

*Operating  
Systems:  
Internals  
and  
Design  
Principles*

## Chapter 10 Multiprocessor, Multicore and Real-Time Scheduling

### Classifications of Multiprocessor Systems

#### Loosely coupled or distributed multiprocessor, or cluster

- consists of a collection of relatively autonomous systems, each processor having its own main memory and I/O channels

#### Functionally specialized processors

- there is a master, general-purpose processor; specialized processors are controlled by the master processor and provide services to it

#### Tightly coupled multiprocessor

- consists of a set of processors that share a common main memory and are under the integrated control of an operating system

## Multiprocessor and Multicore Scheduling

Grain Size	Description	Synchronization Interval (Instructions)
Fine	Parallelism inherent in a single instruction stream.	<20
Medium	Parallel processing or multitasking within a single application	20-200
Coarse	Multiprocessing of concurrent processes in a multiprogramming environment	200-2000
Very Coarse	Distributed processing across network nodes to form a single computing environment	2000-1M
Independent	Multiple unrelated processes	not applicable

Synchronization Granularity and Processes

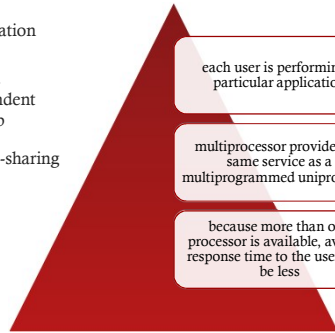
## Independent Parallelism

- No explicit synchronization among processes
  - each represents a separate, independent application or job
- Typical use is in a time-sharing system

each user is performing a particular application

multiprocessor provides the same service as a multiprogrammed uniprocessor

because more than one processor is available, average response time to the users will be less



## Medium-Grained Parallelism

- Single application can be effectively implemented as a collection of threads within a single process
  - programmer must explicitly specify the potential parallelism of an application
  - there needs to be a high degree of coordination and interaction among the threads of an application, leading to a medium-grain level of synchronization
- Because the various threads of an application interact so frequently, scheduling decisions concerning one thread may affect the performance of the entire application



## Coarse and Very Coarse Grained Parallelism

- Synchronization among processes, but at a very gross level
- Good for concurrent processes running on a multiprogrammed uniprocessor
  - can be supported on a multiprocessor with little or no change to user software

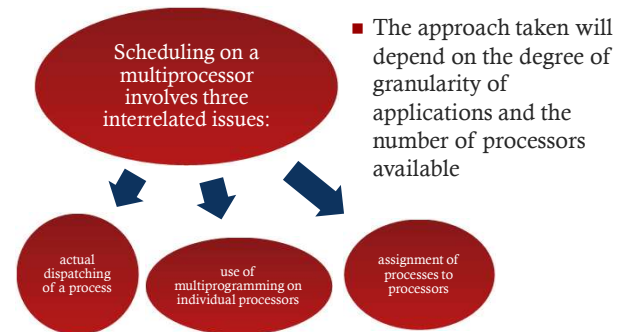


## Fine-Grained Parallelism

- Represents a much more complex use of parallelism than is found in the use of threads
- Is a specialized and fragmented area with many different approaches

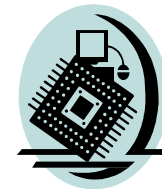


## Design Issues

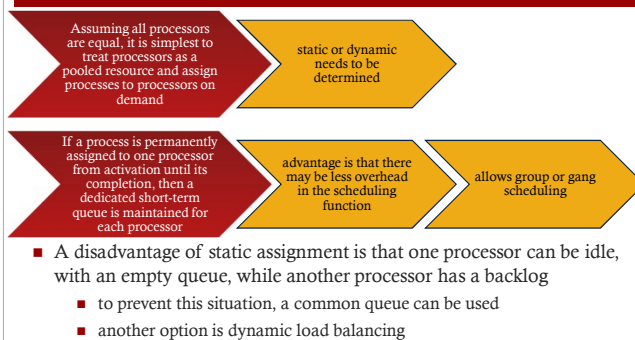


## Assignment of Processes to Processors

- Both dynamic and static methods require some way of assigning a process to a processor
- Approaches:
  - Master/Slave
  - Peer



