |
| COINBASE GLOBAL INC COIN Aug 27 2021 280.0 Call / CUSIP: / Symbol: COIN 08/27/21 C 280.000 | | | | | | | |
| 08/23/21 | 1.000 | 186.34 | 08/23/21 | 233.66 | ... | -47.32 | Option sale |
| COINBASE GLOBAL INC COIN Sep 03 2021 280.0 Call / CUSIP: / Symbol: COIN 09/03/21 C 280.000 | | | | | | | |
| 09/03/21 | 1.000 | 114.34 | 09/03/21 | 95.66 | ... | 18.68 | Option sale |
| COINBASE GLOBAL INC COIN Nov 05 2021 370.0 Call / CUSIP: / Symbol: COIN 11/05/21 C 370.000 | | | | | | | |
| 11/02/21 | 11.000 | 2,577.72 | Various | 2,562.25 | ... | 15.47 | Total of 3 transactions |
| SALESFORCE.COM INC CRM Aug 06 2021 255.0 Call / CUSIP: / Symbol: CRM 08/06/21 C 255.000 | | | | | | | |
| 08/06/21 | 20.000 | 306.74 | Various | 393.23 | ... | -86.49 | Total of 2 transactions |
| SALESFORCE.COM INC CRM Aug 13 2021 252.5 Call / CUSIP: / Symbol: CRM 08/13/21 C 252.500 | | | | | | | |
| 08/13/21 | 1.000 | 45.34 | 08/13/21 | 60.66 | ... | -15.32 | Option sale |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|-------------------------|
| SALESFORCE.COM INC CRM Aug 27 2021 270.0 Call / CUSIP: / Symbol: CRM 08/27/21 C 270.000 | | | | | | | |
| 08/24/21 | 3.000 | 1,063.01 | 08/24/21 | 1,168.98 | ... | -105.97 | Option sale |
| SALESFORCE.COM INC CRM Aug 27 2021 280.0 Call / CUSIP: / Symbol: CRM 08/27/21 C 280.000 | | | | | | | |
| 08/26/21 | 3.000 | 418.02 | Various | 394.98 | ... | 23.04 | Total of 2 transactions |
| SALESFORCE.COM INC CRM Sep 24 2021 270.0 Call / CUSIP: / Symbol: CRM 09/24/21 C 270.000 | | | | | | | |
| 09/23/21 | 10.000 | 2,848.36 | Various | 2,726.61 | ... | 121.75 | Total of 3 transactions |
| CROCS INC CROX Aug 20 2021 150.0 Call / CUSIP: / Symbol: CROX 08/20/21 C 150.000 | | | | | | | |
| 07/23/21 | 1.000 | 114.34 | 07/21/21 | 68.66 | ... | 45.68 | Option sale |
| CROWDSTRIKE HOLDINGS INC CRWD Aug 27 2021 290.0 Call / CUSIP: / Symbol: CRWD 08/27/21 C 290.000 | | | | | | | |
| 08/27/21 | 1.000 | 21.34 | 08/27/21 | 28.66 | ... | -7.32 | Option sale |
| CROWDSTRIKE HOLDINGS INC CRWD Aug 27 2021 300.0 Call / CUSIP: / Symbol: CRWD 08/27/21 C 300.000 | | | | | | | |
| 08/26/21 | 3.000 | 55.01 | 08/26/21 | 104.62 | ... | -49.61 | Option sale |
| 08/26/21 | 2.000 | 46.68 | 08/26/21 | 59.32 | 12.64 W | 0.00 | Option sale |
| Security total: | | 101.69 | | 163.94 | 12.64 W | -49.61 | |
| DOORDASH INC DASH Sep 17 2021 230.0 Call / CUSIP: / Symbol: DASH 09/17/21 C 230.000 | | | | | | | |
| 09/16/21 | 1.000 | 138.34 | 09/16/21 | 108.66 | ... | 29.68 | Option sale |
| 3 D SYSTEMS INC DDD Aug 13 2021 40.0 Call / CUSIP: / Symbol: DDD 08/13/21 C 40.000 | | | | | | | |
| 08/10/21 | 1.000 | 64.34 | 08/10/21 | 65.66 | ... | -1.32 | Option sale |
| DATADOG INC DDOG Aug 20 2021 135.0 Call / CUSIP: / Symbol: DDOG 08/20/21 C 135.000 | | | | | | | |
| 08/18/21 | 1.000 | 117.34 | 08/18/21 | 123.66 | ... | -6.32 | Option sale |
| WALT DISNEY COMPANY (THE) DIS Sep 17 2021 187.5 Call / CUSIP: / Symbol: DIS 09/17/21 C 187.500 | | | | | | | |
| 09/13/21 | 3.000 | 211.01 | 09/13/21 | 218.98 | ... | -7.97 | Option sale |
| WALT DISNEY COMPANY (THE) DIS Nov 12 2021 190.0 Call / CUSIP: / Symbol: DIS 11/12/21 C 190.000 | | | | | | | |
| 11/10/21 | 2.000 | 166.68 | 11/09/21 | 165.32 | ... | 1.36 | Option sale |
| DOMINOS PIZZA INC DPZ Jul 30 2021 590.0 Call / CUSIP: / Symbol: DPZ 07/30/21 C 590.000 | | | | | | | |
| 07/26/21 | 5.000 | 296.67 | 07/23/21 | 553.32 | ... | -256.65 | Option sale |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--------------------------|
| ETSY INC ETSY Aug 20 2021 200.0 Call / CUSIP: / Symbol: ETSY 08/20/21 C 200.000 | | | | | | | |
| 08/20/21 | 4.000 | 217.36 | Various | 322.65 | ... | -105.29 | Total of 2 transactions |
| FACEBOOK INC FB Jun 18 2021 337.5 Call / CUSIP: / Symbol: FB 06/18/21 C 337.500 | | | | | | | |
| 06/10/21 | 1.000 | 269.34 | 06/10/21 | 280.66 | ... | -11.32 | Option sale |
| FACEBOOK INC FB Aug 06 2021 365.0 Call / CUSIP: / Symbol: FB 08/06/21 C 365.000 | | | | | | | |
| 08/06/21 | 19.000 | 1,619.44 | Various | 1,399.81 | ... | 219.63 | Total of 11 transactions |
| 08/06/21 | 12.000 | 750.05 | Various | 1,042.58 | 267.89 W | -24.64 | Total of 2 transactions |
| Security total: | | 2,369.49 | | 2,442.39 | 267.89 W | 194.99 | |
| FACEBOOK INC FB Aug 20 2021 360.0 Call / CUSIP: / Symbol: FB 08/20/21 C 360.000 | | | | | | | |
| 08/18/21 | 3.000 | 538.01 | 08/18/21 | 559.98 | ... | -21.97 | Option sale |
| FACEBOOK INC FB Aug 20 2021 365.0 Call / CUSIP: / Symbol: FB 08/20/21 C 365.000 | | | | | | | |
| 08/18/21 | 1.000 | 65.34 | 08/18/21 | 70.66 | ... | -5.32 | Option sale |
| FACEBOOK INC FB Aug 20 2021 370.0 Call / CUSIP: / Symbol: FB 08/20/21 C 370.000 | | | | | | | |
| 08/16/21 | 5.000 | 709.70 | Various | 603.31 | ... | 106.39 | Total of 3 transactions |
| FACEBOOK INC FB Aug 27 2021 375.0 Call / CUSIP: / Symbol: FB 08/27/21 C 375.000 | | | | | | | |
| 08/26/21 | 13.000 | 368.37 | Various | 436.57 | 51.55 W | -16.65 | Total of 3 transactions |
| 08/27/21 | 9.000 | 100.02 | Various | 139.52 | ... | -39.50 | Total of 2 transactions |
| Security total: | | 468.39 | | 576.09 | 51.55 W | -56.15 | |
| FACEBOOK INC FB Sep 03 2021 385.0 Call / CUSIP: / Symbol: FB 09/03/21 C 385.000 | | | | | | | |
| 09/01/21 | 1.000 | 115.34 | 09/01/21 | 101.66 | ... | 13.68 | Option sale |
| FACEBOOK INC FB Sep 10 2021 380.0 Call / CUSIP: / Symbol: FB 09/10/21 C 380.000 | | | | | | | |
| 09/10/21 | 2.000 | 624.68 | Various | 587.32 | ... | 37.36 | Total of 2 transactions |
| FACEBOOK INC FB Aug 20 2021 350.0 Put / CUSIP: / Symbol: FB 08/20/21 P 350.000 | | | | | | | |
| 08/19/21 | 1.000 | 83.34 | 08/19/21 | 84.66 | ... | -1.32 | Option sale |
| FACEBOOK INC FB Sep 17 2021 370.0 Put / CUSIP: / Symbol: FB 09/17/21 P 370.000 | | | | | | | |
| 09/14/21 | 1.000 | 160.34 | 09/14/21 | 186.66 | ... | -26.32 | Option sale |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|----------------------|----------------------------|--|---|-------------------------|
| FUBOTV INC FUBO Aug 13 2021 40.0 Call / CUSIP: / Symbol: FUBO 08/13/21 C 40.000 | | | | | | | |
| 08/11/21 | 15.000 | 470.04 | Various | 544.05 | ... | -74.01 | Total of 3 transactions |
| 08/11/21 | 20.000 | 580.73 | Various | 693.23 | 84.13 W | -28.37 | Total of 3 transactions |
| Security total: | | 1,050.77 | | 1,237.28 | 84.13 W | -102.38 | |
| FUBOTV INC FUBO Aug 20 2021 45.0 Call / CUSIP: / Symbol: FUBO 08/20/21 C 45.000 | | | | | | | |
| 08/11/21 | 10.000 | 163.37 | 08/11/21 | 306.61 | ... | -143.24 | Option sale |
| FUBOTV INC FUBO Nov 12 2021 40.0 Call / CUSIP: / Symbol: FUBO 11/12/21 C 40.000 | | | | | | | |
| 11/12/21 | 2.000 | 0.00 | 11/09/21 | 181.32 | ... | -181.32 | Option expiration |
| GAMESTOP CORPORATION GME Sep 10 2021 300.0 Call / CUSIP: / Symbol: GME 09/10/21 C 300.000 | | | | | | | |
| 09/08/21 | 1.000 | 189.34 | 09/08/21 | 190.66 | ... | -1.32 | Option sale |
| ALPHABET INC GOOGL Aug 27 2021 2900.0 Call / CUSIP: / Symbol: GOOGL 08/27/21 C 2900.000 | | | | | | | |
| 08/27/21 | 3.000 | 203.67 | Various | 310.07 | ... | -106.40 | Total of 2 transactions |
| 08/27/21 | 3.000 | 237.36 | 08/27/21 | 316.99 | 53.09 W | -26.54 | Option sale |
| Security total: | | 441.03 | | 627.06 | 53.09 W | -132.94 | |
| LUCID GROUP INC LCID Oct 29 2021 30.0 Call / CUSIP: / Symbol: LCID 10/29/21 C 30.000 | | | | | | | |
| 10/28/21 | 20.000 | 994.76 | Various | 883.20 | ... | 111.56 | Total of 5 transactions |
| LUCID GROUP INC LCID Nov 05 2021 40.0 Call / CUSIP: / Symbol: LCID 11/05/21 C 40.000 | | | | | | | |
| 11/03/21 | 5.000 | 412.70 | Various | 353.30 | ... | 59.40 | Total of 3 transactions |
| LUCID GROUP INC LCID Nov 19 2021 55.0 Call / CUSIP: / Symbol: LCID 11/19/21 C 55.000 | | | | | | | |
| 11/16/21 | 5.000 | 936.69 | Various | 607.59 | ... | 329.10 | Total of 3 transactions |
| 11/16/21 | 6.000 | 872.03 | 11/16/21 | 849.96 | 12.97 W | 35.04 | Option sale |
| 11/17/21 | 1.000 | 367.34 | 11/16/21 | 148.34 | ... | 219.00 | Option sale |
| Security total: | | 2,176.06 | | 1,605.89 | 12.97 W | 583.14 | |
| LUCID GROUP INC LCID Nov 19 2021 60.0 Call / CUSIP: / Symbol: LCID 11/19/21 C 60.000 | | | | | | | |
| 11/17/21 | 21.000 | 3,398.12 | Various | 3,093.84 | ... | 304.28 | Total of 9 transactions |
| LUCID GROUP INC LCID Nov 26 2021 55.0 Call / CUSIP: / Symbol: LCID 11/26/21 C 55.000 | | | | | | | |
| 11/23/21 | 5.000 | 936.69 | Various | 823.30 | ... | 113.39 | Total of 3 transactions |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|----------------------|----------------------------|--|---|-------------------------|
