

An introduction to Representational System Theory: Part 1

Daniel Raggi

October 27, 2021

The purpose of Representational System Theory (RST) is to understand rigorously what *representations* are, what we mean when we talk about their structure, and their relations to one another.

This document is an introduction to RST. Something which the team (especially Gem and I) have been developing for a while. There's a long technical paper associated with it, but we are still in the process of finishing writing it up. Moreover, that paper contains way more technical stuff than needed for this introduction, where I plan to introduce intuitively the concepts of RST.

In this document (Part 1) I will introduce *type systems*, *construction spaces*, *representational systems*, *constructions*, and *decompositions*, amongst other auxiliary concepts.

I assume a bit of knowledge about of logic and graph theory, but nothing too fancy. At the end of this document (section 3) there is a technical appendix, for some basic definitions.

1 Representational Systems

To define representational systems, we will start with *type systems*. Roughly, type systems characterise the conceptual hierarchies of representations. Then we define *construction spaces*, which consist of graphs where the vertices are either *tokens* (concrete representations) or *configurators* (the 'glue' that puts tokens together to form other tokens). Types classify tokens and, similarly, *constructors* classify configurators.

1.1 Types & Tokens

The numeral 1 is a token. In computer science we would generally say that the type of token 1 is something like *nat*, *number*, *real* or something else, depending on the context. This makes sense given the use of type systems in computer science (we call this the *term/type* paradigm). However, for the study of 1 as a representation, we might have higher ambitions regarding its classification into types. For example, in semiotics/linguistics it wouldn't be unusual to refer to 1 as a token of type 1 (we call this the *token/type* paradigm). This is meant to discern that some expression such as $1 + 1$ has *two* tokens of type 1 — that is, it has two *occurrences* of the *same* symbol. What 'same' means in this context is not clear, and RST does not commit to a definition of 'sameness'. Instead, RST allows us to assign types to tokens however we want, with the stipulation that a *subtype* relation (which partially orders the types) is also part of a type system. Thus, we can say that the tokens shown above are of type 1, while also acknowledging that type 1 is a subtype of type *number*, or anything we might want the subtype order to be.

Thus RST unifies the term/type paradigm of computer science and the token/type paradigm of semiotics into one.

Definition 1. A *type system*, T , is a pair, $T = (Ty, \leq)$, where

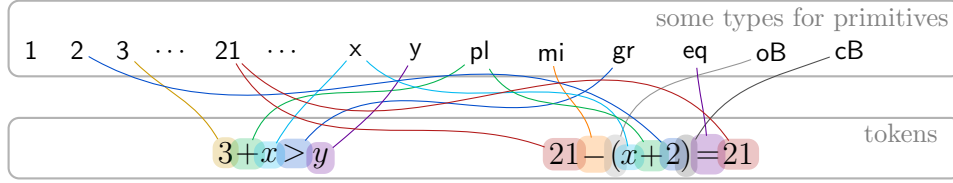
1. Ty is a set whose elements are called *types*, and
2. \leq is a partial order over Ty .

If $\tau_1 \leq \tau_2$ then τ_1 is a *subtype* of τ_2 and, respectively, τ_2 is a *supertype* of τ_1 .

The definition above gives us liberty to declare type systems however we like. The assignment of types to tokens is done with a function *type*, which will be formally introduced in section 1.2, after an example of how one could define a type system for formal arithmetic.

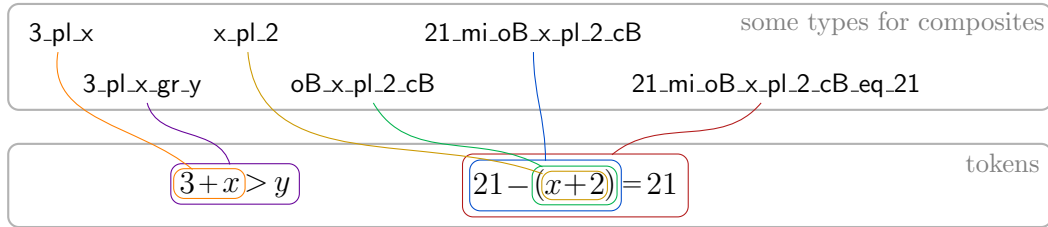
Example: a type system for first order arithmetic (FOA)

We denote our small version of FOA by \mathcal{S}_A . We can define one type for each symbol in \mathcal{S}_A as follows:



Note that we even include types for parentheses, as an analysis of representations in first order arithmetic may need to take this into account to consider, say, cognitive factors.