## Assignment of Processes to Processors



## Master/Slave Architecture

- Key kernel functions always run on a particular processor
- Master is responsible for scheduling
- Slave sends service request to the master
- Is simple and requires little enhancement to a uniprocessor multiprogramming operating system
- Conflict resolution is simplified because one processor has control of all memory and I/O resources

### Disadvantages:

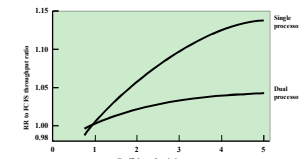
- failure of master brings down whole system
- master can become a performance bottleneck

## Peer Architecture

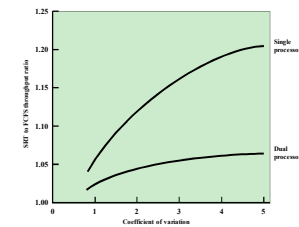
- Kernel can execute on any processor
- Each processor does self-scheduling from the pool of available processes

### Complicates the operating system

- operating system must ensure that two processors do not choose the same process and that the processes are not somehow lost from the queue



(a) Comparison of RR and FCFS



(b) Comparison of SRT and FCFS

Comparison of Scheduling Performance for One and Two Processors

## Process Scheduling

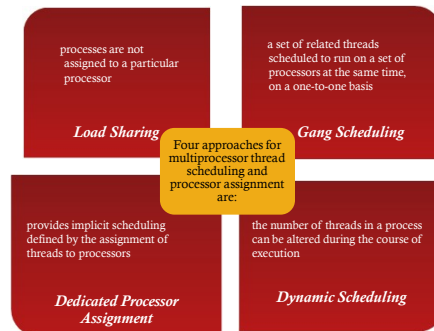
- Usually processes are not dedicated to processors
- A single queue is used for all processors
  - if some sort of priority scheme is used, there are multiple queues based on priority
- System is viewed as being a multi-server queuing architecture



## Thread Scheduling

- Thread execution is separated from the rest of the definition of a process
- An application can be a set of threads that cooperate and execute concurrently in the same address space
- On a uniprocessor, threads can be used as a program structuring aid and to overlap I/O with processing
- In a multiprocessor system threads can be used to exploit true parallelism in an application
- Dramatic gains in performance are possible in multi-processor systems
- Small differences in thread management and scheduling can have an impact on applications that require significant interaction among threads

## Approaches to Thread Scheduling



## Disadvantages of Load Sharing

- Central queue occupies a region of memory that must be accessed in a manner that enforces mutual exclusion
  - can lead to bottlenecks
- Preemptive threads are unlikely to resume execution on the same processor
  - caching can become less efficient
- If all threads are treated as a common pool of threads, it is unlikely that all of the threads of a program will gain access to processors at the same time
  - the process switches involved may seriously compromise performance



## Load Sharing

- Simplest approach and carries over most directly from a uniprocessor environment

### Advantages:

- load is distributed evenly across the processors
- no centralized scheduler required
- the global queue can be organized and accessed using any of the schemes discussed in Chapter 9

- Versions of load sharing:

- first-come-first-served
- smallest number of threads first
- preemptive smallest number of threads first



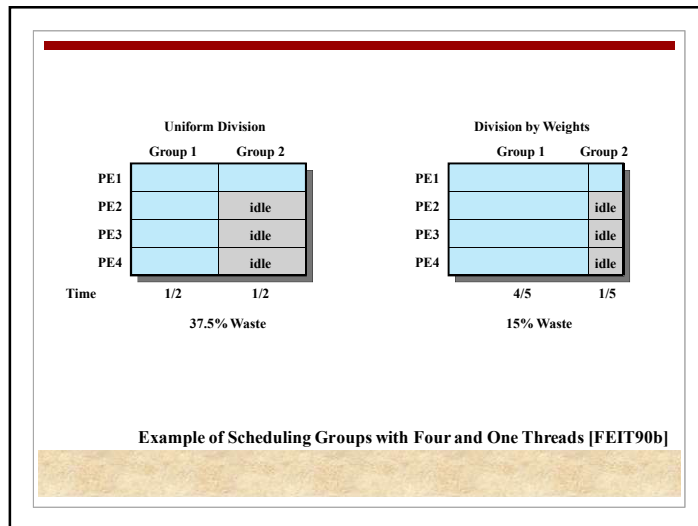
## Gang Scheduling

- Simultaneous scheduling of the threads that make up a single process

### Benefits:

- synchronization blocking may be reduced, less process switching may be necessary, and performance will increase
- scheduling overhead may be reduced

