

# Generation of Complex Data for AI-based Predictive Maintenance Research with a Physical Factory Model

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**Abstract:** Manufacturing systems naturally contain plenty of sensors which produce data primarily used by the control software to detect relevant status information of the actuators. In addition, sensors are included in order to monitor the health status of specific components, which enable to detect certain known, frequently occurring faults or undesired states of the system. While the identification of a failure by using the data of a sensor dedicated explicitly to its detection is a rather straightforward machine learning application, the detection of failures which only have an indirect effect on the data produced by a couple of other sensors is much more challenging. Therefore, a combination of different methods from Artificial Intelligence, in particular, machine learning and knowledge-based (semantic) approaches is required to identify relevant patterns (or failure modes). However, there are currently no appropriate research environments and data sets available that can be used for this kind of research. In this paper, we propose an approach for the generation of predictive maintenance data by using a physical Fischertechnik model factory equipped with several sensors. Different ways of reproducing real failures using this model are presented as well as a general procedure for data generation.

## 1 INTRODUCTION

As part of the fourth industrial revolution, manufacturing systems are today equipped with various sensors and actuators with the aim of using data not only for control purposes but also for real-time decision making based on an intensive use of methods from Artificial Intelligence (AI) (Lee et al., 2014). An important field of service innovation is related to diagnosis and maintenance of manufacturing machines. For this purpose, manufacturing machines are equipped with various sensors, whose data enable to derive a comprehensive picture of the current state of each machine. Based on this data, occurring problems can be diagnosed and more importantly, upcoming problems can be predicted prior to their occurrence. In particular, predictive maintenance (PredM) aims at foreseeing a breakdown of the system to be maintained by detecting early signs of failure in order to make maintenance work more proactive (Selcuk, 2017).

For PredM to work, knowledge is required about characteristic data patterns (or failure modes) that are

indicators of specific faults that have occurred or that are likely to occur in the future. Due to the large number of potential faults as well as the large variety of production machinery and components used, it is not always possible to have dedicated sensors that produce well-known failure patterns for each possible fault. Instead, it is desirable to identify or to predict failures due to the indirect effect that is visible in the data recorded by sensors not specifically dedicated for this purpose. However, the manual identification of the respective sensors and the characteristic pattern is usually not feasible. Instead, machine learning (ML) can be used to automatically derive such patterns from available data. However, this task is quite challenging as it requires the analysis of various sensor streams and their interrelation together with a model of the manufacturing system that enables their appropriate interpretation. Research on combining ML with knowledge-based methods from AI is required for this task. This comes along with a variety of challenges, in particular related to the complexity and heterogeneity of data, the lack of labelled data, the need for transfer learning, as well as the necessity of explainable decision support.

A primary pre-requisite for this kind of research

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is the availability of complex sensor data which is related to a known manufacturing system. While plenty of data sets are available for research purposes in ML, there is a lack of data that can be used immediately for PredM applications. Also it is nearly impossible (at least for Universities) to get real data from industry due to the serious confidentiality issues involved. In this paper we therefore address the issue of obtaining data appropriate for advanced ML research in PredM and extend our previous work (Klein and Bergmann, 2018) by a comprehensive survey on available data sets as well as provide an example case. First, we present a brief overview of PredM and the involved research challenges for ML (Sect. 2). Then, we characterize the required data to address these challenges, analyze existing data sets as well as methods for the generation of new research data (Sect. 3). The main contribution of the paper is the presentation of an approach for the generation of PredM data based on a physical model of a specific production environment implemented based on a Fischertechnik (FT) model factory (Sect. 4). We further describe various ways for injecting faulty behavior in a defined process into the FT model factory, in order to collect the respective data that can be used to learn the related patterns for prediction. We describe the current state of realization as well as our planned future work (Sect. 5).

## 2 PREDICTIVE MAINTENANCE AND MACHINE LEARNING

### 2.1 Predictive Maintenance

Industrial maintenance involves all measures that are required to ensure or to re-establish the proper functioning of industrial machinery. The goal is to prevent the occurrence of failures that could lead to breakdowns or downtimes of machines or that could lead to safety concerns. Traditional, preventive maintenance involves the systematic inspection of machines following a fixed time schedule or a fixed mileage, which is based on the simplified assumption that failures mostly occur after a certain and known operating time or effort. However, failures often occur before the scheduled maintenance activity or that maintenance actions are performed although they are not yet necessary. Thus, PredM aims to perform maintenance actions only when they are really necessary, i.e., not too early and not too late. For companies, PredM has the advantage that maintenance costs can be reduced significantly by better utilization of capacities and by avoiding downtimes in manufacturing.