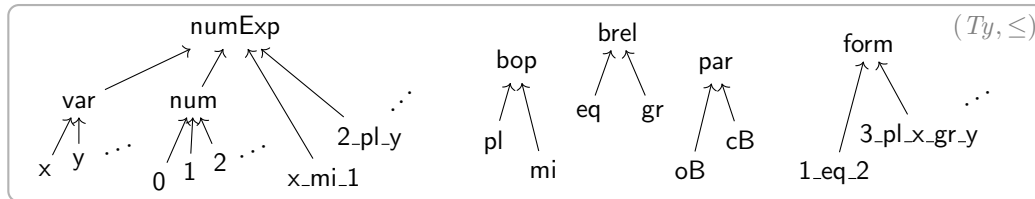
We also need types for *composite* tokens. In this encoding of FOA, all composite tokens are *directly built* from three other tokens. For example, $\forall x 3 = x$ is directly built from \forall , x and $3 = x$. The name of the type we assign to $\forall x 3 = x$ is, essentially, a composition of the names of the types assigned to \forall , x and $3 = x$, namely $uQ.x.3.eq.x$. In general, given a composite token, $t_1 t_2 t_3$, in \mathcal{S}_A its type is defined to be $type(t_1)_{-}type(t_2)_{-}type(t_3)$. The diagram below shows how all *composite* parts of $3 + x > y$ and $21 - (x + 2) = 21$ are assigned types:



The types for primitive and composite tokens are complemented by further useful types.

- num (numeral),
- var (variable),
- numExp (numerical expression),
- bop (binary operator)
- brel (binary relation)¹,
- par (parentheses)
- form (formula)².

Furthermore, the diagram illustrates the partial order, \leq , over the set, Ty , of FOA types, including some of the types assigned to primitives and composites.



1.2 Construction Spaces

Construction spaces are abstractions meant capture:

1. how a representation is formed by (or relates to) its parts/aspects,
2. how a representation is entailed by (or results from the manipulation of) other representations,
3. the properties/attributes of a representation.

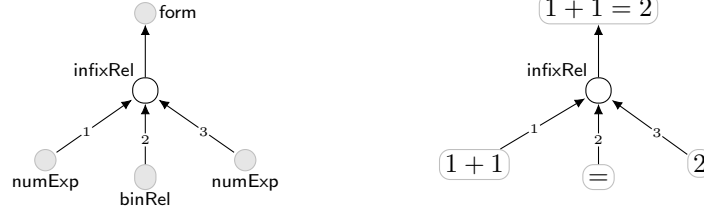
¹The types **bop** and **brel** are *composites*, and we note the more standard convention of writing $numExp \times numExp \rightarrow numExp$ and $numExp \times numExp \rightarrow form$.

²An alternative encoding of \mathcal{S}_A could include additional types such as (with illustrative tokens): **sum**, tokens: $1 + 2$, $3 + 26$; and **diff**, tokens: $1 - 2$, $3 - 26$. This gives a finer-grained encoding, distinguishing tokens that exploit different operators such as $1 + 2$ and $1 - 2$. Types that distinguish tokens formed from different binary relations, **bgr** and **beq** to distinguish $1 > 2$ and $1 = 2$, may also be of use.

In a representational system, all of the above will be captured by different construction spaces; namely, a *grammatical space* (1), a *syntactic entailment space* (2), and an *identification space* (3). Each of these construction spaces will be different, but is essentially captured by the same abstraction.

