

## Lecture 2

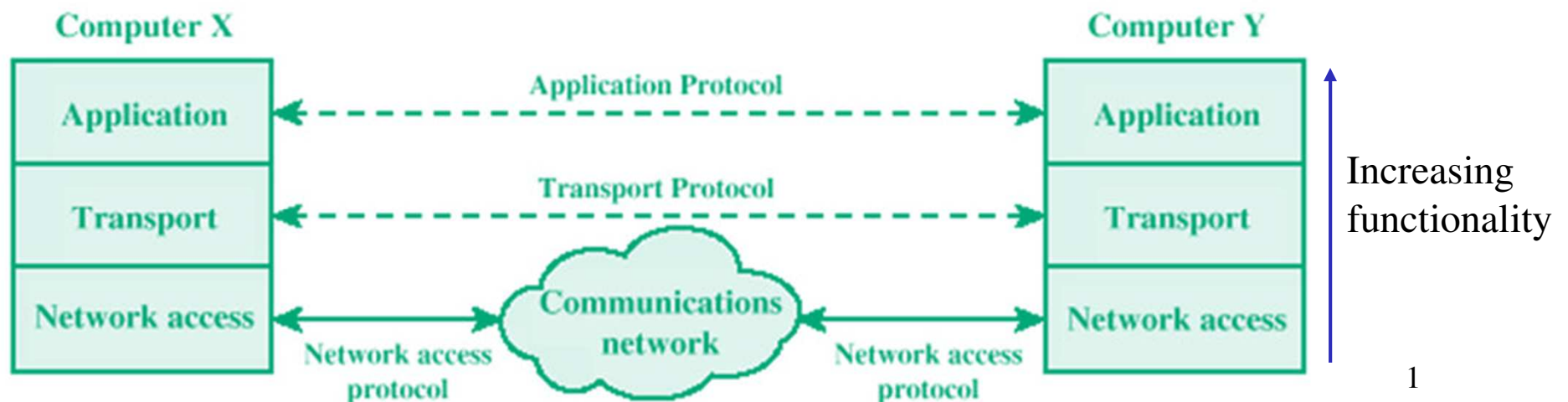
# Communications Protocols & Reference models

## Communications Protocol: General introduction

### Communications (network) Protocols

- set of agreed procedures & languages used in those networks
- usually specified in a hierarchy of layers
- high-level layers (carry specific applications)
  - give ability for 2 systems to exchange and understand information for some particular application
- low-level (data transfer)
  - how physical data transmission media is actually used independent of application

A simplified three layer model:



# Protocol Characteristics & Hierarchies

## Characteristics

Direct or indirect

Direct

- Systems share a point to point link or

- Systems share a multi-point link

- Data can pass without intervening active agent

Indirect

- Switched networks or

- Internetworks or internets

- Data transfer depend on other entities

## Monolithic or structured

Communications is a complex task, too complex for a single protocol unit

Structured design breaks down problem into smaller units, obtaining a layered structure