PredM is based on forecasting failures based on the current state captured by various sensors, such as vibration, temperature, humidity, or acoustic sensors. In addition, parameters characterizing the current state in the production process (e.g. position sensors or switches as well as the activity state of actuators) are relevant. Machines in real production environments may have hundreds of various sensors producing data streams with high frequency.

### 2.2 Machine Learning for Predictive Maintenance

The increasing number of sensor data streams makes manual monitoring and analysis impossible, which is why ML and especially deep learning are suitable for PredM data processing (Khan and Yairi, 2018; Zhao et al., 2016). They are mostly applied to the typical PredM tasks (Hegedűs et al., 2018) such as Remain Useful Life (RUL), Root Cause Analysis also referred to as Fault Diagnosis (FD), Fault Prediction (FP), and Maintenance Strategy Optimization (MSO). The prediction of RUL values for components is probably the most prominent application which is a regression task with multivariate time series as input, however, sometimes it is performed as a classification task in which the RUL values are discretized from larger ranges to classes. For instance, Babu et al. (Babu et al., 2016) applied a convolutional neural network for RUL prediction and Yuan et al. (Yuan et al., 2016) compare different recurrent neural network architectures for RUL and FD of an aircraft turbofan engine. Furthermore, FP is used to predict upcoming incorrect functioning which is not caused by wear, for instance, a future incorrect positioning of a robot arm in a manufacturing process or to predict defects on a production line (Zhang et al., 2016).

### 2.3 Research Challenges

While the identification of a failure by using the data of sensors specifically dedicated to its detection is a rather straightforward machine learning application, the detection of failures which only have an indirect effect on the data produced by a couple of other sensors is much more challenging. Due to the large overall number of sensors, knowledge of the production system (type of actuators, sensors and their interrelation) is additionally required to guide the generation of patterns by machine learning. It is difficult to determine the subset of relevant data streams for the detection of a failure as well as the time frame in which these data streams produce characteristic patterns that are an indication of this failure. Quite often

it is difficult to label correctly the occurrence of a certain failure, as maintenance protocols are usually the only source of information about when which failure has occurred. This leads to huge problems related to the data preparation prior to the use of ML algorithms. In addition, failures are usually the exception, which makes the data sets highly unbalanced. Although the overall volume of data is huge, the number of different failure modes for a certain type of failure is rather small. This leads to the need for transfer learning, in order to be able to transfer a learned failure model from one machine component to a different, but similar component. Finally, the ability to explain a certain prediction is also very important in PredM in order to enable a human operator to assess and verify an automatically proposed maintenance action.

### 3 RESEARCH DATA FOR MACHINE LEARNING IN PREDICTIVE MAINTENANCE

#### 3.1 Requirements on Research Data for Predictive Maintenance Research

For conducting advanced ML research for PredM it is necessary to have data available that is to some degree comparable to the data in industrial settings. Thus, data sets are necessary which are composed of various data streams with different characteristics (according to the type of sensors used in production systems) together with related data about the current status of the production component or process. For learning to predict failures, there must be data streams whose data is somehow directly or indirectly affected by the failure to be predicted. Also the data sets must be at least partially labelled with the respective fault to be predicted. Ideally, we need large data sets describing several instances of the same fault and data sets describing the same fault in various different but similar components to investigate transfer learning approaches.

#### 3.2 Existing Data Sets

A survey conducted by (Eker et al., 2012) benchmarked six common run-to-failure data sets for their application to data-driven prognostics and found only two of them to be applicable. Since then, further data sets have been provided with the primary sources are the NASA Prognostics Data Repository<sup>1</sup> with 16 data

sets, as well as a collection of 12 data sets from previously organized data competitions by the Prognostic and Health Management Society<sup>2</sup> (PHMS).

