



Block Ciphers

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Block encryption

Block cipher model and security definition

Data Encryption Standard (DES)

Rijndael and AES

Block encryption

The trouble with stream encryption

Stream encryption requires attention

- (1) Diversifier collisions are fatal and avoiding them is seen as difficult
 - taking a counter for D :
 - ▶ implies *keeping state* in between messages
 - ▶ in some architectures this is problematic
 - generating D randomly
 - ▶ generating high quality randomness is hard
 - ▶ there remains a risk of collisions
- (2) It does not protect integrity of the plaintext
 - adversary can flip individual bits in ciphertext
 - ... flipping corresponding bits in plaintext
 - this is likely to go undetected by message recipient

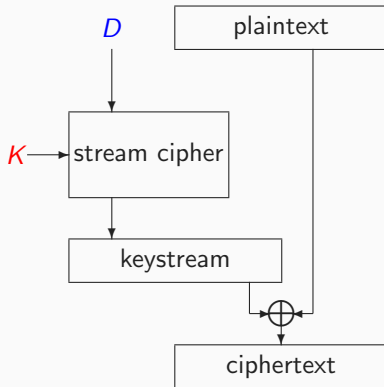
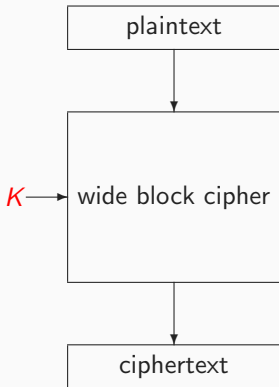
Some see the answer to these issues in different type of encryption

Block Encryption

Block encryption, ideally

- ▶ Encryption as a scrambling recipe
 - transforming the full plaintext by a sequence of operations
 - (some of) these transformations depend on a secret key K
 - it must be **invertible**: there must be a recipe for decryption
 - ciphertext is as long as the plaintext (...or a little longer)
- ▶ Such a recipe is called a **wide block cipher**, considered secure if:
 - it maps similar plaintexts to seemingly unrelated ciphertexts
 - ...and vice versa
 - and this map is completely different for different keys K
- ▶ How does this address concerns of stream encryption?
 - *similar* plaintexts give unrelated ciphertexts, so *no need for* D
 - small changes in ciphertext give a completely different plaintext
- ▶ But ...
 - some leakage remains: equal messages give equal ciphertexts
 - tamper detection isn't absolute: requires redundant plaintext
 - what about protection against replay attacks?

Block vs. stream encryption illustrated

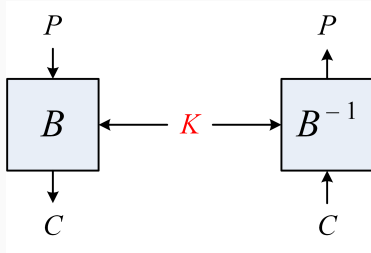


Block encryption in practice

- ▶ Problem: building a wide block cipher may be hard
 - until a few years ago in experimental stage
 - as *modes* of underlying primitives
- ▶ The established block ciphers have fixed length
 - best known: DES (8-byte plaintexts) and AES (16-byte)
 - longer plaintexts require splitting in blocks and padding
 - ... and the application of **modes** (see later)
- ▶ By fixing length, *advantages* of block encryption evaporate
 - unless we tolerate more leakage
 - redundancy in plaintext no longer provides integrity
- ▶ But we still will treat block ciphers in this course
 - most real world symmetric crypto still based on block ciphers
 - we'll look upon them as we do now on rotor machines

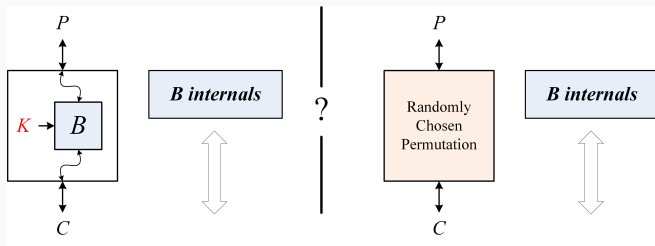
Block cipher model and security definition

Block cipher definition



- ▶ Permutation B operating on $\{0,1\}^b$ with b the block length
 - parameterized by a secret key: B_K
 - with an inverse B_K^{-1} that should be efficient
- ▶ Computing $C = B_K(P)$ or $P = B_K^{-1}(C)$ should be
 - efficient knowing the secret key K
 - infeasible otherwise
- ▶ Dimensions: block length b and key length $|K|$

