

# K-Theory of Inverse Semigroups

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# Background

Dualities in mathematics:

- ▶ Order structures and discrete spaces (Stone duality)
- ▶ Locally compact Hausdorff spaces and commutative  $C^*$ -algebras (Gelfand representation theorem)

Also, (von Neumann) regular rings similar to regular semigroups

# Background

Paterson (1980's) and Renault (1980) generalised this to deep connections between 3 different "discrete" mathematical structures:

- ▶ Inverse semigroups (generalised order structures)
- ▶ Topological groupoids (generalised discrete spaces)
- ▶  $C^*$ -algebras

# Background

Some successful applications:

- ▶ Topological  $K$ -theory and operator / algebraic  $K$ -theory  
(Serre-Swan theorem)
- ▶ Module theory for rings (Dedekind + others) and act theory  
for monoids
- ▶ Morita equivalence of semigroups (Knauer, Talwar) vs. Morita  
equivalence for rings (Morita)
- ▶ Morita equivalence for inverse semigroups (Afara, Funk, Laan,  
Lawson, Steinberg) vs. Morita equivalence for  $C^*$ -algebras  
(Rieffel + others)

## Examples

- ▶ Polycyclic / Cuntz monoid / Cuntz groupoid / Cuntz algebra
- ▶ Graph inverse semigroups / Cuntz-Krieger semigroups / Cuntz-Krieger groupoid / Cuntz-Krieger algebra
- ▶ Boolean inverse monoids / Boolean groupoids
- ▶ Tiling semigroups / tiling groupoids / tiling  $C^*$ -algebras

# Grothendieck group

## Theorem

Let  $S$  be a commutative semigroup. Then there is a unique (up to isomorphism) commutative group  $G = \mathcal{G}(S)$ , called the *Grothendieck group*, and a homomorphism  $\phi : S \rightarrow G$ , such that for any commutative group  $H$  and homomorphism  $\psi : S \rightarrow H$ , there is a unique homomorphism  $\theta : G \rightarrow H$  with  $\psi = \theta \circ \phi$ .

# Algebraic $K$ -theory

- ▶  $R$  - ring
- ▶  $\mathbf{Proj}_R$  - finitely generated projective modules of  $R$ .
- ▶  $(\mathbf{Proj}_R, \oplus)$  is a commutative monoid.
- ▶ Define

$$K_0(R) = \mathcal{G}(\mathbf{Proj}_R).$$

- ▶ If  $X$  is a compact Hausdorff space and  $C(X)$  is the ring of  $\mathbb{F}$ -valued continuous functions on  $X$  then

$$K_{\mathbb{F}}^0(X) \cong K_0(C(X)).$$

## Idempotent matrices

- ▶ Let  $M(R)$  denote the set of  $\mathbb{N}$  by  $\mathbb{N}$  matrices over  $R$  with finitely many non-zero entries.
- ▶ Idempotent matrices correspond to projective modules
- ▶ Say idempotent matrices  $E, F \in M(R)$  are similar and write  $E \sim F$  if  $E = XY$  and  $F = YX$  where  $X, Y \in M(R)$ .

### Proposition

Idempotent matrices  $E$  and  $F$  define the same projective module if and only if  $E \sim F$ .

## Idempotent matrices

- ▶ Denote the set of idempotent matrices by  $\text{Idem}(R)$  and define a binary operation on  $\text{Idem}(R)/\sim$  by

$$[E] + [F] = [E' + F'],$$

where if a row in  $E'$  has non-zero entries then that row in  $F'$  has entries only zeros, similarly for columns of  $E'$ , and for rows and columns of  $F'$ , and such that  $E' \sim E$  and  $F' \sim F$ .

### Theorem

This is a well-defined operation and the monoids  $\text{Idem}(R)/\sim$  and  $\mathbf{Proj}_R$  are isomorphic.

- ▶ This gives us an alternative way of viewing  $K_0(R)$ :

$$K_0(R) = \mathcal{G}(\text{Idem}(R)/\sim).$$

# $K$ -theory of inverse semigroups

- ▶ Idea: want to define  $K_0(S)$  for  $S$  an inverse semigroup.
- ▶ Need to restrict the class of inverse semigroups - will not be a problem.
- ▶ Give definition in terms of projective modules and definition in terms of idempotent matrices.
- ▶ Want  $K_0(S) \cong K_0(C(S))$ , where  $C(S)$  is some  $C^*$ -algebra associated to  $S$ .