| LUCID GROUP INC LCID Dec 17 2021 40.0 Call / CUSIP: / Symbol: LCID 12/17/21 C 40.000 | | | | | | | |
| 12/13/21 | 4.000 | 637.35 | 12/13/21 | 614.64 | ... | 22.71 | Option sale |
| 12/14/21 | 1.000 | 209.34 | 12/13/21 | 153.66 | ... | 55.68 | Option sale |
| | Security total: | 846.69 | | 768.30 | ... | 78.39 | |
| LUCID GROUP INC LCID Dec 10 2021 35.0 Put / CUSIP: / Symbol: LCID 12/10/21 P 35.000 | | | | | | | |
| 12/06/21 | 2.000 | 266.68 | Various | 225.32 | ... | 41.36 | Total of 2 transactions |
| LUCID GROUP INC LCID Dec 10 2021 40.0 Put / CUSIP: / Symbol: LCID 12/10/21 P 40.000 | | | | | | | |
| 12/06/21 | 1.000 | 169.34 | 12/06/21 | 140.66 | ... | 28.68 | Option sale |
| LULULEMON ATHLETICA INC LULU Sep 10 2021 450.0 Call / CUSIP: / Symbol: LULU 09/10/21 C 450.000 | | | | | | | |
| 09/09/21 | 1.000 | 203.34 | 09/09/21 | 256.98 | ... | -53.64 | Option sale |
| 09/09/21 | 2.000 | 458.68 | 09/09/21 | 601.32 | 71.32 W | -71.32 | Option sale |
| | Security total: | 662.02 | | 858.30 | 71.32 W | -124.96 | |
| MASTERCARD INCORPORATED MA Jul 30 2021 430.0 Call / CUSIP: / Symbol: MA 07/30/21 C 430.000 | | | | | | | |
| 07/29/21 | 2.000 | 4.67 | 07/23/21 | 157.33 | ... | -152.66 | Option sale |
| MARATHON DIGITAL HOLDINGS INC MARA Aug 13 2021 40.0 Call / CUSIP: / Symbol: MARA 08/13/21 C 40.000 | | | | | | | |
| 08/09/21 | 3.000 | 420.02 | Various | 517.98 | ... | -97.96 | Total of 3 transactions |
| MARATHON DIGITAL HOLDINGS INC MARA Sep 03 2021 45.0 Call / CUSIP: / Symbol: MARA 09/03/21 C 45.000 | | | | | | | |
| 09/02/21 | 16.000 | 1,046.41 | Various | 970.58 | ... | 75.83 | Total of 6 transactions |
| 09/02/21 | 5.000 | 268.70 | Various | 326.58 | 57.88 W | 0.00 | Total of 5 transactions |
| 09/03/21 | 8.000 | 387.70 | Various | 380.25 | ... | 7.45 | Total of 2 transactions |
| 09/03/21 | 2.000 | 118.68 | 09/03/21 | 113.92 | 2.96 W | 7.72 | Option sale |
| | Security total: | 1,821.49 | | 1,791.33 | 60.84 W | 91.00 | |
| MARATHON DIGITAL HOLDINGS INC MARA Aug 20 2021 33.0 Put / CUSIP: / Symbol: MARA 08/20/21 P 33.000 | | | | | | | |
| 08/17/21 | 1.000 | 155.34 | 08/17/21 | 139.66 | ... | 15.68 | Option sale |
| MODERNA INC MRNA Sep 10 2021 470.0 Call / CUSIP: / Symbol: MRNA 09/10/21 C 470.000 | | | | | | | |
| 09/09/21 | 2.000 | 538.68 | 09/09/21 | 561.32 | ... | -22.64 | Option sale |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|-------------------|-------------------------|--|---|-------------------------|
| MODERNA INC MRNA Sep 10 2021 480.0 Call / CUSIP: / Symbol: MRNA 09/10/21 C 480.000 | | | | | | | |
| 09/10/21 | 5.000 | 585.70 | Various | 647.91 | ... | -62.21 | Total of 5 transactions |
| 09/10/21 | 5.000 | 485.70 | Various | 633.58 | 147.88 W | 0.00 | Total of 4 transactions |
| Security total: | | 1,071.40 | | 1,281.49 | 147.88 W | -62.21 | |
| MODERNA INC MRNA Sep 17 2021 470.0 Call / CUSIP: / Symbol: MRNA 09/17/21 C 470.000 | | | | | | | |
| 09/14/21 | 2.000 | 624.68 | Various | 571.32 | ... | 53.36 | Total of 2 transactions |
| 09/16/21 | 2.000 | 170.68 | Various | 199.32 | ... | -28.64 | Total of 2 transactions |
| Security total: | | 795.36 | | 770.64 | ... | 24.72 | |
| MODERNA INC MRNA Sep 17 2021 440.0 Call / CUSIP: / Symbol: MRNA 09/17/21 C 440.000 | | | | | | | |
| 09/17/21 | 2.000 | 156.68 | Various | 181.32 | ... | -24.64 | Total of 2 transactions |
| MODERNA INC MRNA Sep 17 2021 460.0 Call / CUSIP: / Symbol: MRNA 09/17/21 C 460.000 | | | | | | | |
| 09/17/21 | 5.000 | 411.69 | 09/17/21 | 543.31 | ... | -131.62 | Option sale |
| MODERNA INC MRNA Nov 19 2021 280.0 Call / CUSIP: / Symbol: MRNA 11/19/21 C 280.000 | | | | | | | |
| 11/19/21 | 6.000 | 751.04 | Various | 824.24 | ... | -73.20 | Total of 4 transactions |
| 11/19/21 | 11.000 | 872.73 | Various | 917.58 | 144.60 W | 99.75 | Total of 2 transactions |
| Security total: | | 1,623.77 | | 1,741.82 | 144.60 W | 26.55 | |
| MODERNA INC MRNA Nov 26 2021 370.0 Call / CUSIP: / Symbol: MRNA 11/26/21 C 370.000 | | | | | | | |
| 11/26/21 | 3.000 | 368.02 | Various | 333.81 | ... | 34.21 | Total of 3 transactions |
| 11/26/21 | 24.000 | 3,077.76 | Various | 3,298.89 | 476.89 W | 255.76 | Total of 7 transactions |
| Security total: | | 3,445.78 | | 3,632.70 | 476.89 W | 289.97 | |
| MODERNA INC MRNA Dec 03 2021 340.0 Call / CUSIP: / Symbol: MRNA 12/03/21 C 340.000 | | | | | | | |
| 12/02/21 | 1.000 | 153.34 | 12/02/21 | 157.62 | ... | -4.28 | Option sale |
| 12/02/21 | 3.000 | 381.02 | Various | 455.94 | 74.92 W | 0.00 | Total of 3 transactions |
| Security total: | | 534.36 | | 613.56 | 74.92 W | -4.28 | |
| MODERNA INC MRNA Dec 03 2021 425.0 Call / CUSIP: / Symbol: MRNA 12/03/21 C 425.000 | | | | | | | |
| 11/29/21 | 6.000 | 2,746.02 | Various | 3,273.96 | ... | -527.94 | Total of 2 transactions |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|----------------------|----------------------------|--|---|-------------------------|
| MODERNA INC MRNA Aug 20 2021 370.0 Put / CUSIP: / Symbol: MRNA 08/20/21 P 370.000 | | | | | | | |
| 08/19/21 | 2.000 | 472.68 | Various | 439.64 | ... | 33.04 | Total of 2 transactions |
| 08/19/21 | 1.000 | 181.34 | 08/19/21 | 185.66 | 4.32 W | 0.00 | Option sale |
| | Security total: | 654.02 | | 625.30 | 4.32 W | 33.04 | |
| MODERNA INC MRNA Sep 24 2021 440.0 Put / CUSIP: / Symbol: MRNA 09/24/21 P 440.000 | | | | | | | |
| 09/24/21 | 5.000 | 541.68 | 09/24/21 | 1,003.31 | ... | -461.63 | Option sale |
| MICROSOFT CORP MSFT Aug 13 2021 290.0 Call / CUSIP: / Symbol: MSFT 08/13/21 C 290.000 | | | | | | | |
| 08/10/21 | 1.000 | 109.34 | 08/10/21 | 125.66 | ... | -16.32 | Option sale |
| MICROSOFT CORP MSFT Aug 20 2021 295.0 Call / CUSIP: / Symbol: MSFT 08/20/21 C 295.000 | | | | | | | |
| 08/18/21 | 3.000 | 315.02 | Various | 295.30 | ... | 19.72 | Total of 3 transactions |
| 08/18/21 | 1.000 | 82.34 | 08/18/21 | 91.66 | 9.32 W | 0.00 | Option sale |
| | Security total: | 397.36 | | 386.96 | 9.32 W | 19.72 | |
| MICROSOFT CORP MSFT Aug 27 2021 307.5 Call / CUSIP: / Symbol: MSFT 08/27/21 C 307.500 | | | | | | | |
| 08/23/21 | 1.000 | 136.34 | 08/23/21 | 132.66 | ... | 3.68 | Option sale |
| MICROSOFT CORP MSFT Sep 17 2021 305.0 Call / CUSIP: / Symbol: MSFT 09/17/21 C 305.000 | | | | | | | |
| 09/15/21 | 1.000 | 151.34 | 09/15/21 | 193.66 | ... | -42.32 | Option sale |
| MICROSOFT CORP MSFT Dec 03 2021 350.0 Call / CUSIP: / Symbol: MSFT 12/03/21 C 350.000 | | | | | | | |
| 12/03/21 | 1.000 | 0.00 | 11/29/21 | 42.66 | ... | -42.66 | Option expiration |
| MICROSOFT CORP MSFT Aug 20 2021 285.0 Put / CUSIP: / Symbol: MSFT 08/20/21 P 285.000 | | | | | | | |
| 08/19/21 | 10.000 | 313.37 | 08/19/21 | 529.85 | ... | -216.48 | Option sale |
| 08/19/21 | 10.000 | 443.37 | 08/19/21 | 496.61 | 53.24 W | 0.00 | Option sale |
| | Security total: | 756.74 | | 1,026.46 | 53.24 W | -216.48 | |
| MICRON TECHNOLOGY INC MU Nov 26 2021 90.0 Call / CUSIP: / Symbol: MU 11/26/21 C 90.000 | | | | | | | |
| 11/23/21 | 30.000 | 940.16 | 11/23/21 | 2,043.79 | 1,103.63 W | 0.00 | Option sale |
| 11/24/21 | 53.000 | 1,395.93 | 11/24/21 | 2,981.74 | ... | -1,585.81 | Option sale |
| 11/24/21 | 48.000 | 1,072.25 | Various | 3,723.71 | 2,656.22 W | 4.76 | Total of 2 transactions |
| | Security total: | 3,408.34 | | 8,749.24 | 3,759.85 W | -1,581.05 | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|-------------------|-------------------------|--|---|-------------------------|
| NORTHERN DYNASTY MINERALS LTD NAK Sep 17 2021 1.0 Call / CUSIP: / Symbol: NAK 09/17/21 C 1.000 | | | | | | | |
| 09/17/21 | 3.000 | 0.00 | 09/10/21 | 4.98 | ... | -4.98 | Option expiration |
| NETFLIX INC NFLX Aug 20 2021 550.0 Call / CUSIP: / Symbol: NFLX 08/20/21 C 550.000 | | | | | | | |
| 08/20/21 | 7.000 | 1,188.38 | Various | 1,214.90 | ... | -26.52 | Total of 6 transactions |
| 08/20/21 | 4.000 | 286.36 | Various | 435.56 | 149.20 W | 0.00 | Total of 4 transactions |
| Security total: | | 1,474.74 | | 1,650.46 | 149.20 W | -26.52 | |
| NETFLIX INC NFLX Aug 27 2021 560.0 Call / CUSIP: / Symbol: NFLX 08/27/21 C 560.000 | | | | | | | |
| 08/27/21 | 1.000 | 87.34 | 08/27/21 | 113.66 | ... | -26.32 | Option sale |
| NETFLIX INC NFLX Sep 03 2021 585.0 Call / CUSIP: / Symbol: NFLX 09/03/21 C 585.000 | | | | | | | |
| 08/31/21 | 1.000 | 65.34 | 08/31/21 | 97.66 | ... | -32.32 | Option sale |
