Payment Card Industry (PCI)

Card Production and Provisioning Logical Security Requirements

Attestation of Compliance for Onsite Assessments

For use with Card Production and Provisioning Logical Security Requirements v3.0.1

Version 3.0.1

May 2024

Section 1: Assessment Information

Instructions for Submission

This Attestation of Compliance must be completed as a declaration of the results of the card vendor's assessment with the *Payment Card Industry Card Production and Provisioning Logical Security Requirements (PCI CPPLSR)*. Complete all sections: The card vendor is responsible for ensuring that each section is completed by the relevant parties, as applicable. Contact the requesting payment brand for reporting and submission procedures.

Part 1. Card Vendor and Card Production Security Assessor – Logical Controls (CPSA-L) Information					
Part 1a. Card Production and Provisioning Organization Information					
Company Name:					
DBA (doing business as):		Business Identifier:			
Contact Name:		Title:			
Telephone:		E-mail:			
Business Address:		City:			
State/Province:		Country:		Postal Code:	
Company URL:					

Part 1b. Card Production Security Assessor Company Information (if applicable)					
Company Name:					
Lead Assessor Contact Name:		Title:			
Telephone:		E-mail:			
Business Address:		City:			
State/Province:		Country:		Postal Code:	
Company URL:					

Part 2. Executive Summary

Part 2a. Scope Verification

Activities that are INCLUDED in the scope of card production activities at this facility
(check all that apply):

Type of Assessment	☐ Annual audit – no change in activities
	☐ Existing location that added activities
	☐ Initial (new facility)

Card Production Activities Assessed:

☐ Card Manufacturing	☐ Mailing
☐ Data Preparation	☐ Shipping
☐ Pre-Personalization	☐ PIN Printing and Mailing (personalization, credit or debit)
☐ Fulfillment	☐ PIN Printing (non-personalized prepaid cards)
☐ Packaging	☐ Electronic PIN Distribution
☐ Storage	☐ Other (specify):
☐ Chip Embedding	
☐ Card Personalization	
☐ Chip Personalization	

Mobile Provisioning Activities Assessed:

☐ **Secure Element Provisioning Activities**

Product/Solution	Description

☐ **Cloud-based (HCE) Provisioning Activities**

Product/Solution	Description

Part 2. Executive Summary *(continued)*

Part 2b. Locations

•	Address of facility where assessment was performed:		
•	Date of Report (yyyy/mm/dd):		
•	Timeframe of assessment (start date to completion date):	Start date (yyyy/mm/dd):	
		Completion date (yyyy/mm/dd):	
•	Was the review done onsite or remotely:	Select	
•	If remotely, state the rationale:		
•	If applicable, identify date(s) spent onsite at the entity:	Start date (yyyy/mm/dd):	
		Completion date (yyyy/mm/dd):	

Part 2. Executive Summary *(continued)*

Part 2c. Summary of Requirements Tested

For each PCI Card Production and Provisioning Logical Security Requirement, select one of the following:

- **Full** – The requirement and all sub-requirements of that requirement were assessed, and no sub-requirements were marked as "Not Applicable" in the ROC.
- **Partial** – One or more sub-requirements of that requirement were marked as "Not Applicable" in the ROC.
- **None** – All sub-requirements of that requirement were marked as "Not Applicable" in the ROC.

Note: Payment brand waivers do not constitute full compliance.

For all requirements identified as either "Partial" or "None," provide details in the "Justification for Approach" column, including:

- Details of specific sub-requirements that were marked as "Not Applicable" in the ROC
- Reason why sub-requirement(s) were not applicable.

Note: One table to be completed for each service covered by this AOC. Additional copies of this section are available on the PCI SSC website.

PCI Card Production and Provisioning Logical Security	Details of Requirements Assessed			
	Full	**Partial**	**None**	**Justification for Approach** (Required for all "Partial" and "None" responses. Identify which sub-requirements were not applicable and the reason.)
Section 1: Roles and Responsibilities	☐	☐	☐	
Section 2: Security Policy and Procedures	☐	☐	☐	
Section 3: Data Security	☐	☐	☐	
Section 4: Network Security	☐	☐	☐	
Section 5: System Security	☐	☐	☐	
Section 6: User Management and System Access Control	☐	☐	☐	
Section 7: Key Management: Secret Data	☐	☐	☐	
Section 8: Key Management: Confidential Data	☐	☐	☐	
Section 9: PIN Distribution via Electronic Methods	☐	☐	☐	

Section 2: Report on Compliance

This Attestation of Compliance reflects the results of an onsite assessment, which is documented in an accompanying Report on Compliance (ROC).