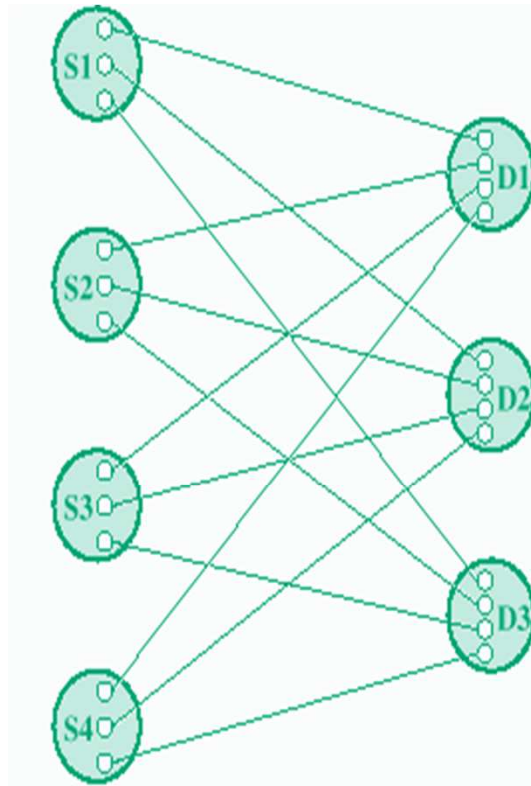
## Symmetric or asymmetric

### Symmetric

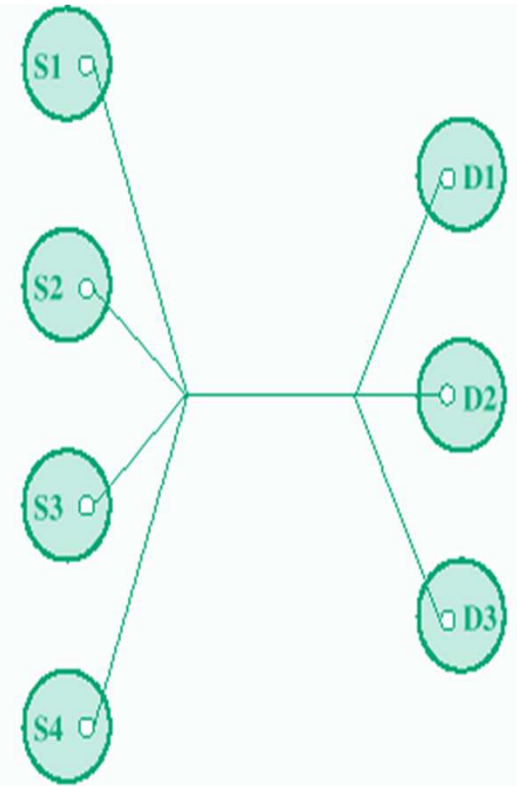
Communication between  
peer entities

### Asymmetric

Client/server



(a) Without standards: 12 different protocols;  
24 protocol implementations



(a) With standards: 1 protocol;  
7 implementations

## Standard or nonstandard

Nonstandard protocols built for specific computers and tasks

$K$  sources and  $L$  receivers leads to  $K \cdot L$  protocols and  $2 \cdot K \cdot L$  implementations

If common communications protocol used,  $K + L$  implementations needed (see figure above)

# Comms Protocols Main Functions (general introduction)

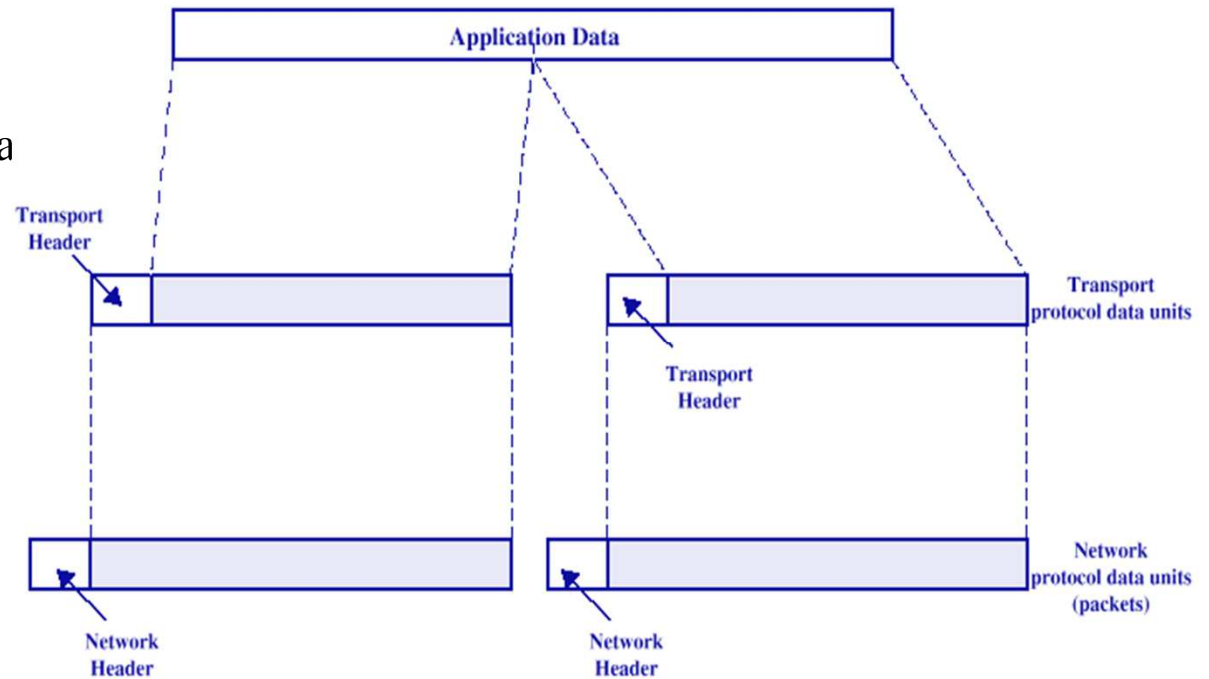
## Encapsulation

Add of **control** information to data

Address information

Error-detecting code

Protocol control



## Segmentation (fragmentation) and reassembly

Data blocks for one protocol are of bounded size

Application layer messages may be large; Network packets may be smaller

Splitting larger blocks into smaller ones is segmentation (or fragmentation in TCP/IP)

ATM blocks (cells) are 53 octets long, Ethernet blocks (frames) are up to 1526 octets long

## Use of checkpoints and restart/recovery

Allows for efficient control & resource use, but more overhead & processing time

## Connection control

Three phases:

Connection Establishment

Data transfer

Connection termination

Sequence numbers used for

Ordered delivery

Flow control

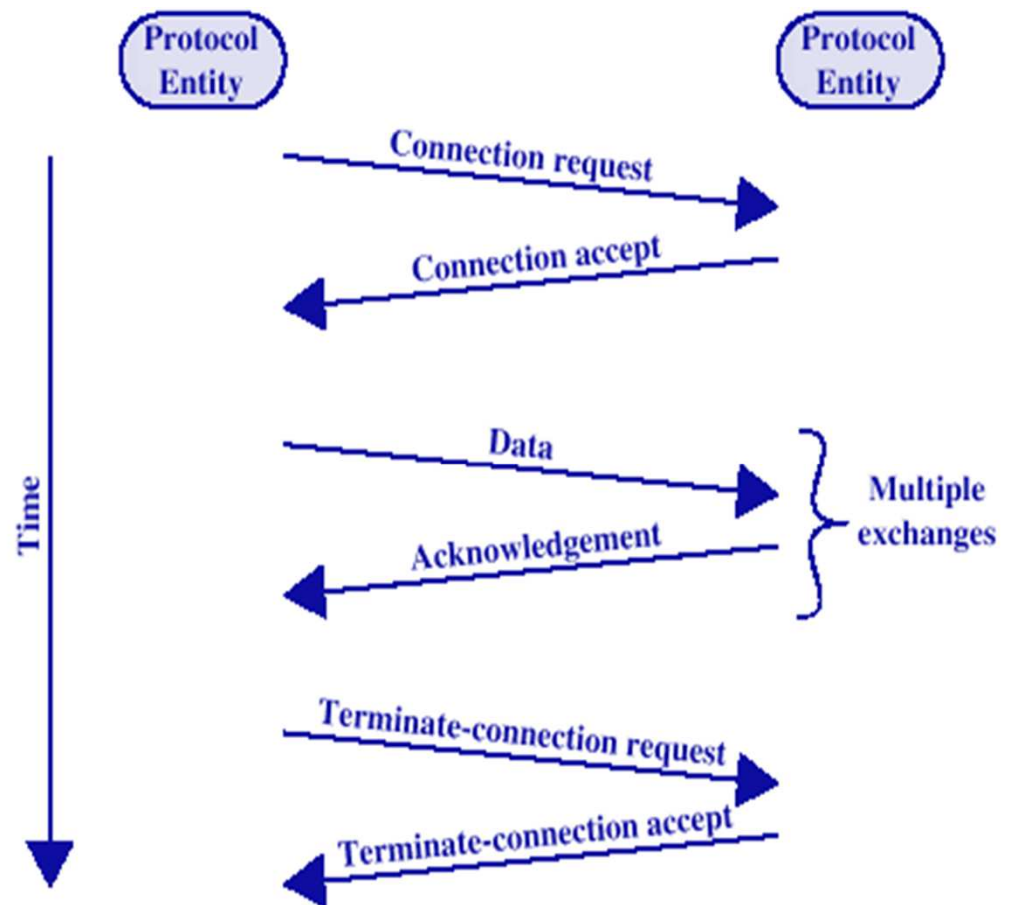
Error control

## Ordered delivery

For each protocol specific data (PDUs) may traverse different paths through network

PDUs may arrive out of order

Sequentially number PDUs to allow for ordering



## Flow control

Done by receiving entity: limits amount or rate of received data

- Stop and wait

- Credit systems

- Sliding window

## Error control

Guard against data loss or damage

Error detection

- Sender inserts error detecting bits

- Receiver checks these bits

- If OK, acknowledge

- If error, discard packet

Retransmission

- If no acknowledge in given time, re-transmit

Performed at various levels

## Multiplexing

Supporting multiple connections on one machine

Mapping of multiple connections at one level

to a single connection at another

Carrying a number of connections on  
one fiber optic cable

## Addressing

Addressing level

Level in architecture at which entity is named

Unique address for each computer and router

Network level address

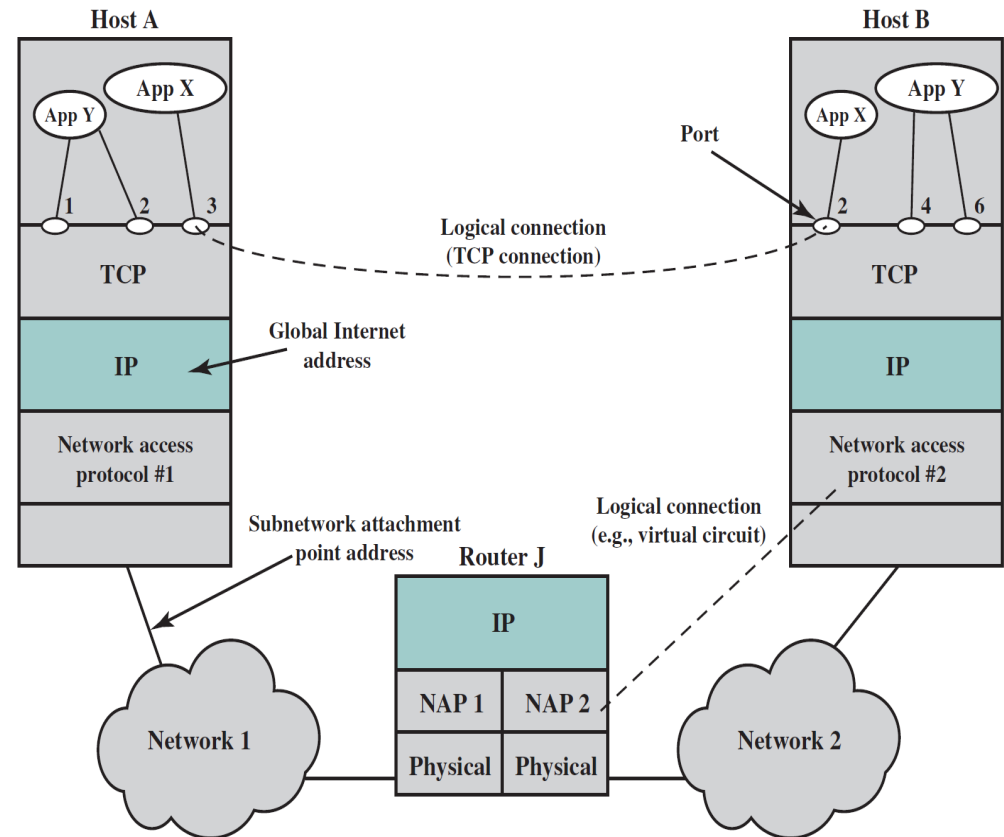
IP or internet address (TCP/IP)

