



## **Concepts**

### Cloud Volumes ONTAP

NetApp  
May 12, 2022

# Table of Contents

- Concepts ..... 1
  - Storage..... 1
  - High-availability pairs ..... 11
  - Cloud Volumes ONTAP licensing ..... 14
  - License management for node-based BYOL..... 18
  - Security ..... 21
  - Performance..... 23
  - AutoSupport and Active IQ Digital Advisor ..... 23
  - Default configuration for Cloud Volumes ONTAP..... 24

# Concepts

## Storage

### Client protocols

Cloud Volumes ONTAP supports the iSCSI, NFS, SMB, and S3 client protocols.

#### iSCSI

iSCSI is a block protocol that can run on standard Ethernet networks. Most client operating systems offer a software initiator that runs over a standard Ethernet port.

#### NFS

NFS is the traditional file access protocol for UNIX and LINUX systems. Clients can access files in ONTAP volumes using the NFSv3, NFSv4, and NFSv4.1 protocols. You can control file access using UNIX-style permissions, NTFS-style permissions, or a mix of both.

Clients can access the same files using both NFS and SMB protocols.

#### SMB

SMB is the traditional file access protocol for Windows systems. Clients can access files in ONTAP volumes using the SMB 2.0, SMB 2.1, SMB 3.0, and SMB 3.1.1 protocols. Just like with NFS, a mix of permission styles are supported.

#### S3

Cloud Volumes ONTAP supports S3 as an option for scale-out storage in Microsoft Azure only. S3 protocol support enables you to configure S3 client access to objects contained in a bucket in an SVM.

[Learn how to configure and manage S3 object storage services in ONTAP.](#)

## Disks and aggregates

Understanding how Cloud Volumes ONTAP uses cloud storage can help you understand your storage costs.



All disks and aggregates must be created and deleted directly from Cloud Manager. You should not perform these actions from another management tool. Doing so can impact system stability, hamper the ability to add disks in the future, and potentially generate redundant cloud provider fees.

### Overview

Cloud Volumes ONTAP uses cloud provider storage as disks and groups them into one or more aggregates. Aggregates provide storage to one or more volumes.



Several types of cloud disks are supported. You choose the disk type when you create a volume and the default disk size when you deploy Cloud Volumes ONTAP.



The total amount of storage purchased from a cloud provider is the *raw capacity*. The *usable capacity* is less because approximately 12 to 14 percent is overhead that is reserved for Cloud Volumes ONTAP use. For example, if Cloud Manager creates a 500 GiB aggregate, the usable capacity is 442.94 GiB.

## Azure storage

In Azure, an aggregate can contain up to 12 disks that are all the same size. The disk type and maximum disk size depends on whether you use a single node system or an HA pair:

### Single node systems

Single node systems can use three types of Azure Managed Disks:

- *Premium SSD Managed Disks* provide high performance for I/O-intensive workloads at a higher cost.
- *Standard SSD Managed Disks* provide consistent performance for workloads that require low IOPS.
- *Standard HDD Managed Disks* are a good choice if you don't need high IOPS and want to reduce your costs.

Each managed disk type has a maximum disk size of 32 TiB.

You can pair a managed disk with Azure Blob storage to [tier inactive data to low-cost object storage](#).

## HA pairs

HA pairs use Premium page blobs, which have a maximum disk size of 8 TiB.

## Related links

- [Microsoft Azure documentation: Azure managed disk types](#)
- [Microsoft Azure documentation: Overview of Azure page blobs](#)
- [Learn how to choose disk types and disk sizes for your systems in Azure](#)
- [Review storage limits for Cloud Volumes ONTAP in Azure](#)

## RAID type

The RAID type for each Cloud Volumes ONTAP aggregate is RAID0 (striping). Cloud Volumes ONTAP relies on the cloud provider for disk availability and durability. No other RAID types are supported.

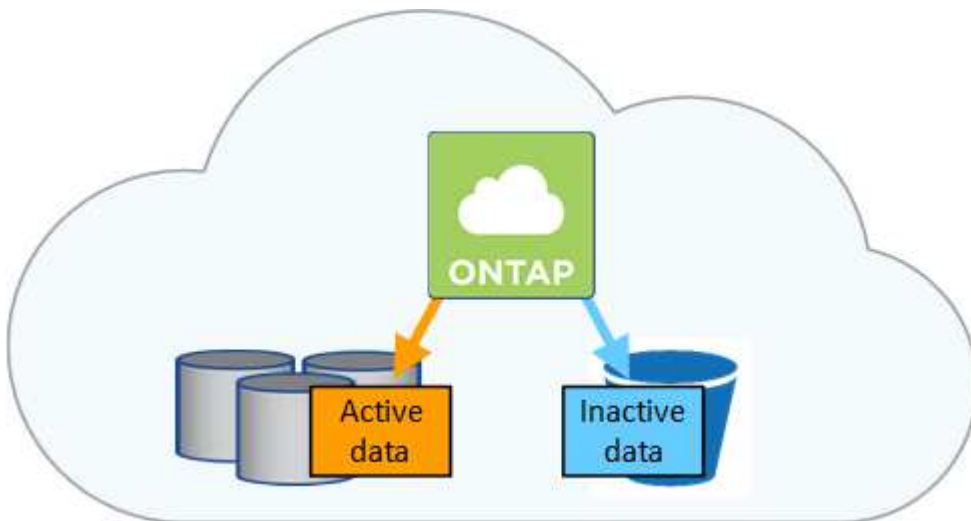
## Hot spares

RAID0 doesn't support the use of hot spares for redundancy.

Creating unused disks (hot spares) attached to a Cloud Volumes ONTAP instance is an unnecessary expense and may prevent provisioning additional space as needed. Therefore, it's not recommended.

## Data tiering overview

Reduce your storage costs by enabling automated tiering of inactive data to low-cost object storage. Active data remains in high-performance SSDs or HDDs, while inactive data is tiered to low-cost object storage. This enables you to reclaim space on your primary storage and shrink secondary storage.