The assessment documented in this attestation and in the ROC was completed on:		
Were any requirements in the ROC identified as being not applicable (N/A)?	☐ Yes	☐ No
Were any requirements not tested?	☐ Yes	☐ No
Were any requirements in the ROC unable to be met due to a legal constraint?	☐ Yes	☐ No

Section 3: Validation and Attestation Details

Part 3. PCI Card Production and Provisioning Logical Security Validation

Based on the results noted in the ROC dated *(completion date)*, the signatories identified in Parts 3b-3c, as applicable, assert(s) the following compliance status for the entity identified in Part 2 of this document as of *(date)*: (**check one):**

☐	**Compliant:** All sections of the PCI Card Production and Provisioning Logical Security ROC are complete, all questions answered affirmatively, resulting in an overall **COMPLIANT** rating; thereby *(Card Production and Provisioning Vendor Company Name)* has demonstrated full compliance with the *PCI Card Production and Provisioning Logical Security Requirements.* *Note: Payment brand waivers do not override reporting of non-compliance.*
☐	**Non-Compliant:** Not all sections of the PCI Card Production and Provisioning Logical Security ROC are complete, or not all questions are answered affirmatively, resulting in an overall **NON-COMPLIANT** rating, thereby *(Card Production and Provisioning Vendor Company Name)* has not demonstrated full compliance with the *PCI Card Production and Provisioning Logical Security Requirements.* **Target Date** for Compliance: An entity submitting this form with a status of Non-Compliant may be required to complete the Action Plan in Part 4 of this document. *Check with the payment brand(s) before completing Part 4.*
☐	**Non-Compliant due to Legal exception:** One or more requirements are marked non-compliant as "Open" or "New" due to a legal restriction that prevents the requirement from being met. This option requires additional review from the payment brand. *Note: Contractual obligations or legal advice are not legal restrictions. If checked, complete the following:*

Affected Requirement	Details of how legal constraint prevents requirement being met

Part 3a. Acknowledgement of Status

Signatory(s) confirms:

(Check all that apply)

☐	The ROC was completed according to the *PCI Card Production and Provisioning Logical Security Requirements*, Version *(version number)*, and was completed according to the instructions therein.
☐	All information within the above-referenced ROC and in this attestation fairly represents the results of my assessment in all material respects.
☐	I have read the *PCI Card Production and Provisioning Logical Security Requirements* and I recognize that I must maintain PCI Card Production Security Requirements compliance, as applicable to my environment, at all times.
☐	If my environment changes, I recognize I must reassess my environment and implement any additional *PCI Card Production and Provisioning Logical Security Requirements* that apply.

Part 3b. Card Production and Provisioning Vendor Attestation

Signature of Card Production and Provisioning Vendor Executive Officer ↑	
Card Production and Provisioning Vendor Executive Officer Name:	
Title:	
Date:	

Part 3c. Security Assessor Acknowledgement (if applicable)

If a Security Assessor was involved or assisted with this assessment, describe the role performed:	

Signature of Assessor ↑	*Date:*
Assessor Name:	*Assessor Company:*

Part 4. Action Plan for Non-Compliant Requirements

Select the appropriate response for "Compliant to PCI Card Production Security Requirements" for each requirement. If you answer "No" to any of the requirements, you may be required to provide the date your Company expects to be compliant with the requirement and a brief description of the actions being taken to meet the requirement.

Check with the applicable payment brand(s) before completing Part 4.

PCI Card Production and Provisioning Logical Section	Description of Requirement	Compliant to PCI Card Vendor Security Requirements *(Select One)*		Remediation Date and Actions (If "NO" selected for any Requirement)
		YES	NO	
1	Roles and Responsibilities	☐	☐	
2	Security Policy and Procedures	☐	☐	
3	Data Security	☐	☐	
4	Network Security	☐	☐	
5	System Security	☐	☐	
6	User Management and System Access Control	☐	☐	
7	Key Management: Secret Data	☐	☐	
8	Key Management: Confidential Data	☐	☐	
9	PIN Distribution via Electronic Methods	☐	☐	