OSI's Network service access point

Process within the system

Port number (TCP/IP)

Service access point or SAP (OSI) Addressing



## Addressing scope

### Global non-ambiguity

Global address identifies unique system

There is only one system with address X

### Global applicability

It is possible at any system (any address) to identify any other system (address) by the global address of the other system

Address X identifies that system from anywhere on the network

e.g. MAC address on IEEE 802 networks

## Connection identifiers

### Connection oriented data transfer (virtual circuits)

Allocate a connection name during the transfer phase

Reduced overhead as connection identifiers are shorter than global addresses

Routing may be fixed and identified by connection name

Entities may want multiple connections - multiplexing

State information



## Addressing modes

Usually an address refers to a single system

**Unicast address:** data sent to one machine or person

May address all entities within a domain

**Broadcast:** sent to all machines or users

May address a subset of the entities in a domain

**Multicast:** sent to some machines or a group of users

## Transmission services

Priority

e.g. control messages

Quality of service

Minimum acceptable throughput

Maximum acceptable delay

Security

Access restrictions

## **Comms Protocols Hierarchies (layered structure)**

- organised in layers
- higher layers use services of lower layers ( concepts of service user + service provider)
- each protocol layer adds value
- no similar functions in different layers
- highest layer service is exported to user
- layered organization means
  - o– cleaner operation
  - o– easier design & modification

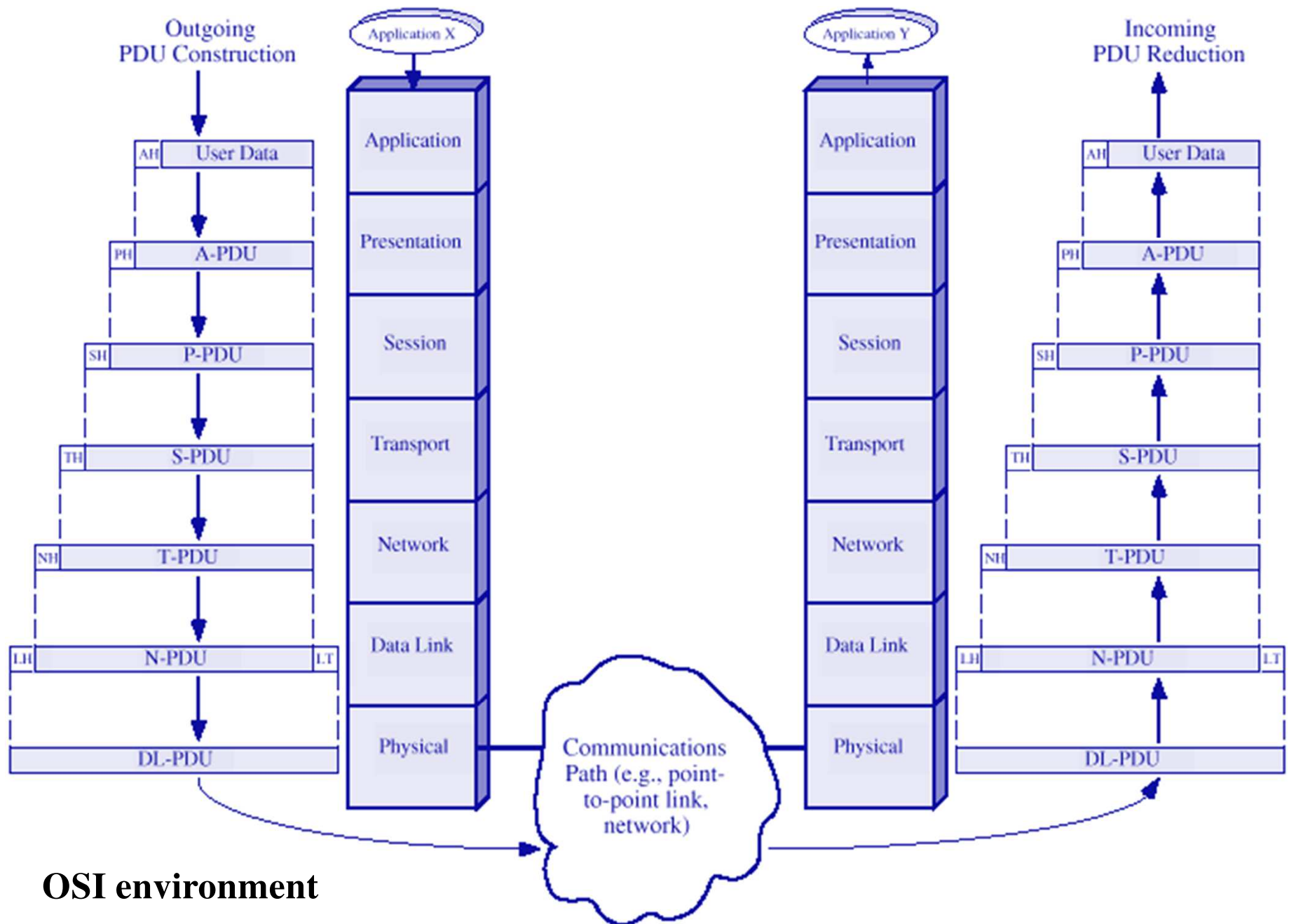
Number, name & function of layers differ from network to network (different protocol stacks)

