# First Search for Heavy Neutral Leptons with IceCube DeepCore

#### Dissertation

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#### Colophon

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The source code of this thesis is available at:

https://github.com/LeanderFischer/phd\_thesis

### **Abstract**

In icecube, we have many neutrinos, select some very high energy ones, spend 1 year with them to group them in three flavour categories. I guess we will learn something about where they came from by doing this. Pretty normal stuff, not at all racist.

## Zusammenfassung

Im IceCube haben wir viele Neutrinos, von denen wir einige mit sehr hoher Energie auswählen, verbringen 1 Jahr mit ihnen, um sie in drei Geschmackskategorien einzuteilen. Ich vermute, dass wir auf diese Weise etwas darüber erfahren, woher sie kommen. Ziemlich normales Zeug, ganz und gar nicht rassistisch.

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## Sensitivity of IceCube-Gen2 to measure flavour composition of Astrophysical Neutrinos

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This chapter details the sensitivity studies performed for *The IceCube Gen2 detector*. A siginificant portion of this thesis work was dedicated to assess and derive sensitivity to measure the flavour composition of Astrophysical Neutrinos for IceCube Gen2. The detector will be introduced in the following sections, along with the simulations and software framework used to produce the results.

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#### 1.1 IceCube Gen2

IceCube-Gen2 is a proposed next generation of neutrino detector, designed to observe the neutrino sky within a wide energy range, from TeV to EeV [1]. Its sensitivity is expected to be at least five times better than IceCube, enabling the observation of individual sources. The instrument layout is designed to detect about ten times more neutrinos annually as compared to IceCube. This increased capability will facilitate in-depth studies of neutrino distribution across the sky, energy spectrum, and flavor composition and beyond standard model physics.

[1]: Aartsen et al. (2021), *IceCube-Gen2:* the window to the extreme Universe

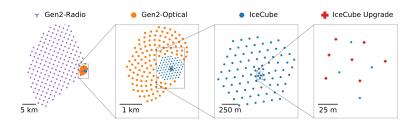


Figure 1.1: Figure depicts the proposed IceCube-Gen2 Neutrino Observatory facility at the South Pole. It includes (from left to right) (i) a radio array with 200 stations, (ii) 120 new in-ice strings, spaced 240 m apart (shown as orange points), as an expansion of (iii) current optical array, (iv) 7 strings of IceCube upgrade, to be deployed soon within currrent in-ice DeepCore volume. Figure taken from [1]

Figure 1.1 illustrates a top view of the IceCube-Gen2 facility, showcasing its various components using optimized technologies for the targeted energy ranges.

The IceCube Upgrade will start deployment this season. Its goal is to lower the detection threshold for neutrinos to 1 GeV (In-line with its predecessor, DeepCore in current IceCube). This improvement will advance oscillation measurements, dark matter searches, and studies of physics beyond the Standard Model. The IceCube Upgrade project will also deploy 693 new types of multi-PMT detector modules, providing an opportunity to test the optical sensor technology for the IceCube-Gen2 observatory.

The surface array of IceCube-Gen2 is a unique setup where the surface array measures the electromagnetic shower component and low-energy muons, while the optical array detects TeV and potentially PeV muons from the same air shower [2]. Planned to be used similarly as *IceTop* of IceCube, the stations shall be placed on top of the additional *in-ice* strings of optical array. It can also be used as *surface veto* to reduce the background of atmospheric muons in samples of astrophysical neutrinos from the southern sky.

cite Upgrade paper, ICRC 2019 from Aya?

[2]: IceCube Collaboration et al. (2024), IceCube-Gen2 Surface Array: Science Case and Plans [3]: Askar'yan (1961), Excess negative charge of an electron-photon shower and its coherent radio emission

[4]: Meyers et al. (2021), Radio Detection of EeV Neutrinos in Dielectric Media using the Askaryan Effect\* \*for Babies

[5]: Lünemann et al. (2017), In-ice self-veto techniques for IceCube-Gen2
[6]: Omeliukh et al. (2021), Optimization of the optical array geometry for IceCube-Gen2

cite TDR link here? or is whitepaper ok?

cite the simulation section here and not the chapter

[7]: "Abbasi et al. (2023), The IceCube Gen2 Technical Design Report

[8]: Classen et al. (2017), The mDOM - A multi-PMT digital optical module for the IceCube-Gen2 neutrino telescope
[9]: Classen et al. (2019), A multi-PMT Optical Module for the IceCube Upgrade

[10]: Abbasi et al. (2023), D-Egg: a dual PMT optical module for IceCube

#### pDOM

pDOM stands for PINGU Digital Optical Module. It was first coined for an R&D upgrade of IceCube Deep-Core called **PINGU** (The Precision IceCube Next Generation Upgrad) [11]. pDOM has a High Quantum Efficiency PMT, making it very similar

to DOMs in IceCube DeepCore. The

The Radio array aims to discover and characterize high-energy neutrino flux above 10 PeV. It detects nanosecond-scale radio emissions from ultra-high-energy particle showers using the Askaryan effect [3, 4]. This technique is sensitive to energies above PeV and complements the energy range of the optical array by capturing radio emissions from neutral and charged-current interactions, as well as energy losses of secondary leptons.