1.2.1 Constructors & Configurators

A constructor captures the *manner* in which tokens stick together to form another one. For example, the constructor *infixRel* captures how a relation symbol (such as =) is placed in infix notation between two numerical expressions. Below left is the general pattern for the use of *infixRel*, and below right is a specific use of it:



To declare a constructor, we need to declare its sequence of *input types*, and its *output type*. This is captured by the *sig* function (defined below), which returns a constructor's type information.

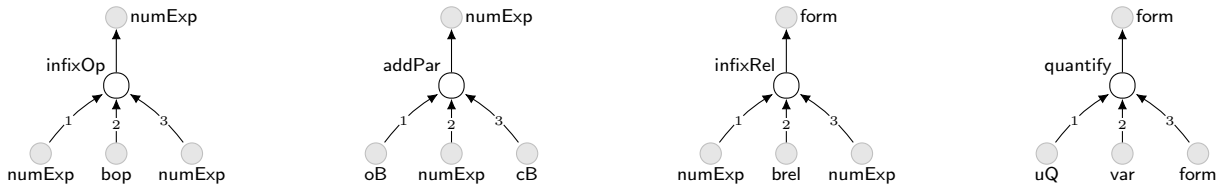
Definition 2. A *constructor specification*, C , over type system $T = (Ty, \leq)$ is a pair, $C = (Co, sig)$, where

1. Co is a set, disjoint from Ty , whose elements are called *constructors*, and
2. $sig: Co \rightarrow \text{seq}(Ty) \times Ty$ is a function that returns, for each constructor, c , a *signature*, $sig(c) = ([\tau_1, \dots, \tau_n], \tau)$, where $[\tau_1, \dots, \tau_n]$ is non-empty.

The *input type-sequence* and the *output type* for c , denoted $\text{In}_{Ty}(c)$ and $\text{Out}_{Ty}(c)$ respectively, are $\text{In}_{Ty}(c) = [\tau_1, \dots, \tau_n]$ and $\text{Out}_{Ty}(c) = \tau$.

Example: grammatical constructors for FOA

We now exemplify the *constructors* that are used in S_A 's grammatical space. For each way of building tokens, embodied by their inductive construction, there is a constructor in the system: *infixOp*, *addPar*, *infixRel*, and *quantify*³. For instance, *infixOp* will build tokens such as $1 + 3$ and has *input type-sequence* $[\text{numExp}, \text{bop}, \text{numExp}]$ and *output type* numExp . The constructors' visualizations, where the *indexed* arrows indicate the order of their sources' labels in the input type-sequence, are:



Once we have a constructor specification we can start to talk about the instantiation of the types of a constructor with *tokens*. Such an instantiation is called a *configuration*. A configuration is a graph like the diagram above (right). Such basic graphs are important because the more complex *structure graphs* and *constructions* consist precisely of many configurations joined together⁴. The definition above looks a bit intimidating, but it's actually quite simple: a configuration is a labelled directed bipartite (tokens and configurators) graph where the tokens are labelled by types, the configurator is labelled by a constructor, and the input arrows are labelled with the numbers $\{1, \dots, n\}$ to give them an order.

³Note, as with types, we adopt the convention of using **Sans** font for constructor names: in examples, the vertices in graphs will consistently use **Sans** font for their labels. Specifically to this example, the constructor *infixOp* does not impose the presence of parentheses, reflecting the typical informal use of such expressions in practice. Therefore, S_A includes a bracketing constructor, *addPar*. If S_A included additional types, such as *sum*, then more constructors could be included. One example is the constructor *infixPlus* with input type-sequence $[\text{numExp}, \text{pl}, \text{numExp}]$ and output type *sum*.

⁴Some of the notation used for graph theory concepts can be found in the technical appendix 3.