Data tiering is powered by FabricPool technology.



You don't need to install a feature license to enable data tiering (FabricPool).

## Data tiering in Azure

When you enable data tiering in Azure, Cloud Volumes ONTAP uses Azure managed disks as a performance tier for hot data and Azure Blob storage as a capacity tier for inactive data.

### Performance tier

The performance tier can be either SSDs or HDDs.

### Capacity tier

A Cloud Volumes ONTAP system tiers inactive data to a single Blob container.

Cloud Manager creates a new storage account with a container for each Cloud Volumes ONTAP working environment. The name of the storage account is random. A different container is not created for each volume.

Cloud Manager creates the storage account with the following settings:

- Access tier: Hot
- Performance: Standard
- Redundancy: Locally-redundant storage (LRS)
- Account: StorageV2 (general purpose v2)
- Require secure transfer for REST API operations: Enabled
- Storage account key access: Enabled
- Minimum TLS version: Version 1.2
- Infrastructure encryption: Disabled

### Storage access tiers

The default storage access tier for tiered data in Azure is the *hot* tier. The hot tier is ideal for frequently accessed data.

If you don't plan to access the inactive data, you can reduce your storage costs by changing to the *cool* storage tier. When you change the storage tier, inactive data starts in the hot storage tier and transitions to the cool storage tier, if the data is not accessed after 30 days.

The access costs are higher if you do access the data, so take that into consideration before you change the storage tier. [Learn more about Azure Blob storage access tiers.](#)

You can select a storage tier when you create the working environment and you can change it any time after. For details about changing the storage tier, see [Tiering inactive data to low-cost object storage.](#)

The storage access tier for data tiering is system wide—it's not per volume.

### Data tiering and capacity limits

If you enable data tiering, a system's capacity limit stays the same. The limit is spread across the performance tier and the capacity tier.

### Volume tiering policies

To enable data tiering, you must select a volume tiering policy when you create, modify, or replicate a volume. You can select a different policy for each volume.

Some tiering policies have an associated minimum cooling period, which sets the time that user data in a volume must remain inactive for the data to be considered "cold" and moved to the capacity tier. The cooling period starts when data is written to the aggregate.



You can change the minimum cooling period and default aggregate threshold of 50% (more on that below). [Learn how to change the cooling period](#) and [learn how to change the threshold](#).

Cloud Manager enables you to choose from the following volume tiering policies when you create or modify a volume:

### Snapshot Only

After an aggregate has reached 50% capacity, Cloud Volumes ONTAP tiers cold user data of Snapshot copies that are not associated with the active file system to the capacity tier. The cooling period is approximately 2 days.

If read, cold data blocks on the capacity tier become hot and are moved to the performance tier.

### All

All data (not including metadata) is immediately marked as cold and tiered to object storage as soon as possible. There is no need to wait 48 hours for new blocks in a volume to become cold. Note that blocks located in the volume prior to the All policy being set require 48 hours to become cold.

If read, cold data blocks on the cloud tier stay cold and are not written back to the performance tier. This policy is available starting with ONTAP 9.6.

### Auto

After an aggregate has reached 50% capacity, Cloud Volumes ONTAP tiers cold data blocks in a volume to a capacity tier. The cold data includes not just Snapshot copies but also cold user data from the active file system. The cooling period is approximately 31 days.

This policy is supported starting with Cloud Volumes ONTAP 9.4.

If read by random reads, the cold data blocks in the capacity tier become hot and move to the performance tier. If read by sequential reads, such as those associated with index and antivirus scans, the cold data blocks stay cold and do not move to the performance tier.

### None

Keeps data of a volume in the performance tier, preventing it from being moved to the capacity tier.

When you replicate a volume, you can choose whether to tier the data to object storage. If you do, Cloud Manager applies the **Backup** policy to the data protection volume. Starting with Cloud Volumes ONTAP 9.6, the **All** tiering policy replaces the backup policy.

### Turning off Cloud Volumes ONTAP impacts the cooling period

Data blocks are cooled by cooling scans. During this process, blocks that haven't been used have their block temperature moved (cooled) to the next lower value. The default cooling time depends on the volume tiering policy:

- Auto: 31 days
- Snapshot Only: 2 days

Cloud Volumes ONTAP must be running for the cooling scan to work. If Cloud Volumes ONTAP is turned off,

cooling will stop, as well. As a result, you can experience longer cooling times.



When Cloud Volumes ONTAP is turned off, the temperature of each block is preserved until you restart the system. For example, if the temperature of a block is 5 when you turn the system off, the temp is still 5 when you turn the system back on.

## Setting up data tiering

For instructions and a list of supported configurations, see [Tiering inactive data to low-cost object storage](#).

## Storage management

Cloud Manager provides simplified and advanced management of Cloud Volumes ONTAP storage.



All disks and aggregates must be created and deleted directly from Cloud Manager. You should not perform these actions from another management tool. Doing so can impact system stability, hamper the ability to add disks in the future, and potentially generate redundant cloud provider fees.

## Storage provisioning

Cloud Manager makes storage provisioning for Cloud Volumes ONTAP easy by purchasing disks and managing aggregates for you. You simply need to create volumes. You can use an advanced allocation option to provision aggregates yourself, if desired.

### Simplified provisioning

Aggregates provide cloud storage to volumes. Cloud Manager creates aggregates for you when you launch an instance, and when you provision additional volumes.

When you create a volume, Cloud Manager does one of three things:

- It places the volume on an existing aggregate that has sufficient free space.
- It places the volume on an existing aggregate by purchasing more disks for that aggregate.
- It purchases disks for a new aggregate and places the volume on that aggregate.

Cloud Manager determines where to place a new volume by looking at several factors: an aggregate's maximum size, whether thin provisioning is enabled, and free space thresholds for aggregates.



