# Security group rules for AWS

Cloud Manager 3.5

Ben Cammett 11/01/2018

# **Table of Contents**

S	ecurity group rules for AWS	1
	Rules for Cloud Manager	1
	Rules for Cloud Volumes ONTAP	2
	Rules for the HA mediator external security group.	5
	Rules for the HA mediator internal security group	6

## Security group rules for AWS

Cloud Manager creates AWS security groups that include the inbound and outbound rules that Cloud Manager and Cloud Volumes ONTAP need to operate successfully. You might want to refer to the ports for testing purposes or if you prefer your to use own security groups.

### **Rules for Cloud Manager**

The security group for Cloud Manager requires both inbound and outbound rules.

### **Inbound rules for Cloud Manager**

The source for inbound rules in the predefined security group is 0.0.0.0/0.

Protoco l	Port	Purpose
SSH	22	Provides SSH access to the Cloud Manager host
HTTP	80	Provides HTTP access from client web browsers to the Cloud Manager web console
HTTPS	443	Provides HTTPS access from client web browsers to the Cloud Manager web console

### **Outbound rules for Cloud Manager**

The predefined security group for Cloud Manager opens all outbound traffic. If that is acceptable, follow the basic outbound rules. If you need more rigid rules, use the advanced outbound rules.

#### Basic outbound rules

The predefined security group for Cloud Manager includes the following outbound rules.

Protocol	Port	Purpose
All TCP	All	All outbound traffic
All UDP	All	All outbound traffic

#### Advanced outbound rules

If you need rigid rules for outbound traffic, you can use the following information to open only those ports that are required for outbound communication by Cloud Manager.



The source IP address is the Cloud Manager host.

Service	Prot ocol	Po rt	Destination	Purpose
Active	ТСР	88	Active Directory forest	Kerberos V authentication
Directory	TCP	139	Active Directory forest	NetBIOS service session
	TCP	389	Active Directory forest	LDAP
	TCP	445	Active Directory forest	Microsoft SMB/CIFS over TCP with NetBIOS framing
	ТСР	464	Active Directory forest	Kerberos V change & set password (SET_CHANGE)
	ТСР	749	Active Directory forest	Active Directory Kerberos V change & set password (RPCSEC_GSS)
	UDP	137	Active Directory forest	NetBIOS name service
	UDP	138	Active Directory forest	NetBIOS datagram service
	UDP	464	Active Directory forest	Kerberos key administration
API calls and AutoSupport	HTT PS	443	Outbound internet and ONTAP cluster management LIF	API calls to AWS and ONTAP, and sending AutoSupport messages to NetApp
API calls  TCP 300 ONTAP cl 0 LIF		ONTAP cluster management LIF	API calls to ONTAP	
DNS	UDP	53	DNS	Used for DNS resolve by Cloud Manager

## **Rules for Cloud Volumes ONTAP**

The security group for Cloud Volumes ONTAP requires both inbound and outbound rules.

### **Inbound rules for Cloud Volumes ONTAP**

The source for inbound rules in the predefined security group is 0.0.0.0/0.

Protoco 1	Port	Purpose
All ICMP	All	Pinging the instance
HTTP	80	HTTP access to the System Manager web console using the IP address of the cluster management LIF
HTTPS	443	HTTPS access to the System Manager web console using the IP address of the cluster management LIF
SSH	22	SSH access to the IP address of the cluster management LIF or a node management LIF
TCP	111	Remote procedure call for NFS
TCP	139	NetBIOS service session for CIFS