| NETFLIX INC NFLX Sep 03 2021 590.0 Call / CUSIP: / Symbol: NFLX 09/03/21 C 590.000 | | | | | | | |
| 09/01/21 | 3.000 | 262.01 | 09/01/21 | 355.98 | ... | -93.97 | Option sale |
| NETFLIX INC NFLX Sep 10 2021 620.0 Call / CUSIP: / Symbol: NFLX 09/10/21 C 620.000 | | | | | | | |
| 09/08/21 | 2.000 | 477.68 | Various | 432.32 | ... | 45.36 | Total of 2 transactions |
| 09/09/21 | 2.000 | 278.68 | Various | 267.32 | ... | 11.36 | Total of 2 transactions |
| Security total: | | 756.36 | | 699.64 | ... | 56.72 | |
| NETFLIX INC NFLX Nov 05 2021 710.0 Call / CUSIP: / Symbol: NFLX 11/05/21 C 710.000 | | | | | | | |
| 11/02/21 | 1.000 | 159.34 | 11/02/21 | 190.66 | ... | -31.32 | Option sale |
| NETFLIX INC NFLX Aug 20 2021 540.0 Put / CUSIP: / Symbol: NFLX 08/20/21 P 540.000 | | | | | | | |
| 08/20/21 | 1.000 | 51.34 | 08/20/21 | 73.66 | ... | -22.32 | Option sale |
| NETFLIX INC NFLX Sep 10 2021 600.0 Put / CUSIP: / Symbol: NFLX 09/10/21 P 600.000 | | | | | | | |
| 09/10/21 | 1.000 | 119.34 | 09/10/21 | 109.66 | ... | 9.68 | Option sale |
| NETFLIX INC NFLX Oct 01 2021 600.0 Put / CUSIP: / Symbol: NFLX 10/01/21 P 600.000 | | | | | | | |
| 09/30/21 | 10.000 | 1,977.37 | Various | 1,886.61 | ... | 90.76 | Total of 3 transactions |
| NIO INC NIO Aug 13 2021 46.0 Call / CUSIP: / Symbol: NIO 08/13/21 C 46.000 | | | | | | | |
| 08/10/21 | 5.000 | 796.68 | 08/10/21 | 843.31 | ... | -46.63 | Option sale |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|-------------------|-------------------------|--|---|-------------------------|
| NIO INC NIO Nov 12 2021 45.0 Call / CUSIP: / Symbol: NIO 11/12/21 C 45.000 | | | | | | | |
| 11/12/21 | 1.000 | 0.00 | 11/09/21 | 90.66 | ... | -90.66 | Option expiration |
| NOVAVAX INC NVAX Aug 13 2021 260.0 Call / CUSIP: / Symbol: NVAX 08/13/21 C 260.000 | | | | | | | |
| 08/10/21 | 1.000 | 479.34 | 08/10/21 | 399.66 | ... | 79.68 | Option sale |
| NVIDIA CORP NVDA Aug 06 2021 200.0 Call / CUSIP: / Symbol: NVDA 08/06/21 C 200.000 | | | | | | | |
| 08/02/21 | 2.000 | 340.68 | 07/30/21 | 419.33 | ... | -78.65 | Option sale |
| NVIDIA CORP NVDA Aug 06 2021 207.5 Call / CUSIP: / Symbol: NVDA 08/06/21 C 207.500 | | | | | | | |
| 08/05/21 | 12.000 | 1,951.07 | Various | 1,718.94 | ... | 232.13 | Total of 6 transactions |
| NVIDIA CORP NVDA Aug 20 2021 205.0 Call / CUSIP: / Symbol: NVDA 08/20/21 C 205.000 | | | | | | | |
| 08/20/21 | 2.000 | 349.68 | Various | 331.32 | ... | 18.36 | Total of 2 transactions |
| NVIDIA CORP NVDA Aug 27 2021 225.0 Call / CUSIP: / Symbol: NVDA 08/27/21 C 225.000 | | | | | | | |
| 08/25/21 | 4.000 | 432.36 | Various | 310.65 | ... | 121.71 | Total of 3 transactions |
| 08/26/21 | 2.000 | 122.68 | 08/26/21 | 108.28 | ... | 14.40 | Option sale |
| 08/26/21 | 3.000 | 288.02 | Various | 297.30 | 9.28 W | 0.00 | Total of 2 transactions |
| 08/27/21 | 5.000 | 368.70 | Various | 363.95 | ... | 4.75 | Total of 5 transactions |
| 08/27/21 | 2.000 | 134.68 | 08/27/21 | 160.32 | 25.64 W | 0.00 | Option sale |
| Security total: | | 1,346.44 | | 1,240.50 | 34.92 W | 140.86 | |
| NVIDIA CORP NVDA Aug 27 2021 227.5 Call / CUSIP: / Symbol: NVDA 08/27/21 C 227.500 | | | | | | | |
| 08/27/21 | 5.000 | 51.68 | 08/27/21 | 63.31 | ... | -11.63 | Option sale |
| NVIDIA CORP NVDA Sep 03 2021 227.5 Call / CUSIP: / Symbol: NVDA 09/03/21 C 227.500 | | | | | | | |
| 09/02/21 | 1.000 | 35.34 | 09/02/21 | 41.98 | ... | -6.64 | Option sale |
| 09/02/21 | 1.000 | 90.34 | 09/02/21 | 94.66 | 4.32 W | 0.00 | Option sale |
| Security total: | | 125.68 | | 136.64 | 4.32 W | -6.64 | |
| NVIDIA CORP NVDA Sep 03 2021 230.0 Call / CUSIP: / Symbol: NVDA 09/03/21 C 230.000 | | | | | | | |
| 09/02/21 | 3.000 | 97.01 | 09/02/21 | 137.95 | ... | -40.94 | Option sale |
| 09/02/21 | 3.000 | 139.01 | 09/02/21 | 151.98 | 12.97 W | 0.00 | Option sale |
| Security total: | | 236.02 | | 289.93 | 12.97 W | -40.94 | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|----------------------|----------------------------|--|---|-------------------------|
| NVIDIA CORP NVDA Sep 03 2021 235.0 Call / CUSIP: / Symbol: NVDA 09/03/21 C 235.000 | | | | | | | |
| 08/30/21 | 2.000 | 358.68 | 08/30/21 | 345.32 | ... | 13.36 | Option sale |
| 08/30/21 | 3.000 | 517.01 | 08/30/21 | 517.99 | 0.65 W | -0.33 | Option sale |
| 09/03/21 | 2.000 | 0.00 | 08/30/21 | 297.97 | ... | -297.97 | Option expiration |
| Security total: | | 875.69 | | 1,161.28 | 0.65 W | -284.94 | |
| NVIDIA CORP NVDA Oct 08 2021 212.5 Call / CUSIP: / Symbol: NVDA 10/08/21 C 212.500 | | | | | | | |
| 10/08/21 | 5.000 | 336.69 | 10/08/21 | 428.30 | ... | -91.61 | Option sale |
| NVIDIA CORP NVDA Oct 29 2021 255.0 Call / CUSIP: / Symbol: NVDA 10/29/21 C 255.000 | | | | | | | |
| 10/29/21 | 1.000 | 106.34 | 10/29/21 | 85.66 | ... | 20.68 | Option sale |
| NVIDIA CORP NVDA Nov 05 2021 320.0 Call / CUSIP: / Symbol: NVDA 11/05/21 C 320.000 | | | | | | | |
| 11/05/21 | 1.000 | 262.34 | 11/04/21 | 95.66 | ... | 166.68 | Option sale |
| NVIDIA CORP NVDA Nov 19 2021 330.0 Call / CUSIP: / Symbol: NVDA 11/19/21 C 330.000 | | | | | | | |
| 11/18/21 | 5.000 | 2,034.70 | Various | 1,827.62 | ... | 207.08 | Total of 4 transactions |
| 11/18/21 | 1.000 | 239.34 | 11/18/21 | 252.66 | 13.32 W | 0.00 | Option sale |
| 11/19/21 | 2.000 | 278.68 | Various | 200.96 | ... | 77.72 | Total of 2 transactions |
| 11/19/21 | 2.000 | 183.68 | Various | 203.32 | 19.64 W | 0.00 | Total of 2 transactions |
| Security total: | | 2,736.40 | | 2,484.56 | 32.96 W | 284.80 | |
| NVIDIA CORP NVDA Nov 26 2021 330.0 Call / CUSIP: / Symbol: NVDA 11/26/21 C 330.000 | | | | | | | |
| 11/23/21 | 1.000 | 374.34 | 11/23/21 | 370.66 | ... | 3.68 | Option sale |
| NVIDIA CORP NVDA Nov 26 2021 350.0 Call / CUSIP: / Symbol: NVDA 11/26/21 C 350.000 | | | | | | | |
| 11/22/21 | 4.000 | 1,750.36 | Various | 1,532.64 | ... | 217.72 | Total of 3 transactions |
| NVIDIA CORP NVDA Aug 27 2021 215.0 Put / CUSIP: / Symbol: NVDA 08/27/21 P 215.000 | | | | | | | |
| 08/26/21 | 1.000 | 58.34 | 08/26/21 | 67.66 | ... | -9.32 | Option sale |
| OPENDOOR TECHNOLOGIES INC OPEN Aug 20 2021 19.0 Call / CUSIP: / Symbol: OPEN 08/20/21 C 19.000 | | | | | | | |
| 08/13/21 | 1.000 | 30.34 | 08/12/21 | 52.66 | ... | -22.32 | Option sale |
| PFIZER INC PFE Aug 27 2021 51.0 Call / CUSIP: / Symbol: PFE 08/27/21 C 51.000 | | | | | | | |
| 08/27/21 | 2.000 | 0.00 | 08/23/21 | 233.32 | ... | -233.32 | Option expiration |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|----------------------|----------------------------|--|---|-------------------------|
| PALANTIR TECH INC PLTR Sep 10 2021 27.0 Call / CUSIP: / Symbol: PLTR 09/10/21 C 27.000 | | | | | | | |
| 09/02/21 | 5.000 | 201.68 | 08/31/21 | 213.31 | ... | -11.63 | Option sale |
| PAYSAFE LTD PSFE Nov 12 2021 9.0 Call / CUSIP: / Symbol: PSFE 11/12/21 C 9.000 | | | | | | | |
| 11/12/21 | 1.000 | 0.00 | 11/09/21 | 15.66 | ... | -15.66 | Option expiration |
| QUALCOMM INC QCOM Nov 19 2021 195.0 Call / CUSIP: / Symbol: QCOM 11/19/21 C 195.000 | | | | | | | |
| 11/17/21 | 1.000 | 59.34 | 11/17/21 | 102.66 | ... | -43.32 | Option sale |
| INVESCO QQQ TRUST QQQ Dec 10 2021 393.0 Put / CUSIP: / Symbol: QQQ 12/10/21 P 393.000 | | | | | | | |
| 12/10/21 | 1.000 | 101.34 | 12/10/21 | 78.66 | ... | 22.68 | Option sale |
| RIOT BLOCKCHAIN INC RIOT Jun 18 2021 40.0 Call / CUSIP: / Symbol: RIOT 06/18/21 C 40.000 | | | | | | | |
| 06/14/21 | 3.000 | 289.00 | 06/14/21 | 418.99 | ... | -129.99 | Option sale |
| RIOT BLOCKCHAIN INC RIOT Aug 13 2021 40.0 Call / CUSIP: / Symbol: RIOT 08/13/21 C 40.000 | | | | | | | |
| 08/13/21 | 20.000 | 258.74 | Various | 466.47 | ... | -207.73 | Total of 3 transactions |
| 08/13/21 | 10.000 | 273.37 | 08/13/21 | 276.62 | 3.25 W | 0.00 | Option sale |
| Security total: | | 532.11 | | 743.09 | 3.25 W | -207.73 | |
| RIOT BLOCKCHAIN INC RIOT Aug 13 2021 45.0 Call / CUSIP: / Symbol: RIOT 08/13/21 C 45.000 | | | | | | | |
| 08/11/21 | 15.000 | 686.06 | Various | 705.78 | ... | -19.72 | Total of 5 transactions |
| 08/11/21 | 15.000 | 574.06 | Various | 669.92 | 95.86 W | 0.00 | Total of 2 transactions |
| Security total: | | 1,260.12 | | 1,375.70 | 95.86 W | -19.72 | |
| RIOT BLOCKCHAIN INC RIOT Sep 03 2021 37.0 Call / CUSIP: / Symbol: RIOT 09/03/21 C 37.000 | | | | | | | |
| 09/03/21 | 3.000 | 215.02 | Various | 205.96 | ... | 9.06 | Total of 3 transactions |
| 09/03/21 | 5.000 | 251.68 | 09/03/21 | 253.31 | 0.98 W | -0.65 | Option sale |