The Account Admin can modify free space thresholds from the **Settings** page.

### Advanced allocation

Rather than let Cloud Manager manage aggregates for you, you can do it yourself. [From the Advanced allocation page](#), you can create new aggregates that include a specific number of disks, add disks to an existing aggregate, and create volumes in specific aggregates.

## Capacity management

The Account Admin can choose whether Cloud Manager notifies you of storage capacity decisions or whether Cloud Manager automatically manages capacity requirements for you. It might help for you to understand how



these modes work.

### **Automatic capacity management**

The Capacity Management Mode is set to automatic by default. In this mode, Cloud Manager automatically purchases new disks for Cloud Volumes ONTAP instances when more capacity is needed, deletes unused collections of disks (aggregates), moves volumes between aggregates when needed, and attempts to unfail disks.

The following examples illustrate how this mode works:

- If an aggregate reaches the capacity threshold and it has room for more disks, Cloud Manager automatically purchases new disks for that aggregate so volumes can continue to grow.

Cloud Manager checks the free space ratio every 15 minutes to determine if it needs to purchase additional disks.

- If an aggregate reaches the capacity threshold and it can't support any additional disks, Cloud Manager automatically moves a volume from that aggregate to an aggregate with available capacity or to a new aggregate.

If Cloud Manager creates a new aggregate for the volume, it chooses a disk size that accommodates the size of that volume.

Note that free space is now available on the original aggregate. Existing volumes or new volumes can use that space. The space can't be returned to the cloud provider in this scenario.

- If an aggregate contains no volumes for more than 12 hours, Cloud Manager deletes it.

### **Management of LUNs with automatic capacity management**

Cloud Manager's automatic capacity management doesn't apply to LUNs. When Cloud Manager creates a LUN, it disables the autogrow feature.

### **Manual capacity management**

If the Account Admin set the Capacity Management Mode to manual, Cloud Manager displays Action Required messages when capacity decisions must be made. The same examples described in the automatic mode apply to the manual mode, but it is up to you to accept the actions.

## **Write speed**

Cloud Manager enables you to choose normal or high write speed for most Cloud Volumes ONTAP configurations. Before you choose a write speed, you should understand the differences between the normal and high settings and risks and recommendations when using high write speed.

### **Normal write speed**

When you choose normal write speed, data is written directly to disk. When data is written directly to disk, reduces the likelihood of data loss in the event of an unplanned system outage, or a cascading failure involving an unplanned system outage (HA pairs only).

Normal write speed is the default option.

## High write speed

When you choose high write speed, data is buffered in memory before it is written to disk, which provides faster write performance. Due to this caching, there is the potential for data loss if an unplanned system outage occurs.

The amount of data that can be lost in the event of an unplanned system outage is the span of the last two consistency points. A consistency point is the act of writing buffered data to disk. A consistency point occurs when the write log is full or after 10 seconds (whichever comes first). However, the performance of the storage provided by your cloud provider can affect consistency point processing time.

### When to use high write speed

High write speed is a good choice if fast write performance is required for your workload and you can withstand the risk of data loss in the event of an unplanned system outage, or a cascading failure involving an unplanned system outage (HA pairs only).

### Recommendations when using high write speed

If you enable high write speed, you should ensure write protection at the application layer, or that the applications can tolerate data loss, if it occurs.

### Configurations that support high write speed

Not all Cloud Volumes ONTAP configurations support high write speed. Those configurations use normal write speed by default.

## Azure

If you use a single node system, Cloud Volumes ONTAP supports high write speed with all VM types.

If you use an HA pair, Cloud Volumes ONTAP supports high write speed with several VM types, starting with the 9.8 release. Go to the [Cloud Volumes ONTAP Release Notes](#) to view the VM types that support high write speed.

## How to select a write speed

You can choose a write speed when you create a new working environment and you can [change the write speed for an existing system](#).

## What to expect if data loss occurs

If you choose high write speed and data loss occurs, the system should be able to boot up and continue to serve data without user intervention. Two EMS messages will be reported when a node runs into data loss. One is `wafl.root.content.changed` with the ERROR severity level event, the other is `nv.check.failed` with the DEBUG severity level event. Both messages must be present as an indication of data loss.

## How to stop data access if data loss occurs

If you are concerned about data loss, want the applications to stop running upon data loss, and the data access to be resumed after the data loss issue is properly addressed, you can use the NVFAIL option from the CLI to achieve that goal.

### To enable the NVFAIL option

```
vol modify -volume <vol-name> -nvfail on
```

### To check NVFAIL settings

```
vol show -volume <vol-name> -fields nvfail
```

### To disable the NVFAIL option

```
vol modify -volume <vol-name> -nvfail off
```

When data loss occurs, an NFS or iSCSI volume with NVFAIL enabled should stop serving data (there's no impact to CIFS which is a stateless protocol). For more details, refer to [How NVFAIL impacts access to NFS volumes or LUNs](#).

### To check the NVFAIL state

```
vol show -fields in-nvfailed-state
```

After the data loss issue is properly addressed, you can clear the NVFAIL state and the volume will be available for data access.

### To clear the NVFAIL state

```
vol modify -volume <vol-name> -in-nvfailed-state false
```

## Flash Cache

Some Cloud Volumes ONTAP configurations include local NVMe storage, which Cloud Volumes ONTAP uses as *Flash Cache* for better performance.

### What's Flash Cache?

Flash Cache speeds access to data through real-time intelligent caching of recently read user data and NetApp metadata. It's effective for random read-intensive workloads, including databases, email, and file services.

### Supported configurations

Flash Cache is supported with specific Cloud Volumes ONTAP configurations. View supported configurations in the [Cloud Volumes ONTAP Release Notes](#)

### Limitations

- Compression must be disabled on all volumes to take advantage of the Flash Cache performance improvements.

Choose no storage efficiency when creating a volume from Cloud Manager, or create a volume and then [disable data compression by using the CLI](#).

