



# **Security and data encryption**

## **Cloud Volumes ONTAP**

NetApp  
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# Security and data encryption

## Encrypting volumes with NetApp encryption solutions

Cloud Volumes ONTAP supports NetApp Volume Encryption (NVE) and NetApp Aggregate Encryption (NAE). NVE and NAE are software-based solutions that enable FIPS 140-2–compliant data-at-rest encryption of volumes. [Learn more about these encryption solutions.](#)

Both NVE and NAE are supported with an external key manager.

If you use NVE, you have the option to use your cloud provider's key vault to protect ONTAP encryption keys:

- [Azure Key Vault \(AKV\)](#)

New aggregates will have NAE enabled by default after you set up an external key manager. New volumes that aren't part of an NAE aggregate will have NVE enabled by default (for example, if you have existing aggregates that were created before setting up an external key manager).

Cloud Volumes ONTAP doesn't support onboard key management.

### What you'll need

Your Cloud Volumes ONTAP system should be registered with NetApp support. A NetApp Volume Encryption license is automatically installed on each Cloud Volumes ONTAP system that is registered with NetApp Support.

- [Adding NetApp Support Site accounts to Cloud Manager](#)
- [Registering pay-as-you-go systems](#)



Cloud Manager doesn't install the NVE license on systems that reside in the China region.

### Steps

1. Review the list of supported key managers in the [NetApp Interoperability Matrix Tool](#).



Search for the **Key Managers** solution.

2. [Connect to the Cloud Volumes ONTAP CLI.](#)
3. Configure external key management.
  - [Azure Key Vault \(AKV\)](#)

## Manage keys with Azure Key Vault

You can use [Azure Key Vault \(AKV\)](#) to protect your ONTAP encryption keys in an Azure-deployed application.

AKV can be used to protect [NetApp Volume Encryption \(NVE\) keys](#) only for data SVMs.

Key management with AKV can be enabled with the CLI or the ONTAP REST API.

When using AKV, be aware that by default a data SVM LIF is used to communicate with the cloud key

management endpoint. A node management network is used to communicate with the cloud provider's authentication services (login.microsoftonline.com). If the cluster network is not configured correctly, the cluster will not properly utilize the key management service.

### Prerequisites

- Cloud Volumes ONTAP must be running version 9.10.1 or later
- Volume Encryption (VE) license installed (NetApp Volume Encryption license is automatically installed on each Cloud Volumes ONTAP system that is registered with NetApp Support.)
- Multi-tenant Encryption Key Management (MTEKM) license installed
- You must be a cluster or SVM administrator
- An Active Azure subscription

### Limitations

- AKV can only be configured on a data SVM

## Configuration process

The outlined steps capture how to register your Cloud Volumes ONTAP configuration with Azure and how to create an Azure Key Vault and keys. If you have already completed these steps, ensure you have the correct configuration settings, particularly in [Create an Azure Key Vault](#), and then proceed to [Cloud Volumes ONTAP configuration](#).

- \* [Azure Application Registration](#)
- \* [Create Azure client secret](#)
- \* [Create an Azure Key Vault](#)
- \* [Create encryption key](#)
- \* [Create an Azure Active Directory Endpoint \(HA only\)](#)
- \* [Cloud Volumes ONTAP configuration](#)

### Azure Application Registration

1. You must first register your application in the Azure subscription that you want the Cloud Volumes ONTAP to use for access the Azure Key Vault. Within the Azure portal, select **App registrations**.
2. Select **New registration**.
3. Provide a name for your application and select a supported application type. The default single tenant suffices for Azure Key Vault usage. Select **Register**.
4. In the Azure Overview window, select the application you have registered. Copy the **application (client) ID** and the **directory (tenant) ID** to a secure location. They will be required later in the registration process.

### Create Azure client secret

1. In the Azure portal for your Cloud Volumes ONTAP application, select the **Certificates & secrets** pane.
2. Select **New client secret** Enter a meaningful name for your client secret. NetApp recommends a 24-month expiration period, however your specific cloud governance policies may require a different setting.
3. Select **Add** to save the client secret. Immediately copy the **Value** of the secret and store it somewhere secure for future configuration. The secret value will not be displayed after you navigate away from the page.

### Create an Azure Key Vault

1. If you have an existing Azure Key Vault, you can connect it to your Cloud Volumes ONTAP configuration, however you must adapt the access policies to the settings in this process.