| Security total: | | 466.70 | | 459.27 | 0.98 W | 8.41 | |
| RIOT BLOCKCHAIN INC RIOT Jul 30 2021 22.0 Put / CUSIP: / Symbol: RIOT 07/30/21 P 22.000 | | | | | | | |
| 07/30/21 | 7.000 | 0.00 | 07/23/21 | 137.65 | ... | -137.65 | Option expiration |
| ROKU INC ROKU Aug 13 2021 420.0 Call / CUSIP: / Symbol: ROKU 08/13/21 C 420.000 | | | | | | | |
| 08/10/21 | 6.000 | 1,377.03 | Various | 1,551.97 | ... | -174.94 | Total of 3 transactions |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|----------------------|----------------------------|--|---|-------------------------|
| ROKU INC ROKU Dec 10 2021 270.0 Call / CUSIP: / Symbol: ROKU 12/10/21 C 270.000 | | | | | | | |
| 12/10/21 | 3.000 | 121.01 | 12/09/21 | 874.96 | ... | -753.95 | Option sale |
| 12/10/21 | 3.000 | 0.00 | 12/09/21 | 620.98 | 620.98 W | 0.00 | Option expiration |
| Security total: | | 121.01 | | 1,495.94 | 620.98 W | -753.95 | |
| SOFI TECHNOLOGIES INC SOFI Jul 02 2021 20.0 Call / CUSIP: / Symbol: SOFI 07/02/21 C 20.000 | | | | | | | |
| 07/01/21 | 5.000 | 21.67 | 06/29/21 | 360.32 | ... | -338.65 | Option sale |
| SOFI TECHNOLOGIES INC SOFI Nov 12 2021 25.0 Call / CUSIP: / Symbol: SOFI 11/12/21 C 25.000 | | | | | | | |
| 11/12/21 | 2.000 | 0.00 | 11/09/21 | 127.32 | ... | -127.32 | Option expiration |
| SPDR S&P500 ETF TRUST SPY Aug 18 2021 446.0 Call / CUSIP: / Symbol: SPY 08/18/21 C 446.000 | | | | | | | |
| 08/18/21 | 1.000 | 3.34 | 08/17/21 | 51.66 | ... | -48.32 | Option sale |
| SQUARE INC SQ Aug 20 2021 270.0 Call / CUSIP: / Symbol: SQ 08/20/21 C 270.000 | | | | | | | |
| 08/18/21 | 3.000 | 457.02 | 08/18/21 | 583.98 | ... | -126.96 | Option sale |
| SQUARE INC SQ Aug 27 2021 280.0 Call / CUSIP: / Symbol: SQ 08/27/21 C 280.000 | | | | | | | |
| 08/24/21 | 4.000 | 758.36 | Various | 748.64 | ... | 9.72 | Total of 4 transactions |
| TESLA INC TSLA Mar 05 2021 650.0 Call / CUSIP: / Symbol: TSLA 03/05/21 C 650.000 | | | | | | | |
| 03/04/21 | 1.000 | 1,137.33 | 03/04/21 | 1,730.66 | ... | -593.33 | Option sale |
| TESLA INC TSLA Jul 02 2021 700.0 Call / CUSIP: / Symbol: TSLA 07/02/21 C 700.000 | | | | | | | |
| 07/02/21 | 1.000 | 196.34 | 07/01/21 | 400.66 | ... | -204.32 | Option sale |
| TESLA INC TSLA Jul 16 2021 680.0 Call / CUSIP: / Symbol: TSLA 07/16/21 C 680.000 | | | | | | | |
| 07/16/21 | 1.000 | 43.34 | 07/14/21 | 360.66 | ... | -317.32 | Option sale |
| TESLA INC TSLA Jul 30 2021 680.0 Call / CUSIP: / Symbol: TSLA 07/30/21 C 680.000 | | | | | | | |
| 07/29/21 | 2.000 | 772.67 | 07/28/21 | 461.33 | ... | 311.34 | Option sale |
| TESLA INC TSLA Aug 06 2021 750.0 Call / CUSIP: / Symbol: TSLA 08/06/21 C 750.000 | | | | | | | |
| 08/02/21 | 3.000 | 1,548.01 | Various | 421.99 | ... | 1,126.02 | Total of 2 transactions |
| 08/05/21 | 4.000 | 504.35 | Various | 485.96 | ... | 18.39 | Total of 2 transactions |
| 08/05/21 | 1.000 | 119.34 | 08/05/21 | 124.66 | 5.32 W | 0.00 | Option sale |
| Security total: | | 2,171.70 | | 1,032.61 | 5.32 W | 1,144.41 | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|----------------------|----------------------------|--|---|-------------------------|
| TESLA INC TSLA Aug 06 2021 800.0 Call / CUSIP: / Symbol: TSLA 08/06/21 C 800.000 | | | | | | | |
| 08/02/21 | 10.000 | 1,607.37 | Various | 1,796.61 | ... | -189.24 | Total of 2 transactions |
| TESLA INC TSLA Aug 13 2021 740.0 Call / CUSIP: / Symbol: TSLA 08/13/21 C 740.000 | | | | | | | |
| 08/13/21 | 4.000 | 647.36 | Various | 659.88 | ... | -12.52 | Total of 2 transactions |
| 08/13/21 | 10.000 | 1,751.38 | Various | 1,921.21 | 169.83 W | 0.00 | Total of 4 transactions |
| Security total: | | 2,398.74 | | 2,581.09 | 169.83 W | -12.52 | |
| TESLA INC TSLA Aug 20 2021 700.0 Call / CUSIP: / Symbol: TSLA 08/20/21 C 700.000 | | | | | | | |
| 08/19/21 | 1.000 | 169.34 | 08/19/21 | 186.98 | ... | -17.64 | Option sale |
| 08/19/21 | 1.000 | 182.34 | 08/19/21 | 182.66 | 0.32 W | 0.00 | Option sale |
| Security total: | | 351.68 | | 369.64 | 0.32 W | -17.64 | |
| TESLA INC TSLA Aug 27 2021 717.5 Call / CUSIP: / Symbol: TSLA 08/27/21 C 717.500 | | | | | | | |
| 08/27/21 | 3.000 | 271.01 | 08/27/21 | 233.94 | ... | 37.07 | Option sale |
| 08/27/21 | 3.000 | 193.02 | 08/27/21 | 223.98 | 30.96 W | 0.00 | Option sale |
| Security total: | | 464.03 | | 457.92 | 30.96 W | 37.07 | |
| TESLA INC TSLA Sep 03 2021 760.0 Call / CUSIP: / Symbol: TSLA 09/03/21 C 760.000 | | | | | | | |
| 08/31/21 | 1.000 | 229.34 | 08/31/21 | 220.98 | ... | 8.36 | Option sale |
| 08/31/21 | 2.000 | 464.68 | Various | 466.32 | 1.64 W | 0.00 | Total of 2 transactions |
| 09/02/21 | 2.000 | 84.68 | Various | 147.29 | ... | -62.61 | Total of 2 transactions |
| 09/02/21 | 4.000 | 153.34 | 09/01/21 | 245.96 | 53.97 W | -38.65 | Option sale |
| Security total: | | 932.04 | | 1,080.55 | 55.61 W | -92.90 | |
| TESLA INC TSLA Sep 03 2021 770.0 Call / CUSIP: / Symbol: TSLA 09/03/21 C 770.000 | | | | | | | |
| 09/01/21 | 2.000 | 94.68 | 09/01/21 | 137.32 | ... | -42.64 | Option sale |
| TESLA INC TSLA Sep 10 2021 765.0 Call / CUSIP: / Symbol: TSLA 09/10/21 C 765.000 | | | | | | | |
| 09/09/21 | 1.000 | 172.34 | 09/09/21 | 179.98 | ... | -7.64 | Option sale |
| 09/09/21 | 1.000 | 175.34 | 09/09/21 | 180.66 | 5.32 W | 0.00 | Option sale |
| Security total: | | 347.68 | | 360.64 | 5.32 W | -7.64 | |
| TESLA INC TSLA Sep 10 2021 770.0 Call / CUSIP: / Symbol: TSLA 09/10/21 C 770.000 | | | | | | | |
| 09/09/21 | 1.000 | 120.34 | 09/09/21 | 125.98 | ... | -5.64 | Option sale |
| 09/09/21 | 1.000 | 168.34 | 09/09/21 | 170.66 | 2.32 W | 0.00 | Option sale |
| Security total: | | 288.68 | | 296.64 | 2.32 W | -5.64 | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|----------------------|----------------------------|--|---|-------------------------|
| TESLA INC TSLA Sep 10 2021 775.0 Call / CUSIP: / Symbol: TSLA 09/10/21 C 775.000 | | | | | | | |
| 09/07/21 | 1.000 | 319.34 | 09/07/21 | 270.66 | ... | 48.68 | Option sale |
| TESLA INC TSLA Sep 10 2021 780.0 Call / CUSIP: / Symbol: TSLA 09/10/21 C 780.000 | | | | | | | |
| 09/08/21 | 5.000 | 1,363.70 | Various | 1,194.62 | ... | 169.08 | Total of 4 transactions |
| 09/08/21 | 1.000 | 174.34 | 09/08/21 | 195.66 | 21.32 W | 0.00 | Option sale |
| Security total: | | 1,538.04 | | 1,390.28 | 21.32 W | 169.08 | |
| TESLA INC TSLA Sep 17 2021 760.0 Call / CUSIP: / Symbol: TSLA 09/17/21 C 760.000 | | | | | | | |
| 09/14/21 | 1.000 | 497.34 | 09/14/21 | 510.66 | ... | -13.32 | Option sale |
| TESLA INC TSLA Sep 17 2021 770.0 Call / CUSIP: / Symbol: TSLA 09/17/21 C 770.000 | | | | | | | |
| 09/16/21 | 1.000 | 79.34 | 09/16/21 | 50.66 | ... | 28.68 | Option sale |
| TESLA INC TSLA Mar 05 2021 620.0 Put / CUSIP: / Symbol: TSLA 03/05/21 P 620.000 | | | | | | | |
| 03/04/21 | 1.000 | 1,073.33 | 03/04/21 | 1,297.66 | ... | -224.33 | Option sale |
| TESLA INC TSLA Mar 05 2021 670.0 Put / CUSIP: / Symbol: TSLA 03/05/21 P 670.000 | | | | | | | |
| 03/03/21 | 1.000 | 1,129.33 | 03/03/21 | 1,150.66 | ... | -21.33 | Option sale |
| TESLA INC TSLA Apr 01 2021 560.0 Put / CUSIP: / Symbol: TSLA 04/01/21 P 560.000 | | | | | | | |
| 03/30/21 | 1.000 | 399.34 | 03/30/21 | 610.66 | ... | -211.32 | Option sale |
| TESLA INC TSLA Aug 20 2021 650.0 Put / CUSIP: / Symbol: TSLA 08/20/21 P 650.000 | | | | | | | |
| 08/19/21 | 1.000 | 253.34 | 08/19/21 | 207.66 | ... | 45.68 | Option sale |
| TESLA INC TSLA Aug 20 2021 670.0 Put / CUSIP: / Symbol: TSLA 08/20/21 P 670.000 | | | | | | | |
| 08/20/21 | 2.000 | 372.68 | Various | 361.32 | ... | 11.36 | Total of 2 transactions |
| TESLA INC TSLA Sep 10 2021 700.0 Put / CUSIP: / Symbol: TSLA 09/10/21 P 700.000 | | | | | | | |
| 09/08/21 | 3.000 | 511.01 | 09/08/21 | 555.98 | ... | -44.97 | Option sale |
| TESLA INC TSLA Sep 10 2021 730.0 Put / CUSIP: / Symbol: TSLA 09/10/21 P 730.000 | | | | | | | |
| 09/08/21 | 1.000 | 271.34 | 09/08/21 | 269.66 | ... | 1.68 | Option sale |
| TESLA INC TSLA Sep 10 2021 740.0 Put / CUSIP: / Symbol: TSLA 09/10/21 P 740.000 | | | | | | | |
| 09/10/21 | 4.000 | 47.36 | Various | 58.65 | ... | -11.29 | Total of 2 transactions |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|----------------------|----------------------------|--|---|-------------------------|
| TESLA INC TSLA Sep 17 2021 700.0 Put / CUSIP: / Symbol: TSLA 09/17/21 P 700.000 | | | | | | | |
| 09/14/21 | 2.000 | 362.68 | Various | 346.28 | ... | 16.40 | Total of 2 transactions |
| 09/14/21 | 3.000 | 493.02 | Various | 517.30 | 24.28 W | 0.00 | Total of 3 transactions |