The focus of the 16 NASA prognostic data sets is on aerospace, with data being provided on topics including material fatigue, turbofans degradation, trajectories of balls and battery lifecycles, as well as data on fundamental components that can be found in a variety of industrial machines, such as ball bearings and electronic parts, as well as a milling machine. The PHMS data challenges' objects of investigation include individual components as well as complex machines. The first competition in 2008 was based on NASA's simulation model for turbofan degradation, and the 2012 bearing fault data set is also provided by NASA. Further competition data correspond to an anemometer (2011), a power plant (2015) and a bogie (2017), which are not representative equipment for a manufacturing plant. Moreover, the gearbox vibration data from the 2009 challenge is unlabeled, and the asset used for data generation in 2013 and 2014 remains unknown. The remaining PHMS's data sets are about milling cutter wear (2010), and a wafer system (2016), which as mentioned in the challenge description, seems more appropriate for physics-based modeling methods. The latest one, in 2018, was an ion mill etching system. A general overview of data sets published as part of competitions of PHMS up until 2017 can be found in the appendix of (Jia et al., 2018).

In addition, the well-known UC Irvine Machine Learning Repository (Dua and Graff, 2019) contains two out of more than 450 data sets that address predictive maintenance of industrial equipment. Furthermore, less than a dozen of over 15,000 data sets on this topic are provided by the machine learning competition platform Kaggle<sup>3</sup>.

Table 1 gives a chronologically ordered overview of eleven data sets from the aforementioned sources. The data sets are selected according to their frequency used to evaluate PredM procedures their relevance of the investigated equipment and sensors for the industry 4.0. Data sets not previously used in a published research work are not taken into account. The first column contains the name of the data set and its publication date. We classify the model type used to represent the studied object into virtual simulation (VS), test rig (TR) and industrial system (IS). Moreover, only labeled data, typically referred to as training data, are counted as samples. For a data set that does not have any failure label, each recorded time series is counted as a sample. Furthermore, typical

<sup>1</sup><https://ti.arc.nasa.gov/tech/dash/groups/pcoe/prognostic-data-repository/>

<sup>2</sup><https://www.phmsociety.org/>

<sup>3</sup><https://www.kaggle.com/>

Table 1: Overview of data sets from the PredM domain.

No.	Data Set	Studied Object	Model Type	# Samples	# Sensor Data Streams	Types of Sensor Streams	Recording Type	Failure Labelling	# Conditions	Recording Purpose
1	Robot Execution Failures Data Set (UCL, 1999)	(Assembly line) Robot	Unk.	463	6	Force, Torque	RoC	5 failure groups with 3-5 classes	Unk.	Failure diagnosis
2	NASA Milling Data Set (2007)	Milling machine	IS	16	6	Acou, vib, curr	R2F	Flank wear value	8	Wear investigation
3	NASA IMS Bearing Data Set (2007)	Bearing	TR	12	8, later 4	Acc	R2F	4 failures from three types	1	Wear investigation
4	NASA Turbofan Data Set (2008)	Turbofan engine	VS	708	26	Press, speed, temp, among others	R2F	One or two fault modes	4	Challenge
5	PHMS Challenge 2009 Gearbox	Gearbox	TR	560	3	Acc, tachometer	RoC	Not provided	10	Challenge
6	NASA Femto Bearing Data Set (2012)	Bearing	TR	17	3 or 2	Acc, temp	R2F	Recording until acc. exceeding 20g	3	Wear investigation, challenge
7	IEEE-bigdata-2016 Manufacturing data challenge	Production line	IS	1,183,747	968	Unk.	RoC	Product quality as binary label	Unk.	Challenge
8	Cond. Monitoring of Hydraulic Systems Data Set (2018)	Hydraulic system	TR	2205	17	Press, motor power, temp, vib, among others	RoC	Condition of four hydraulic components w. 3 and 4 states	1	Wear investigation
9	PHMS Challenge 2018	Ion milletch tool	IS	1237	17	Voltage, curr, press, speed, among others	RwMF	3 failure types	Various	Challenge
10	One Year Industrial Component Degradation (Kaggle, 2018)	Blade of a shrink-wrapper	IS	519	8	Motor torque, speed, position error	R2F	Not provided	Various	Anomaly localization
11	Production Plant Data for Condition Monitoring (Kaggle, 2018)	Unk. comp. of a composite line for non-wovens	IS	8	25	Unk.	R2F	Not provided	Unk.	Anomaly localization



types of sensors, including acoustic emission sensors (acou), acceleration sensors (acc), vibration sensors (vib), current sensors (cur), pressure sensors (press), temperature sensors (temp) as well as their sampling rates, are given. The type of recording can be grouped into run-to-failure (R2F) recordings where a degradation process is shown over time. In most cases, the recording ends with the failure to be investigated. The other group contains records of time series representing the current condition of the system, and do not contain a degradation process, abbreviate as a record of condition (RoC). A recording with several faults is named Recording with Multiple Failures (RwMF). The remaining columns in the table indicate the labeling schema, the number of working conditions under which the data set is recorded as well as the purpose of the recording.