Definition 3. Let $C = (Co, sig)$ be a constructor specification over $T = (Ty, \leq)$. Let $c \in Co$ where $sig(c) = ([\tau_1, \dots, \tau_n], \tau)$. A *configuration* of c is a labelled directed bipartite graph,

$$G = (To, Cr, A, iv, index, type, co),$$

where

1. Cr contains a single vertex, u , called a *c-configuration*: $Cr = \{u\}$,
 2. the vertices in To , called *tokens*, are all adjacent to u : $To = in_V(u) \cup out_V(u)$,
 3. u has exactly one outgoing arrow, a_0 : $out_A(u) = \{a_0\}$,
 4. u has exactly n incoming arrows: $in_A(u) = \{a_1, \dots, a_n\}$,
 5. $index: A \rightarrow \{0, 1, \dots, n\}$ is a bijection that labels each arrow a_i with i ,
 6. $type: To \rightarrow Ty$ is a function that labels each token with a type, such that for all $t \in To$,
 - (a) if $tar(a_0) = t$ then $type(t) \leq \tau$, and
 - (b) the vertex-label sequence $[type(sor(a_1)), \dots, type(sor(a_n))]$ is a specialisation of $[\tau_1, \dots, \tau_n]$.
- and
7. $co: Cr \rightarrow Co$ is a function where $co(u) = c$

The *output token*, $tar(a_0)$, of u , is denoted $Out_{To}(u)$ and the *output type* of u is $Out_{Ty}(u) = type(tar(a_0))$. We further define:

1. the *input arrow-sequence* of u , denoted $In_A(u)$, to be the sequence of arrows in $in_A(u)$ ordered by their indices: $In_A(u) = [index^{-1}(1), \dots, index^{-1}(n)] = [a_1, \dots, a_n]$,
2. the *input token-sequence* of u , denoted $In_{To}(u)$, replaces each arrow in $In_A(u)$ with its source: $In_{To}(u) = [sor(a_1), \dots, sor(a_n)]$, and
3. the *input type-sequence* of u , denoted $In_{Ty}(u)$, replaces each token in $In_{To}(u)$ with its assigned type: $In_{Ty}(u) = [type(sor(a_1)), \dots, type(sor(a_n))]$.

Note that the inputs and output in a configuration need not be distinct, as demonstrated by the examples below, of a constructor which takes a pair of vector visualisations and returns the vector visualisation of their sum (left) and subtraction (right):



A structure graph, defined below, is simply the result of joining a bunch (possibly infinite) of configurations for a given type system and constructor specification:

Definition 4. A *structure graph*, $G = (To, Cr, A, iv, index, type, co)$, for a constructor specification $C = (Co, sig)$ is a graph where for all $u \in Cr$, $co(u)$ is in Co and $Nh(u)$ is a configuration of $co(u)$. The elements of Cr are called *configurators*.

A construction space, defined below, is just the collection of a type system, a constructor specification, and a structure graph.

Definition 5. A *construction space* is a triple, $\mathcal{C} = (T, C, G)$, where

1. $T = (Ty, \leq)$ is a type system,
2. $C = (Co, sig)$ is a constructor specification over T , and
3. $G = (To, Cr, A, iv, index, type, co)$ is a structure graph for C .

We say that \mathcal{C} is a construction space *formed over* T .

Now I'll introduce informally a couple of useful concepts.

Determinism and totality Even though constructors are roughly analogous to functions, they need not behave like such. In particular, note that a token 1 at the top left of a page, a token + at the bottom right, and a token 2 at the bottom left of the page, do not form $1 + 2$. That means that the constructors of FOA are *not token-total*. However, the constructors of FOA are *type-total*, because for any types *matching* the inputs of a constructor, there will exist a configurator of it. For example, for constructor `infixOp` and any subtypes of its input sequence `[numExp, binRel, numExp]` (e.g., `1`, `pl` and `2`), there exists a configurator that takes that as input (and outputs $1 + 2$).

The constructors of FOA shown above also happen to be *token-deterministic* and *type-deterministic*, which means that given some inputs to a constructor, the output is determined. In fact, as we will see, determinism is a **requirement** for the grammatical aspect of a representational system, but not for other aspects (like syntactic entailment).