| Security total: | | 855.70 | | 863.58 | 24.28 W | 16.40 | |
| TESLA INC TSLA Sep 17 2021 740.0 Put / CUSIP: / Symbol: TSLA 09/17/21 P 740.000 | | | | | | | |
| 09/16/21 | 4.000 | 1,244.36 | Various | 1,230.56 | ... | 13.80 | Total of 4 transactions |
| 09/16/21 | 7.000 | 1,941.38 | Various | 2,266.86 | 326.16 W | 0.68 | Total of 5 transactions |
| Security total: | | 3,185.74 | | 3,497.42 | 326.16 W | 14.48 | |
| TESLA INC TSLA Sep 17 2021 745.0 Put / CUSIP: / Symbol: TSLA 09/17/21 P 745.000 | | | | | | | |
| 09/17/21 | 1.000 | 83.34 | 09/17/21 | 98.66 | ... | -15.32 | Option sale |
| TESLA INC TSLA Nov 12 2021 850.0 Put / CUSIP: / Symbol: TSLA 11/12/21 P 850.000 | | | | | | | |
| 11/10/21 | 5.000 | 822.70 | Various | 1,494.91 | ... | -672.21 | Total of 3 transactions |
| 11/10/21 | 25.000 | 2,458.45 | 11/10/21 | 3,831.48 | 1,034.25 W | -338.78 | Option sale |
| 11/11/21 | 2.000 | 68.68 | Various | 222.96 | ... | -154.28 | Total of 2 transactions |
| Security total: | | 3,349.83 | | 5,549.35 | 1,034.25 W | -1,165.27 | |
| TESLA INC TSLA Dec 10 2021 800.0 Put / CUSIP: / Symbol: TSLA 12/10/21 P 800.000 | | | | | | | |
| 12/06/21 | 1.000 | 449.34 | 12/06/21 | 390.66 | ... | 58.68 | Option sale |
| TESLA INC TSLA Dec 17 2021 870.0 Put / CUSIP: / Symbol: TSLA 12/17/21 P 870.000 | | | | | | | |
| 12/17/21 | 2.000 | 4.68 | 12/17/21 | 391.32 | ... | -386.64 | Option sale |
| TESLA INC TSLA Dec 17 2021 880.0 Put / CUSIP: / Symbol: TSLA 12/17/21 P 880.000 | | | | | | | |
| 12/17/21 | 1.000 | 3.34 | 12/17/21 | 279.66 | ... | -276.32 | Option sale |
| TESLA INC TSLA Nov 05 2021 1270.0 Call / CUSIP: / Symbol: TSLA 11/05/21 C 1270.000 | | | | | | | |
| 11/05/21 | 10.000 | 2,222.38 | Various | 1,786.59 | ... | 435.79 | Total of 3 transactions |
| TESLA INC TSLA Nov 05 2021 1300.0 Call / CUSIP: / Symbol: TSLA 11/05/21 C 1300.000 | | | | | | | |
| 11/05/21 | 15.000 | 865.09 | Various | 739.89 | ... | 125.20 | Total of 5 transactions |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|-------------------|-------------------------|--|---|-------------------------|
| TESLA INC TSLA Nov 05 2021 1350.0 Call / CUSIP: / Symbol: TSLA 11/05/21 C 1350.000 | | | | | | | |
| 11/04/21 | 7.000 | 1,702.37 | Various | 1,534.59 | ... | 167.78 | Total of 5 transactions |
| 11/04/21 | 3.000 | 646.01 | 11/04/21 | 736.98 | 90.97 W | 0.00 | Option sale |
| Security total: | | 2,348.38 | | 2,271.57 | 90.97 W | 167.78 | |
| TESLA INC TSLA Nov 12 2021 1100.0 Call / CUSIP: / Symbol: TSLA 11/12/21 C 1100.000 | | | | | | | |
| 11/12/21 | 13.000 | 4.41 | Various | 1,851.02 | ... | -1,846.61 | Total of 2 transactions |
| 11/12/21 | 32.000 | 110.18 | Various | 4,846.57 | 3,312.91 W | -1,423.48 | Total of 4 transactions |
| Security total: | | 114.59 | | 6,697.59 | 3,312.91 W | -3,270.09 | |
| TESLA INC TSLA Nov 19 2021 1150.0 Call / CUSIP: / Symbol: TSLA 11/19/21 C 1150.000 | | | | | | | |
| 11/18/21 | 1.000 | 295.34 | 11/18/21 | 305.66 | ... | -10.32 | Option sale |
| TESLA INC TSLA Nov 19 2021 1200.0 Call / CUSIP: / Symbol: TSLA 11/19/21 C 1200.000 | | | | | | | |
| 11/17/21 | 2.000 | 389.68 | Various | 300.32 | ... | 89.36 | Total of 2 transactions |
| TESLA INC TSLA Nov 19 2021 1300.0 Call / CUSIP: / Symbol: TSLA 11/19/21 C 1300.000 | | | | | | | |
| 11/16/21 | 1.000 | 62.34 | 11/15/21 | 106.66 | ... | -44.32 | Option sale |
| TESLA INC TSLA Dec 03 2021 1300.0 Call / CUSIP: / Symbol: TSLA 12/03/21 C 1300.000 | | | | | | | |
| 11/30/21 | 1.000 | 104.34 | 11/29/21 | 147.66 | ... | -43.32 | Option sale |
| TESLA INC TSLA Dec 10 2021 1100.0 Call / CUSIP: / Symbol: TSLA 12/10/21 C 1100.000 | | | | | | | |
| 12/09/21 | 3.000 | 204.02 | Various | 241.98 | ... | -37.96 | Total of 2 transactions |
| TESLA INC TSLA Dec 10 2021 1150.0 Call / CUSIP: / Symbol: TSLA 12/10/21 C 1150.000 | | | | | | | |
| 12/07/21 | 1.000 | 304.34 | 12/07/21 | 284.66 | ... | 19.68 | Option sale |
| 12/07/21 | 2.000 | 553.68 | Various | 606.64 | 52.96 W | 0.00 | Total of 2 transactions |
| 12/08/21 | 5.000 | 684.70 | Various | 739.55 | ... | -54.85 | Total of 2 transactions |
| 12/08/21 | 16.000 | 1,909.41 | 12/08/21 | 2,016.20 | 261.25 W | 154.46 | Option sale |
| Security total: | | 3,452.13 | | 3,647.05 | 314.21 W | 119.29 | |
| TESLA INC TSLA Dec 17 2021 1000.0 Call / CUSIP: / Symbol: TSLA 12/17/21 C 1000.000 | | | | | | | |
| 12/17/21 | 2.000 | 0.00 | 12/17/21 | 106.32 | ... | -106.32 | Option expiration |
| TESLA INC TSLA Dec 03 2021 1000.0 Put / CUSIP: / Symbol: TSLA 12/03/21 P 1000.000 | | | | | | | |
| 12/03/21 | 3.000 | 968.02 | Various | 866.98 | ... | 101.04 | Total of 3 transactions |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|----------------------|----------------------------|--|---|-------------------------|
| TESLA INC TSLA Dec 23 2021 1020.0 Put / CUSIP: / Symbol: TSLA 12/23/21 P 1020.000 | | | | | | | |
| 12/23/21 | 1.000 | 0.00 | 12/23/21 | 39.66 | ... | -39.66 | Option expiration |
| UNITY SOFTWARE INC U Aug 20 2021 125.0 Call / CUSIP: / Symbol: U 08/20/21 C 125.000 | | | | | | | |
| 08/12/21 | 2.000 | 400.68 | Various | 375.32 | ... | 25.36 | Total of 2 transactions |
| UPSTART HLDGS INC UPST Aug 13 2021 190.0 Call / CUSIP: / Symbol: UPST 08/13/21 C 190.000 | | | | | | | |
| 08/12/21 | 6.000 | 1,189.04 | Various | 1,188.97 | ... | 0.07 | Total of 4 transactions |
| UPSTART HLDGS INC UPST Aug 13 2021 200.0 Call / CUSIP: / Symbol: UPST 08/13/21 C 200.000 | | | | | | | |
| 08/13/21 | 2.000 | 338.68 | 08/13/21 | 283.32 | ... | 55.36 | Option sale |
| UPSTART HLDGS INC UPST Aug 20 2021 230.0 Call / CUSIP: / Symbol: UPST 08/20/21 C 230.000 | | | | | | | |
| 08/16/21 | 1.000 | 329.34 | 08/16/21 | 257.66 | ... | 71.68 | Option sale |
| 08/19/21 | 5.000 | 566.70 | Various | 713.31 | ... | -146.61 | Total of 2 transactions |
| Security total: | | 896.04 | | 970.97 | ... | -74.93 | |
| UPSTART HLDGS INC UPST Aug 27 2021 235.0 Call / CUSIP: / Symbol: UPST 08/27/21 C 235.000 | | | | | | | |
| 08/25/21 | 2.000 | 472.68 | Various | 544.96 | ... | -72.28 | Total of 2 transactions |
| 08/25/21 | 2.000 | 468.68 | 08/25/21 | 561.32 | 92.64 W | 0.00 | Option sale |
| Security total: | | 941.36 | | 1,106.28 | 92.64 W | -72.28 | |
| UPSTART HLDGS INC UPST Sep 03 2021 245.0 Call / CUSIP: / Symbol: UPST 09/03/21 C 245.000 | | | | | | | |
| 08/30/21 | 3.000 | 346.01 | 08/30/21 | 751.98 | ... | -405.97 | Option sale |
| UPSTART HLDGS INC UPST Sep 10 2021 300.0 Call / CUSIP: / Symbol: UPST 09/10/21 C 300.000 | | | | | | | |
| 09/08/21 | 1.000 | 264.34 | 09/08/21 | 265.66 | ... | -1.32 | Option sale |
| UPSTART HLDGS INC UPST Sep 24 2021 350.0 Call / CUSIP: / Symbol: UPST 09/24/21 C 350.000 | | | | | | | |
| 09/22/21 | 3.000 | 938.02 | Various | 901.98 | ... | 36.04 | Total of 2 transactions |
| UPSTART HLDGS INC UPST Sep 10 2021 275.0 Put / CUSIP: / Symbol: UPST 09/10/21 P 275.000 | | | | | | | |
| 09/10/21 | 2.000 | 228.68 | 09/10/21 | 273.29 | ... | -44.61 | Option sale |
| 09/10/21 | 7.000 | 841.36 | Various | 887.27 | 55.62 W | 9.71 | Total of 2 transactions |
| Security total: | | 1,070.04 | | 1,160.56 | 55.62 W | -34.90 | |
| VISA INC V Jul 30 2021 260.0 Call / CUSIP: / Symbol: V 07/30/21 C 260.000 | | | | | | | |
| 07/27/21 | 1.000 | 94.34 | 07/23/21 | 84.66 | ... | 9.68 | Option sale |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|----------------------|----------------------------|--|---|------------------------|
| WENDYS COMPANY (THE) WEN Nov 19 2021 30.0 Call / CUSIP: / Symbol: WEN 11/19/21 C 30.000 | | | | | | | |
| 11/19/21 | 2.000 | 0.00 | 11/09/21 | 21.32 | ... | -21.32 | Option expiration |
| WORKHORSE GROUP INC WKHS Sep 03 2021 11.0 Call / CUSIP: / Symbol: WKHS 09/03/21 C 11.000 | | | | | | | |
| 08/31/21 | 10.000 | 103.37 | 08/31/21 | 256.61 | ... | -153.24 | Option sale |
| WALMART INC WMT Aug 20 2021 155.0 Call / CUSIP: / Symbol: WMT 08/20/21 C 155.000 | | | | | | | |
| 08/16/21 | 1.000 | 83.34 | 08/16/21 | 89.66 | ... | -6.32 | Option sale |
| 08/18/21 | 1.000 | 4.34 | 08/16/21 | 89.66 | ... | -85.32 | Option sale |
| Security total: | | 87.68 | | 179.32 | ... | -91.64 | |
| CLEAR SECURE INC YOU Aug 20 2021 60.0 Call / CUSIP: / Symbol: YOU 08/20/21 C 60.000 | | | | | | | |
| 08/02/21 | 1.000 | 466.34 | 08/02/21 | 462.66 | ... | 3.68 | Option sale |
| Totals : | | 161,874.90 | | 183,902.26 | 13,572.66 W | -8,454.70 | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490597413