These publicly available data sets have some shortcomings, making them unsuitable for AI-based PredM research. The most significant shortcoming for data sets with the purpose of wear investigation (1-6, 8) is that these only consider single components or working station cells, and are mostly recorded to detect a dedicated fault, rather than providing a comprehensive picture of related sensor data. This is useful for the investigation of wear, however, results in a small number of sensor data streams and do not present the complexity and variety of a real industrial environment. The data sets that are not affected by this issue (7, 9, 10, 11) do not provide a semantic model and lack on detailed information about the relationship between sensors and actuators in order to create one. The largest data set in regard to the number of samples and features (7) is only of limited interest for PredM, since the task is to predict the quality of manufactured products and not the condition of its manufacturing components. Although the data sets 10 and 11 from (von Birgelen et al., 2018) would be appropriate due to the equipment used, it is not possible to evaluate PredM related tasks, such as predicting RUL, without knowing when errors occurred in the data.

### 3.3 Approaches for Data Generation

Since there is no sufficient or adequate data from industrial factories publicly available for research purposes, it is desirable to collect or generate them. Sensor data generation without the real production environment at hand can be categorized into four groups: 1. fully synthetic, 2. synthetic based on previous data, 3. synthetic based on a virtual simulation model, and finally 4. based on a simplified physical model.

#### 3.3.1 Fully Synthetic Data Generation

Fully synthetic data generation means that sensor data is generated by an algorithm based on given parameters. The resulting streams are based on a statistical structure and can contain concept drifts (changing of underlying statistical properties over time). Typical parameters are the data generating distribution (e.g. Gaussian), noise rate, data dimensionality, and generation periodicity. For instance, Hahsler et al. (Hahsler et al., 2017) provide a software framework for generation and analysis of fully synthetic data streams.

#### 3.3.2 Synthetic Data Generation based on Previous Data

Another way to generate sensor data is to learn the underlying properties of an existing data distribution in order to generate new data. This can be done by training a generative and discriminative neural model by learning either explicitly the parameters of the distribution (Alzantot et al., 2017) or implicitly with a generative adversarial network for time series (Esteban et al., 2017).

#### 3.3.3 Synthetic Data Generation based on a Virtual Simulation Model

A further approach is the creation of a virtual simulation model with the properties of the real model and use this for data generation. This approach, for example, has been applied to aircraft gas turbines (Saxena et al., 2008) and to create a virtual factory (Jain et al., 2017) including detailed machine level data streams for testing machine health data analytics applications.

#### 3.3.4 Data Collection based on a Simplified Physical Model

Instead of using a virtual model of a factory or machine, there is also the possibility of using a simplified physical model. Regarding the level of abstraction and the constituents of the model, such models can be divided into two categories.

The first category are models which are equipped with real industrial components leading to minor abstractions. Examples of such factories are Learning Factories (Abele et al., 2015) such as AutFab (Simons et al., 2017) or the SmartFactory<sup>4</sup> particularly established for Industry 4.0 research. Also small physical models for the generation of specific faults, such as bearing faults (Nectoux et al., 2012) exist.

The second category consists of models with a higher level of abstraction, which are build using

<sup>4</sup><http://www.smartfactory.de/>

non-industrial components. The advantage of this approach is the significantly low cost involved in building such a model. There are several platforms which enable the simple cost-efficient construction of such models. Among them the most popular are Lego Mindstorms<sup>5</sup> and Fischertechnik (FT)<sup>6</sup>. Examples are the Smart-LEGO Factory<sup>7</sup> at DFKI, the FT plant model for teaching and concept evaluation purposes regarding Industry 4.0 (Lang et al., 2018) and an FT punching workstation built to demonstrate how a generic client can access data generated from the workstation (Angione et al., 2017).

## 4 GENERATING RESEARCH DATA FOR PREDICTIVE MAINTENANCE BY FT MODEL FACTORY

We now describe a cost-effective approach for the generation of appropriate data according to the requirements sketched in Sect. 3.1. This requires constructing a physical model of a factory, attaching appropriate sensors and related data collection hard- and software, as well as developing means for the simulation of faults.