- Cache rewarming after a reboot is not supported with Cloud Volumes ONTAP.

## WORM storage

You can activate write once, read many (WORM) storage on a Cloud Volumes ONTAP system to retain files in unmodified form for a specified retention period. Cloud WORM

storage is powered by SnapLock technology, which means WORM files are protected at the file level.

## How WORM storage works

Once a file has been committed to WORM storage, it can't be modified, even after the retention period has expired. A tamper-proof clock determines when the retention period for a WORM file has elapsed.

After the retention period has elapsed, you are responsible for deleting any files that you no longer need.

## Charging

Charging for WORM storage is hourly, according to the total provisioned capacity of WORM volumes.

[Learn about pricing for WORM storage.](#)

## Activating WORM storage

You can activate WORM storage on a Cloud Volumes ONTAP system when you create a new working environment. This includes setting the default retention period for files.



You can't activate WORM storage on individual volumes—WORM must be activated at the system level.

The following image shows how to activate WORM storage when creating a working environment:

The screenshot shows the 'Create a New Working Environment' wizard in the Cloud Manager interface. The current step is 'WORM (write once, read many)'. The interface includes a top navigation bar with 'Cloud Manager' and various service tabs like 'Canvas', 'Replication', 'Backup & Restore', etc. The main content area is divided into two columns. The left column, titled 'Write Speed', has two radio button options: 'Normal' (selected) and 'High'. The 'Normal' option description states: 'Data is written directly to disk, reducing the likelihood of data loss in the event of an unplanned system outage.' The 'High' option description states: 'Data is buffered in memory before it is written to disk, which provides faster write performance. Due to this caching, there is the potential for data loss in the event of an unplanned system outage.' The right column, titled 'WORM', contains explanatory text about WORM storage and two radio button options: 'Disable WORM' and 'Activate WORM' (selected). Below these options is a 'Notice' stating: 'If you activate WORM storage, data tiering to object storage will be disabled on the system.' At the bottom of the right column is a 'Retention Period' field set to '15' with a unit dropdown menu currently showing 'years'. A blue 'Continue' button is located at the bottom center of the wizard. The footer of the interface shows 'Cloud Manager 3.9.9 Build: 0 Jun 30, 2021 02:52:27 pm UTC Environment: staging'.

## Committing files to WORM

You can use an application to commit files to WORM over NFS or CIFS, or use the ONTAP CLI to autocommit files to WORM automatically. You can also use a WORM appendable file to retain data that is written incrementally, like log information.

After you activate WORM storage on a Cloud Volumes ONTAP system, you must use the ONTAP CLI for all management of WORM storage. For instructions, refer to [ONTAP documentation](#).

## Limitations

- WORM storage in Cloud Volumes ONTAP operates under a "trusted storage administrator" model. While WORM files are protected from alteration or modification, volumes can be deleted by a cluster administrator even if those volumes contain unexpired WORM data.
- In addition to the trusted storage administrator model, WORM storage in Cloud Volumes ONTAP also implicitly operates under a "trusted cloud administrator" model. A cloud administrator could delete WORM data before its expiry date by removing or editing cloud storage directly from the cloud provider.
- When WORM storage is activated, data tiering to object storage can't be enabled.
- Cloud Backup Service must be disabled in order to enable WORM storage.

## High-availability pairs

### High-availability pairs in Azure

A Cloud Volumes ONTAP high availability (HA) pair provides enterprise reliability and continuous operations in case of failures in your cloud environment. In Azure, storage is shared between the two nodes.

### HA components

A Cloud Volumes ONTAP HA configuration in Azure includes the following components:



Note the following about the Azure components that Cloud Manager deploys for you:

#### Azure Standard Load Balancer

The load balancer manages incoming traffic to the Cloud Volumes ONTAP HA pair.

#### Availability Set

The Azure Availability Set is a logical grouping of the Cloud Volumes ONTAP nodes. The Availability Set ensures that the nodes are in different fault and update domains to provide redundancy and availability.

[Learn more about Availability Sets in the Azure docs.](#)

## Disks

Customer data resides on Premium Storage page blobs. Each node has access to the other node's storage. Additional storage is also required for [boot, root, and core data](#).

## Storage accounts

- One storage account is required for managed disks.
- One or more storage accounts are required for the Premium Storage page blobs, as the disk capacity limit per storage account is reached.

[Azure documentation: Azure Storage scalability and performance targets for storage accounts.](#)

- One storage account is required for data tiering to Azure Blob storage.
- Starting with Cloud Volumes ONTAP 9.7, the storage accounts that Cloud Manager creates for HA pairs are general-purpose v2 storage accounts.
- You can enable an HTTPS connection from a Cloud Volumes ONTAP 9.7 HA pair to Azure storage accounts when creating a working environment. Note that enabling this option can impact write performance. You can't change the setting after you create the working environment.

## RPO and RTO

An HA configuration maintains high availability of your data as follows:

- The recovery point objective (RPO) is 0 seconds.  
Your data is transactionally consistent with no data loss.
- The recovery time objective (RTO) is 60 seconds.  
In the event of an outage, data should be available in 60 seconds or less.

## Storage takeover and giveback

Similar to a physical ONTAP cluster, storage in an Azure HA pair is shared between nodes. Connections to the partner's storage allows each node to access the other's storage in the event of a *takeover*. Network path failover mechanisms ensure that clients and hosts continue to communicate with the surviving node. The partner *gives back* storage when the node is brought back on line.

For NAS configurations, data IP addresses automatically migrate between HA nodes if failures occur.

For iSCSI, Cloud Volumes ONTAP uses multipath I/O (MPIO) and Asymmetric Logical Unit Access (ALUA) to manage path failover between the active-optimized and non-optimized paths.



For information about which specific host configurations support ALUA, see the [NetApp Interoperability Matrix Tool](#) and the Host Utilities Installation and Setup Guide for your host operating system.