2. In the Azure portal, navigate to the **Key Vaults** section.
3. Select **Create**. Enter the required information including resource group, region and pricing tier and make selections for the days to retain deleted vaults and whether or not purge protection is enabled. For the purposes of this configuration, defaults are sufficient, however your specific cloud governance policies may require different settings.
4. Select **Next** to choose an access policy.
5. Select **Azure Disk Encryption** for the volume encryption option and **Vault access policy** for the permission model.
6. Select **Add Access Policy**.
7. Select the caret adjacent to the **Configure from template (optional)** field. Then, select **Key, Secret, & Certification Management**.
8. Choose each of the drop-down permissions menus (key, secret, certificate) and then **Select all** at the top of the menu list to select all the permissions available. You should have:
  - **Key permissions**: 19 selected
  - **Secret permissions**: 8 selected
  - **Certificate permissions**: 16 selected
9. Select **Add** to create the access policy.
10. Select **Next** to advance to **Networking** options.
11. Choose the appropriate network access method or select **All networks** and **Review + Create** to create the key vault. (Network access method may be prescribed by a governance policy or your corporate cloud security team.)
12. Record the Key Vault URI: In the key vault you created, navigate to the Overview menu and copy the **Vault URI** from the right-hand column. You will need this for a later step.

#### Create encryption key

1. In the menu for the Key Vault you have created for Cloud Volumes ONTAP, navigate to the **Keys** option.
2. Select **Generate/import** to create a new key.
3. Leave the default option set to **Generate**.
4. Provide the following information:
  - Encryption key name
  - Key type: RSA
  - RSA key size: 2048
  - Enabled: Yes
5. Select **Create** to create the encryption key.
6. Return to the **Keys** menu and select the key you just created.
7. Select the key ID under **Current version** to view the key properties.
8. Locate the **Key Identifier** field. Copy the URI up to but not including the hexadecimal string.

#### Create an Azure Active Directory Endpoint (HA only)

1. This process is only required if you are configuring Azure Key Vault for an HA Cloud Volumes ONTAP Working Environment.
2. In the Azure portal navigate to **Virtual Networks**.

3. Select the Virtual Network where you deployed the Cloud Volumes ONTAP working environment and select the **Subnets** menu on the left side of the page.
4. Select the subnet name for your Cloud Volumes ONTAP deployment from the list.
5. Navigate to the **Service Endpoints** heading. In the dropdown menu, select **Microsoft.AzureActiveDirectory** from the list.
6. Select **Save** to capture your settings.

### Cloud Volumes ONTAP configuration

1. Connect to the cluster management LIF with your preferred SSH client.
2. Enter the advanced privilege mode in ONTAP:  
`set advanced -con off``
3. Identify the desired data SVM and verify its DNS configuration:  
`vserver services name-service dns show`
  - a. If a DNS entry for the desired data SVM exists and it contains an entry for the Azure DNS, then no action is required. If it does not, add a DNS server entry for the data SVM that points to the Azure DNS, private DNS, or on-premise server. This should match the entry for the cluster admin SVM:  
`vserver services name-service dns create -vserver SVM_name -domains domain -name-servers IP_address`
  - b. Verify the DNS service has been created for the data SVM:  
`vserver services name-service dns show`
4. Enable Azure Key Vault using the client ID and tenant ID saved after the application registration:  
`security key-manager external azure enable -vserver SVM_name -client-id Azure_client_ID -tenant-id Azure_tenant_ID -name Azure_key_name -key-id Azure_key_ID`
5. Verify the key manager configuration:  
`security key-manager external azure show`
6. Check the status of the key manager:  
`security key-manager external azure check`  
The output will look like:

```
::*> security key-manager external azure check
```

```
Vserver: data_svm_name
```

```
Node: akvlab01-01
```

```
Category: service_reachability
```

```
Status: OK
```

```
Category: ekmip_server
```

```
Status: OK
```

```
Category: kms_wrapped_key_status
```

```
Status: UNKNOWN
```

```
Details: No volumes created yet for the vserver. Wrapped KEK status  
will be available after creating encrypted volumes.
```

```
3 entries were displayed.
```

If the `service_reachability` status is not OK, the SVM cannot reach the Azure Key Vault service with all the required connectivity and permissions.

The `kms_wrapped_key_status` will report UNKNOWN at initial configuration. Its status will change to OK after the first volume is encrypted.

#### 7. OPTIONAL: Create a test volume to verify the functionality of AKV.

```
vol create -vserver SVM_name -volume volume_name -aggregate aggr -size size  
-state online -policy default
```

If configured correctly, Cloud Volumes ONTAP will automatically create the volume and enable volume encryption.

1. Confirm the volume was created and encrypted correctly. If it is, the `-is-encrypted` parameter will display as `true`.

```
vol show -vserver SVM_name -fields is-encrypted
```

## Improving protection against ransomware

Ransomware attacks can cost a business time, resources, and reputation. Cloud Manager enables you to implement the NetApp solution for ransomware, which provides effective tools for visibility, detection, and remediation.