Compatibility Two type systems are compatible if their union is a type system (that is, if the union of the subtype relations remains a partial order). Similarly, we will say that two construction spaces are compatible if their type systems are compatible, their constructor specifications don't *clash*, and the result of joining their structure graphs is a structure graph.

1.3 Representational Systems

Having defined construction spaces, now it is possible to define representational systems. As mentioned before, a representational system will consist of three construction spaces: grammatical, syntactic entailment, and identification. The identification space, meant to capture properties of tokens, needs the addition of *meta-tokens* which are labelled by *meta-types*.

Definition 6. An *identification space* is a construction space, $\mathcal{I} = (T \cup M, C, G)$, such that $T = (Ty, \leq)$ and $M = (\Omega, \preceq)$ are compatible type systems. The type system M is the *meta-type system* of \mathcal{I} . We say that \mathcal{I} is *formed over* T and M .

The identification space is meant to capture properties of tokens that go beyond their construction. For example, a constructor `isValid`, can describe whether a token represents a valid formula or not:



Let us define representational systems now.

Definition 7. A *representational system* is a triple, $\mathcal{S} = (\mathcal{G}, \mathcal{E}, \mathcal{I})$, *formed over* type system, T , and meta-type system, M , where:

1. $\mathcal{G} = (T, C_{\mathcal{G}}, G_{\mathcal{G}})$ is a deterministic construction space,
2. $\mathcal{E} = (T, C_{\mathcal{E}}, G_{\mathcal{E}})$ is a construction space such that every token in \mathcal{E} is also a token in \mathcal{G} ,
3. $\mathcal{I} = (T \cup M, C_{\mathcal{I}}, G_{\mathcal{I}})$ is an identification space formed over T and M such that for every token, t , in \mathcal{I} :
 - (a) if t is not in \mathcal{G} then the label of t is a meta-type in M , and
 - (b) if t is the output of a configurator in $G_{\mathcal{I}}$ then t is not in \mathcal{G} , and
4. \mathcal{G} , \mathcal{E} and \mathcal{I} are pairwise compatible and their sets of constructors are pairwise disjoint.

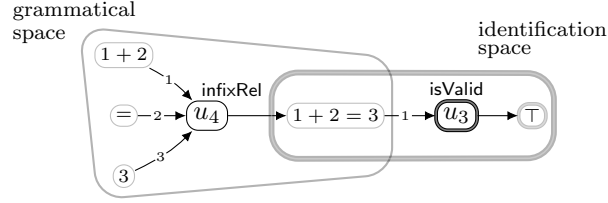
The spaces \mathcal{G} , \mathcal{E} and \mathcal{I} are called the *grammatical*, *entailment* and *identification* spaces of \mathcal{S} . We denote the components of the structure graphs $G_{\mathcal{G}}$, $G_{\mathcal{E}}$, and $G_{\mathcal{I}}$ by

1. $G_{\mathcal{G}} = (To_{\mathcal{G}}, Cr_{\mathcal{G}}, Ag, iv_{\mathcal{G}}, index_{\mathcal{G}}, type_{\mathcal{G}}, cog)$,
2. $G_{\mathcal{E}} = (To_{\mathcal{E}}, Cr_{\mathcal{E}}, A_{\mathcal{E}}, iv_{\mathcal{E}}, index_{\mathcal{E}}, type_{\mathcal{E}}, cog)$, and
3. $G_{\mathcal{I}} = (To_{\mathcal{I}}, Cr_{\mathcal{I}}, A_{\mathcal{I}}, iv_{\mathcal{I}}, index_{\mathcal{I}}, type_{\mathcal{I}}, cog)$, respectively.

The *meta-tokens* in \mathcal{S} are the elements of $To_{\mathcal{I}} \setminus To_{\mathcal{G}}$. We say that T and M are, respectively, the type system and meta-type system of \mathcal{S} .