Storage takeover, resync, and giveback are all automatic by default. No user action is required.

## Storage configurations

You can use an HA pair as an active-active configuration, in which both nodes serve data to clients, or as an active-passive configuration, in which the passive node responds to data requests only if it has taken over storage for the active node.

## Actions unavailable during takeover

When a node in an HA pair isn't available, the other node serves data for its partner to provide continued data service. This is called *storage takeover*. Several actions are unavailable until in storage giveback is complete.



When a node in an HA pair is unavailable, the state of the working environment in Cloud Manager is *Degraded*.

The following actions are unavailable from Cloud Manager during storage takeover:

- Support registration
- License changes
- Instance or VM type changes
- Write speed changes
- CIFS setup
- Changing the location of configuration backups
- Setting the cluster password
- Managing disks and aggregates (advanced allocation)

These actions are available again after storage giveback completes and the state of the working environment changes back to normal.

## Cloud Volumes ONTAP licensing

Several licensing options are available for Cloud Volumes ONTAP. Each option enables you to choose a consumption model that meets your needs.

### Licensing overview

The following licensing options are available for new customers.

#### Freemium offering

Free of charge up to 500 GiB of provisioned capacity without purchasing a license or contract. Includes limited support.

#### Essentials package

Pay by capacity for Cloud Volumes ONTAP in a number of different configurations.

#### Professional package

Pay by capacity for any type of Cloud Volumes ONTAP configuration. Includes unlimited backups with Cloud Backup.

#### Keystone Flex Subscription

A pay-as-you-grow subscription-based service that delivers a seamless hybrid cloud experience for HA pairs.

The previous by-node licensing model remains available for existing customers who have already purchased a



license or who have an active marketplace subscription.

The following sections provide more details about each of these options.

## Freemium offering

Provides all Cloud Volumes ONTAP features free of charge from NetApp (cloud provider charges still apply).

- No license or contract is needed.
- Support from NetApp is not included.
- You're limited to 500 GiB of provisioned capacity per Cloud Volumes ONTAP system.
- You can use up to 10 Cloud Volumes ONTAP systems with the Freemium offering per NetApp account, in any cloud provider.
- If the provisioned capacity for a Cloud Volumes ONTAP system exceeds 500 GiB, Cloud Manager converts the system to the Essentials package (capacity-based licensing).

Any other systems that have less than 500 GiB of provisioned capacity stay on Freemium (as long as they were deployed using the Freemium offering).

To get started with the Freemium offering, create a new Cloud Volumes ONTAP working environment and select **Freemium** when prompted to choose a charging method.

- [Launching Cloud Volumes ONTAP in Azure](#)

## Capacity-based licensing packages

Capacity-based licensing enables you to pay for Cloud Volumes ONTAP per TiB of capacity. The license is associated with your NetApp account and enables you to charge multiple systems against the license, as long as enough capacity is available through the license.

For example, you could purchase a single 20 TiB license, deploy four Cloud Volumes ONTAP systems, and then allocate a 5 TiB volume to each system, for a total of 20 TiB. The capacity is available to the volumes on each Cloud Volumes ONTAP system deployed in that account.

Capacity-based licensing is available in the form of a *package*. When you deploy a Cloud Volumes ONTAP system, you can choose the *Essentials* package or the *Professional* package.

### Essentials package

- Choose your Cloud Volumes ONTAP configuration:
  - A single node or HA system
  - File and block storage or secondary data for disaster recovery (DR)
- Add on any of NetApp's cloud data services at extra cost

### Professional package

- Provides licensing for any Cloud Volumes ONTAP configuration (single node or HA with any storage type)
- Includes volume backups using Cloud Backup (only for volumes charged against this license)
- Add on any of NetApp's cloud data services at extra cost

## Consumption models

The Essentials and Professional packages are available with the following consumption models:

- A license (BYOL) purchased from NetApp that can be used to deploy Cloud Volumes ONTAP in any cloud provider.

The license is not restricted to a single cloud provider.

- An hourly subscription (PAYGO) from your cloud provider's marketplace.
- An annual contract from your cloud provider's marketplace.
  - In Azure, you need to work with your NetApp sales representative to purchase an annual contract. The contract is available as a private offer in the Azure Marketplace.

After NetApp shares the private offer with you, you can select the annual plan when you subscribe from the Azure Marketplace during working environment creation.

Note the following:

- If you purchase a license from NetApp (BYOL), you also need to subscribe to the PAYGO offering from your cloud provider's marketplace.

Your license is always charged first, but you'll be charged from the hourly rate in the marketplace in these cases:

- If you exceed your licensed capacity
- If the term of your license expires
- If you have an annual contract from a marketplace, *all* Cloud Volumes ONTAP systems that you deploy are charged against that contract. You can't mix and match an annual marketplace contract with BYOL.

## Pricing

For details about pricing, go to [NetApp Cloud Central](#).

## Free trials

A 30-day free trial is available from the pay-as-you-go subscription in your cloud provider's marketplace. The free trial includes Cloud Volumes ONTAP and Cloud Backup. The trial starts when you subscribe to the offering in the marketplace.

There are no instance or capacity limitations. You can deploy as many Cloud Volumes ONTAP systems as you'd like and allocate as much capacity as needed, free of charge for 30 days. The free trial automatically converts to a paid hourly subscription after 30 days.

There are no hourly software license charges for Cloud Volumes ONTAP, but infrastructure charges from your cloud provider still apply.

## Supported configurations

Capacity-based licensing packages are available with Cloud Volumes ONTAP 9.7 and later.

## Capacity limit

With this licensing model, each individual Cloud Volumes ONTAP system supports up to 2 PiB of capacity through disks and tiering to object storage.

There is no maximum capacity limitation when it comes to the license itself.

