

Substitute W-9 Form included.



Please submit a new form for each account and company of stock you are transferring.

1. Company Name of Stock Held

Company Name

2. AST Account Number

AST Account Number

3. Current Stock Holder(s)

Current Stock Holder Name(s)

4. Transfer Reason

TRANSFER TYPE (select one only)	DATE OF GIFT/DEATH/SALE (MM/DD/YYYY)						COST BASIS PER SHARE				
<input type="checkbox"/> GIFT		/		/						.	USD
<input type="checkbox"/> DEATH		/		/						.	USD
<input type="checkbox"/> PRIVATE SALE		/		/						.	USD
<input type="checkbox"/> NO CHANGE OF OWNERSHIP	Please Specify										

5. New Stock Holder

New Stock Holder Name(s)

6. Contact Information

Email Address

			-				-				
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Daytime Phone Number

7. Address

Street Address/PO Box

Apt/Unit Number

City

State

Zip Code

Country

8. Total Shares to be Transferred

TOTAL NUMBER OF SHARES BEING TRANSFERRED							.	
If you desire to transfer specific shares, please indicate here in addition to stating the total number of shares to be transferred.	DRIP						.	
	BOOK						.	
	CERTIFICATE						.	

Form is invalid if original stock certificate is not submitted. For lost certificates, please see Question 6 in the FAQ section.

9. Reinvest Dividends (if applicable)

☐ YES ☐ NO

If checking YES, I have read and fully understand the terms and conditions of the Plan.

TRANSFER OF OWNERSHIP FORM

Substitute W-9 Form included.



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REQUIRED SIGNATURES

10. A. Signatures of ALL current stock holders or legal representatives

State the legal capacity of the signee unless the signee is the current stock holder.

If the current owner is not signing the Transfer of Ownership form, the legal representative signing on their behalf must state next to their signature the capacity in which they are authorized to sign. Please see Question 3 in the FAQ section for the acceptable forms of Legal Representation.

I do hereby irrevocably constitute and appoint American Stock Transfer & Trust Company, LLC (AST), attorney to transfer said stock on the books of the corporation with full power of substitution in the premises.

Capacity of Legal Representative

Signature(s)

MM/DD/YYYY

10. B. Medallion Signature Guarantee

All signatures must be Medallion Signature Guarantee provided by an eligible Guarantor or Institution OR a Medallion Signature Guarantee Waiver may be obtained for transfers of \$10,000 or less (see page 7 of this package for more details). No other form of signature verification, including notary seal, can be accepted. Medallions can be obtained through an eligible financial institution, such as a commercial bank, trust company, securities broker/dealer, credit union, or savings institution participating in the Medallion Signature Guarantee program.

PLACE STAMP HERE

SUBSTITUTE W-9 FORM

11. Federal Tax Classification

If stock holder is not a U.S. citizen, refer to <https://www.astfinancial.com/knowledge-center/forms> for a W-8 Form. Select the tax classification of the new stock holder.

☐ INDIVIDUAL/SOLE PROPRIETOR

☐ LIMITED LIABILITY COMPANY
(C = C Corporation, S = S Corporation, P = Partnership)

☐ Enter the Tax Classification

☐ S CORPORATION

☐ EXEMPT PAYEE
Exempt payee code or Exemption from FATCA reporting code. Codes apply only to certain entities not individuals.

Enter Code

☐ C CORPORATION

☐ OTHER
Enter Classification

☐ PARTNERSHIP

☐ TRUST/ESTATE

12. Taxpayer Identification Number (TIN)

Enter the TIN of the new stock holder in the box. The TIN provided must match the new stock holder's name to avoid backup withholding. For individuals, this is your Social Security Number (SSN). For other entities, this is your Employer Identification Number (EIN).

Enter TIN

13. Certification

Under penalties of perjury, I certify that: (1) The number shown on this form is my correct Taxpayer Identification Number (or I am waiting for a number to be issued to me); and (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and (3) I am a U.S. citizen or other U.S. person; and (4) The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions: You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and, generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN.

Signature(s)

MM/DD/YYYY

The new owner must sign the Substitute W-9 Form. Failure to complete this form will result in backup withholdings per IRS regulations. If the new account is to be registered to Joint Tenants, use the Taxpayer Identification Number (TIN) of the first owner named on the account. If you are not a U.S. citizen or do not have a Social Security Number, please use the appropriate W-8 Form.

THIS IS THE END OF THE FORM