We often will want to mix the construction spaces of a representational system, which is why compatibility between the three layers are required.

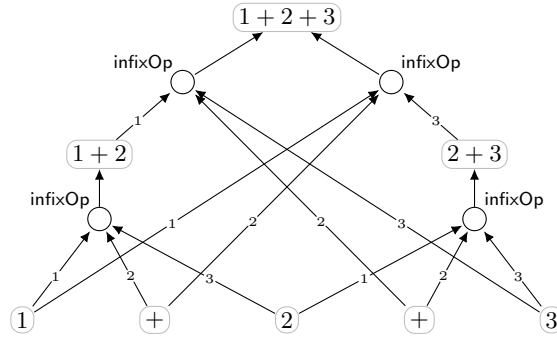
Definition 8. Let $\mathcal{S} = (\mathcal{G}, \mathcal{E}, \mathcal{I})$, be a representational system. The *universal space* of \mathcal{S} , denoted $\mathbb{U}(\mathcal{S})$, is defined to be the construction space $\mathcal{G} \cup \mathcal{E} \cup \mathcal{I}$. The structure graph of $\mathbb{U}(\mathcal{S})$, which we denote by $\mathbb{G}(\mathcal{S})$, is called the *universal structure graph* for \mathcal{S} .



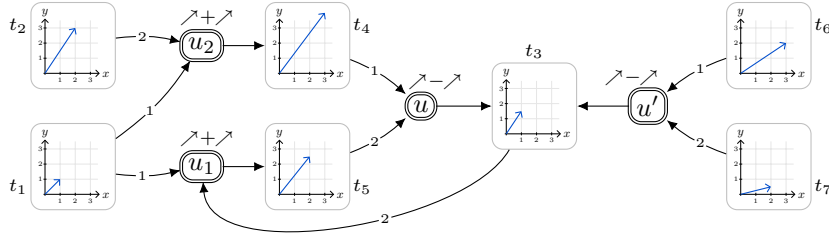
We depict the configurators for grammatical constructors as \bigcirc , configurators for entailment constructors as \bigcirc , and configurators for identification constructors as \bigcirc .

1.3.1 Constructions & Decompositions

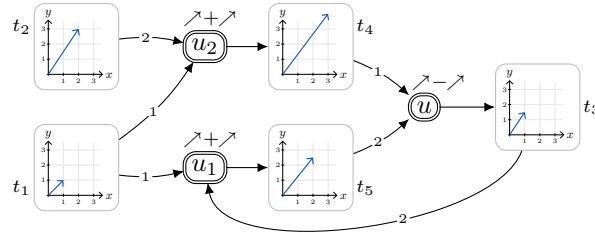
A structure graph may capture *many* ways of constructing the same token. For example, the graph below shows two ways of constructing token $1 + 2 + 3$.



And the following graph encodes multiple ways of constructing a few vector visualisations.



Intuitively, a *construction* is a structure graph that captures *exactly one way of constructing a token*. If we remove one part of the graph above, we can turn it into a construction. See the following graph below:



However, you might still wonder: what does it construct? t_3 or t_5 ? To disambiguate, when we specify a construction we need to specify a graph (with certain properties) and a token in that graph.

Definition 9. A structure graph, G , is *uni-structured* provided for every token, t , in G there is at most one arrow, a , in G such that $\text{tar}(a) = t$.

Definition 10. A *construction* is a pair, (g, t) , where

1. g is a finite, uni-structured structure graph, and
2. t is a token in g such that each vertex in g is the source of a trail in g that targets t .

Given a construction, (g, t) , we say that g *constructs* t and that t is the *construct* of (g, t) . If t is the only vertex in g then (g, t) is *trivial*. If (g, t) contains exactly one configurator then (g, t) is *basic*.