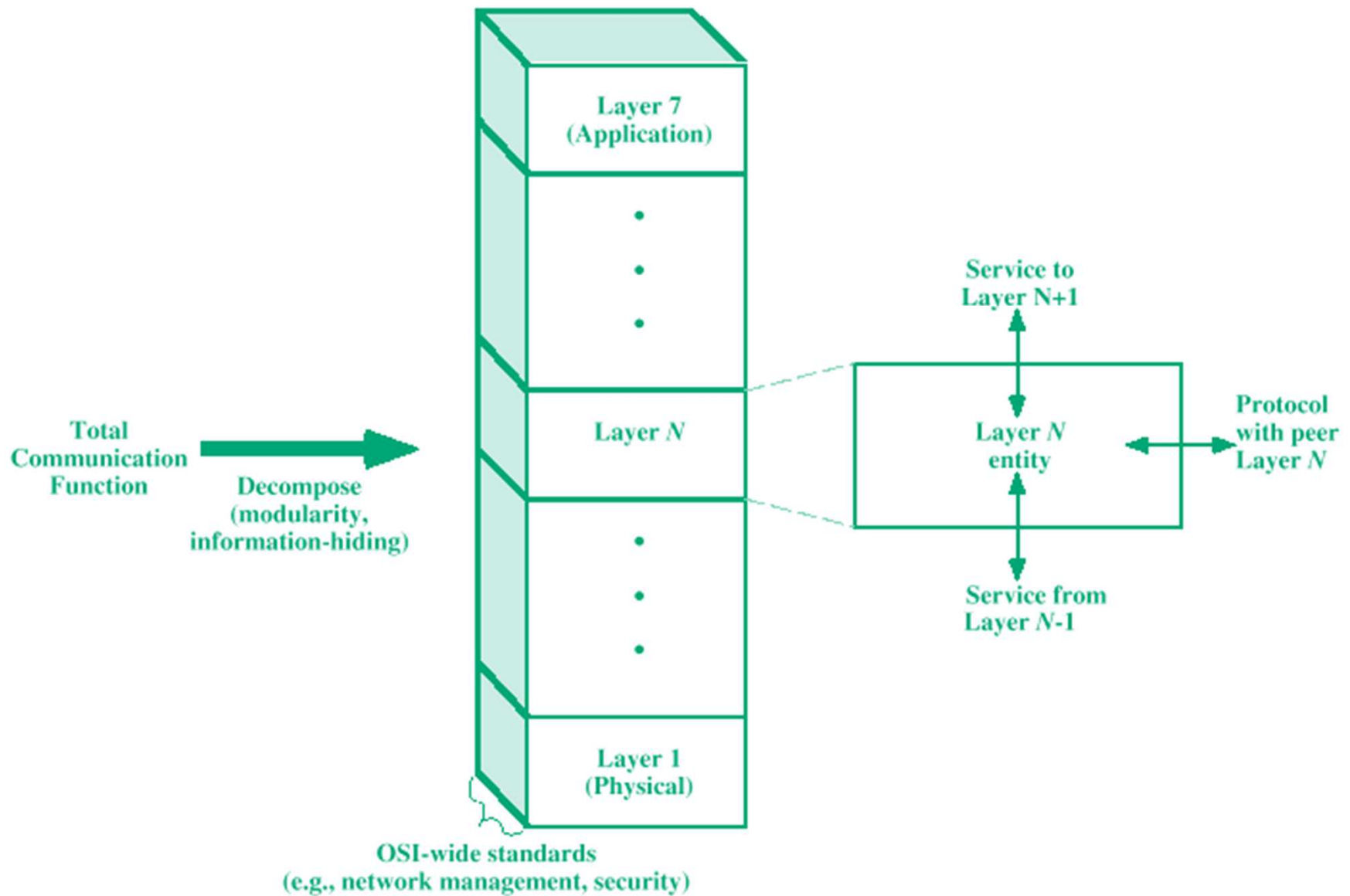
# OSI Reference Model

ISO Open Systems Interconnection Reference Model, ISO 7489

- a basic reference model
  - o– common basis for standards development
  - o– perspective on existing standards
  - o– specifies external behavior of systems, using **reference interfaces** – provide openness
- 7 layer model
- objective is to be a common base for any exchange of information
- physically info moves down - across - up
- logically each layer converses with peer
- each layer relies on the next lower layer to perform more primitive functions
- each layer provides services to the next higher layer
- changes in one layer should not require changes in other layers