## Notes about charging

- If you exceed your BYOL capacity or if your license expires, you'll be charged for overages at the hourly rate based on your marketplace subscription.
- For each package, there is a minimum 4 TiB capacity charge. Any Cloud Volumes ONTAP instance that has less than 4 TiB of capacity will be charged at a rate of 4 TiB.
- There are no extra licensing costs for additional data-serving storage VMs (SVMs), but there is a 4 TiB minimum capacity charge per data-serving SVM.
- Disaster recovery SVMs are charged according to the provisioned capacity.
- For HA pairs, you're only charged for the provisioned capacity on a node. You aren't charged for data that is synchronously mirrored to the partner node.
- You won't be charged for the capacity used by FlexClone volumes.
- Source and destination FlexCache volumes are considered primary data and charged according to the provisioned space.

## How to get started

1. [Contact NetApp Sales to obtain a license](#)
2. [Add your license to Cloud Manager](#)
3. Select the capacity-based BYOL charging method when you create a Cloud Volumes ONTAP system
  - [Launching Cloud Volumes ONTAP in Azure](#)

## Keystone Flex Subscription

A pay-as-you-grow subscription-based service that delivers a seamless hybrid cloud experience for those preferring OpEx consumption models to upfront CapEx or leasing.

Charging is based on the size of your committed capacity for one or more Cloud Volumes ONTAP HA pairs in your Keystone Flex Subscription.

The provisioned capacity for each volume is aggregated and compared to the committed capacity on your Keystone Flex Subscription periodically, and any overages are charged as burst on your Keystone Flex Subscription.

[Learn more about Keystone Flex Subscriptions.](#)

## Supported configurations

Keystone Flex Subscriptions are supported with HA pairs. This licensing option isn't supported with single node systems at this time.

## Capacity limit

Each individual Cloud Volumes ONTAP system supports up to 2 PiB of capacity through disks and tiering to object storage.

## How to get started

1. If you don't have a subscription yet, [contact NetApp](#).
2. [Contact NetApp](#) to authorize your Cloud Manager user account with one or more Keystone Flex Subscriptions.
3. After NetApp authorizes your account, [link your subscriptions for use with Cloud Volumes ONTAP](#).
4. Select the Keystone Flex Subscription charging method when you create a Cloud Volumes ONTAP system.
  - [Launching Cloud Volumes ONTAP in Azure](#)

## Node-based licensing

Node-based licensing is the previous generation licensing model that enabled you to license Cloud Volumes ONTAP by node. This licensing model is not available for new customers and no free trials are available. By-node charging has been replaced with the by-capacity charging methods described above.

Node-based licensing is still available for existing customers:

- If you have an active license, BYOL is available for license renewals only.
- If you have an active marketplace subscription, charging is still available through that subscription.

## License conversions

Converting an existing Cloud Volumes ONTAP system to another licensing method isn't supported. The three current licensing methods are capacity-based licensing, Keystone Flex Subscriptions, and node-based licensing. For example, you can't convert a system from node-based licensing to capacity-based licensing (and vice versa).

If you want to transition to another licensing method, you can purchase a license, deploy a new Cloud Volumes ONTAP system using that license, and then replicate the data to that new system.

## Max number of systems

The maximum number of Cloud Volumes ONTAP systems is limited to 20 per NetApp account, regardless of the licensing model in use.

A *system* is either an HA pair or a single node system. For example, if you have two Cloud Volumes ONTAP HA pairs and two single node systems, you'd have a total of 4 systems, with room for 16 additional systems in your account.

If you have questions, reach out to your account rep or sales team.

[Learn more about NetApp accounts.](#)

## License management for node-based BYOL

Each Cloud Volumes ONTAP system that has a node-based BYOL must have a system

license installed with an active subscription. Cloud Manager simplifies the process by managing licenses for you and by displaying a warning before they expire.



A node-based license is the previous generation BYOL for Cloud Volumes ONTAP. A node-based license is available for license renewals only.

[Learn more about Cloud Volumes ONTAP licensing options.](#)

## BYOL system licenses

A node-based license provides up to 368 TiB of capacity for a single node or HA pair.

You can purchase multiple licenses for a Cloud Volumes ONTAP BYOL system to allocate more than 368 TiB of capacity. For example, you might purchase two licenses to allocate up to 736 TiB of capacity to Cloud Volumes ONTAP. Or you could purchase four licenses to get up to 1.4 PiB.

The number of licenses that you can purchase for a single node system or HA pair is unlimited.



Some on-premises ONTAP storage systems that you purchased may have included a free Cloud Volumes ONTAP license. You can use the license to create a new Cloud Volumes ONTAP system, or you can apply the license to an existing Cloud Volumes ONTAP system to expand the capacity. [See if you have any available licenses to use.](#)

Be aware that disk limits can prevent you from reaching the capacity limit by using disks alone. You can go beyond the disk limit by [tiering inactive data to object storage](#). For information about disk limits, refer to [storage limits in the Cloud Volumes ONTAP Release Notes](#).

## License management for a new system

When you create a node-based BYOL system, Cloud Manager prompts you for the serial number of your license and your NetApp Support Site account. Cloud Manager uses the account to download the license file from NetApp and to install it on the Cloud Volumes ONTAP system.

[Learn how to add NetApp Support Site accounts to Cloud Manager.](#)

If Cloud Manager can't access the license file over the secure internet connection, you can [obtain the file yourself and then manually upload the file to Cloud Manager](#).

## License expiration

Cloud Manager displays a warning 30 days before a node-based license is due to expire and again when the license expires. The following image shows a 30-day expiration warning that appears in the user interface:



You can select the working environment to review the message.

Cloud Manager includes a license expiration warning in the Cloud Volumes ONTAP report that's emailed to you, if you are an Account Admin and you enabled the option:



The emailed report includes the license expiration warning every 2 weeks.

If you don't renew the license in time, the Cloud Volumes ONTAP system shuts itself down. If you restart it, it shuts itself down again.

## License renewal

When you renew a node-based BYOL subscription by contacting a NetApp representative, Cloud Manager automatically obtains the new license from NetApp and installs it on the Cloud Volumes ONTAP system.