In spite of the potential existence of loops, constructions are well behaved. In particular, it's easy to understand them recursively, from *the top* down, starting from the construct, the label of its configurator, and then constructions 'inputting' that configurator, all the way down to the *foundations* (see the standard ML code below).

```
type tc = {token : CSpace.token, constructor : CSpace.constructor}
datatype construction = TCPair of tc * construction list
                    | Loop of CSpace.token
                    | Source of CSpace.token ;
```

Informally, elements of the above datatype are considered well-formed if Loops are really loops and repetition of tokens is coherent across the element (we don't need to go into details at the moment).

Foundations From a construction, we can obtain its *foundations*. The foundations are a sequence of tokens which we obtain by going from the construct in opposite direction of the arrows until we either find a cycle (a Loop), or we find a token which is not constructed from anything else (a Source). The formal definition is a bit more complex as it requires us to understand the construction in terms of its *trails*, but it's not necessary to go there for our purposes.

Now, of particular importance when understanding constructions, is the concept of a *generator*, which is essentially just a sub-construction of a construction that constructs the same token.

Definition 11. Let (g, t) be a construction. A *generator* of (g, t) is a construction, (g', t) , such that $g' \subseteq g$.

Furthermore, a construction can *decomposed* recursively, starting with a generator, to obtain a *decomposition*. To understand the structure of such decompositions we need another couple of definitions first.

Definition 12. A *DRI tree* is a directed rooted in-tree⁵, $D = (V, A, iv)$, with $iv : A \rightarrow V \times V$.

Definition 13. Given a DRI tree, D , and a vertex v in D , the *v-branch* of D is the maximal subtree of D which has v as root. Moreover, the set of DRI trees that result from removing v (and all of its incident arrows) from the v -branch is denoted by $D \setminus v$.

The next definition takes a DRI tree, and labels the vertices with constructions in such a way that they *fit* together.

Definition 14. Let $D = (V, A, iv, con)$ be a directed labelled rooted tree such that (V, A, iv) is a DRI tree, and con is a function that labels the vertices with constructions from some construction space. Let t be a token. Then D is a *composition that constructs* t if

1. the root of D is labelled by a construction of t ,
2. every element in $D \setminus root(D)$ is a composition that constructs some token in $con(root(D))$.

It's important to notice that in general, the union of constructions is not necessarily a construction, and in particular, the union of the constructions that label the vertices of a composition is not necessarily a construction. However, when it is a construction we say that the composition is a *decomposition* of the construction.

Definition 15. Let $D = (V, A, iv, con)$ be a composition and let (g, t) be a construction. We say that D is a *decomposition of* (g, t) if D constructs t and

$$g = \bigcup \{g_v : \exists v \in V \exists t_v \text{ con}(v) = (g_v, t_v)\},$$

The datatype in Standard ML that we use to encode compositions looks as follows:

⁵That is, the arrows are directed *towards* the root: the tree is an anti-arborescence.

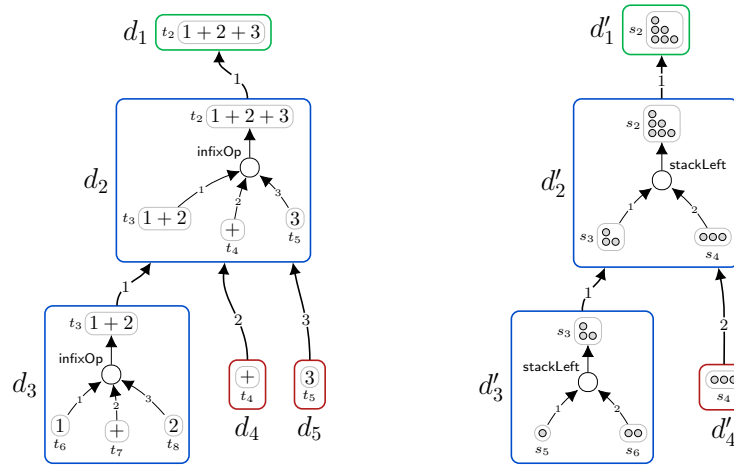
datatype composition =

```
Composition of {construct : CSpace.token,
               attachments : (construction * composition list) list}
```

It looks slightly different to the mathematical definition given above, but it is built like this for convenience. A token always stands out as the construct of the composition, and the attachments are potentially different ways of constructing it, with their respective attachments.