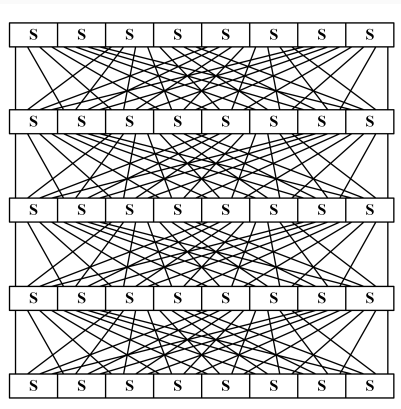
Pseudorandom Permutation (PRP) security



- Infeasibility to distinguish B_K from randomly chosen permutation
- Advantage as $\epsilon(M, N)$
 - Q_s to $B_K^{(-1)}$ or $RCP^{(-1)}$: online or data complexity M
 - Q_c to B internals: offline or computational complexity N
- PRP if adversary can only make forward queries, SPRP if both forward and inverse ones
- Per default, block cipher considered secure if $\text{SPRP Adv} = N2^{-|K|}$

Data Encryption Standard (DES)

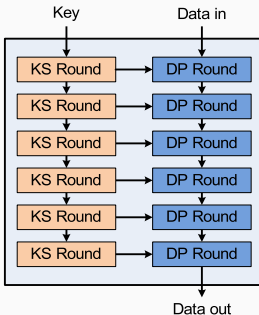
Product cipher [Claude Shannon, 1949] and SPN



Round function in data path with two (or three) layers

- ▶ non-linear substitution layer: S-boxes applied in parallel
- ▶ permutation layer: moves bits to different S-box positions
- ▶ *K*?: either key-dependent S-boxes or third layer of *key addition*

Iterative block ciphers

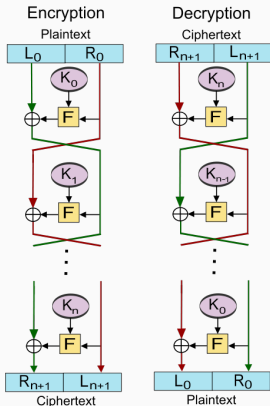


- Data path (right): transforms input data to output data
 - iteration of a non-linear round function
 - ... that depends on a round key
- Key schedule (left)
 - generates round keys from cipher key K

Data encryption standard (DES)

- ▶ Standard by and for US government
- ▶ By National Institute for Standardization and Technology (NIST)
- ▶ Designed by IBM in collaboration with NSA
- ▶ 1977: Federal Information Processing Standard (FIPS) 46
 - complete block cipher specification
 - block length: 64 bits, key length: 56 bits
 - no design rationale
 - freely usable
- ▶ Massively adopted by banks and industry worldwide
- ▶ Dominated symmetric crypto for more than 20 years

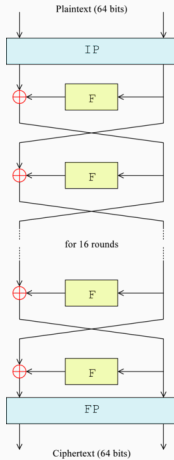
The Feistel structure



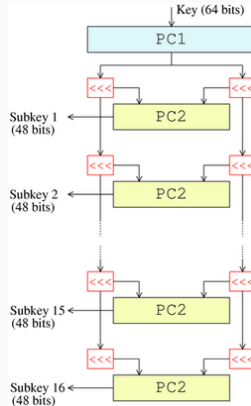
- State: left half L and right half R
- Alternation of involutions
 - apply F to R_i and add to L_i
 - swap left and right
- Omit swap in last round
- B^{-1} similar to B
 - same operation sequence
 - round keys in reversed order
- No need for F^{-1}
- Used in DES

Data encryption standard: overview

data path

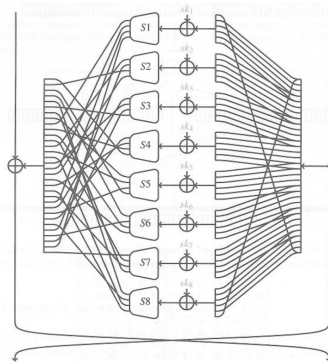
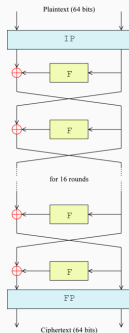


key schedule



- ▶ Data path
 - 16-round Feistel
 - Initial (IP) and final permutations (FP):
 - ▶ no cryptographic significance
 - ▶ historical, due to addressing in hardware implementation
- ▶ Key schedule
 - 8 bits thrown away in permuted choice 1 (PC1)
 - remaining 56-bit string
 - ▶ split in two 28-bit strings
 - ▶ rotated for each round over 1 or 2 bits
 - 48-bit round key obtained with PC2 of these 56 bits
 - each round key bit is just a cipher key bit