(see next figure)





## OSI as framework for standardization

# Elements of Standardization

## Protocol specification

Operates between the same layer on two systems

May involve different operating system

Protocol specification must be precise

Format of data units

Semantics of all fields

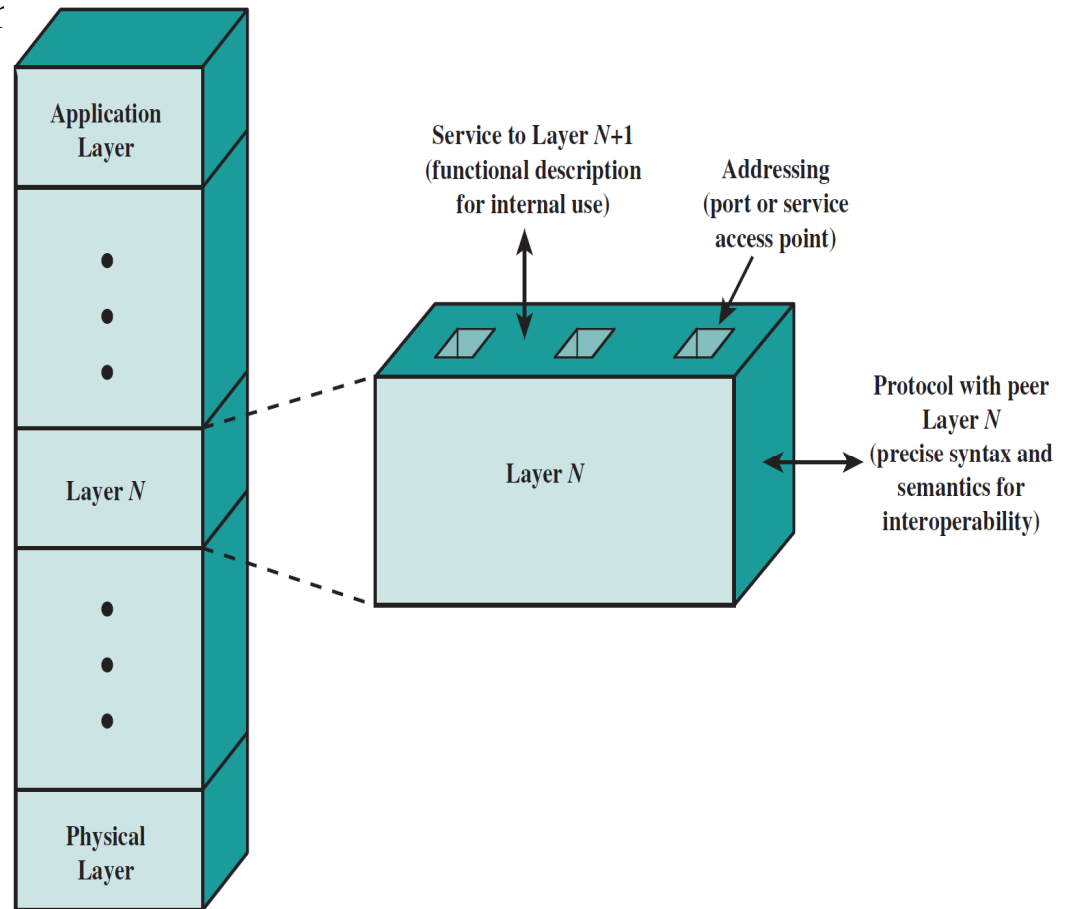
Allowable sequence of PDUs

## Service definition

Functional description of what is provided

## Addressing

Referenced by SAPs



## Physical Layer

- “access actual media”
- Describes media interface and use
  - o type of media
  - o physical connection
  - o how transmit & receive information
  - o bit synchronisation
  - o media dependent signals

## Data Link Layer

- “manage individual (data) links between systems”
- Direct data link management
  - o framing
  - o addressing
  - o sequencing & windowing
  - o error detection & correction
  - o access control
  - o link management
  - o node to node flow control

Aplicație/Application	7
Prezentare/Presentation	6
Sesiune/Session	5
Transport	4
Rețea/Network	3
Legătură de date/Data Link	2
Fizic/Physical	1

## Network Layer

- “manages networks of links”
- provides for info transfer over a network
  - o– addressing
  - o– message forwarding
  - o– routing
  - o– congestion control
  - o– flow control
  - o– billing & accounting
- similar functions to Data Link / Transport layers
  - segmentation, multiplexing, sequencing, error control

## Transport Layer

- “end to end data transfer”
- reliable, universal transport service
  - o– multiplexing
  - o– addressing
  - o– connection management
  - o– message segmentation
  - o– sequencing
  - o– error control
  - o end to end flow control