### 4.1 A Physical Model Factory for Data Generation

Our Industry 4.0 factory model is built based upon the FT Factory Simulation<sup>8</sup> as shown in Fig. 1. It has been selected due to its superior robustness compared to Lego Mindstorms. The FT factory model that we use consists of four modules: a sorting line with color detection, a multi-processing station with oven and milling machine, a high-bay warehouse, and a vacuum gripper robot. Each module is operated by its own controller based on an ARM Cortex A8 CPU with various analog and digital input/output ports running under a LINUX kernel. Overall, the model consists of nine light barriers, ten switches, twelve motors and three compressors. Moreover, we enhanced the model with six three-axis acceleration sensors that are mounted on motors and compressors for vibration measuring and four differential pressure sensors are

measuring the pressure generated from the three compressors. These sensors are connected to a separate Raspberry Pi controller. To further increase the variety of the data, two micro-electro-mechanical systems (MEMS) each with a gyroscope, an accelerometer, and a geomagnetic sensor are installed on the robotic vacuum gripper and the high-bay's storage and dispensing machine. Further, we will extend the oven model with a heating pad in order to change the color of thermo-colored product materials. This process will be monitored by a thermal imaging camera.

All controllers are connected via an Ethernet network and communicate via remote procedure calls. The overall control software for the entire production process is distributed over the controllers, each of which is in charge of a certain module of the factory.

For processing the generated data, we selected the SMACK stack (Estrada and Ruiz, 2016) as a Lambda architecture implementation because it is often used for Big Data applications in industry. Thus, we set up each controller as a producer to the high throughput distributed messaging system Apache Kafka (Kreps et al., 2011). Apache Cassandra was installed as a database for batch processing and we further plan to use Apache Spark for stream processing and ML research.

The overall manufacturing process is designed as a cycle, meaning that data can be generated without manual interference. The process starts from the high bay where workpieces are dispensed and transported to the multi processing station. After processing, they are sorted by color, transported by the robotic vacuum gripper and finally stored in the high bay where the process repeats.

### 4.2 Reproduction of Failures

By using the FT model along with the developed software, the manufacturing process is executed in a continuous loop. As FT blocks are quite robust and all physical connections are very stable, problems occur quite rarely and hence the model is able to run properly over a very long period of time. However, in order to be able to produce data for predictive maintenance, faults must occur such that the resulting data can be collected. As such faults do not occur naturally (within an acceptable time limit) realistic faults must be artificially infused into the model.

Fig. 2 describes the interplay between reality, our FT model, the creation of faults, and finally the data generation. In general, reality defines which failure types are measurable and reasonable. Our FT model is a smaller and simplified representation of reality and due to this it certainly restricts our ability to re-

<sup>5</sup><https://www.lego.com/en-us/mindstorms>

<sup>6</sup><https://www.fischertechnik.de/en>

<sup>7</sup><https://www.dfki.de/web/aktuelles/dfki-cebit-2016/smart-lego>

<sup>8</sup><https://www.fischertechnik.de/de-de/service/elearning/simulieren/fabrik-simulation-9v>