### Steps

1. From the working environment, click the **Ransomware** icon.



## 2. Implement the NetApp solution for ransomware:

- a. Click **Activate Snapshot Policy**, if you have volumes that do not have a Snapshot policy enabled.

NetApp Snapshot technology provides the industry's best solution for ransomware remediation. The key to a successful recovery is restoring from uninfected backups. Snapshot copies are read-only, which prevents ransomware corruption. They can also provide the granularity to create images of a single file copy or a complete disaster recovery solution.

- b. Click **Activate FPolicy** to enable ONTAP's FPolicy solution, which can block file operations based on a file's extension.

This preventative solution improves protection from ransomware attacks by blocking common ransomware file types.

The default FPolicy scope blocks files that have the following extensions:

micro, encrypted, locked, crypto, crypt, crinf, r5a, XRNT, XTBL, R16M01D05, pzdc, good, LOL!, OMG!, RDM, RRK, encryptedRS, crjoker, EnCiPhErEd, LeChiffre



Cloud Manager creates this scope when you activate FPolicy on Cloud Volumes ONTAP. The list is based on common ransomware file types. You can customize the blocked file extensions by using the `vserver fpolicy policy scope` commands from the Cloud Volumes ONTAP CLI.

### Ransomware Protection

Ransomware attacks can cost a business time, resources, and reputation. The NetApp solution for ransomware provides effective tools for visibility, detection, and remediation. [Learn More](#)

#### 1 Enable Snapshot Copy Protection

50 %  
Protection

1 Volumes without a Snapshot Policy

To protect your data, activate the default Snapshot policy for these volumes

Activate Snapshot Policy

#### 2 Block Ransomware File Extensions

ONTAP's native FPolicy configuration monitors and blocks file operations based on a file's extension.

View Denied File Names

Activate FPolicy



# Manage keys with Azure Key Vault

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Key management with AKV can be enabled with the CLI or the ONTAP REST API.

When using AKV, be aware that by default a data SVM LIF is used to communicate with the cloud key management endpoint. A node management network is used to communicate with the cloud provider's authentication services (login.microsoftonline.com). If the cluster network is not configured correctly, the cluster will not properly utilize the key management service.

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- \* [Create Azure client secret](#)
- \* [Create an Azure Key Vault](#)
- \* [Create encryption key](#)
- \* [Create an Azure Active Directory Endpoint \(HA only\)](#)
- \* [Cloud Volumes ONTAP configuration](#)

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3. Select **Create**. Enter the required information including resource group, region and pricing tier and make selections for the days to retain deleted vaults and whether or not purge protection is enabled. For the purposes of this configuration, defaults are sufficient, however your specific cloud governance policies may require different settings.
4. Select **Next** to choose an access policy.
5. Select **Azure Disk Encryption** for the volume encryption option and **Vault access policy** for the permission model.
6. Select **Add Access Policy**.
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8. Choose each of the drop-down permissions menus (key, secret, certificate) and then **Select all** at the top of the menu list to select all the permissions available. You should have:
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9. Select **Add** to create the access policy.
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4. Provide the following information:
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5. Select **Create** to create the encryption key.
6. Return to the **Keys** menu and select the key you just created.
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8. Locate the **Key Identifier** field. Copy the URI up to but not including the hexadecimal string.

### Create an Azure Active Directory Endpoint (HA only)

1. This process is only required if you are configuring Azure Key Vault for an HA Cloud Volumes ONTAP Working Environment.
2. In the Azure portal navigate to **Virtual Networks**.
3. Select the Virtual Network where you deployed the Cloud Volumes ONTAP working environment and select the **Subnets** menu on the left side of the page.
4. Select the subnet name for you Cloud Volumes ONTAP deployment from the list.
5. Navigate to the **Service Endpoints** heading. In the dropdown menu, select **Microsoft.AzureActiveDirectory** from the list.
6. Select **Save** to capture your settings.