Section 1256 Contracts - Regulated Futures and Nonequity Options**2021**

01/20/2022

This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.

| Security description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|--------------------------------------|--------------------------|----------|----------|------------------|-----------------------------------|-------|
| S&P 500 SPX Dec 17 2021 4750.0 Call | SPX 12/17/21 C 4750.000 | 12/17/21 | 1.00 | -111.32 | Realized profit/loss | |
| S&P 500 SPX Dec 17 2021 4770.0 Call | SPX 12/17/21 C 4770.000 | 12/17/21 | 3.00 | -528.96 | Realized profit/loss | |
| S&P 500 SPXW Aug 06 2021 4465.0 Call | SPXW 08/06/21 C 4465.000 | 08/09/21 | 1.00 | -47.30 | Realized profit/loss | |
| S&P 500 SPXW Sep 01 2021 4535.0 Call | SPXW 09/01/21 C 4535.000 | 09/02/21 | 1.00 | -17.30 | Realized profit/loss | |
| | | 09/02/21 | 1.00 | -17.30 | Realized profit/loss | |
| | | | | -34.60 | Total Realized profit/loss | |
| S&P 500 SPXW Sep 22 2021 4450.0 Call | SPXW 09/22/21 C 4450.000 | 09/22/21 | 25.00 | -668.76 | Realized profit/loss | |
| | | 09/23/21 | 4.00 | 150.78 | Realized profit/loss | |
| | | 09/23/21 | 2.00 | 40.40 | Realized profit/loss | |
| | | | | -477.58 | Total Realized profit/loss | |
| S&P 500 SPXW Sep 27 2021 4460.0 Call | SPXW 09/27/21 C 4460.000 | 09/28/21 | 1.00 | -27.30 | Realized profit/loss | |
| S&P 500 SPXW Sep 27 2021 4470.0 Call | SPXW 09/27/21 C 4470.000 | 09/28/21 | 2.00 | 25.04 | Realized profit/loss | |
| | | 09/28/21 | 1.00 | 7.52 | Realized profit/loss | |
| | | | | 32.56 | Total Realized profit/loss | |
| S&P 500 SPXW Sep 30 2021 4400.0 Call | SPXW 09/30/21 C 4400.000 | 09/30/21 | 56.00 | -1,984.47 | Realized profit/loss | |
| | | 10/01/21 | 8.00 | 461.58 | Realized profit/loss | |
| | | 10/01/21 | 1.00 | 47.70 | Realized profit/loss | |
| | | 10/01/21 | 1.00 | 47.70 | Realized profit/loss | |
| | | | | -1,427.49 | Total Realized profit/loss | |
| S&P 500 SPXW Oct 27 2021 4590.0 Call | SPXW 10/27/21 C 4590.000 | 10/28/21 | 1.00 | 2.52 | Realized profit/loss | |
| | | 10/28/21 | 1.00 | -2.48 | Realized profit/loss | |
| | | 10/28/21 | 1.00 | -12.30 | Realized profit/loss | |
| | | | | -12.26 | Total Realized profit/loss | |

TD Ameritrade Clearing, Inc.

Account 490597413

Section 1256 Contracts - Regulated Futures and Nonequity Options

2021

(continued)

01/20/2022

| Security description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|--------------------------------------|--------------------------|----------|----------|---------------|-----------------------------------|-------|
| S&P 500 SPXW Oct 29 2021 4615.0 Call | SPXW 10/29/21 C 4615.000 | 11/01/21 | 2.00 | 25.04 | Realized profit/loss | |
| | | 11/01/21 | 1.00 | -47.39 | Realized profit/loss | |
| | | | | -22.35 | Total Realized profit/loss | |
| S&P 500 SPXW Nov 01 2021 4620.0 Call | SPXW 11/01/21 C 4620.000 | 11/02/21 | 1.00 | -7.48 | Realized profit/loss | |
| S&P 500 SPXW Nov 05 2021 4730.0 Call | SPXW 11/05/21 C 4730.000 | 11/05/21 | 1.00 | -36.15 | Realized profit/loss | |
| S&P 500 SPXW Nov 08 2021 4720.0 Call | SPXW 11/08/21 C 4720.000 | 11/09/21 | 4.00 | 110.09 | Realized profit/loss | |
| | | 11/09/21 | 2.00 | 55.04 | Realized profit/loss | |
| | | 11/09/21 | 6.00 | -3.80 | Realized profit/loss | |
| | | 11/09/21 | 3.00 | -22.44 | Realized profit/loss | |
| | | 11/09/21 | 6.00 | -43.80 | Realized profit/loss | |
| | | 11/09/21 | 10.00 | -63.44 | Realized profit/loss | |
| | | 11/09/21 | 1.00 | -67.48 | Realized profit/loss | |
| | | | | -35.83 | Total Realized profit/loss | |
| S&P 500 SPXW Nov 10 2021 4710.0 Call | SPXW 11/10/21 C 4710.000 | 11/10/21 | 1.00 | 32.52 | Realized profit/loss | |
| S&P 500 SPXW Nov 10 2021 4740.0 Call | SPXW 11/10/21 C 4740.000 | 11/12/21 | 1.00 | -27.30 | Realized profit/loss | |
| S&P 500 SPXW Nov 10 2021 4745.0 Call | SPXW 11/10/21 C 4745.000 | 11/10/21 | 1.00 | 12.70 | Realized profit/loss | |
| | | 11/10/21 | 2.00 | -14.69 | Realized profit/loss | |
| | | 11/10/21 | 1.00 | -27.30 | Realized profit/loss | |
| | | | | -29.29 | Total Realized profit/loss | |
| S&P 500 SPXW Nov 10 2021 4780.0 Call | SPXW 11/10/21 C 4780.000 | 11/10/21 | 1.00 | -11.15 | Realized profit/loss | |
| S&P 500 SPXW Nov 22 2021 4740.0 Call | SPXW 11/22/21 C 4740.000 | 11/23/21 | 7.00 | 192.65 | Realized profit/loss | |
| | | 11/23/21 | 1.00 | 77.52 | Realized profit/loss | |
| | | 11/23/21 | 1.00 | 2.52 | Realized profit/loss | |
| | | 11/23/21 | 1.00 | 2.52 | Realized profit/loss | |
| | | | | 275.21 | Total Realized profit/loss | |

TD Ameritrade Clearing, Inc.