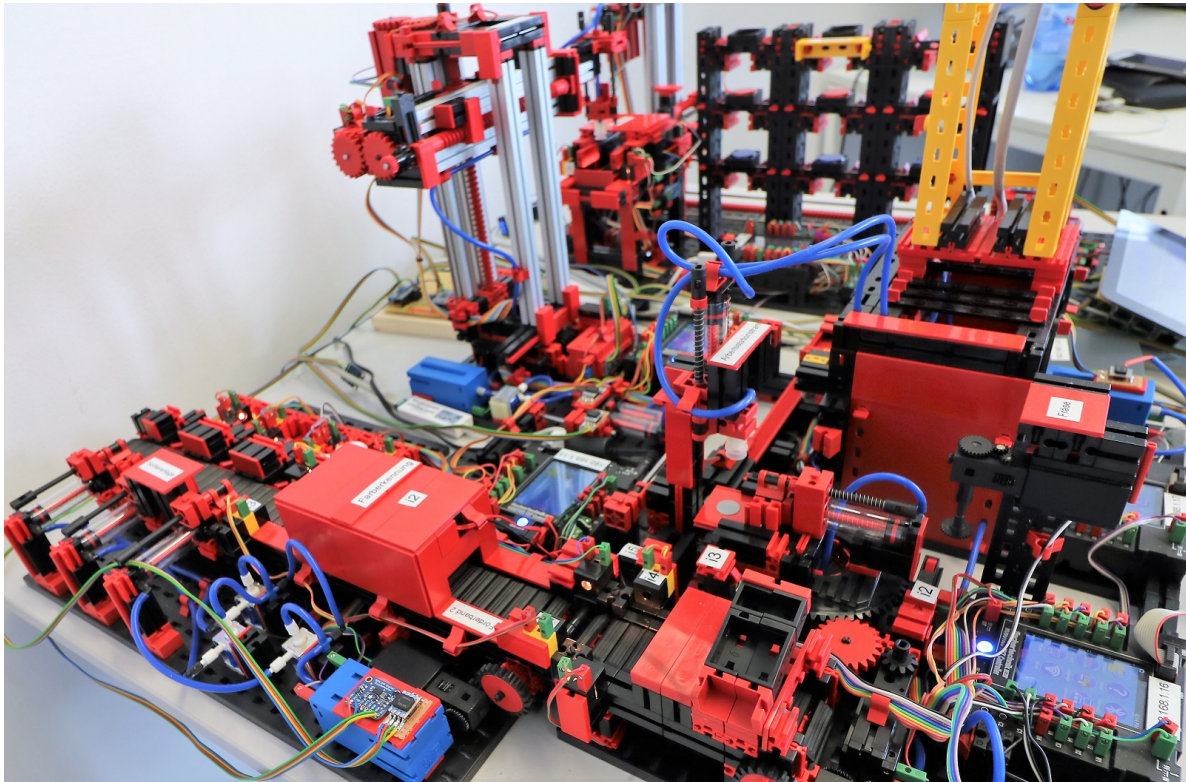


Figure 1: The FT factory simulation model. The area used for the example case (Subsect. 4.4) is located at the bottom left.

produce realistic defects. Also life expectancy for components in real machines is months to years and degradation processes are very slow. Thus we have to compress the time dimension, i.e., we have to significantly shorten the time during which a certain type of fault causes its typical effects. Based on these limitations we define plausible defects that can be simulated by our physical model such that data is generated that can be used for learning and evaluating prognostic models on predictive maintenance.

In general, there are several ways in which behavior can be generated similar to a failure in reality.

#### 4.2.1 Modifying the Model by Additional Actuators and Specifically Prepared Parts

In order to produce an abnormal behavior of the physical model, additional actuators can be integrated whose activities cause certain disturbances. For example, workpieces can be pushed from the conveyor by getting stuck on an obstacle, a pressure line can be virtually broken by inserting a pressure valve, an additional motor can be inserted to produce an additional mechanical load on a drive shaft. In addition, misalignment, looseness and unbalance can be produced by the specific replacement of parts by less optimal or specifically prepared ones.

#### 4.2.2 Adapting the Controller Software for Actuators

Based on knowledge about how certain failures (e.g. motor problems due to wear) have an impact on an actuator (reduced or unstable revolution speed), the controller software can be designed such that it controls the actuator in a way that it behaves as if it would exhibit the failure. For example, the motor supply voltage can be reduced following a certain pattern or the frequency for the pulse-width modulation of motor power supply can be lowered to change the vibration pattern.

#### 4.2.3 Simulating Defective Sensors and Manipulating Signals

Faults related to a defect of a sensor are also quite likely and can lead to high noise, drift or even the entire signal loss. They could also have a significant impact on the production process, in case the sensor is used within the control procedure of the machine. For example, a defective position switch might cause problems, as a gripper is not able to adjust itself to the correct position. Defective sensors can be easily simulated as part of the control software by manipulating the value they produce. Somewhat more diffi-



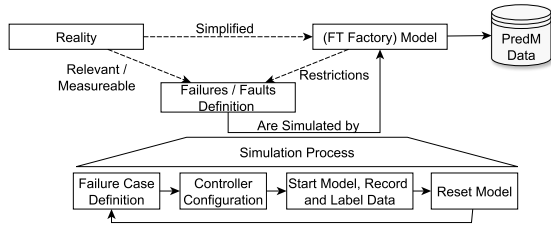


Figure 2: Methodology and process for reproduction of failures.

cult is the manipulation of an existing sensor signal to generate a known failure pattern without directly producing this type of failure in the model. This approach could be useful if the fault is hard to reproduce or requires components that are missing because of model abstractions. For instance, bearing defects are predictable based on occurring vibration frequencies in the spectral range that is determined by the bearing properties and its revolution per minute (rpm). To simulate this defect, we can manipulate the original vibration sensor signal by adding a sinusoidal signal with the frequency of the failure to obtain the desired amplitude peak in a spectral analysis.