- Useful for medium-grained to fine-grained parallel applications whose performance severely degrades when any part of the application is not running while other parts are ready to run
- Also beneficial for any parallel application



Number of threads per application	Matrix multiplication	FFT
1	1	1
2	1.8	1.8
4	3.8	3.8
8	6.5	6.1
12	5.2	5.1
16	3.9	3.8
20	3.3	3
24	2.8	2.4

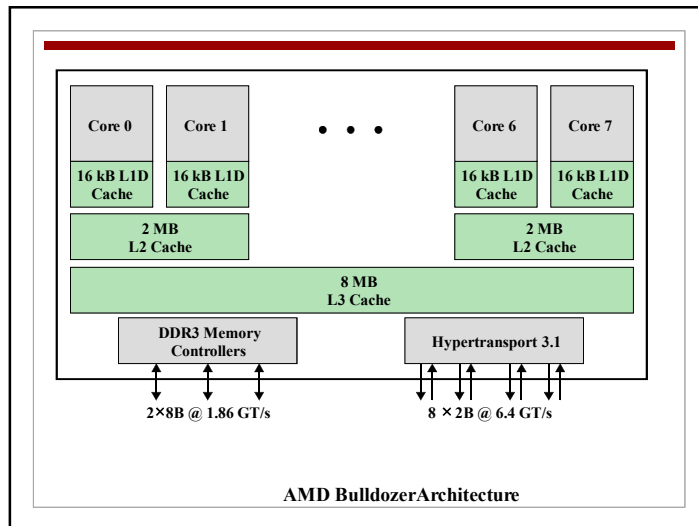
**Application Speedup as a Function of Number of Threads**

## Dedicated Processor Assignment

- When an application is scheduled, each of its threads is assigned to a processor that remains dedicated to that thread until the application runs to completion
- If a thread of an application is blocked waiting for I/O or for synchronization with another thread, then that thread's processor remains idle
  - there is no multiprogramming of processors
- Defense of this strategy:
  - in a highly parallel system, with tens or hundreds of processors, processor utilization is no longer so important as a metric for effectiveness or performance
  - the total avoidance of process switching during the lifetime of a program should result in a substantial speedup of that program

## Dynamic Scheduling

- For some applications it is possible to provide language and system tools that permit the number of threads in the process to be altered dynamically
  - this would allow the operating system to adjust the load to improve utilization
- Both the operating system and the application are involved in making scheduling decisions
- The scheduling responsibility of the operating system is primarily limited to processor allocation
- This approach is superior to gang scheduling or dedicated processor assignment for applications that can take advantage of it



## Real-Time Scheduling

## Cache Sharing

### Cooperative resource sharing

- Multiple threads access the same set of main memory locations
- Examples:
  - applications that are multithreaded
  - producer-consumer thread interaction

### Resource contention

- Threads, if operating on adjacent cores, compete for cache memory locations
- If more of the cache is dynamically allocated to one thread, the competing thread necessarily has less cache space available and thus suffers performance degradation
- Objective of contention-aware scheduling is to allocate threads to cores to maximize the effectiveness of the shared cache memory and minimize the need for off-chip memory accesses

## Real-Time Systems

- The operating system, and in particular the scheduler, is perhaps the most important component

### Examples:

- control of laboratory experiments
- process control in industrial plants
- robotics
- air traffic control
- telecommunications
- military command and control systems



- Correctness of the system depends not only on the logical result of the computation but also on the time at which the results are produced
- Tasks or processes attempt to control or react to events that take place in the outside world
- These events occur in "real time" and tasks must be able to keep up with them

## Hard and Soft Real-Time Tasks

### Hard real-time task

- one that must meet its deadline
- otherwise it will cause unacceptable damage or a fatal error to the system

### Soft real-time task

- has an associated deadline that is desirable but not mandatory
- it still makes sense to schedule and complete the task even if it has passed its deadline



## Characteristics of Real Time Systems

Real-time operating systems have requirements in five general areas:

Determinism

Responsiveness

User control

Reliability

Fail-soft operation

## Periodic and Aperiodic Tasks

### ■ Periodic tasks

- requirement may be stated as:
  - once per period  $T$
  - exactly  $T$  units apart

### ■ Aperiodic tasks

- has a deadline by which it must finish or start
- may have a constraint on both start and finish time