### Cloud Volumes ONTAP configuration

1. Connect to the cluster management LIF with your preferred SSH client.
2. Enter the advanced privilege mode in ONTAP:  
`set advanced -con off``
3. Identify the desired data SVM and verify its DNS configuration:  
`vserver services name-service dns show`
  - a. If a DNS entry for the desired data SVM exists and it contains an entry for the Azure DNS, then no action is required. If it does not, add a DNS server entry for the data SVM that points to the Azure DNS, private DNS, or on-premise server. This should match the entry for the cluster admin SVM:  
`vserver services name-service dns create -vserver SVM_name -domains domain -name-servers IP_address`
  - b. Verify the DNS service has been created for the data SVM:  
`vserver services name-service dns show`
4. Enable Azure Key Vault using the client ID and tenant ID saved after the application registration:  
`security key-manager external azure enable -vserver SVM_name -client-id Azure_client_ID -tenant-id Azure_tenant_ID -name Azure_key_name -key-id Azure_key_ID`
5. Verify the key manager configuration:  
`security key-manager external azure show`
6. Check the status of the key manager:  
`security key-manager external azure check`  
The output will look like:

```

::*> security key-manager external azure check

Vserver: data_svm_name
Node: akvlab01-01

Category: service_reachability
Status: OK

Category: ekmip_server
Status: OK

Category: kms_wrapped_key_status
Status: UNKNOWN
Details: No volumes created yet for the vserver. Wrapped KEK status
will be available after creating encrypted volumes.

3 entries were displayed.

```

If the `service_reachability` status is not OK, the SVM cannot reach the Azure Key Vault service with all the required connectivity and permissions.

The `kms_wrapped_key_status` will report UNKNOWN at initial configuration. Its status will change to OK after the first volume is encrypted.

#### 7. OPTIONAL: Create a test volume to verify the functionality of AKV.

```

vol create -vserver SVM_name -volume volume_name -aggregate aggr -size size
-state online -policy default

```

If configured correctly, Cloud Volumes ONTAP will automatically create the volume and enable volume encryption.

1. Confirm the volume was created and encrypted correctly. If it is, the `-is-encrypted` parameter will display as `true`.

```

vol show -vserver SVM_name -fields is-encrypted

```

## Manage keys with Google's Cloud Key Management Service

You can use [Google Cloud Platform's Key Management Service \(Cloud KMS\)](#) to protect your ONTAP encryption keys in a Google Cloud Platform-deployed application.

Key management with Cloud KMS can be enabled with the CLI or the ONTAP REST API.

When using Cloud KMS, be aware that by default a data SVMs LIF is used to communicate with the cloud key management endpoint. A node management network is used to communicate with the cloud provider's authentication services (`oauth2.googleapis.com`). If the cluster network is not configured correctly, the cluster will not properly utilize the key management service.

### Prerequisites

- Cloud Volumes ONTAP must be running version 9.10.1 or later

- Volume Encryption (VE) license installed
- Multi-tenant Encryption Key Management (MTEKM) license installed
- You must be a cluster or SVM administrator
- An active Google Cloud Platform subscription

## Limitations

- Cloud KMS can only be configured on a data SVM

## Configuration

### Google Cloud

1. In your Google Cloud environment, [create a symmetric GCP key ring and key](#).
2. Create a custom role for your Cloud Volumes ONTAP service account.

```
gcloud iam roles create kmsCustomRole
  --project=<project_id>
  --title=<kms_custom_role_name>
  --description=<custom_role_description>

--permissions=cloudkms.cryptoKeyVersions.get,cloudkms.cryptoKeyVersions.
list,cloudkms.cryptoKeyVersions.useToDecrypt,cloudkms.cryptoKeyVersions.
useToEncrypt,cloudkms.cryptoKeys.get,cloudkms.keyRings.get,cloudkms.loca
tions.get,cloudkms.locations.list,resourceManager.projects.get
  --stage=GA
```

3. Assign the custom role to the Cloud KMS key and Cloud Volumes ONTAP service account:

```
gcloud kms keys add-iam-policy-binding key_name --keyring key_ring_name
--location key_location --member serviceAccount:_service_account_Name_ --role
projects/customer_project_id/roles/kmsCustomRole
```

4. Download service account JSON key:

```
gcloud iam service-accounts keys create key-file --iam-account=sa-name
@project-id.iam.gserviceaccount.com
```

### Cloud Volumes ONTAP

1. Connect to the cluster management LIF with your preferred SSH client.
2. Switch to the advanced privilege level:
 

```
set -privilege advanced
```
3. Create a DNS for the data SVM.
 

```
dns create -domains c.<project>.internal -name-servers server_address -vserver
SVM_name
```
4. Create CMEK entry:
 

```
security key-manager external gcp enable -vserver SVM_name -project-id project
-key-ring-name key_ring_name -key-ring-location key_ring_location -key-name
key_name
```

5. When prompted, enter the service account JSON key from your GCP account.
6. Confirm the enabled process succeeded:  
`security key-manager external gcp check -vserver svm_name`
7. OPTIONAL: Create a volume to test encryption `vol create volume_name -aggregate aggregate -vserver vserver_name -size 10G`

## Troubleshoot

If you need to troubleshoot, you can tail the raw REST API logs in the final two steps above:

```
. set d  
. systemshell -node node -command tail -f /mroot/etc/log/mlog/kmip2_client.log
```

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