The optical array includes addition of 120 new strings to the existing IceCube strings with an average horizontal spacing of 240 m arranged in what is reffered to as *sunflower geometry*. Both, the shape and of the array and spacing between the strings were derived by doing dedicated geometry optimization studies [5, 6]. Each string hosts 80 modules, totaling 9600 new modules, placed between 1325 m and 2575 m below the surface. Vertical spacing between modules amounts to 16 m, resulting in an instrumented geometric volume of 7.9 km<sup>3</sup>. Each module on the string is assumed to collect nearly three times as many photons as an IceCube DOM.

For the sensitivity study presented in this thesis, only the optical part of the proposed detector was simulated and used.

#### 1.2 Simulation

To perform this sensitivity study, dedicated simulations were carried out. The study aims not only to assess the sensitivity of IceCube-Gen2 in measuring the flavor composition of astrophysical neutrinos but also to evaluate its capabilities in detecting tau neutrino events, which is a crucial component as described in ??. The simulations were aligned with the mainline IceCube simulations (detailed in ??) to enable direct comparisons. However, necessary modifications were made to account for the new-generation optical sensors to be used in IceCube Gen2 and the sparser geometry. The following sections will describe the event samples created using these simulations to conduct the sensitivity analysis.

#### 1.2.1 Isotropic Sensor

The optical sensors to be used in the IceCube-Gen2 project depends a lot on how well the reference optical sensors to be deployed in the IceCube upgrade perform.[7]. The designs have been carefully optimized to balance cost-effectiveness, logistical efficiency, and enhanced performance. Figure 1.2 shows both the 16 and 18 PMT modules, which are being considered to use in IceCube Gen2, along with **mDOM** (*multi PMT Digital Optical Module*) [8, 9] and **D-Egg** (*Dual optical sensors in an Ellipsoid Glass for Gen2*) [10] that are to be deployed in ice for IceCube Upgrade.

The simulations produced for this study includes the shape and characteristics of a module like the mDOM.. Unlike IceCube's single large 10" PMT, the mDOM consists of 24 smaller 3" PMTs. The key advantages of the mDOM over pDOM are its 2.2 times higher effective photocathode area, omnidirectional sensitivity, and the directional information obtained from the individual "pixels" (the 24 PMTs). Due to the large number of PMTs and their strategic placement within the module sphere, this



Figure 1.2: The designs of the IceCube-Gen2 optical sensors, DOM-16(second) and DOM-18 (third) with their base designs, to be used in the IceCube Upgrade sensors, are the mDOM on the left and D-Egg on the right [7]

module offers nearly isotropic angular acceptance, unlike IceCube DOMs with only one downward-facing PMT.

However, all the existing sophisticated methods do not yet provide a full-scale simulated multi-PMT modules. Hence, a simulated sensor called *isoPDOM* (isotropic-PDOM) is used. This sensor can be visualized as (see Figure 1.3) a 'spherical PMT' housed in a glass vessel similar to an IceCube DOM but with 2.2 times higher quantum efficiency (or pDOM).

#### 1.2.2 Event Selection

Monte Carlo events were produced using the so-called isoPDOM for all three flavors of primary neutrinos with energies ranging from 100 TeV to 50 PeV. The simulation chain is identical to that described in ??. It is important to note that since the IceCube in-ice array is inherently part of the proposed Gen2 detector, all simulated events still include 'hits' from the IC86 configuration. Additionally, during the DetectorSim stage of the simulation chain, where PMT responses, noise, etc., are added, responses are incorporated separately for IceCube DOMs and isoPDOMs. If an event passes all the basic triggers, two separate triggers are stored depending on the event location: IC86 and ICGen2. By default, ICGen2 has a combined response of both detector configurations, while IC86 only contains current IceCube volume events. This feature is crucial as it facilitates direct comparison of events produced with IceCube simulations for IceCube-only analyses.

#### 1.3 Analysis Concept and tools

### 1.4 Result of Flavour Sensitivity Measurements



**Figure 1.3:** Conceptual representation of Simulated sensor with isotropic angular acceptance (iso-pDOM)



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