Protoco 1	Port	Purpose	
TCP	161-162	Simple network management protocol	
TCP	445	Microsoft SMB/CIFS over TCP with NetBIOS framing	
TCP	635	NFS mount	
TCP	749	Kerberos	
TCP	2049	NFS server daemon	
TCP	3260	iSCSI access through the iSCSI data LIF	
TCP	4045	NFS lock daemon	
TCP	4046	Network status monitor for NFS	
TCP	10000	Backup using NDMP	
TCP	11104	Management of intercluster communication sessions for SnapMirror	
TCP	11105	SnapMirror data transfer using intercluster LIFs	
UDP	111	Remote procedure call for NFS	
UDP	161-162	Simple network management protocol	
UDP	635	NFS mount	
UDP	2049	NFS server daemon	
UDP	4045	NFS lock daemon	
UDP	4046	Network status monitor for NFS	
UDP	4049	NFS rquotad protocol	

#### **Outbound rules for Cloud Volumes ONTAP**

The predefined security group for Cloud Volumes ONTAP opens all outbound traffic. If that is acceptable, follow the basic outbound rules. If you need more rigid rules, use the advanced outbound rules.

#### Basic outbound rules

The predefined security group for Cloud Volumes ONTAP includes the following outbound rules.

Protocol	Port	Purpose
All ICMP	All	All outbound traffic
All TCP	All	All outbound traffic
All UDP	All	All outbound traffic

#### Advanced outbound rules

If you need rigid rules for outbound traffic, you can use the following information to open only those ports that are required for outbound communication by Cloud Volumes ONTAP.

Service	Protoc ol	Port	Source	Destination	Purpose
Active Directo	TCP	88	Node management LIF		Kerberos V authentication
ry	UDP	137	Node management LIF		NetBIOS name service
	UDP	138	Node management LIF	_	NetBIOS datagram service
	ТСР	139	Node management LIF		NetBIOS service session
	ТСР	389	Node management LIF	Active Directory forest	LDAP
	TCP	445	Node management LIF	Active Directory forest	Microsoft SMB/CIFS over TCP with NetBIOS framing
	TCP	464	Node management LIF	Active Directory forest	Kerberos V change & set password (SET_CHANGE)
	UDP	464	Node management LIF	Active Directory forest	Kerberos key administration
	ТСР	749	Node management LIF	Active Directory forest	Kerberos V change & set Password (RPCSEC_GSS)
	ТСР	88	Data LIF (NFS, CIFS)	Active Directory forest	Kerberos V authentication
	UDP	137	Data LIF (NFS, CIFS)	Active Directory forest	NetBIOS name service
	UDP	138	Data LIF (NFS, CIFS)	Active Directory forest	NetBIOS datagram service
	ТСР	139	Data LIF (NFS, CIFS)	Active Directory forest	NetBIOS service session
	TCP	389	Data LIF (NFS, CIFS)	Active Directory forest	LDAP
	TCP	445	Data LIF (NFS, CIFS)	Active Directory forest	Microsoft SMB/CIFS over TCP with NetBIOS framing
	ТСР	464	Data LIF (NFS, CIFS)	Active Directory forest	Kerberos V change & set password (SET_CHANGE)
	UDP	464	Data LIF (NFS, CIFS)	Active Directory forest	Kerberos key administration
	TCP	749	Data LIF (NFS, CIFS)	Active Directory forest	Kerberos V change & set password (RPCSEC_GSS)

Service	Protoc ol	Port	Source	Destination	Purpose
Cluster	All traffic	All traffic	All LIFs on one node	All LIFs on the other node	Intercluster communications (Cloud Volumes ONTAP HA only)
	ТСР	3000	Node management LIF	HA mediator	ZAPI calls (Cloud Volumes ONTAP HA only)
	ICMP	1	Node management LIF	HA mediator	Keep alive (Cloud Volumes ONTAP HA only)
DHCP	UDP	68	Node management LIF	DHCP	DHCP client for first-time setup
DHCPS	UDP	67	Node management LIF	DHCP	DHCP server
DNS	UDP	53	Node management LIF and data LIF (NFS, CIFS)	DNS	DNS
NDMP	ТСР	18600– 18699	Node management LIF	Destination servers	NDMP copy
SMTP	ТСР	25	Node management LIF	Mail server	SMTP alerts, can be used for AutoSupport
SNMP	ТСР	161	Node management LIF	Monitor server	Monitoring by SNMP traps
	UDP	161	Node management LIF	Monitor server	Monitoring by SNMP traps
	TCP	162	Node management LIF	Monitor server	Monitoring by SNMP traps
	UDP	162	Node management LIF	Monitor server	Monitoring by SNMP traps
SnapMi rror	ТСР	11104	Intercluster LIF	ONTAP intercluster LIFs	Management of intercluster communication sessions for SnapMirror
	ТСР	11105	Intercluster LIF	ONTAP intercluster LIFs	SnapMirror data transfer
Syslog	UDP	514	Node management LIF	Syslog server	Syslog forward messages