Data encryption standard: F-function



- Variant of SPN with 4 layers:
 - expansion E: from 32 to 48 bits
 - bitwise round key addition
 - substitution: 8 different 6-to-4 bit non-linear S-boxes
 - permutation P: moving nearby bits to remote positions
- Clearly hardware-oriented

Non-ideal DES property: Weak Keys

- ▶ What happens in the case of $K = 0^*$: the all-zero cipher key?
 - all round keys are all-zero
 - all rounds are the same
 - cipher and its inverse are the same
- ▶ Same is true for $K = 1^*$: the all-one cipher key
- ▶ And two more keys due to symmetry in key schedule
- ▶ These keys, including 0^* and 1^* are called *weak keys* K_w :

$$\text{DES}_{K_w} \circ \text{DES}_{K_w} = I$$

- ▶ Also 6 semi-weak key pairs (K_1, K_2)

$$\text{DES}_{K_1} \circ \text{DES}_{K_2} = I$$

- ▶ Mostly of academic interest

Non-ideality in DES: Complementation Property

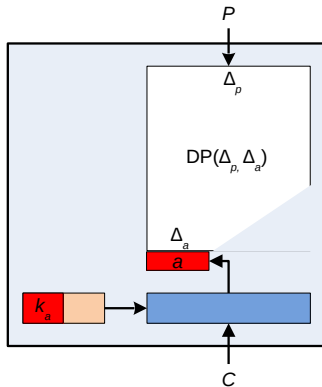
- ▶ What happens if we complement the cipher input?
 - flip all bits in key
 - flip all bits in plaintext
- ▶ In first round
 - input to F complemented so output of E complemented
 - round key also complemented so input to S-boxes unaffected
 - output of F unaffected
- ▶ Output of first round is simply complemented
- ▶ Repeat this until you reach the ciphertext
- ▶ Complementation property:

$$DES_K(P) = C \Leftrightarrow DES_{\bar{K}}(\bar{P}) = \bar{C}$$

- ▶ Reduces security strength from 56 to 55 due to speed up of exhaustive key search

Differential cryptanalysis [basic idea, more about it later]

- ▶ Statistical attack with following distinguisher:
 - inputs P_i and P_i^* with $P_i \oplus P_i^* = \Delta_p$
 - lead to difference Δ_a at input of last round
 - with relatively high probability $DP(\Delta_p, \Delta_a)$
- ▶ Requires about $1/DP(\Delta_p, \Delta_a)$ input/output pairs
- ▶ Many variants exist



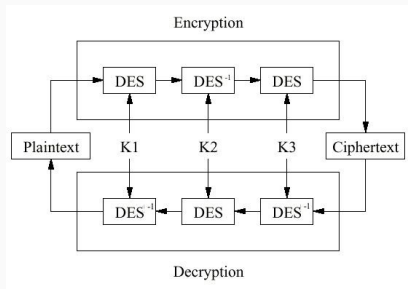
Breaking DES: differential and linear cryptanalysis (DC & LC)

- ▶ Differential cryptanalysis attack by Eli Biham and Adi Shamir, 1990
 - Requires $M \approx 2^{47}$ (1000 TeraByte) **chosen** plaintexts
 - Breaks DES because total complexity for the break is below 2^{55}
 - No real-world relevance: unrealistic amount of data required
- ▶ Linear cryptanalysis attack by Mitsuru Matsui, 1992
 - Also statistical attack, *the dual of DC*
 - Requires about $M \approx 2^{43}$ (64 TeraByte) **known** plaintexts
 - Less data than DC but still unrealistic amount for real-world attack
- ▶ Academic relevance:
 - provided first systematic design criterion for block ciphers
 - LC/DC resistance is basis of modern symmetric crypto design

The real problem of DES: the short key

- ▶ Exhaustive key search: about 3.6×10^{14} trials
- ▶ More than 21 years ago: “software” cracking
 - about 10.000 workstations, each 500.000 trials/second
 - expected time: 7.200.000 seconds: 2,5 months
 - applied in cracking RSA labs DES challenge, June '97
- ▶ Cracking using dedicated hardware
 - COPACOBANA RIVYERA (2008)
 - board with 128 Spartan-3 5000 FPGAs, costs about 10.000\$
 - finds a DES key in less than a day
- ▶ Following Moore's law, same budget would now give < 10 minutes
- ▶ Short DES key is real-world concern!