### 4.3 Data Generation Process

The ways of generating faulty behavior described in Sect. 4.2 have to be embedded into an overall generation process for maintenance data. Therefore, the following data generation process has been developed, allowing to generate a large number of labelled maintenance data sets automatically. **This process runs in a loop consisting of four steps** (see Fig. 2):

1. Selection of the particular error (e.g. motor failure due to wearing) to be produced in the current run, including the relevant parameters (which motor, degree of wearing, failure pattern curve, time horizon of wear process, etc.).
2. Configuration of the controller software to run the factory in a mode, in which the respective fault reproduction is enabled.
3. Start of the controller software to run the production process. During the run of the factory, all data is collected and stored in an Apache Cassandra data base and labelled with the respective failure being produced.
4. After the failure has occurred, the factory model is reset to a defined initial state compensating for any inconsistencies that might have resulted from the insertion of the failure.

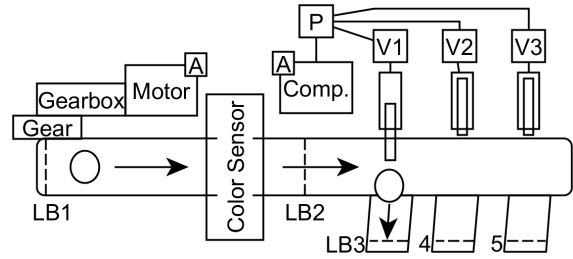


Figure 3: Schematic sketch of the conveyor belt unit of the FT factory model's sorting line.

### 4.4 Example Case

Failures of a conveyor belt are mostly related to its pulleys, belt or drive unit. The parts of the latter, such as an electric motor, a gearbox, couplings and bearings are subject to wear with well-understood degradation models and failure patterns. For instance, bearing faults are the most common failure source with almost 40% to 50% of electrical motors. Typical for this type of fault are vibration signatures of higher amplitude peaks, increased noise, and also a reduced motor torque and thus motor speed (Nandi et al., 2005).

To produce data to detect conveyor belt failures, we use the conveyor belt unit of the FT factory model's sorting line, which is schematically sketched in Fig. 3. This figure shows a bird's-eye view of the sorting line consisting of a conveyor belt, color sensor and three pneumatic pushers to eject the workpieces into the color-related collection box. The five dashed lines represent light barriers (LB) used in the control software and are triggered when a workpiece crosses them. The arrows represent the regular path of a white workpiece. V1, V2 and V3 represent valves, P is a pressure sensor, and As are acceleration sensors mounted on the motor and compressor.

Transferring a bearing fault in the drive unit to the previously described conveyor belt requires reproducing the behavior that is generated by the defect. Therefore, we first run the model in the regular mode (to collect data unaffected by faults) and then the controller starts to simulate the previously described failure effects by slowly reducing the motor speed over time and also decreasing the frequency of the pulse-width modulation (PWM) of the motor power. In the case of a conveyor belt drive motor, the reduced speed, for example, leads to an increased time for transport of the workpiece on the conveyor belt. This results in longer delays until the respective signals from the light barriers arrive. In addition, the acceleration sensor for monitoring the condition of the motor records higher vibration amplitudes (caused by the decreased PWM frequency). These data are recorded along with the data of all other sensors of the