If Cloud Manager can't access the license file over the secure internet connection, you can [obtain the file yourself and then manually upload the file to Cloud Manager](#).

## License transfer to a new system

A node-based BYOL license is transferable between Cloud Volumes ONTAP systems when you delete an existing system and then create a new one using the same license.

For example, you might want to delete an existing licensed system and then use the license with a new BYOL system in a different VPC/VNet or cloud provider. Note that only *cloud-agnostic* serial numbers work in any cloud provider. Cloud-agnostic serial numbers start with the *908xxxx* prefix.

It's important to note that your BYOL license is tied to your company and a specific set of NetApp Support Site credentials.

## Security

Cloud Volumes ONTAP supports data encryption and provides protection against viruses and ransomware.

### Encryption of data at rest

Cloud Volumes ONTAP supports the following encryption technologies:

- NetApp encryption solutions (NVE and NAE)
- Azure Storage Service Encryption

You can use NetApp encryption solutions with native encryption from your cloud provider, which encrypts data at the hypervisor level. Doing so would provide double encryption, which might be desired for very sensitive data. When the encrypted data is accessed, it's unencrypted twice—once at the hypervisor-level (using keys from the cloud provider) and then again using NetApp encryption solutions (using keys from an external key manager).

### NetApp encryption solutions (NVE and NAE)

Cloud Volumes ONTAP supports [NetApp Volume Encryption \(NVE\)](#) and [NetApp Aggregate Encryption \(NAE\)](#). NVE and NAE are software-based solutions that enable (FIPS) 140-2–compliant data-at-rest encryption of volumes. Both NVE and NAE use AES 256-bit encryption.

- NVE encrypts data at rest one volume at a time. Each data volume has its own unique encryption key.
- NAE is an extension of NVE—it encrypts data for each volume, and the volumes share a key across the aggregate. NAE also allows common blocks across all volumes in the aggregate to be deduplicated.

Both NVE and NAE are supported with an external key manager.

If you use NVE, you have the option to use your cloud provider's key vault to protect ONTAP encryption keys:

- Azure Key Vault (AKV)

New aggregates have NetApp Aggregate Encryption (NAE) enabled by default after you set up an external key manager. New volumes that aren't part of an NAE aggregate will have NetApp Volume Encryption (NVE) enabled by default (for example, if you have existing aggregates that were created before setting up an external key manager).

Setting up a supported key manager is the only required step. For set up instructions, refer to [Encrypting volumes with NetApp encryption solutions](#).

## Azure Storage Service Encryption

Data is automatically encrypted on Cloud Volumes ONTAP in Azure using [Azure Storage Service Encryption](#) with a Microsoft-managed key.

You can use your own encryption keys if you prefer. [Learn how to set up Cloud Volumes ONTAP to use a customer-managed key in Azure.](#)

## ONTAP virus scanning

You can use integrated antivirus functionality on ONTAP systems to protect data from being compromised by viruses or other malicious code.

ONTAP virus scanning, called *Vscan*, combines best-in-class third-party antivirus software with ONTAP features that give you the flexibility you need to control which files get scanned and when.

For information about the vendors, software, and versions supported by Vscan, see the [NetApp Interoperability Matrix](#).

For information about how to configure and manage the antivirus functionality on ONTAP systems, see the [ONTAP 9 Antivirus Configuration Guide](#).

## Ransomware protection

Ransomware attacks can cost a business time, resources, and reputation. Cloud Manager enables you to implement the NetApp solution for ransomware, which provides effective tools for visibility, detection, and remediation.

- Cloud Manager identifies volumes that are not protected by a Snapshot policy and enables you to activate the default Snapshot policy on those volumes.


Snapshot copies are read-only, which prevents ransomware corruption. They can also provide the granularity to create images of a single file copy or a complete disaster recovery solution.

- Cloud Manager also enables you to block common ransomware file extensions by enabling ONTAP's FPolicy solution.

### Ransomware Protection

Ransomware attacks can cost a business time, resources, and reputation. The NetApp solution for ransomware provides effective tools for visibility, detection, and remediation. [Learn More](#)

#### 1 Enable Snapshot Copy Protection




50 %  
Protection

1 Volumes without a Snapshot Policy

To protect your data, activate the default Snapshot policy for these volumes

Activate Snapshot Policy

#### 2 Block Ransomware File Extensions



ONTAP's native FPolicy configuration monitors and blocks file operations based on a file's extension.

View Denied File Names

Activate FPolicy

[Learn how to implement the NetApp solution for ransomware.](#)



# Performance

You can review performance results to help you decide which workloads are appropriate for Cloud Volumes ONTAP.

## Performance technical reports

- Cloud Volumes ONTAP for Microsoft Azure

[NetApp Technical Report 4671: Performance Characterization of Cloud Volumes ONTAP in Azure with Application Workloads](#)

## CPU performance

Cloud Volumes ONTAP nodes show as highly utilized (over 90%) from your cloud provider's monitoring tools. This is because ONTAP reserves all vCPUs presented to the virtual machine so that they are available when needed.

[Learn how to monitor Cloud Volumes ONTAP performance](#), or check out this [NetApp knowledgebase article about how to monitor ONTAP CPU utilization using the CLI](#)

## AutoSupport and Active IQ Digital Advisor

The AutoSupport component of ONTAP collects telemetry and sends it for analysis. Active IQ Digital Advisor analyzes the data from AutoSupport and provides proactive care and optimization. Using artificial intelligence, Active IQ can identify potential problems and help you resolve them before they impact your business.

Active IQ enables you to optimize your data infrastructure across your global hybrid cloud by delivering actionable predictive analytics and proactive support through a cloud-based portal and mobile app. Data-driven insights and recommendations from Active IQ are available to all NetApp customers with an active SupportEdge contract (features vary by product and support tier).

Here are some things you can do with Active IQ:

- Plan upgrades.