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## Session Layer

- “dialog control”
- manages logical communication sessions
  - o– dialog discipline (half vs full duplex)
  - o– grouping
  - o– checkpoint & recovery
  - o– resource management

Only used by some applications

## Presentation Layer

- “common format & language for messages”
- define format of data exchanged
  - o– data format transformation and security issues
    - code conversion
    - compression
    - encryption
    - screen formatting
  - o– protocol conversion
  - o– database management

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## Application Layer

- “application services & access mechanisms”
- defines interface for any applications
- defines network management functions
- defines specific general-purpose applications – VT, FTAM, X.400, X.500

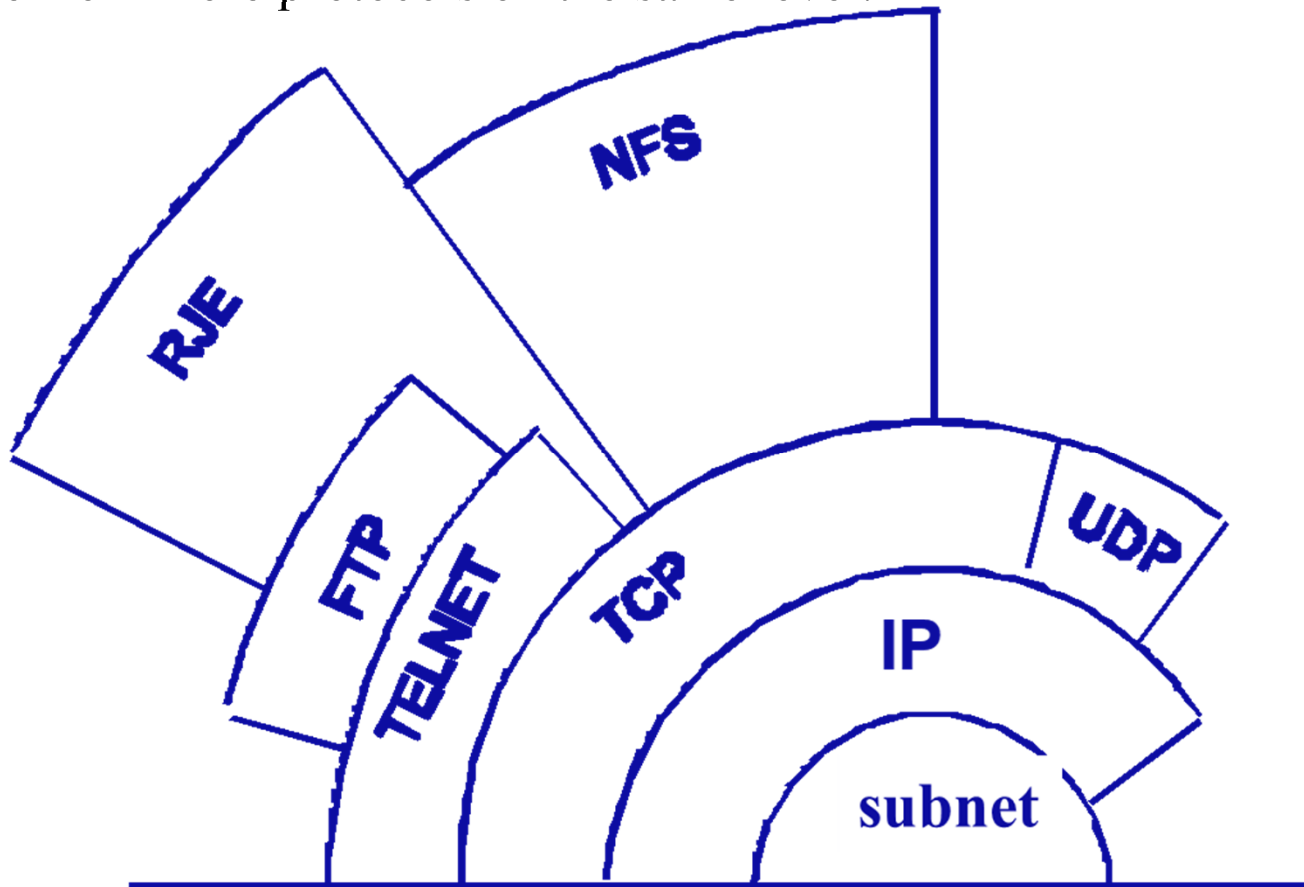
### It's a Reference Model , so:

- not all functions, not all layers, need be used in an application
- “layered models are a very good way to design network protocols, but a very poor way to implement them” Van Jacobsen
- in practice, often merge layer functions, see the three layer model
- are many different actual protocols in use
- but still a good reference model, excellent support for teaching

## TCP/IP Reference Model (DoD DARPA)

May be considered TCP/IP a reference model? Sure it is a model, the ‘de facto’ standard for today implementations! Used by the Internet

A hierarchy of levels; also communications between non-adjacent levels; can choose of one from more protocols on the same level.