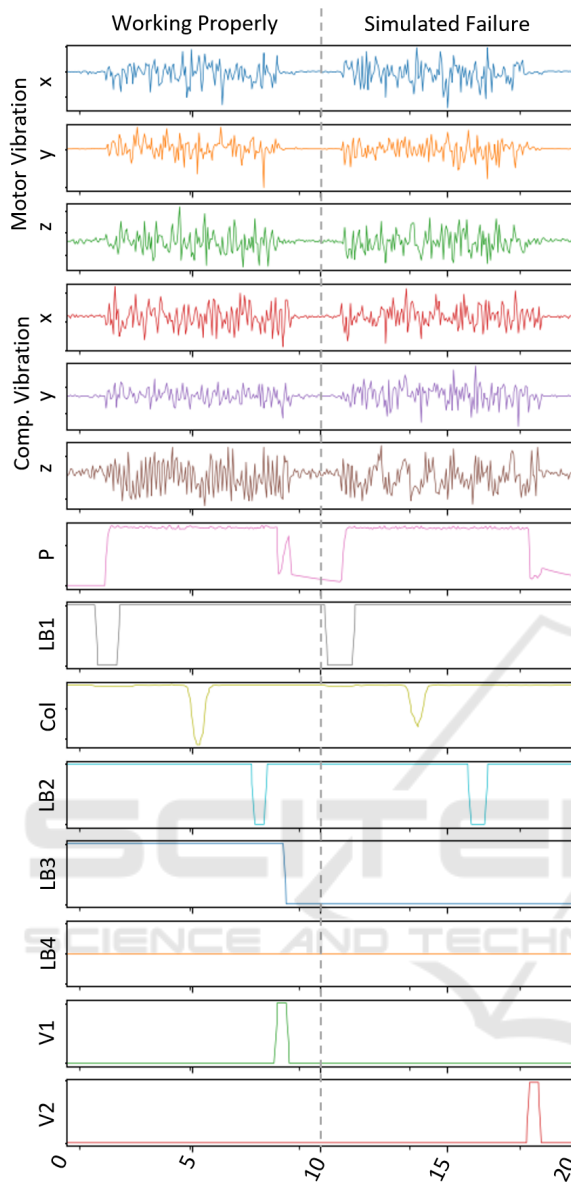


Figure 4: 20-second recording of the relevant sensor data streams of the transport and sorting of two workpieces on the conveyor belt depicted in Fig. 3.

factory model. Besides the apparent sensors that are directly affected by the simulated failure, other sensor signals might be affected as an indirect consequence. In the end, appropriate data is available to address the research challenges of AI-based PredM.

Fig. 4 shows a 20-second recording of the relevant sensor data streams for the transport of a white and a red workpiece on the conveyor belt with a subsequent sorting into a collection box by a pneumatic push as depicted in Fig. 3. The first three sensor data streams are the vibrations on the x-, y- and z-axes of the conveyor belt drive engine and the following

three time series are the vibrations of the compressor. The seventh graph represents the air pressure (P) in the pneumatic system. The eighth graph is the first light barrier (LB1), and the tenth is the subsequent light barrier (LB2) of the conveyor belt. The ninth is the color detection (Col) between the light barriers. The eleventh and twelfth graphs are the light barriers (LB3 and LB4) of the collection boxes. The last two graphs represent the valve (V1 and V2) opening of the pneumatic pusher. The first 10 seconds of the recording show a healthy condition (transport of white workpiece), whereas the last 10 seconds show the results of the simulated motor fault, which causes a failure affecting the transport of the red workpiece. The difference between these two states can be measured directly by the longer period between the two light barrier downward peaks (LB1 and LB2) as well as the higher vibration amplitudes. Furthermore, the light barrier and color detection signals result in wider gaps which can be seen as an indirect consequence from the reduced motor torque. Moreover, the push for sorting the workpiece into its color-related collection box is carried out too early, so that the workpiece remains on the conveyor belt and the collection box's light barrier (LB4) is not triggered. In summary, the example shows that we can generate fault modes with patterns distributed across multiple sensor signals from sensors additionally installed for condition monitoring purposes as well as already existing ones to control the manufacturing process.

## 5 CONCLUSION AND FUTURE WORK

In this paper, we address the problem of data generation to enable ML research in combination with knowledge-based approaches for PredM. We surveyed currently available data sets and present several approaches for data generation. We then present a new approach for PredM data generation based on a FT factory model. As of today, the mechanical and electrical side of the model is nearly completely realized, the sensor data is collected, processed, and stored using the SMACK-Stack as described. First failures, as the example case just described, are implemented.

Future work will address the implementation of a comprehensive set of failure scenarios based on the approaches described in Sect. 4.2. This work is quite difficult as it requires at least a basic understanding of typical faults and their consequences in order to be able to reproduce them on the model. However, we assume that for the development of ML methods for

PredM the exact reproduction of patterns from real industrial factories is not required, as the goal of ML methods is to find patterns according to the production environment at hand. Thus, we are confident that the developed FT factory model is an appropriate means to perform laboratory research on ML in a well controlled environment. We also plan to publish the gained data sets at <http://IoT.uni-trier.de> so that they could be used by other researchers as well.

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