

# COL352 Lecture 19

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## 1 Recap

Grammar  $\implies$  PDA completed.

More precisely, we showed in the last to last lecture that  $L$  is recognized by a fast PDA  $\iff L$  is recognized by a PDA, and in the last lecture that  $L$  is recognized by a fast PDA if  $L$  is generated by a grammar.

## 2 Definitions

### Definition 1

A (non-deterministic) pushdown automaton ((N)PDA) is a 6-tuple  $(Q, \Sigma, \Gamma, \Delta, q_0, A)$  where

1.  $Q$  – finite nonempty set of states
2.  $\Sigma$  – finite nonempty input alphabet
3.  $\Gamma$  – finite stack alphabet
4.  $q_0 \in Q$  – initial state
5.  $A$  – set of accepting states
6.  $\Delta \subseteq Q \times \Sigma_\epsilon \times \Gamma_\epsilon \times Q \times \Gamma_\epsilon$ , where  $X_\epsilon$  is defined as  $X \cup \{\epsilon\}$

Note that in an NFA,  $\Delta \subseteq Q \times (\Sigma \cup \{\epsilon\}) \times Q$ .

### Definition 2

Let  $P = (Q, \Sigma, \Gamma, \Delta, q_0, A)$  be a PDA. An instantaneous description (i.d.) of  $P$  is a tuple  $(q, x, \alpha)$  where  $q \in Q$ ,  $x \in \Sigma^*$ ,  $\alpha \in \Gamma^*$ . (The set of instantaneous descriptions is  $Q \times \Sigma^* \times \Gamma^*$ ).

### Definition 3

Let  $P = (Q, \Sigma, \Gamma, \Delta, q_0, A)$  be a PDA. The relation  $\vdash_P$  (read as “changes to”) is defined on the set of i.d.s as follows:

If  $(q, a, B, q', B') \in \Delta$ , then  $(q, ax, B\alpha) \vdash_P (q', x, B'\alpha)$ , and no other pairs of i.d.s are related.

In other words:

$$(q, y, \beta) \vdash_P (q', y', \beta') \iff \exists a \in \Sigma_\epsilon, B \in \Gamma_\epsilon, \alpha \in \Gamma^*, B' \in \Gamma_\epsilon \text{ such that } y = ay', \beta = B\alpha, \beta' = B'\alpha, (q, a, B, q', B') \in \Delta$$

### Definition 4

$\vdash_P^*$  is defined as the reflexive transitive closure of  $\vdash$  (read as “changes to in finitely many steps”).

**Definition 5**

$x \in \Sigma^*$  is said to be accepted by PDA  $P = (Q, \Sigma^*, \Gamma, \Delta, q_0, A)$  iff

$$(q_0, x, \epsilon) \vdash_P^* (q, \epsilon, \alpha)$$

for some  $q \in A$  and some  $\alpha \in \Gamma^*$ .

**Definition 6**

The language recognized by PDA  $P$  denoted by  $\mathcal{L}(P)$  is  $\{x \in \Sigma^* \mid P \text{ accepts } x\}$ .

**Definition 7**

We define a simple PDA  $P$  to be a PDA such that

1.  $\Delta = \Delta_{push} \uplus \Delta_{pop}$ , where
  - (a)  $\Delta_{push}$  contains transitions  $(q, a, \epsilon, q', B)$  where  $q, q' \in Q, a \in \Sigma_\epsilon, B \in \Gamma$  (i.e., not allowed to pop, must push), and
  - (b)  $\Delta_{pop}$  contains transitions  $(q, a, B, q', \epsilon)$  where  $q, q' \in Q, a \in \Sigma_\epsilon, B \in \Gamma$  (i.e., must pop, not allowed to push).
2.  $|A| = 1$ , i.e., unique accepting state.
3. If  $x$  is accepted, then  $x$  is accepted with an empty stack, i.e.,  $(q_{init}, x, \epsilon) \vdash^* (q_{acc}, \epsilon, \alpha)$  for some  $\alpha \in \Sigma^*$  iff  $q_{init}, x, \epsilon) \vdash^* (q_{acc}, \epsilon, \epsilon)$ .

### 3 Content

Now we need the following: If  $L$  is recognized by a PDA,  $L$  is generated by a grammar.

We shall define something called a simple PDA, and show that it is as powerful as a PDA.