# TCP/IP Protocol Architecture

## Application Layer

Communication between processes or applications

- remote access RLOGIN
- file transfer FTP, TFTP
- electronic mail SMTP
- information retrieval NIR
- network management SNMP

## End to end or transport layer (TCP/UDP/..)

End to end transfer of data

May include reliability mechanism (TCP)

## Internet Layer (IP)

Routing of data

Address resolution

Routing protocols

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Vasile Dadarlat --

Aplicație/ Application	7
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Aplicație/ Application	4
Transport	3
Rețea/ Network	2
Acces la rețea/ Network Access	1

## Subnet Level

Net access

Logical interface between end system and network

Physical access

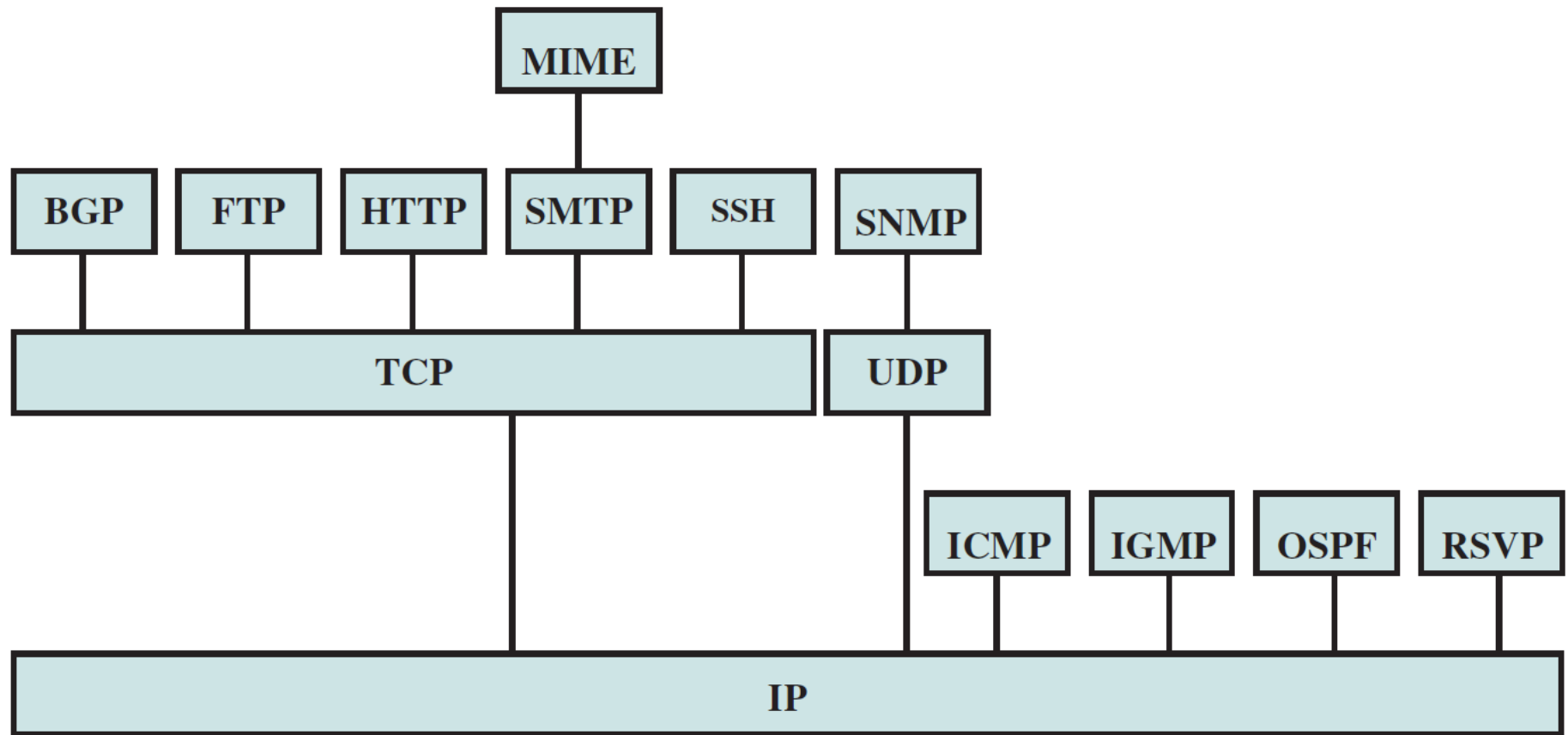
Transmission medium

Signal rate and encoding

Aplicație/ Application	7
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Acces la rețea/ Network Access	1

Some of the components of the TCP/IP protocol suite are depicted in next slide



BGP = Border Gateway Protocol  
FTP = File Transfer Protocol  
HTTP = Hypertext Transfer Protocol  
ICMP = Internet Control Message Protocol  
IGMP = Internet Group Management Protocol  
IP = Internet Protocol  
MIME = Multipurpose Internet Mail Extension

OSPF = Open Shortest Path First  
RSVP = Resource ReSerVation Protocol  
SMTP = Simple Mail Transfer Protocol  
SNMP = Simple Network Management Protocol  
SSH = Secure Shell  
TCP = Transmission Control Protocol  
UDP = User Datagram Protocol

# Comparison of the protocol hierarchies