## Some inverse semigroup theory

- ▶ An *inverse semigroup* is a semigroup  $S$  such that for every element  $s \in S$  there exists a unique element  $s^{-1} \in S$  with  $ss^{-1}s = s$  and  $s^{-1}ss^{-1} = s^{-1}$  (without uniqueness, we have a *regular semigroup*).
- ▶ A regular semigroup is inverse if and only if its idempotents commute.
- ▶ Natural partial order (NPO):  $s \leq t$  iff  $s = ts^{-1}s$ .
- ▶ Remark: the set of idempotents form a meet semilattice under the operation  $e \wedge f = ef$ .

## Orthogonally complete inverse semigroups

- ▶ Firstly, we will assume our inverse semigroup  $S$  has a zero ( $0s = s0 = 0$ ).
- ▶ Next, we want our inverse semigroup to be sufficiently ring like, namely we require *orthogonal completeness* - this will not be a problem as every inverse semigroup with 0 has an *orthogonal completion* and the examples we are interested in are orthogonally complete.
- ▶ Elements  $s, t \in S$  are *orthogonal*, written  $s \perp t$ , if  $st^{-1} = s^{-1}t = 0$ .
- ▶  $S$  is *orthogonally complete* if
  1.  $s \perp t$  implies there exists  $s \vee t$
  2.  $s \perp t$  implies  $u(s \vee t) = us \vee ut$  and  $(s \vee t)u = su \vee tu$ .

# Rook matrices

- ▶ Throughout what follows  $S$  will be an orthogonally complete inverse semigroup.
- ▶ A matrix  $A$  with entries in  $S$  is said to be a *rook matrix* if it satisfies the following conditions:
  1. (RM1): If  $a$  and  $b$  lie in the same row of  $A$  then  $a^{-1}b = 0$ .
  2. (RM2): If  $a$  and  $b$  lie in the same column of  $A$  then  $ab^{-1} = 0$ .
- ▶  $R(S)$  = all finite-dimensional rook matrices
- ▶  $M_n(S)$  = all  $n \times n$  matrices
- ▶  $M_\omega(S) = \mathbb{N} \times \mathbb{N}$  rook matrices with finitely many non-zero entries.

## Facts about rook matrices

- ▶  $R(S)$  is an inverse semigroupoid.
- ▶  $M_n(S)$  and  $M_\omega(S)$  are orthogonally complete inverse semigroups.
- ▶ Let

$$A(S) = E(M_\omega(S))/\mathcal{D}.$$

- ▶ Define  $[E] + [F] = [E' \vee F']$ .
- ▶ We will define

$$K(S) = \mathcal{G}(A(S)).$$

- ▶  $S \mapsto M_\omega(S)$  and  $S \mapsto K(S)$  have functorial properties.

## Pointed étale sets

A *pointed étale set* is a set  $X$  together with a right action of  $S$  on  $X$ , a map  $p : X \rightarrow E(S)$  and a distinguished element  $0$  satisfying the following:

- ▶  $x \cdot p(x) = x$ .
- ▶  $p(x \cdot s) = s^{-1}p(x)s$ .
- ▶  $p(0_X) = 0$  and if  $p(x) = 0$  then  $x = 0_X$ .
- ▶  $0_X \cdot s = 0_X$  for all  $s \in S$ .
- ▶  $x \cdot 0 = 0_X$  for all  $x \in X$ .

Define a partial order on  $X$ :  $x \leq y$  iff  $x = y \cdot p(x)$ .

Define  $x \perp y$  if  $p(x)p(y) = 0$  and say that  $x$  and  $y$  are *orthogonal*.

We will say elements  $x, y \in X$  are *strongly orthogonal* if  $x \perp y$ ,  
 $\exists x \vee y$  and  $p(x) \vee p(y) = p(x \vee y)$ .