For a structure of this datatype to actually be a composition it just needs to satisfy the property that every construction actually constructs the given token, and that the compositions in the recursion construct tokens in the construction ‘above’ them.

Compositions/decompositions are useful because they are what allows us to do structure transfer. In practice, a construction is decomposed in a way that allows us to transfer the parts of the decomposition, and what we obtain as a result of the structure transfer algorithm is a composition (that may or may not collapse into a single construction). Ultimately, compositions allow us express/compute transformations between large constructions based on much simpler transformations (or correspondences), as the graphs below show intuitively:



2 Summary

The key concepts introduced in this note are *type systems*, *constructions spaces*, *representational systems*, *constructions*, and *decompositions*. It is a lot of information, both for me to write and for you to digest, so I will have a part 2 where I'll introduce *patterns*, *correspondences*, *structure transfer*, and some notes on implementation.

3 Technical appendix

Sequence notations A *finite sequence*, S , of length n over a set A is a function, $S: \{1, \dots, n\} \rightarrow A$, which we write, informally, as a list: $S = [a_1, \dots, a_n]$. The *empty sequence*, $[],$ has length 0. An element, a , *occurs* in $S = [a_1, \dots, a_n]$, denoted $a \in S$, provided $a = a_i$ for some $1 \leq i \leq n$. The set of all sequences over A is denoted $\text{seq}(A)$. The *concatenation* of sequences $S_1 = [a_1, \dots, a_n]$ and $S_2 = [b_1, \dots, b_m]$, denoted $S_1 \oplus S_2$, is $[a_1, \dots, a_n, b_1, \dots, b_m]$. We write $S_1 \oplus \dots \oplus S_n$ to mean the concatenation of n sequences; when $n = 0$, $S_1 \oplus \dots \oplus S_n = []$. Given a sequence of sequences, $[S_1, \dots, S_n]$, and a sequence S , the *right product* of $[S_1, \dots, S_n]$ with S , denoted $[S_1, \dots, S_n] \triangleleft S$, is defined to be $[S_1 \oplus S, \dots, S_n \oplus S]$.

Graph notations A *directed labelled bipartite graph*, which we will simply call a *graph*, is a tuple, $G = (To, Cr, A, iv, index, type, co)$, where: To and Cr are two disjoint sets of *vertices*, A is a set of *arrows*, $iv: A \rightarrow (To \times Cr) \cup (Cr \times To)$ is a function that identifies a pair of *incident vertices* for each arrow, and $index: A \rightarrow \mathbb{N}$ is a function that assigns a label, called an *index*, to each arrow. The functions $type$ and co assign a label to each vertex in To and, resp., Cr . Notably, graphs can have multiple edges and need not be simple. Vertices in To (resp. Cr) will typically be denoted by t, t', t_1 and so forth (resp. u, u', u_1). Vertices in either To or Cr are denoted v, v', v_1 and we set $V = To \cup Cr$. Given any arrow, a , if $iv(a) = (v_1, v_2)$ then the *source* (resp. *target*) of a , denoted $sor(a)$ (resp. $tar(a)$), is v_1 (resp. v_2). Given any vertex, v : the set of *incoming arrows* (resp. *outgoing arrows*), denoted $in_A(v)$ (resp. $out_A(v)$), is $\{a \in A: tar(a) = v\}$ (resp. $\{a \in A: sor(a) = v\}$), and the set of *input vertices* (resp. *output vertices*), denoted $in_V(v)$ (resp. $out_V(v)$), is $\{sor(a): a \in in_A(v)\}$ (resp. $\{tar(a): a \in out_A(v)\}$). Given a graph, G , the *neighbourhood* of vertex v , denoted $Nh(v)$, is the largest subgraph of G whose vertex set is $in_V(v) \cup out_V(v) \cup \{v\}$.