Triple DES (FIPS 46-2 and 46-3)



- ▶ Double DES allows meet-in-the-middle attacks
- ▶ Three variants of Triple-DES
 - 3-key: 168-bit key, only option allowed by NIST
 - 2-key: 112-bit key by taking $K_3 = K_1$
 - ▶ still massively deployed by banks worldwide
 - 1-key: 56-bit key by taking $K_3 = K_2 = K_1$

Rijndael and AES

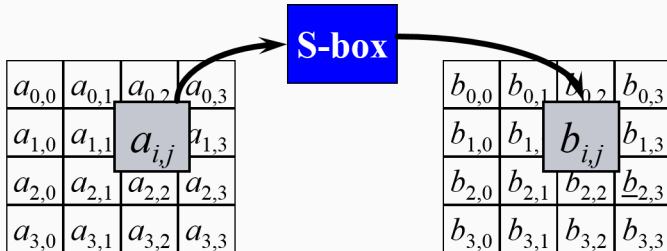
The AES competition

- ▶ NIST launches the AES open contest to replace DES in 1997
 - 128-bit block length, 128-, 192- and 256-bit keys
 - specs, code, design rationale and preliminary analysis
 - Vincent Rijmen and I submitted RIJNDAEL
- ▶ First round: August 1998 to August 1999
 - 15 candidates at 1st AES conference in Ventura, California
 - analysis presented at 2nd AES conf. in Rome, March 1999
 - NIST narrowed down to 5 finalists using this analysis
- ▶ Second round: August 1999 to summer 2000
 - analysis presented at 3rd AES conf. in New York, April 2000
 - NIST selected winner using this analysis: RIJNDAEL
- ▶ NIST motivated their choice in two reports

- ▶ Block cipher with block and key lengths $\in \{128, 160, 192, 224, 256\}$
 - set of 25 block ciphers
 - AES limits block length to 128 and key length to multiples of 64

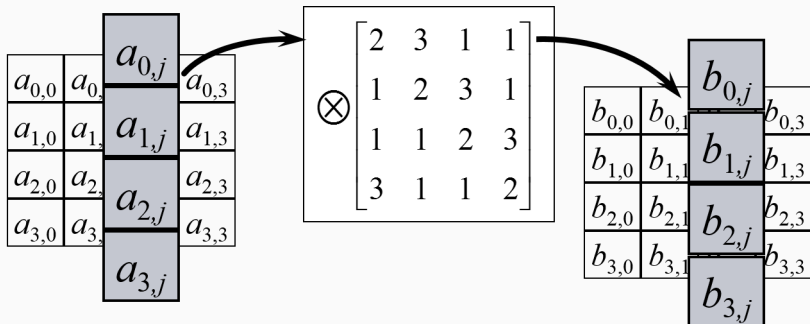
we only treat AES in this course
- ▶ Iteration of a round function with following properties:
 - 4 layers: nonlinear, shuffling, mixing and round key addition
 - all rounds are identical
 - ... except for the round keys
 - ... and omission of mixing layer in last round
 - parallel and symmetric
- ▶ Key schedule
 - Expansion of cipher key to round key sequence
 - Recursive procedure that can be done in-place
- ▶ Manipulates bytes rather than bits

The non-linear layer: SubBytes



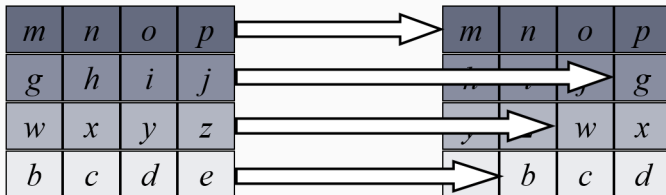
- ▶ The same invertible S-box applied to all bytes of the state
- ▶ Assembled from building blocks that were proposed and analyzed in cryptographic literature
- ▶ Criteria:
 - to offer resistance against DC, LC and *algebraic* attacks
 - ...when combined with the other layers

The mixing layer: MixColumns



- ▶ Same invertible mapping applied to all 4 columns
 - ▶ Multiplication by a 4×4 circulant matrix [for info: in \mathbb{F}_{2^8}]
 - difference in single input byte propagates to 4 output bytes
 - difference in 2 input bytes propagates to 3 output bytes
 - difference in 3 input bytes propagates to 2 output bytes
- \Rightarrow we say: it has *branch number* 5