Recall that PDA  $P = (Q, \Sigma, \Gamma, \Delta, q_{init}, A)$  where  $q_{init} \in Q, A \subseteq Q, \Delta \subseteq Q \times \Sigma_\epsilon \times \Gamma_\epsilon \times Q \times \Gamma_\epsilon$ .

A simple PDA is defined as in the previous section.

**Claim 0.1**

$L$  is recognized by a PDA iff  $L$  is recognized by a simple PDA.

*Proof.*  $\Leftarrow$  is trivial. We'll look at the other direction.

Suppose  $L$  is recognized by PDA  $P = (Q, \Sigma, \Gamma, \Delta, q_{init}, A)$ .

To ensure condition 2, add a new state  $q_{acc}$  to  $Q$ , make it the unique accepting state, and add transitions  $(q, \epsilon, \epsilon, q_{acc}, \epsilon)$  for each  $q \in A$ .

To ensure condition 3, add transitions  $(q_{acc}, \epsilon, B, q_{acc}, \epsilon) \forall B \in \Gamma$ .

To ensure condition 1, we need to break each transition that pushes as well as pops into two, i.e., replace the transition  $(q, a, B, q', C)$  where  $a \in \Sigma_\epsilon, B, C \in \Gamma$  by  $(q, a, B, q'', \epsilon)$  and  $(q'', \epsilon, C, q', \epsilon)$ , and replace  $(q, a, \epsilon, q', \epsilon)$  with  $(q, a, \epsilon, q'', \$)$  and  $(q'', \epsilon, \$, q', \epsilon)$ .

$P' = (Q \uplus \{q_{acc}\} \uplus \text{intermediate states}, \Sigma, \Gamma \uplus \{\$, \epsilon\}, q_{init}, \{q_{acc}\})$ . □

Now our goal shall be the following.

**Question 1**

Given simple PDA  $P = (Q, \Sigma, \Gamma, \Delta, q_{init}, \{q_{acc}\})$ ,  $\Delta = \Delta_{push} \uplus \Delta_{pop}$ , construct a grammar  $G = (N, \Sigma, R, S)$  such that

$$\forall x \in \Sigma^* : ((q_{init}, x, \epsilon) \vdash^* (q_{acc}, \epsilon, \epsilon) \iff S \xRightarrow{*} x)$$

**Note 1**

Idea:  $N = \{V_{qq'} \mid (q, q') \in Q \times Q\}$ .  $R$  should ensure that  $V_{qq'} \xRightarrow{*} x \iff (q, x, \epsilon) \vdash^* (q', \epsilon, \epsilon)$  (i.e.,  $\exists$  a run of  $P$  on  $x$  starting from  $q$  with empty stack and ending in  $q'$  with empty stack).

We also set  $S$  to  $v_{q_{init}q_{acc}}$ .

The derivation should be something like  $(q, x, \epsilon) \vdash \_ \vdash \_ \cdots \vdash \_ \vdash (q', \epsilon, \epsilon)$ .

Is there an intermediate instantaneous description in which the stack is empty? If there is, then in the first part of the run, we have read off some prefix of  $x$ , and in the second part of the run, we have read off the remaining suffix of  $x$ , say  $x = x_1x_2$ . We hope that  $V_{qr} \xRightarrow{*} x_1$  and  $V_{rq'} \xRightarrow{*} x_2$ .

We want  $V_{qq'} \xRightarrow{*} x_1x_2$ , so we add the rule  $V_{qq'} \rightarrow V_{qr}V_{rq'}$  to  $R$ , which will give us  $V_{qq'} \implies V_{qr}V_{rq'} \xRightarrow{*} x_1x_2 = x$ .

Now suppose the answer is no. Then the first transition must be a push transition and the last must be a pop transition, i.e., we go from  $(q, x, \epsilon)$  to  $(r, \_, B)$  where  $(q, a, \epsilon, r, B) \in \Delta$  where  $a = \epsilon$  or  $x[1]$ , and from  $(r', \_, B')$  to  $(q', \epsilon, \epsilon)$  where  $(r', b, B', q, \epsilon) \in \Delta$  where  $b = \epsilon$  or  $x[n]$ . Note that the stack has never been empty, so  $B' = B$  (look at it from the perspective of the evolution of the stack over time).