Active IQ identifies issues in your environment that can be resolved by upgrading to a newer version of ONTAP and the Upgrade Advisor component helps you plan for a successful upgrade.

- View system wellness.

Your Active IQ dashboard reports any issues with wellness and helps you correct those issues. Monitor system capacity to make sure you never run out of storage space. View support cases for your system.

- Manage performance.

Active IQ shows system performance over a longer period than you can see in ONTAP System Manager. Identify configuration and system issues that are impacting your performance.

Maximize efficiency. View storage efficiency metrics and identify ways to store more data in less space.

- View inventory and configuration.

Active IQ displays complete inventory and software and hardware configuration information. See when service contracts are expiring and renew them to ensure you remain supported.

#### Related information

- [NetApp Documentation: Active IQ Digital Advisor](#)
- [Launch Active IQ](#)
- [SupportEdge Services](#)

## Default configuration for Cloud Volumes ONTAP

Understanding how Cloud Volumes ONTAP is configured by default can help you set up and administer your systems, especially if you are familiar with ONTAP because the default setup for Cloud Volumes ONTAP is different than ONTAP.

### Default setup

- Cloud Manager creates one data-serving storage VM when it deploys Cloud Volumes ONTAP. Some configurations support additional storage VMs. [Learn more about managing storage VMs.](#)

Starting with the Cloud Manager 3.9.5 release, logical space reporting is enabled on the initial storage VM. When space is reported logically, ONTAP reports the volume space such that all the physical space saved by the storage efficiency features are also reported as used.

- Cloud Manager automatically installs the following ONTAP feature licenses on Cloud Volumes ONTAP:
  - CIFS
  - FlexCache
  - FlexClone
  - iSCSI
  - NetApp Volume Encryption (only for BYOL or registered PAYGO systems)
  - NFS
  - SnapMirror
  - SnapRestore
  - SnapVault
- Several network interfaces are created by default:
  - A cluster management LIF
  - An intercluster LIF
  - An SVM management LIF on HA systems in Azure
  - A node management LIF
  - An iSCSI data LIF
  - A CIFS and NFS data LIF




LIF failover is disabled by default for Cloud Volumes ONTAP due to cloud provider requirements. Migrating a LIF to a different port breaks the external mapping between IP addresses and network interfaces on the instance, making the LIF inaccessible.

- Cloud Volumes ONTAP sends configuration backups to the Connector using HTTPS.

The backups are accessible from <https://ipaddress/occm/offboxconfig/> where *ipaddress* is the IP address of the Connector host.

- Cloud Manager sets a few volume attributes differently than other management tools (System Manager or the CLI, for example).

The following table lists the volume attributes that Cloud Manager sets differently from the defaults:

Attribute	Value set by Cloud Manager
Autosize mode	grow
Maximum autosize	1,000 percent  The Account Admin can modify this value from the Settings page.
Security style	NTFS for CIFS volumes UNIX for NFS volumes
Space guarantee style	none
UNIX permissions (NFS only)	777

See the *volume create* man page for information about these attributes.

## Internal disks for system data

In addition to the storage for user data, Cloud Manager also purchases cloud storage for system data.

### Azure (single node)

- Three Premium SSD disks:
  - One 10 GiB disk for boot data
  - One 140 GiB disk for root data
  - One 512 GiB disk for NVRAM

If the virtual machine that you chose for Cloud Volumes ONTAP supports Ultra SSDs, then the system uses a 32 GiB Ultra SSD for NVRAM, rather than a Premium SSD.

- One 1024 GiB Standard HDD disk for saving cores
- One Azure snapshot for each boot disk and root disk

- Boot and root disks are encrypted by default.

### **Azure (HA pair)**

- Two 10 GiB Premium SSD disks for the boot volume (one per node)
- Two 140 GiB Premium Storage page blobs for the root volume (one per node)
- Two 1024 GiB Standard HDD disks for saving cores (one per node)
- Two 512 GiB Premium SSD disks for NVRAM (one per node)
- One Azure snapshot for each boot disk and root disk
- Boot and root disks are encrypted by default.

### **Where the disks reside**

Cloud Manager lays out the storage as follows:

- Boot data resides on a disk attached to the instance or virtual machine.

This disk, which contains the boot image, is not available to Cloud Volumes ONTAP.

- Root data, which contains the system configuration and logs, resides in aggr0.
- The storage virtual machine (SVM) root volume resides in aggr1.
- Data volumes also reside in aggr1.

## Copyright Information

Copyright © 2022 NetApp, Inc. All rights reserved. Printed in the U.S. No part of this document covered by copyright may be reproduced in any form or by any means-graphic, electronic, or mechanical, including photocopying, recording, taping, or storage in an electronic retrieval system-without prior written permission of the copyright owner.

Software derived from copyrighted NetApp material is subject to the following license and disclaimer:

THIS SOFTWARE IS PROVIDED BY NETAPP "AS IS" AND WITHOUT ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, WHICH ARE HEREBY DISCLAIMED. IN NO EVENT SHALL NETAPP BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

NetApp reserves the right to change any products described herein at any time, and without notice. NetApp assumes no responsibility or liability arising from the use of products described herein, except as expressly agreed to in writing by NetApp. The use or purchase of this product does not convey a license under any patent rights, trademark rights, or any other intellectual property rights of NetApp.

The product described in this manual may be protected by one or more U.S. patents, foreign patents, or pending applications.

RESTRICTED RIGHTS LEGEND: Use, duplication, or disclosure by the government is subject to restrictions as set forth in subparagraph (c)(1)(ii) of the Rights in Technical Data and Computer Software clause at DFARS 252.277-7103 (October 1988) and FAR 52-227-19 (June 1987).

## Trademark Information

NETAPP, the NETAPP logo, and the marks listed at <http://www.netapp.com/TM> are trademarks of NetApp, Inc. Other company and product names may be trademarks of their respective owners.