Account 490597413

Section 1256 Contracts - Regulated Futures and Nonequity Options

2021

(continued)

01/20/2022

| Security description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|--------------------------------------|--------------------------|----------|----------|------------------|-----------------------------------|-------|
| S&P 500 SPXW Nov 22 2021 4750.0 Call | SPXW 11/22/21 C 4750.000 | 11/22/21 | 1.00 | -221.24 | Realized profit/loss | |
| | | 11/23/21 | 1.00 | 172.61 | Realized profit/loss | |
| | | 11/23/21 | 1.00 | 82.52 | Realized profit/loss | |
| | | 11/23/21 | 3.00 | 32.56 | Realized profit/loss | |
| | | 11/23/21 | 1.00 | 27.52 | Realized profit/loss | |
| | | | | 93.97 | Total Realized profit/loss | |
| S&P 500 SPXW Nov 24 2021 4705.0 Call | SPXW 11/24/21 C 4705.000 | 11/26/21 | 5.00 | 77.60 | Realized profit/loss | |
| | | 11/26/21 | 1.00 | 37.52 | Realized profit/loss | |
| | | 11/26/21 | 1.00 | -62.48 | Realized profit/loss | |
| | | | | 52.64 | Total Realized profit/loss | |
| S&P 500 SPXW Nov 24 2021 4715.0 Call | SPXW 11/24/21 C 4715.000 | 11/26/21 | 1.00 | -27.30 | Realized profit/loss | |
| S&P 500 SPXW Nov 30 2021 4675.0 Call | SPXW 11/30/21 C 4675.000 | 11/30/21 | 5.00 | -596.11 | Realized profit/loss | |
| | | 12/01/21 | 1.00 | 17.61 | Realized profit/loss | |
| | | 12/01/21 | 24.00 | -855.19 | Realized profit/loss | |
| | | | | -1,433.69 | Total Realized profit/loss | |
| S&P 500 SPXW Dec 01 2021 4660.0 Call | SPXW 12/01/21 C 4660.000 | 12/02/21 | 1.00 | 17.52 | Realized profit/loss | |
| S&P 500 SPXW Dec 03 2021 4670.0 Call | SPXW 12/03/21 C 4670.000 | 12/03/21 | 1.00 | 7.52 | Realized profit/loss | |
| S&P 500 SPXW Dec 03 2021 4620.0 Call | SPXW 12/03/21 C 4620.000 | 12/06/21 | 1.00 | 2.52 | Realized profit/loss | |
| S&P 500 SPXW Dec 03 2021 4655.0 Call | SPXW 12/03/21 C 4655.000 | 12/03/21 | 1.00 | 7.52 | Realized profit/loss | |
| S&P 500 SPXW Dec 06 2021 4630.0 Call | SPXW 12/06/21 C 4630.000 | 12/07/21 | 1.00 | 102.61 | Realized profit/loss | |
| | | 12/07/21 | 1.00 | 62.61 | Realized profit/loss | |
| | | 12/07/21 | 1.00 | 62.61 | Realized profit/loss | |
| | | 12/07/21 | 1.00 | 42.61 | Realized profit/loss | |
| | | 12/07/21 | 10.00 | 35.75 | Realized profit/loss | |
| | | | | 306.19 | Total Realized profit/loss | |
| S&P 500 SPXW Dec 08 2021 4760.0 Call | SPXW 12/08/21 C 4760.000 | 12/08/21 | 1.00 | -31.15 | Realized profit/loss | |

TD Ameritrade Clearing, Inc.

Account 490597413

Section 1256 Contracts - Regulated Futures and Nonequity Options

2021

(continued)

01/20/2022

| Security description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|--------------------------------------|--------------------------|----------|----------|---------------|-----------------------------------|-------|
| S&P 500 SPXW Dec 10 2021 4700.0 Call | SPXW 12/10/21 C 4700.000 | 12/10/21 | 1.00 | -2.48 | Realized profit/loss | |
| S&P 500 SPXW Dec 10 2021 4730.0 Call | SPXW 12/10/21 C 4730.000 | 12/10/21 | 1.00 | 7.52 | Realized profit/loss | |
| | | 12/10/21 | 1.00 | -2.48 | Realized profit/loss | |
| | | | | 5.04 | Total Realized profit/loss | |
| S&P 500 SPXW Dec 15 2021 4680.0 Call | SPXW 12/15/21 C 4680.000 | 12/16/21 | 1.00 | 297.52 | Realized profit/loss | |
| | | 12/16/21 | 1.00 | 57.52 | Realized profit/loss | |
| | | | | 355.04 | Total Realized profit/loss | |
| S&P 500 SPXW Sep 10 2021 4465.0 Put | SPXW 09/10/21 P 4465.000 | 09/13/21 | 1.00 | 52.52 | Realized profit/loss | |
| S&P 500 SPXW Sep 13 2021 4440.0 Put | SPXW 09/13/21 P 4440.000 | 09/14/21 | 1.00 | 147.52 | Realized profit/loss | |
| | | 09/14/21 | 1.00 | 27.52 | Realized profit/loss | |
| | | 09/14/21 | 1.00 | 22.70 | Realized profit/loss | |
| | | 09/14/21 | 1.00 | 22.52 | Realized profit/loss | |
| | | 09/14/21 | 1.00 | 17.52 | Realized profit/loss | |
| | | 09/14/21 | 1.00 | 12.52 | Realized profit/loss | |
| | | 09/14/21 | 1.00 | 7.52 | Realized profit/loss | |
| | | 09/14/21 | 1.00 | 2.52 | Realized profit/loss | |
| | | 09/14/21 | 2.00 | -4.60 | Realized profit/loss | |
| | | 09/14/21 | 2.00 | -49.60 | Realized profit/loss | |
| | | 09/14/21 | 1.00 | -57.39 | Realized profit/loss | |
| | | 09/14/21 | 2.00 | -59.60 | Realized profit/loss | |
| | | 09/14/21 | 16.00 | -166.93 | Realized profit/loss | |
| | | | | -77.78 | Total Realized profit/loss | |
| S&P 500 SPXW Sep 13 2021 4450.0 Put | SPXW 09/13/21 P 4450.000 | 09/14/21 | 1.00 | 2.52 | Realized profit/loss | |
| S&P 500 SPXW Sep 15 2021 4400.0 Put | SPXW 09/15/21 P 4400.000 | 09/16/21 | 2.00 | 75.22 | Realized profit/loss | |
| | | 09/16/21 | 3.00 | 48.10 | Realized profit/loss | |
| | | 09/16/21 | 3.00 | 43.10 | Realized profit/loss | |
| | | 09/16/21 | 1.00 | 7.52 | Realized profit/loss | |
| | | 09/16/21 | 6.00 | -3.81 | Realized profit/loss | |
| | | 09/16/21 | 2.00 | -34.96 | Realized profit/loss | |
| | | 09/16/21 | 4.00 | -49.22 | Realized profit/loss | |
| | | 09/16/21 | 4.00 | -49.94 | Realized profit/loss | |

TD Ameritrade Clearing, Inc.

Account 490597413

Section 1256 Contracts - Regulated Futures and Nonequity Options

2021

(continued)

01/20/2022

| Security description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|--|--------------------------|----------|----------|----------------|-----------------------------------|-------|
| S&P 500 SPXW Sep 15 2021 4400.0 Put (cont'd) | SPXW 09/15/21 P 4400.000 | 09/16/21 | 3.00 | -82.17 | Realized profit/loss | |
| | | 09/16/21 | 3.00 | -337.44 | Realized profit/loss | |
| | | | | -383.60 | Total Realized profit/loss | |
| S&P 500 SPXW Sep 17 2021 4400.0 Put | SPXW 09/17/21 P 4400.000 | 09/20/21 | 1.00 | 67.61 | Realized profit/loss | |
| | | 09/20/21 | 1.00 | 17.52 | Realized profit/loss | |
| | | 09/20/21 | 1.00 | 12.52 | Realized profit/loss | |
| | | 09/20/21 | 1.00 | -27.48 | Realized profit/loss | |
| | | 09/20/21 | 1.00 | -47.39 | Realized profit/loss | |
| | | | | 22.78 | Total Realized profit/loss | |
| S&P 500 SPXW Sep 20 2021 4250.0 Put | SPXW 09/20/21 P 4250.000 | 09/21/21 | 1.00 | 7.70 | Realized profit/loss | |
| S&P 500 SPXW Sep 20 2021 4300.0 Put | SPXW 09/20/21 P 4300.000 | 09/21/21 | 1.00 | 52.52 | Realized profit/loss | |
| | | 09/21/21 | 1.00 | 42.52 | Realized profit/loss | |
| | | 09/21/21 | 15.00 | 37.76 | Realized profit/loss | |
| | | 09/21/21 | 1.00 | 27.52 | Realized profit/loss | |
| | | 09/21/21 | 1.00 | -2.48 | Realized profit/loss | |
| | | 09/21/21 | 1.00 | -77.39 | Realized profit/loss | |
| | | | | 80.45 | Total Realized profit/loss | |
| S&P 500 SPXW Sep 22 2021 4255.0 Put | SPXW 09/22/21 P 4255.000 | 09/22/21 | 2.00 | 95.04 | Realized profit/loss | |
| | | 09/22/21 | 3.00 | 82.55 | Realized profit/loss | |
| | | 09/22/21 | 1.00 | 47.52 | Realized profit/loss | |
| | | 09/22/21 | 7.00 | 17.62 | Realized profit/loss | |
| | | 09/22/21 | 1.00 | 12.52 | Realized profit/loss | |
| | | 09/22/21 | 3.00 | 7.56 | Realized profit/loss | |
| | | | | 262.81 | Total Realized profit/loss | |
| S&P 500 SPXW Sep 22 2021 4300.0 Put | SPXW 09/22/21 P 4300.000 | 09/23/21 | 6.00 | 45.10 | Realized profit/loss | |
| | | 09/23/21 | 1.00 | -32.48 | Realized profit/loss | |
| | | | | 12.62 | Total Realized profit/loss | |
| S&P 500 SPXW Sep 22 2021 4350.0 Put | SPXW 09/22/21 P 4350.000 | 09/23/21 | 1.00 | 87.61 | Realized profit/loss | |
| | | 09/23/21 | 1.00 | 87.61 | Realized profit/loss | |
| | | | | 175.22 | Total Realized profit/loss | |

TD Ameritrade Clearing, Inc.