## Determinism

- Concerned with how long an operating system delays before acknowledging an interrupt
- Operations are performed at fixed, predetermined times or within predetermined time intervals
  - when multiple processes are competing for resources and processor time, no system will be fully deterministic

The extent to which an operating system can deterministically satisfy requests depends on:

the speed with which it can respond to interrupts

whether the system has sufficient capacity to handle all requests within the required time



## Responsiveness

- Together with determinism make up the response time to external events
  - critical for real-time systems that must meet timing requirements imposed by individuals, devices, and data flows external to the system
- Concerned with how long, after acknowledgment, it takes an operating system to service the interrupt

### Responsiveness includes:

- amount of time required to initially handle the interrupt and begin execution of the interrupt service routine (ISR)
- amount of time required to perform the ISR
- effect of interrupt nesting

## Reliability

- More important for real-time systems than non-real time systems
- Real-time systems respond to and control events in real time so loss or degradation of performance may have catastrophic consequences such as:
  - financial loss
  - major equipment damage
  - loss of life



## User Control



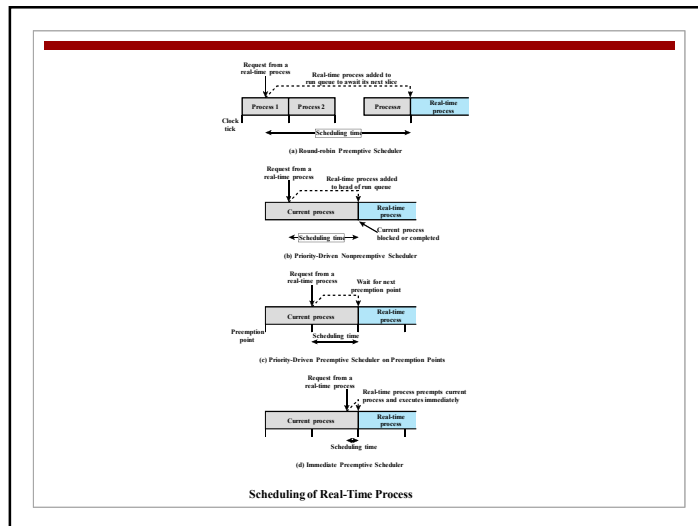
- Generally much broader in a real-time operating system than in ordinary operating systems
- It is essential to allow the user fine-grained control over task priority
- User should be able to distinguish between hard and soft tasks and to specify relative priorities within each class
- May allow user to specify such characteristics as:



## Fail-Soft Operation

- A characteristic that refers to the ability of a system to fail in such a way as to preserve as much capability and data as possible
- Important aspect is stability
  - a real-time system is stable if the system will meet the deadlines of its most critical, highest-priority tasks even if some less critical task deadlines are not always met





## Classes of Real-Time Scheduling Algorithms

### Static table-driven approaches

- performs a static analysis of feasible schedules of dispatching
- result is a schedule that determines, at run time, when a task must begin execution

### Static priority-driven preemptive approaches

- a static analysis is performed but no schedule is drawn up
- analysis is used to assign priorities to tasks so that a traditional priority-driven preemptive scheduler can be used

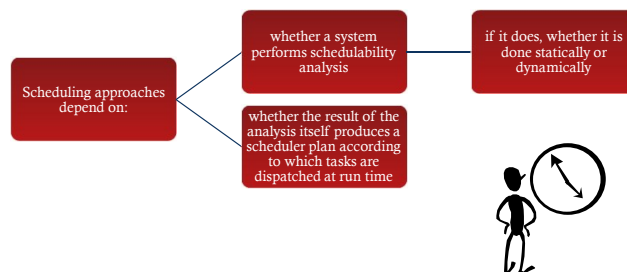
### Dynamic planning-based approaches

- feasibility is determined at run time rather than offline prior to the start of execution
- one result of the analysis is a schedule or plan that is used to decide when to dispatch this task

### Dynamic best effort approaches

- no feasibility analysis is performed
- system tries to meet all deadlines and aborts any started process whose deadline is missed