The shuffling layer: ShiftRows



- Each row is shifted by a different amount
- Different shift offsets for higher block lengths
- Moves bytes in a given column to 4 different columns
- Combined with MixColumns and SubBytes this gives fast diffusion

Round key addition: AddRoundKey

$$\begin{array}{|c|c|c|c|} \hline a_{0,0} & a_{0,1} & a_{0,2} & a_{0,3} \\ \hline a_{1,0} & a_{1,1} & a_{1,2} & a_{1,3} \\ \hline a_{2,0} & a_{2,1} & a_{2,2} & a_{2,3} \\ \hline a_{3,0} & a_{3,1} & a_{3,2} & a_{3,3} \\ \hline \end{array} + \begin{array}{|c|c|c|c|} \hline k_{0,0} & k_{0,1} & k_{0,2} & k_{0,3} \\ \hline k_{1,0} & k_{1,1} & k_{1,2} & k_{1,3} \\ \hline k_{2,0} & k_{2,1} & k_{2,2} & k_{2,3} \\ \hline k_{3,0} & k_{3,1} & k_{3,2} & k_{3,3} \\ \hline \end{array} = \begin{array}{|c|c|c|c|} \hline b_{0,0} & b_{0,1} & b_{0,2} & b_{0,3} \\ \hline b_{1,0} & b_{1,1} & b_{1,2} & b_{1,3} \\ \hline b_{2,0} & b_{2,1} & b_{2,2} & b_{2,3} \\ \hline b_{3,0} & b_{3,1} & b_{3,2} & b_{3,3} \\ \hline \end{array}$$

Round key is computed from the cipher key K

Key schedule: example with 192-bit key K

k_0	k_1	k_2	k_3	k_4	k_5	k_6	k_7	k_8	k_9	k_{10}	k_{11}	k_{12}	k_{13}	k_{14}	k_{15}	\dots
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Round key 0	Round key 1	Round key 2	\dots
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- Expansion: put K in 1st columns and compute others recursively:

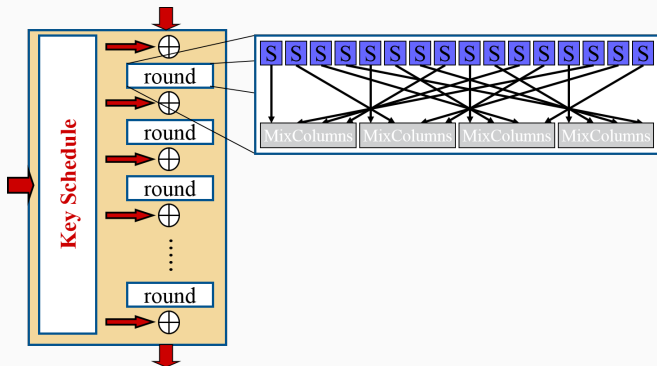
$$k_{6n} = k_{6n-6} \oplus f(k_{6n-1})$$

$$k_i = k_{i-6} \oplus k_{i-1}, \quad i \neq 6n$$

with f : 4 parallel AES S-boxes followed by 1-byte cyclic shift

- Selection: round key i is columns $4i$ to $4i + 3$

AES: summary



- ▶ 10 rounds for 128-bit key, 12 for 192-bit key and 14 for 256-bit key
- ▶ Last round has no MixColumns so that inverse is similar to cipher

- ▶ Cryptanalysis with respect to SPRP (in public domain)
 - no attacks of full-round version after 2 decades of intense public scrutiny
 - attacks on reduced-round versions with more than 5 rounds have **huge** data complexity
 - this leads to high assurance about SPRP security of AES
- ▶ Implementation attacks: exploiting physical features
 - timing attacks: cache misses in table-lookups
 - power analysis: exploiting dependence of current on data
 - electromagnetic analysis: same for EM emanations
 - fault attacks: exploiting forced faults
- ▶ Implementation attacks are the ones that matter in practice!

Summary

- ▶ Block ciphers are keyed b -bit permutations
 - a different permutation B_K per key K
 - with an efficient inverse B_K^{-1}
 - (S)PRP-secure if B_K is hard to distinguish from random permutation
 - exhaustive keysearch should be best method and has success probability $2^{-|K|}$
- ▶ DES and AES are the most widespread block ciphers
 - constructed by iterating a simple round function
 - round has layers for non-linearity, mixing, shuffling and key addition