Account 490597413

Section 1256 Contracts - Regulated Futures and Nonequity Options

2021

(continued)

01/20/2022

| Security description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|-------------------------------------|--------------------------|----------|----------|----------------|-----------------------------------|-------|
| S&P 500 SPXW Sep 24 2021 4400.0 Put | SPXW 09/24/21 P 4400.000 | 09/27/21 | 1.00 | 47.52 | Realized profit/loss | |
| | | 09/27/21 | 1.00 | 32.52 | Realized profit/loss | |
| | | 09/27/21 | 2.00 | 25.03 | Realized profit/loss | |
| | | | | 105.07 | Total Realized profit/loss | |
| S&P 500 SPXW Sep 29 2021 4300.0 Put | SPXW 09/29/21 P 4300.000 | 09/30/21 | 10.00 | 225.18 | Realized profit/loss | |
| | | 09/30/21 | 1.00 | 47.52 | Realized profit/loss | |
| | | 09/30/21 | 2.00 | 25.40 | Realized profit/loss | |
| | | 09/30/21 | 4.00 | 10.78 | Realized profit/loss | |
| | | 09/30/21 | 1.00 | 2.52 | Realized profit/loss | |
| | | 09/30/21 | 1.00 | -12.30 | Realized profit/loss | |
| | | 09/30/21 | 1.00 | -17.30 | Realized profit/loss | |
| | | 09/30/21 | 2.00 | -19.60 | Realized profit/loss | |
| | | 09/30/21 | 6.00 | -63.81 | Realized profit/loss | |
| | | 09/30/21 | 6.00 | -73.82 | Realized profit/loss | |
| | | 09/30/21 | 6.00 | -103.82 | Realized profit/loss | |
| | | 09/30/21 | 6.00 | -103.82 | Realized profit/loss | |
| | | | | -83.07 | Total Realized profit/loss | |
| S&P 500 SPXW Oct 01 2021 4250.0 Put | SPXW 10/01/21 P 4250.000 | 10/01/21 | 5.00 | -1,006.20 | Realized profit/loss | |
| | | 10/04/21 | 7.00 | 692.64 | Realized profit/loss | |
| | | 10/04/21 | 4.00 | 350.44 | Realized profit/loss | |
| | | 10/04/21 | 1.00 | 72.61 | Realized profit/loss | |
| | | 10/04/21 | 1.00 | 47.52 | Realized profit/loss | |
| | | 10/04/21 | 1.00 | 47.52 | Realized profit/loss | |
| | | 10/04/21 | 1.00 | 27.52 | Realized profit/loss | |
| | | | | 232.05 | Total Realized profit/loss | |
| S&P 500 SPXW Oct 04 2021 4250.0 Put | SPXW 10/04/21 P 4250.000 | 10/05/21 | 6.00 | 225.12 | Realized profit/loss | |
| | | 10/05/21 | 10.00 | -374.80 | Realized profit/loss | |
| | | 10/05/21 | 5.00 | -436.95 | Realized profit/loss | |
| | | | | -586.63 | Total Realized profit/loss | |
| S&P 500 SPXW Oct 06 2021 4200.0 Put | SPXW 10/06/21 P 4200.000 | 10/06/21 | 11.00 | -2,126.29 | Realized profit/loss | |
| S&P 500 SPXW Oct 20 2021 4500.0 Put | SPXW 10/20/21 P 4500.000 | 10/21/21 | 5.00 | -86.50 | Realized profit/loss | |

TD Ameritrade Clearing, Inc.

Account 490597413

Section 1256 Contracts - Regulated Futures and Nonequity Options

2021

(continued)

01/20/2022

| Security description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|-------------------------------------|--------------------------|----------|----------|----------------|-----------------------------------|-------|
| S&P 500 SPXW Oct 20 2021 4520.0 Put | SPXW 10/20/21 P 4520.000 | 10/21/21 | 1.00 | 77.52 | Realized profit/loss | |
| | | 10/21/21 | 1.00 | 67.52 | Realized profit/loss | |
| | | 10/21/21 | 5.00 | 62.60 | Realized profit/loss | |
| | | 10/21/21 | 2.00 | 55.04 | Realized profit/loss | |
| | | 10/21/21 | 1.00 | 47.52 | Realized profit/loss | |
| | | | | 310.20 | Total Realized profit/loss | |
| S&P 500 SPXW Oct 27 2021 4550.0 Put | SPXW 10/27/21 P 4550.000 | 10/28/21 | 8.00 | 81.60 | Realized profit/loss | |
| | | 10/28/21 | 1.00 | 52.52 | Realized profit/loss | |
| | | 10/28/21 | 2.00 | 45.04 | Realized profit/loss | |
| | | 10/28/21 | 1.00 | 42.52 | Realized profit/loss | |
| | | 10/28/21 | 2.00 | -44.78 | Realized profit/loss | |
| | | 10/28/21 | 2.00 | -54.78 | Realized profit/loss | |
| | | 10/28/21 | 4.00 | -64.20 | Realized profit/loss | |
| | | 10/28/21 | 3.00 | -81.90 | Realized profit/loss | |
| | | | | -23.98 | Total Realized profit/loss | |
| S&P 500 SPXW Oct 29 2021 4520.0 Put | SPXW 10/29/21 P 4520.000 | 10/29/21 | 9.00 | -367.32 | Realized profit/loss | |
| S&P 500 SPXW Nov 01 2021 4580.0 Put | SPXW 11/01/21 P 4580.000 | 11/02/21 | 14.00 | 225.30 | Realized profit/loss | |
| | | 11/02/21 | 1.00 | 12.52 | Realized profit/loss | |
| | | 11/02/21 | 1.00 | 7.52 | Realized profit/loss | |
| | | 11/02/21 | 1.00 | 2.52 | Realized profit/loss | |
| | | 11/02/21 | 7.00 | -51.09 | Realized profit/loss | |
| | | 11/02/21 | 13.00 | -94.88 | Realized profit/loss | |
| | | | | 101.89 | Total Realized profit/loss | |
| S&P 500 SPXW Nov 01 2021 4590.0 Put | SPXW 11/01/21 P 4590.000 | 11/02/21 | 23.00 | -267.87 | Realized profit/loss | |
| S&P 500 SPXW Nov 03 2021 4570.0 Put | SPXW 11/03/21 P 4570.000 | 11/03/21 | 1.00 | -32.48 | Realized profit/loss | |
| | | 11/03/21 | 1.00 | -42.48 | Realized profit/loss | |
| | | 11/03/21 | 1.00 | -47.48 | Realized profit/loss | |
| | | | | -122.44 | Total Realized profit/loss | |
| S&P 500 SPXW Nov 03 2021 4580.0 Put | SPXW 11/03/21 P 4580.000 | 11/04/21 | 1.00 | -7.48 | Realized profit/loss | |
| | | 11/04/21 | 1.00 | -17.48 | Realized profit/loss | |
| | | 11/04/21 | 1.00 | -22.48 | Realized profit/loss | |
| | | | | -47.44 | Total Realized profit/loss | |

TD Ameritrade Clearing, Inc.

Account 490597413

Section 1256 Contracts - Regulated Futures and Nonequity Options

2021

(continued)

01/20/2022

| Security description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|-------------------------------------|--------------------------|----------|----------|----------------|-----------------------------------|-------|
| S&P 500 SPXW Nov 08 2021 4675.0 Put | SPXW 11/08/21 P 4675.000 | 11/09/21 | 2.00 | 35.40 | Realized profit/loss | |
| | | 11/09/21 | 1.00 | -2.30 | Realized profit/loss | |
| | | 11/09/21 | 1.00 | -7.30 | Realized profit/loss | |
| | | 11/09/21 | 1.00 | -7.30 | Realized profit/loss | |
| | | 11/09/21 | 3.00 | -11.90 | Realized profit/loss | |
| | | 11/09/21 | 1.00 | -12.30 | Realized profit/loss | |
| | | 11/09/21 | 2.00 | -64.60 | Realized profit/loss | |
| | | 11/09/21 | 6.00 | -133.79 | Realized profit/loss | |
| | | | | -204.09 | Total Realized profit/loss | |
| S&P 500 SPXW Dec 01 2021 4530.0 Put | SPXW 12/01/21 P 4530.000 | 12/02/21 | 1.00 | 52.52 | Realized profit/loss | |
| S&P 500 SPXW Dec 01 2021 4600.0 Put | SPXW 12/01/21 P 4600.000 | 12/02/21 | 1.00 | 527.52 | Realized profit/loss | |
| | | 12/02/21 | 1.00 | 47.52 | Realized profit/loss | |
| | | 12/02/21 | 1.00 | 22.52 | Realized profit/loss | |
| | | | | 597.56 | Total Realized profit/loss | |
| S&P 500 SPXW Dec 03 2021 4400.0 Put | SPXW 12/03/21 P 4400.000 | 12/03/21 | 1.00 | 57.52 | Realized profit/loss | |
| | | 12/03/21 | 1.00 | -2.48 | Realized profit/loss | |
| | | 12/03/21 | 1.00 | -62.48 | Realized profit/loss | |
| | | | | -7.44 | Total Realized profit/loss | |
| S&P 500 SPXW Dec 03 2021 4450.0 Put | SPXW 12/03/21 P 4450.000 | 12/03/21 | 1.00 | -266.24 | Realized profit/loss | |
| | | 12/06/21 | 1.00 | 57.52 | Realized profit/loss | |
| | | 12/06/21 | 1.00 | 37.52 | Realized profit/loss | |
| | | 12/06/21 | 1.00 | 7.52 | Realized profit/loss | |
| | | | | -163.68 | Total Realized profit/loss | |
| S&P 500 SPXW Dec 08 2021 4500.0 Put | SPXW 12/08/21 P 4500.000 | 12/08/21 | 1.00 | -81.15 | Realized profit/loss | |
| S&P 500 SPXW Dec 08 2021 4620.0 Put | SPXW 12/08/21 P 4620.000 | 12/08/21 | 1.00 | 17.52 | Realized profit/loss | |
| S&P 500 SPXW Dec 10 2021 4620.0 Put | SPXW 12/10/21 P 4620.000 | 12/10/21 | 1.00 | -132.48 | Realized profit/loss | |

TD Ameritrade Clearing, Inc.

Account 490597413

Section 1256 Contracts - Regulated Futures and Nonequity Options

2021

(continued)

01/20/2022

| Security description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|-------------------------------------|--------------------------|----------|----------|-----------|---|-------|
| S&P 500 SPXW Dec 10 2021 4650.0 Put | SPXW 12/10/21 P 4650.000 | 12/10/21 | 1.00 | -161.24 | Realized profit/loss | |
| | | 12/13/21 | 1.00 | 302.61 | Realized profit/loss | |
| | | 12/13/21 | 1.00 | 87.52 | Realized profit/loss | |
| | | 12/13/21 | 1.00 | 67.52 | Realized profit/loss | |
| | | 12/13/21 | 1.00 | 47.52 | Realized profit/loss | |
| | | 12/13/21 | 1.00 | 47.52 | Realized profit/loss | |
| | | 12/13/21 | 1.00 | 2.52 | Realized profit/loss | |
| | | 12/13/21 | 1.00 | -7.48 | Realized profit/loss | |
| | | | | 386.49 | Total Realized profit/loss | |
| S&P 500 SPXW Dec 13 2021 4650.0 Put | SPXW 12/13/21 P 4650.000 | 12/13/21 | 16.00 | -1,238.85 | Realized profit/loss | |
| | | 12/14/21 | 2.00 | 175.22 | Realized profit/loss | |
| | | | | -1,063.63 | Total Realized profit/loss | |
| | | | | -6,548.20 | Total Realized profit/loss | |
| | | | | -6,548.20 | Total Aggregate profit or (loss) on contracts | |

TD Ameritrade Clearing, Inc.

Account 490597413

Fees and Expenses**2021**

01/20/2022

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

| Description | CUSIP and/or symbol | Date | Amount | Transaction type | Notes |
|------------------|---------------------|----------|--------------|-----------------------------------|-------|
| Interest Charged | | 03/31/21 | -0.04 | Margin interest paid | |
| | | | -0.04 | Total Margin interest paid | |

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)

Line 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

Line 9. Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2020.

Line 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2021 in 2022.

Line 11. Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.

This page intentionally left blank.