# Rules for the HA mediator external security group

The predefined external security group for the Cloud Volumes ONTAP HA mediator includes the following inbound and outbound rules.

#### **Inbound rules**

The source for inbound rules is 0.0.0.0/0.

Protocol	Port	Purpose
SSH	22	SSH connections to the HA mediator
TCP	3000	RESTful API access from Cloud Manager

#### **Outbound rules**

The predefined security group for the HA mediator opens all outbound traffic. If that is acceptable, follow the basic outbound rules. If you need more rigid rules, use the advanced outbound rules.

#### Basic outbound rules

The predefined security group for the HA mediator includes the following outbound rules.

Protocol	Port	Purpose
All TCP	All	All outbound traffic
All UDP	All	All outbound traffic

#### Advanced outbound rules

If you need rigid rules for outbound traffic, you can use the following information to open only those ports that are required for outbound communication by the HA mediator.

Servi ce	Proto col	Port	Destination	Purpose
API	HTTP S	443	AWS API services	Assist with storage failover
API	UDP	53	AWS API services	Assist with storage failover



Rather than open these two ports, you can use a private endpoint instead.

## Rules for the HA mediator internal security group

The predefined internal security group for the Cloud Volumes ONTAP HA mediator includes the following rules. Cloud Manager always creates this security group. You do not have the option to use your own.

#### **Inbound rules**

The predefined security group includes the following inbound rules.

Protocol	Port	Purpose
All traffic	All	Communication between the HA mediator and HA nodes

### **Outbound rules**

The predefined security group includes the following outbound rules.

Protocol	Port	Purpose
All traffic	All	Communication between the HA
		mediator and HA nodes

#### **Copyright Information**

Copyright © 2019–2020 NetApp, Inc. All rights reserved. Printed in the U.S. No part of this document covered by copyright may be reproduced in any form or by any means-graphic, electronic, or mechanical, including photocopying, recording, taping, or storage in an electronic retrieval systemwithout prior written permission of the copyright owner.

Software derived from copyrighted NetApp material is subject to the following license and disclaimer:

THIS SOFTWARE IS PROVIDED BY NETAPP "AS IS" AND WITHOUT ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, WHICH ARE HEREBY DISCLAIMED. IN NO EVENT SHALL NETAPP BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

NetApp reserves the right to change any products described herein at any time, and without notice. NetApp assumes no responsibility or liability arising from the use of products described herein, except as expressly agreed to in writing by NetApp. The use or purchase of this product does not convey a license under any patent rights, trademark rights, or any other intellectual property rights of NetApp.

The product described in this manual may be protected by one or more U.S. patents, foreign patents, or pending applications.

RESTRICTED RIGHTS LEGEND: Use, duplication, or disclosure by the government is subject to restrictions as set forth in subparagraph (c)(1)(ii) of the Rights in Technical Data and Computer Software clause at DFARS 252.277-7103 (October 1988) and FAR 52-227-19 (June 1987).

#### **Trademark Information**

NETAPP, the NETAPP logo, and the marks listed at <a href="http://www.netapp.com/TM">http://www.netapp.com/TM</a> are trademarks of NetApp, Inc. Other company and product names may be trademarks of their respective owners.