## Real-Time Scheduling

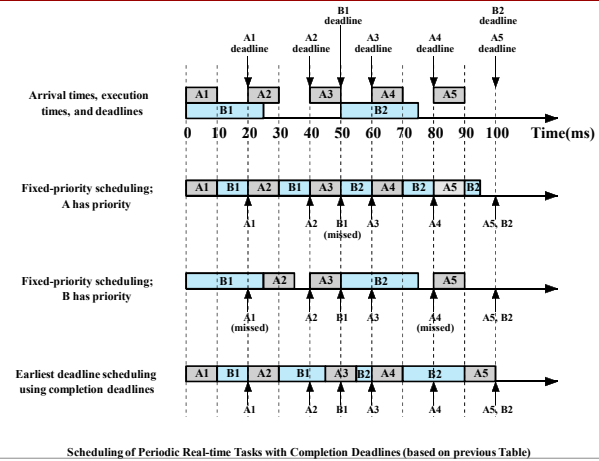
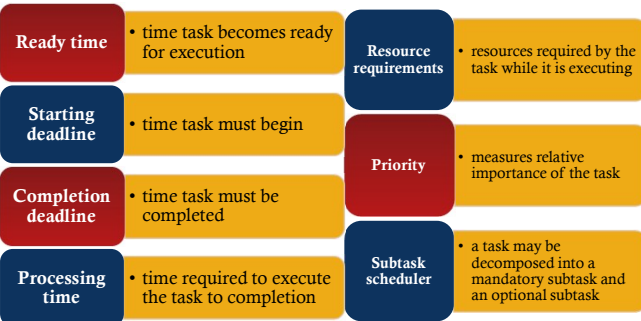


## Deadline Scheduling

- Real-time operating systems are designed with the objective of starting real-time tasks as rapidly as possible and emphasize rapid interrupt handling and task dispatching
- Real-time applications are generally not concerned with sheer speed but rather with completing (or starting) tasks at the most valuable times
- Priorities provide a crude tool and do not capture the requirement of completion (or initiation) at the most valuable time



## Information Used for Deadline Scheduling



## Execution Profile of Two Periodic Tasks

Process	Arrival Time	Execution Time	Ending Deadline
A(1)	0	10	20
A(2)	20	10	40
A(3)	40	10	60
A(4)	60	10	80
A(5)	80	10	100
•	•	•	•
•	•	•	•
•	•	•	•
B(1)	0	25	50
B(2)	50	25	100
•	•	•	•
•	•	•	•
•	•	•	•