# Premodules and modules

A *premodule* is a pointed étale set such that

- ▶ If  $x, y \in X$  are strongly orthogonal then for all  $s \in S$  we have  
 $x \cdot s$  and  $y \cdot s$  are strongly orthogonal and  
 $(x \vee y) \cdot s = (x \cdot s) \vee (y \cdot s)$ .
- ▶ If  $s, t \in S$  are orthogonal then  $x \cdot s$  and  $x \cdot t$  are strongly  
orthogonal for all  $x \in X$ .

A *module* is a pointed étale set such that

- ▶ If  $x \perp y$  then  $\exists x \vee y$  and  $p(x \vee y) = p(x) \vee p(y)$ .
- ▶ If  $x \perp y$  then  $(x \vee y) \cdot s = x \cdot s \vee y \cdot s$ .

## Examples

- ▶  $0$  is a module with  $0 \cdot s = 0$  for all  $s \in S$  (initial object in category).
- ▶  $eS$  is a premodule with  $es \cdot t = est$  and  $p(es) = s^{-1}es$ .
- ▶  $S$  itself is a premodule with  $s \cdot t = st$  and  $p(s) = s^{-1}s$ .
- ▶ In fact, every right ideal is a premodule.

# Categories

- ▶ We will define premodule morphisms and module morphisms  $f : (X, p) \rightarrow (Y, q)$  to be structure preserving maps between, respectively, premodules and modules.
- ▶ Note that we require  $q(f(x)) = p(x)$ .
- ▶ We denote the category of premodules of  $S$  by **Premod $_S$**  and modules by **Mod $_S$** .
- ▶ Monics in **Premod $_S$**  and **Mod $_S$**  are injective and epics in **Mod $_S$**  are surjective.
- ▶ **Mod $_S$**  is cocomplete.

## Proposition

There is a functor **Premod $_S$**   $\rightarrow$  **Mod $_S$** ,  $X \mapsto X^\sharp$ , which is left adjoint to the forgetful functor.

# Copproducts

Can define coproduct in  $\mathbf{Mod}_S$  for  $(X, p)$ ,  $(Y, q)$  by

$$X \bigoplus Y = \{(x, y) \in X \times Y \mid p(x)q(y) = 0\}$$

with

$$(p \oplus q)(x, y) = p(x) \vee q(y)$$

and

$$(x, y) \cdot s = (x \cdot s, y \cdot s).$$

# Projective modules

- ▶ A projective module  $P$  is one such that for all morphisms  $f : P \rightarrow Y$  and epics  $g : X \rightarrow Y$  there is a map  $h : P \rightarrow X$  with  $gh = f$ .
- ▶ If  $P_1, P_2$  projective then  $P_1 \bigoplus P_2$  is projective.
- ▶  $(eS)^\sharp$  is projective.
- ▶ Denote by  $\mathbf{Proj}_S$  the category of modules  $X$  with

$$X \cong \bigoplus_{i=1}^m (e_i S)^\sharp.$$

## Theorem

Let  $\mathbf{e} = (e_1, \dots, e_m)$ ,  $\mathbf{f} = (f_1, \dots, f_n)$  and  $\Delta(\mathbf{e}), \Delta(\mathbf{f})$  be the associated diagonal matrices in  $M_\omega(S)$ . Then

$$\bigoplus_{i=1}^m (e_i S)^\sharp \cong \bigoplus_{i=1}^n (f_i S)^\sharp$$

if, and only if,

$$\Delta(\mathbf{e}) \mathcal{D} \Delta(\mathbf{f}).$$

## Corollary

$$K(S) = \mathcal{G}(\mathbf{Proj}_S).$$

- ▶ Can define *states* and *traces* on  $S$
- ▶ If  $S$  commutative, then  $K(S) \cong K(E(S))$ .
- ▶ If  $S$  commutative or nice then can form tensor products of matrices and modules - sometimes gives a ring structure on  $K(S)$ .

## Examples

- ▶ Symmetric inverse monoids:

$$K(I_n) = \mathbb{Z}.$$

- ▶ (Unital) Boolean algebras:

$$K(A) = K^0(S(A)).$$

- ▶ Cuntz-Krieger semigroups:

$$K(CK_{\mathcal{G}}) = K^0(\mathcal{O}_{\mathcal{G}}).$$

Thank you for listening