

# Approximation Algorithms Lecture 11

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## 1 Recap

Load balancing PTAS. Read the book to get the correct proof.

## 2 Content

### 2.1 Fully polynomial time approximation scheme (FPTAS) for knapsack problem

There is a knapsack. You're given  $n$  objects, the  $i^{th}$  object has weight  $w_i$  and value  $p_i$ , both in  $\mathbb{R}^+$ .

The knapsack can carry a weight of  $W$ . Pick a subset of objects of weight at most  $w$  and having maximum value.

Suppose the weights are real numbers and the values are positive integers.

Dynamic programming:  $dp(i, j)$  is the minimum weight of a subset of  $\{1, \dots, i\}$  which has a profit of  $j$ .

Then we have  $dp(i, j) = \min\{dp(i-1, j), w_i + dp(i-1, j - p_i)\}$ . The time required is  $O(nP)$ , which is not polynomial time in the input, since the size of input is  $O(n \log W_{max} + n \log P_{max})$ , and  $P$  is the total profit, which is at least  $P_{max}$ .

Now our aim is to get a polynomial time approximation. So we will build a  $(1 + \varepsilon)$  approximation that has running time polynomial in the input length and  $\frac{1}{\varepsilon}$ .

Idea: Scale profits by  $k$ , which will be determined later. Then  $p'_i = \lfloor \frac{p_i}{k} \rfloor$ . So with the scaled profits, the running time becomes  $O(nP/k)$ .

How about the profit of the solution obtained by this method? How does it compare to **OPT**?

Let  $I'$  be the set of objects picked by this algorithm, with profit function  $p'$ , and let  $I$  be the set of objects picked in the optimal solution, with profit function  $p$ .

We have  $profit(I') = \sum_{i \in I'} p_i \geq k \sum_{i \in I'} p'_i \geq k \sum_{i \in I} p'_i > k \sum_{i \in I} \frac{p_i}{k} - 1 = \sum_{i \in I} p_i - k|I| = \mathbf{OPT} - k|I| \geq \mathbf{OPT} - kn$ .

So we want  $kn < \varepsilon \mathbf{OPT}$ , i.e.,  $k \leq \frac{\varepsilon \mathbf{OPT}}{n}$ .

Set  $k = \frac{\varepsilon P}{n}$ . Note that  $P \leq \mathbf{OPT}$ .

The running time is polynomial in  $n, 1/\varepsilon$ . These are called fully polynomial time approximation schemes.

#### Theorem 1

There can be no PTAS for bin packing.

*Proof.* Given a bin-packing instance, it is NP-hard to determine if the objects can be packed in two bins. This is by showing a reduction to the subset sum problem.

Now suppose we have a PTAS for bin packing. Then we claim that we can solve this problem.

This inequality is probably reversed

Consider the PTAS for bin packing. Find an approximation of  $\varepsilon < 1/2$  using the PTAS. If the PTAS for bin packing has 2, then yes, else no. This solves the subset sum problem.  $\square$

We will next look at asymptotic PTAS.