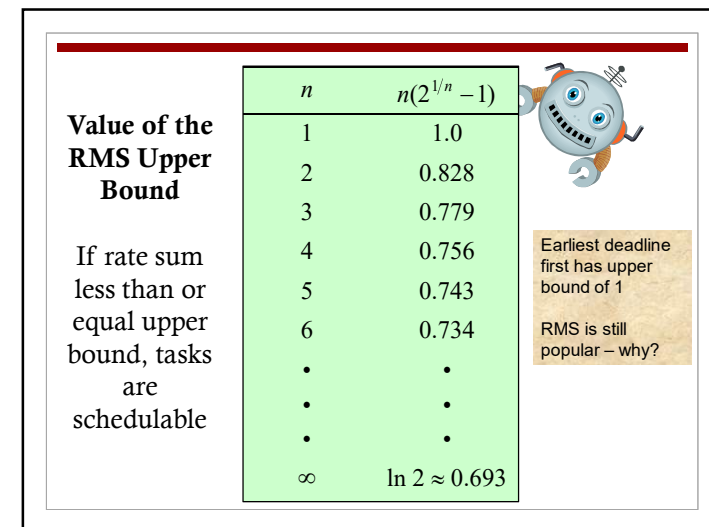
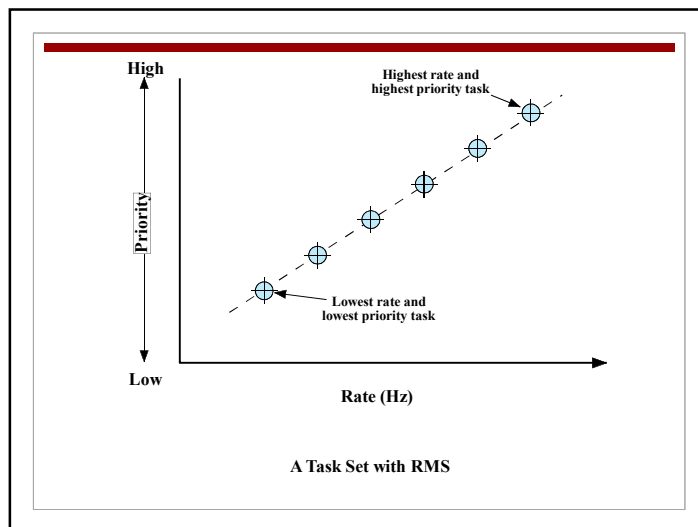
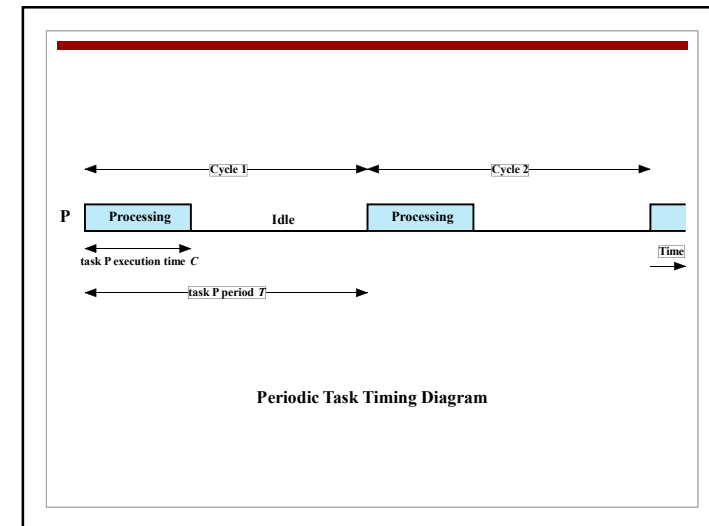
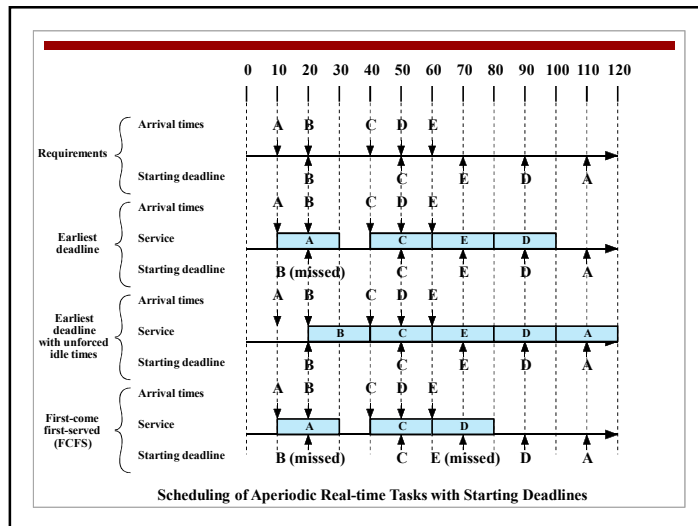
Processor Utilization: are both periodic tasks schedulable in the long run?

## Execution Profile of Five Aperiodic Tasks

Process	Arrival Time	Execution Time	Starting Deadline
A	10	20	110
B	20	20	20
C	40	20	50
D	50	20	90
E	60	20	70

How to schedule these tasks such that start deadlines are met (or alternatively, the fewest deadlines are missed)?

- Using pre-emption?
- FCFS?
- Earliest deadline?
- Others?



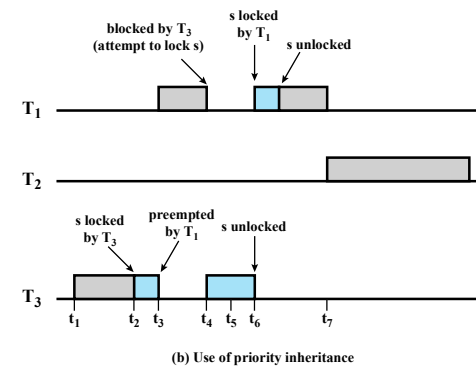
## Priority Inversion

- Can occur in any priority-based preemptive scheduling scheme
- Particularly relevant in the context of real-time scheduling
- Best-known instance involved the Mars Pathfinder mission
- Occurs when circumstances within the system force a higher priority task to wait for a lower priority task

### Unbounded Priority Inversion

- the duration of a priority inversion depends not only on the time required to handle a shared resource, but also on the unpredictable actions of other unrelated tasks

## Priority Inheritance



## Unbounded Priority Inversion

